

SCHAFFER CULLEN CAPITAL MANAGEMENT
ATTN CAROLYN ROY
645 FIFTH AVENUE FL 7
NEW YORK NY 10022

JPMorgan Chase Bank, N.A.
GHISLAINE MAXWELL - OAP IHD

ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Investment Management Account

J.P. Morgan Team

James Buckley

Jason Bell

Janet Young

Gina Magliocco

Jason Grosse

Online access

Banker

Investment Specialist

Client Service Team

Client Service Team

Client Service Team

www.jpmorganonline.com

Please see disclosures located at the end of this statement package for important information relating to each J.P.Morgan account(s).

[REDACTED]
Table of Contents

Account Summary

Page

2

Holdings

Equity

Portfolio Activity

4

10

0000000026.15.0.15.00003.SCHA0AP.20130502

Page 1 of 19

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Account Summary

Asset Allocation

Equity

Market Value

Accruals

Market Value with Accruals

Beginning

Market Value

1,000,915.40

\$1,000,915.40

4,385.75

\$1,005,301.15

Ending

Market Value

1,552,500.50

\$1,552,500.50

7,120.95

\$1,559,621.45

Current

Portfolio Activity

Beginning Market Value

Contributions

Withdrawals & Fees

Net Contributions/Withdrawals

Income & Distributions

Change In Investment Value

Ending Market Value

Accruals

Market Value with Accruals

Period Value

1,000,915.40

500,000.00

(2,656.78)

\$497,343.22

6,156.49

48,085.39

\$1,552,500.50

7,120.95

\$1,559,621.45

Change

In Value

551,585.10

\$551,585.10

2,735.20

\$554,320.30

Year-to-Date

Value

0.00

1,500,000.00

(2,701.89)
\$1,497,298.11
7,746.69
47,455.70
\$1,552,500.50
7,120.95
\$1,559,621.45
Estimated
52,134.82
\$52,134.82
Current
Annual Income Allocation
100%
100%
Page 2 of 19

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Account Summary

Tax Summary

Foreign Dividends

Interest Income

Taxable Income

CONTINUED

Current

Period Value

6,156.18

0.31

\$6,156.49

Year-to-Date

Value

7,745.02

1.67

\$7,746.69

Unrealized Gain/Loss

To-Date Value

\$47,743.34

ST Realized Gain/Loss

Realized Gain/Loss

Current

Period Value

87.03

\$87.03

Year-to-Date

Value

(287.64)

(\$287.64)

Cost Summary

Equity

Total

1,504,757.16

Cost

\$1,504,757.16

Page 3 of 19

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Equity Summary

Asset Categories

EAFE Equity

Beginning

Market Value

1,000,915.40

Ending

Market Value

1,552,500.50

Change

In Value

551,585.10

Current

Allocation

100%

Current

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Accrued Dividends

Yield

Period Value

1,552,500.50

1,504,757.16

47,743.34

52,134.82

7,120.95

3.35%

Page 4 of 19

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Note:

P

1

indicates position adjusted for Pending Trade Activity.

This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account.

Equity Detail

Price

EAFE Equity

ABB LTD

SPONS ADR

[REDACTED] ABB

ASTRAZENECA PLC

SPONS ADR

[REDACTED] AZN

BAE SYSTEMS PLC

SPONS ADR

05523R-10-7 BAES Y

BAYER A G

SPONS ADR

[REDACTED] BAYR Y

BHP LTD

SPONS ADR

[REDACTED] BHP

BOC HONG KONG HOLDINGS LTD

SPONS ADR

[REDACTED] BHKL Y

BRITISH AMERICAN TOBACCO PLC

SPONS ADR

[REDACTED] BTI

111.02

490.000

54,399.80

51,491.86

2,907.94

2,041.83

861.58

3.75%

68.81

620.000

42,661.58

42,424.80

236.78

1,953.62

4.58%

67.22

350.000

23,527.00
27,042.45
(3,515.45)
23.38
104.44
1,620.000
295.000
37,870.74
30,810.69
36,304.63
29,579.20
1,566.11
1,231.49
1,901.88
706.82
532.47
389.27
798.00
5.02%
1.73%
3.39%
51.92
295.000
15,316.40
14,890.83
425.57
826.00
5.39%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Inc.
Accrued Div.
Yield
22.57
1,930.000
43,560.10
41,400.85
2,159.25
1,385.74
1,177.48
3.18%

GHISLAINE MAXWELL - OAP IHD
ACCT. [REDACTED]
For the Period 4/1/13 to 4/30/13
Price
EAFE Equity
COMPANHIA ENERGETICA DE MINAS GERAIS
SPONS ADR
REP NON VOTING PFD
204409-60-1 CIG AAA /P-2
COST OF PENDING PURCHASES
DEUTSCHE POST AG
SPONS ADR
[REDACTED] DPSG Y
P DIAGEO P L C
SPONS ADR NEW
[REDACTED] DEO
GDF SUEZ
SPONS ADR
[REDACTED] GDFZ Y
GLAXOSMITHKLINE PLC
SPONS ADR
[REDACTED] GSK
HSBC HOLDINGS PLC
SPONS ADR
[REDACTED] HBC
P IMPERIAL TOBACCO PLC
ADR
[REDACTED] ITYB Y
ISRAEL CHEMICALS LTD
ADR
[REDACTED] ISCH Y
KIRIN HOLDINGS COMPANY LTD
SPONS ADR
[REDACTED] KNBW Y

Page 6 of 19

17.52
1,850.000
32,419.40
30,013.50
2,405.90
492.10
1.52%
11.90
3,750.000
44,621.25
48,666.96
(4,045.71)
2,073.75
178.17
4.65%
71.59

435.000
31,143.39
30,800.85
342.54
1,423.32
4.57%
54.86
1,000.000
54,860.00
54,849.63
10.37
2,250.00
461.70
4.10%
51.64
895.000
46,217.80
42,063.88
4,153.92
2,100.56
4.54%
21.49
1,100.000
23,639.00
22,910.32
728.68
1,457.50
671.32
6.17%
122.20
0.00
2.31%
1.00
23.76
(26,547.340)
1,980.000
(26,547.34)
47,038.86
(26,547.34)
46,372.75
666.11
12.83
890.000
11,418.70
9,975.21
1,443.49
611.43
5.35%
Quantity
Value
Adjusted Tax Cost

Original Cost
Unrealized
Gain/Loss
Est. Annual Inc.
Accrued Div.
Yield

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Price

EAFE Equity

LUKOIL OAO SPONS ADR

MTN GROUP LTD

[REDACTED] LUKO Y

18.01

SPONS ADR

[REDACTED] MTNO Y

MUENCHENER RUECKVERSICHERUNGS -

GESELLSCHAFT

ADR

[REDACTED] MURG Y

NESTLE S A

SPONS ADR REPSTG REG SH

[REDACTED] NSRG Y

NOVARTIS A G

ADR

[REDACTED] NVS

PETROCHINA CO LTD

ADR

[REDACTED] PTR

PROCEEDS FROM PENDING SALES

RECKITT BENCKISER GROUP PLC

SPONSORED ADR

[REDACTED] RBGL Y

ROCHE HOLDINGS LTD

SPONS ADR

[REDACTED] RHHB Y

ROYAL DUTCH SHELL PLC

ADR

[REDACTED] RDS B

Page 7 of 19

69.79

515.000

35,941.85

36,534.71

(592.86)

1,771.60

4.93%

62.54

740.000

46,282.56

41,801.23

4,481.33

1.00

14.62

4,815.420

3,100.000

4,815.42

45,315.80
4,815.42
42,444.00
2,871.80
1,218.30
535.99
1,201.76
2.69%
2.60%
127.86
245.000
31,325.70
33,346.03
(2,020.33)
988.08
3.15%
73.76
830.000
61,220.80
57,450.63
3,770.17
20.02
2,300.000
46,046.00
42,865.73
3,180.27
1,426.00
1,042.74
71.48
860.000
61,471.94
60,267.42
1,204.52
1,571.22
885.28
1,707.31
3.10%
2,250.000
40,524.75
42,762.90
(2,238.15)
1,689.75
4.17%
63.71
880.000
56,061.28
55,350.56
710.72
2,687.52
4.79%
Quantity

Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Inc.
Accrued Div.
Yield
2.56%
2.79%

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Price

EAFE Equity

SANOFI

[REDACTED] SNY

SIEMENS A G

SPONS ADR

[REDACTED] SI

SINGAPORE TELECOMMUNICATIONS LTD

ADR

[REDACTED] SGAP Y

SMITHS GROUP PLC

SPONSORED ADR

[REDACTED] SMGZ Y

STATOIL ASA

SPONS ADR

[REDACTED] STO

TAIWAN SEMICONDUCTOR MANUFACTURING

CO LTD

SPONS ADR

874039-10-0 TSM

TELEKOMUNIKASI IND

SPONS ADR

[REDACTED] TLK

TESCO PLC

SPONS ADR

[REDACTED] TSCD Y

TOTAL SA

SPONS ADR

[REDACTED] TOT

UNILEVER N V

[REDACTED] UN

42.48

1,375.000

58,410.00

55,943.06

2,466.94

1,498.75

2.57%

Page 8 of 19

17.10

50.24

1,800.000

970.000

30,772.80

48,732.80

30,886.57

49,000.74

(113.77)

(267.94)

1,173.60
2,446.34
3.81%
5.02%
47.87
780.000
37,338.60
30,953.42
6,385.18
1,847.04
4.95%
19.08
800.000
15,264.00
14,240.00
1,024.00
318.40
2.09%
24.50
1,120.000
27,440.00
28,904.96
(1,464.96)
31.91
19.46
1,500.000
1,600.000
47,862.00
31,128.00
43,076.75
31,248.55
4,785.25
(120.55)
1,851.00
947.20
209.47
1,012.48
3.87%
3.04%
3.69%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Inc.
Accrued Div.
Yield
53.35
104.51

995.000
53,083.25
450.000
47,029.50
49,583.61
47,554.34
3,499.64
(524.84)
1,297.48
1,323.90
2.44%
2.82%

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Price

EAFE Equity

UNITED OVERSEAS BANK LTD

SPONS ADR

[REDACTED] UOVE Y

US DOLLAR

VODAFONE GROUP PLC

SPONS ADR

[REDACTED] VOD

ZURICH INSURANCE GROUP-ADR

Total EAFE Equity

[REDACTED] ZURV Y

\$1,552,500.50

\$1,504,757.16

\$47,743.34

\$52,134.82

\$7,120.95

3.36%

27.95

1,735.000

48,486.31

47,875.71

610.60

34.67

1.00

30.59

1,340.000

57,353.310

2,000.000

46,456.46

57,353.31

61,180.00

42,222.66

57,353.31

56,034.47

5,145.53

4,233.80

1,305.16

5.73

1.13

2,998.00

2.81%

0.01%

4.90%

Quantity

Value

Adjusted Tax Cost

Original Cost

Unrealized

Gain/Loss
Est. Annual Inc.
Accrued Div.
Yield
Page 9 of 19

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Portfolio Activity Summary

Beginning Cash Balance

Transactions

INFLOWS

Income

Contributions

Total Inflows

OUTFLOWS **

Withdrawals

Fees & Commissions

Tax Payments

Total Outflows

TRADE ACTIVITY

Settled Sales/Maturities/Redemptions

Settled Securities Purchased

Total Trade Activity

Ending Cash Balance

15,298.95

(487,810.56)

(\$472,511.61)

\$57,353.31

* Year to date information is calculated on a calendar year basis.

** Your account's standing instructions use a HIGH COST method for relieving assets

from your position

32,544.87

(1,480,236.36)

(\$1,447,691.49)

-Current

Period

Value

26,365.21

6,156.49

500,000.00

\$506,156.49

(70.08)

(1,971.64)

(615.06)

(\$2,656.78)

Year-To-Date

Value*

-7,746.69

1,500,000.00

\$1,507,746.69

(70.08)

(1,971.64)

(660.17)

(\$2,701.89)

Current

Cost
Adjustments
Cost Adjustments
Total Cost Adjustments
Period Value
1,652.99
\$1,652.99
Year-To-Date
Value*
1,652.99
\$1,652.99
Page 10 of 19

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Portfolio Activity Detail

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

Income

4/1

4/5

4/11

4/11

4/11

4/12

4/12

4/22

Interest Income

Foreign Dividend

Total Income

Description

DEPOSIT SWEEP INTEREST FOR 03/01/13 - 03/31/13

@ .01% RATE ON AVG COLLECTED BALANCE OF

\$25,583.73 AS OF 04/01/13

NOVARTIS A G ADR @ 2.42647 PER SHARE

(ID: [REDACTED])

GLAXOSMITHKLINE PLC SPONS ADR @ 0.68948 PER

SHARE (ID: [REDACTED])

TOTAL SA SPONS ADR @ 0.76036 PER SHARE

(ID: [REDACTED])

ROCHE HOLDINGS LTD SPONS ADR GROSS RATE 1.9388

(ID: [REDACTED])

DIAGEO P L C SPONS ADR NEW @ 1.104679 PER SHARE

(ID: [REDACTED])

MTN GROUP LTD SPONS ADR @ 0.54393 PER SHARE

(ID: [REDACTED])

ZURICH INSURANCE GROUP-ADR @ 1.822079 PER SHARE

(ID: [REDACTED])

590.000

650.000

460.000

550.000

40.000

1,660.000

1,050.000

2.426

0.689

0.76
1.939
1.105
0.544
1.822
1,431.62
448.16
349.77
1,066.34
44.19
902.92
1,913.18
\$6,156.49

Quantity
Cost
Per Unit
Amount
Amount
0.31

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Type

Settle Date Selection Method

Contributions

4/19

Misc Receipt

Description

TRANSFER FROM MMIA A/C TO INVESTMENT MANAGEMENT
A/C.

Quantity

Cost

Amount

500,000.00

Type

Settle Date Selection Method

Withdrawals

4/9

4/11

4/12

4/22

Expenses

Expenses

Expenses

Expenses

Total Withdrawals

Fees & Commissions

4/18

4/18

Description

NOVARTIS A G ADR .005 DSC FEE FOR 04/05/2013

PAYMEN T AS OF 04/05/13 (ID: [REDACTED])

ROCHE HOLDINGS LTD SPONS ADR REPRESENTS @ .023

DEPOSITORY FEE (ID: [REDACTED])

MTN GROUP LTD SPONS ADR REPRESENTS @ .020

DEPOSITORY FEE (ID: [REDACTED])

ZURICH INSURANCE GROUP-ADR REPRESENTS @ .020

DEPOSITORY FEE (ID: [REDACTED])

Quantity

Cost

Amount

(2.95)

(12.93)

(33.20)

(21.00)

(\$70.08)

Fees & Commissions JPMORGAN CHASE INVESTMENT MANAGEMENT FEE FOR
THE PERIOD 01-28-2013 TO 03-31-2013

(1,279.10)

Fees & Commissions SCHAFER CULLEN CAPITAL MANAGEMENT MANAGEMENT
FEE FOR 01-29-2013 TO 03-31-2013

Total Fees & Commissions
(692.54)
(\$1,971.64)
Page 12 of 19

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Type

Settle Date Selection Method

Tax Payments

4/5

4/11

4/11

4/12

FGN Tax Withheld

FGN Tax Withheld

FGN Tax Withheld

FGN Tax Withheld

Total Tax Payments

TRADE ACTIVITY

Note:

Trade Date

Settle Date

4/3

4/8

4/4

4/9

4/4

4/9

Description

NOVARTIS A G ADR TAX WITHHELD SWITZERLAND

15.00% (ID: [REDACTED])

TOTAL SA SPONS ADR TAX WITHHELD FRANCE 30.00%

(ID: [REDACTED])

ROCHE HOLDINGS LTD SPONS ADR TAX WITHHELD

SWITZERLAND 15.00% (ID: [REDACTED])

MTN GROUP LTD SPONS ADR TAX WITHHELD SOUTH

AFRICA 15.00% (ID: [REDACTED])

Quantity

Cost

Amount

(214.74)

(104.93)

(159.95)

(135.44)

(\$615.06)

S indicates Short Term Realized Gain/Loss

Type

Selection Method Description

Settled Sales/Maturities/Redemptions

Sale

High Cost

Sale

High Cost

Sale

High Cost

PETROCHINA CO LTD ADR @ 129.6562 4,537.97
BROKERAGE 1.40 TAX &/OR SEC .11 ESI SECURITIES
COMPANY WASH SALE ADJUSTMENT 04/25/13
(ID: ██████████)
PETROCHINA CO LTD ADR @ 129.576 2,591.52
BROKERAGE 0.80 TAX &/OR SEC .06 ESI SECURITIES
COMPANY WASH SALE ADJUSTMENT 04/25/13
(ID: ██████████)
STATOIL ASA SPONS ADR @ 24.0834 4,937.10
BROKERAGE 8.20 TAX &/OR SEC .12 ESI SECURITIES
COMPANY WASH SALE ADJUSTMENT 04/25/13
(ID: ██████████)

Page 13 of 19

(205.000)
24.043
4,928.78
(4,928.78)
(20.000)
129.533
2,590.66
(2,590.66)
Quantity
(35.000)
Per Unit
Amount
129.613
Proceeds
4,536.46
Tax Cost
(4,536.46)
Realized
Gain/Loss

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Trade Date

Settle Date

4/5

Type

Selection Method Description

Settled Sales/Maturities/Redemptions

Sale

4/10

High Cost

STATOIL ASA SPONS ADR @ 24.0632 3,248.53

BROKERAGE 5.40 TAX &/OR SEC .08 ESI SECURITIES

COMPANY WASH SALE ADJUSTMENT 04/25/13

(ID: [REDACTED])

Total Settled Sales/Maturities/Redemptions

\$15,298.95

(\$15,298.95)

\$0.00

Quantity

(135.000)

Per Unit

Amount

24.023

Proceeds

3,243.05

Tax Cost

(3,243.05)

Realized

Gain/Loss

Trade Date

Settle Date

Type

Description

Settled Securities Purchased

4/3

Purchase

4/8

4/22

4/25

4/22

4/25

4/22

4/25

4/22

4/25

4/22

4/25

Purchase

Purchase

Purchase

Purchase

Purchase

KIRIN HOLDINGS COMPANY LTD SPONS ADR @ 16.1472

20,184.00 BROKERAGE 37.50 ESI SECURITIES

COMPANY (ID: ██████████)

ABB LTD SPONS ADR @ 21.171 13,761.15 BROKERAGE

26.00 ESI SECURITIES COMPANY (ID: ██████████)

ASTRAZENECA PLC SPONS ADR @ 51.2956 4,360.13

BROKERAGE 3.40 ESI SECURITIES COMPANY

(ID: ██████████)

BAE SYSTEMS PLC SPONS ADR @ 22.9474 11,244.23

BROKERAGE 19.60 ESI SECURITIES COMPANY

(ID: 05523R-10-7)

BAYER A G SPONS ADR @ 101.72 9,663.40 BROKERAGE

3.80 ESI SECURITIES COMPANY (ID: ██████████)

BHP LTD SPONS ADR @ 63.825 1,914.75 BROKERAGE

1.20 ESI SECURITIES COMPANY (ID: ██████████)

95.000

30.000

101.76

63.865

(9,667.20)

(1,915.95)

490.000

22.987

(11,263.83)

650.000

85.000

21.211

51.336

(13,787.15)

(4,363.53)

Quantity

1,250.000

Per Unit

Amount

16.177

Market Cost

(20,221.50)

Page 14 of 19

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Trade Date

Settle Date

Type

Settled Securities Purchased

4/22

Purchase

4/25

4/22

4/25

4/22

4/25

4/22

4/25

4/22

4/25

4/22

4/25

4/22

4/25

4/22

4/25

4/22

4/25

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Description

BOC HONG KONG HOLDINGS LTD SPONS ADR @ 66.3638
14,600.04 BROKERAGE 8.80 ESI SECURITIES COMPANY

(ID: [REDACTED])

BRITISH AMERICAN TOBACCO PLC SPONS ADR @
107.2852 16,092.78 BROKERAGE 6.00 ESI

SECURITIES COMPANY (ID: [REDACTED])

DEUTSCHE POST AG SPONS ADR @ 22.5416 16,229.95
BROKERAGE 28.80 ESI SECURITIES COMPANY

(ID: [REDACTED])

GDF SUEZ SPONS ADR @ 20.8377 7,918.33 BROKERAGE
15.20 TAX &/OR SEC 15.84 ESI SECURITIES COMPANY

(ID: [REDACTED])

GLAXOSMITHKLINE PLC SPONS ADR @ 50.5905
12,394.67 BROKERAGE 9.80 ESI SECURITIES COMPANY

(ID: [REDACTED])

HSBC HOLDINGS PLC SPONS ADR @ 52.0803 22,394.53
BROKERAGE 17.20 ESI SECURITIES COMPANY

(ID: ██████████)
ISRAEL CHEMICALS LTD ADR @ 12.2857 18,858.55
BROKERAGE 46.05 ESI SECURITIES COMPANY

(ID: ██████████)
KIRIN HOLDINGS COMPANY LTD SPONS ADR @ 16.29
9,774.00 BROKERAGE 18.00 ESI SECURITIES COMPANY

(ID: ██████████)
MTN GROUP LTD SPONS ADR @ 17.36 10,242.40
BROKERAGE 17.70 ESI SECURITIES COMPANY

(ID: ██████████)

590.000

17.39

(10,260.10)

600.000

16.32

(9,792.00)

1,535.000

12.316

(18,904.60)

430.000

52.12

(22,411.73)

245.000

50.63

(12,404.47)

380.000

20.919

(7,949.37)

720.000

22.582

(16,258.75)

150.000

107.325

(16,098.78)

Quantity

220.000

Per Unit

Amount

66.404

Market Cost

(14,608.84)

Page 15 of 19

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Trade Date

Settle Date

Type

Settled Securities Purchased

4/22

Purchase

4/25

4/22

4/25

4/22

4/25

4/22

4/25

4/22

4/25

4/22

4/25

4/22

4/25

4/22

4/25

4/22

4/25

4/22

4/25

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Description

MUENCHENER RUECKVERSICHERUNGS - GESELLSCHAFT

ADR @ 19.5142 12,684.23 BROKERAGE 19.50 ESI

SECURITIES COMPANY (ID: [REDACTED])

NESTLE S A SPONS ADR REPSTG REG SH @ 69.878

20,264.62 BROKERAGE 11.60 ESI SECURITIES

COMPANY (ID: [REDACTED])

NOVARTIS A G ADR @ 72.4726 17,393.42 BROKERAGE

9.60 ESI SECURITIES COMPANY (ID: [REDACTED])

LUKOIL OAO SPONS ADR @ 58.6381 25,507.57

BROKERAGE 17.40 ESI SECURITIES COMPANY

(ID: [REDACTED])

TELEKOMUNIKASI IND SPONS ADR @ 48.82 976.40

BROKERAGE 0.80 ESI SECURITIES COMPANY

(ID: [REDACTED])

PETROCHINA CO LTD ADR @ 122.7321 15,955.17
BROKERAGE 5.20 ESI SECURITIES COMPANY
(ID: ██████████)
RECKITT BENCKISER GROUP PLC SPONSORED ADR @
14.33 7,308.30 BROKERAGE 15.30 ESI SECURITIES
COMPANY (ID: ██████████)
ROCHE HOLDINGS LTD SPONS ADR @ 60.4954
11,494.13 BROKERAGE 7.60 ESI SECURITIES COMPANY
(ID: ██████████)
ROYAL DUTCH SHELL PLC ADR @ 65.8161 14,150.46
BROKERAGE 8.60 ESI SECURITIES COMPANY
(ID: ██████████)
SIEMENS A G SPONS ADR @ 100.0942 20,018.84
BROKERAGE 8.00 ESI SECURITIES COMPANY
(ID: ██████████)

Page 16 of 19

200.000
100.134
(20,026.84)
215.000
65.856
(14,159.06)
190.000
60.535
(11,501.73)
510.000
14.36
(7,323.60)
130.000
122.772
(15,960.37)
20.000
48.86
(977.20)
240.000
435.000
72.513
58.678
(17,403.02)
(25,524.97)
290.000
69.918
(20,276.22)
Quantity
650.000
Per Unit
Amount
19.544
Market Cost
(12,703.73)

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Trade Date

Settle Date

Type

Settled Securities Purchased

4/22

Purchase

4/25

4/22

4/25

4/22

4/25

4/22

4/25

4/22

4/25

4/22

4/25

4/22

4/25

4/22

4/25

4/22

4/25

4/22

4/26

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Description

SINGAPORE TELECOMMUNICATIONS LTD ADR @ 29.7808

13,103.55 BROKERAGE 17.60 ESI SECURITIES

COMPANY (ID: [REDACTED])

SMITHS GROUP PLC SPONSORED ADR @ 18.655

6,156.15 BROKERAGE 9.90 ESI SECURITIES COMPANY

(ID: [REDACTED])

STATOIL ASA SPONS ADR @ 23.2348 11,849.75

BROKERAGE 20.40 ESI SECURITIES COMPANY

(ID: [REDACTED])

TESCO PLC SPONS ADR @ 16.88 11,140.80 BROKERAGE

19.80 ESI SECURITIES COMPANY (ID: [REDACTED])

TOTAL SA SPONS ADR @ 46.7463 23,840.61

BROKERAGE 20.40 TAX &/OR SEC 47.69 ESI

SECURITIES COMPANY (ID: [REDACTED])

UNILEVER N V @ 41.0343 18,260.26 BROKERAGE
17.80 ESI SECURITIES COMPANY (ID: ██████████)
UNITED OVERSEAS BANK LTD SPONS ADR @ 33.4524
14,719.06 BROKERAGE 17.60 ESI SECURITIES
COMPANY (ID: ██████████)
VODAFONE GROUP PLC SPONS ADR @ 29.7542
16,067.27 BROKERAGE 21.60 ESI SECURITIES
COMPANY (ID: ██████████)
ZURICH INSURANCE GROUP-ADR @ 26.2114 17,954.81
BROKERAGE 27.40 ESI SECURITIES COMPANY
(ID: ██████████)
SANOFI @ 52.5097 14,440.17 BROKERAGE 11.00 TAX
&/OR SEC 28.89 ESI SECURITIES COMPANY
(ID: ██████████)

Page 17 of 19

275.000
52.655
(14,480.06)
685.000
26.251
(17,982.21)
540.000
29.794
(16,088.87)
445.000
440.000
41.074
33.492
(18,278.06)
(14,736.66)
660.000
510.000
16.91
46.88
(11,160.60)
(23,908.70)
510.000
23.275
(11,870.15)
330.000
18.685
(6,166.05)
Quantity
440.000
Per Unit
Amount
29.821
Market Cost
(13,121.15)

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]
For the Period 4/1/13 to 4/30/13
Trade Date
Settle Date
Type
Settled Securities Purchased
4/25
Purchase
4/30
Total Settled Securities Purchased
Trade Date
Type
Est. Settle Date Selection Method Description
Pending Sales, Maturities, Redemptions
Sale
4/26
5/1
DIAGEO P L C SPONS ADR NEW (ID: [REDACTED])
Quantity
(40.000)
Per Unit
Amount
120.428
Description
IMPERIAL TOBACCO PLC ADR @ 70.8518 4,251.11
BROKERAGE 2.40 ESI SECURITIES COMPANY
(ID: [REDACTED])
(\$487,810.56)
Proceeds
4,815.42
Tax Cost
(4,728.39)
Realized
Gain/Loss
87.03 S
Quantity
60.000
Per Unit
Amount
70.892
Market Cost
(4,253.51)
Trade Date
Est. Settle Date Type
Pending Securities Purchased
4/26
Purchase
5/1
Description
IMPERIAL TOBACCO PLC ADR (ID: [REDACTED])
Quantity

375.000
Per Unit
Amount
70.752
Market Cost
(26,547.34)
Page 18 of 19

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

COST ADJUSTMENTS

Settle Date

Type

Cost Adjustments

4/26

4/26

4/26

4/26

Wash Sale Adj

Wash Sale Adj

Wash Sale Adj

Wash Sale Adj

Total Cost Adjustments

Description

PETROCHINA CO LTD ADR TRADE DATE OF SALE :

04/03/13 TRADE DATE OF SALE : 04/04/13

(ID: [REDACTED])

STATOIL ASA SPONS ADR TRADE DATE OF SALE :

04/04/13 TRADE DATE OF SALE : 04/05/13

(ID: [REDACTED])

PETROCHINA CO LTD ADR (ID: [REDACTED])

STATOIL ASA SPONS ADR (ID: [REDACTED])

245.000

1,120.000

(6,752.46)

(7,913.43)

\$1,652.99

1,120.000

8,762.93

Quantity

245.000

Cost Basis

Adjustments

7,555.95

Page 19 of 19

THIS PAGE INTENTIONALLY LEFT BLANK

For the Period 4/1/13 to 4/30/13

For your convenience we have combined statement(s) for activity you conduct through J.P. Morgan in one package. Below are important disclosures relating to these different accounts.

These statements may relate to various account types. Some of the disclosures are applicable to all of your accounts. For ease of reference the disclosures applicable to a particular type of account have been grouped together by descriptive headers.

IMPORTANT GENERAL INFORMATION APPLICABLE TO ALL OF YOUR ACCOUNT(S)

Important Information about Pricing ,Valuations, Estimated Annual Income, and Estimated Yield

Market value information (including without limitation, prices, exchange rates, accrued income and bond ratings) furnished herein, some of which has been provided by pricing sources that J.P. Morgan believes to be reliable, is not guaranteed for accuracy but provided for informational purposes and is furnished for the exclusive use of the client. The current price is the value of the financial asset share, unit or contract as priced at the close of the market on the last day of the statement period or the last available price. All values provided for structured yield deposits (for example, JPMorgan London Time Deposits) reflect the original deposit amount only. The value for Real Estate, Mineral Interests and Miscellaneous Assets may not reflect the most current value of the asset.

Important information regarding Auction Rate Securities (ARS). ARS are debt or preferred securities with an interest or dividend rate reset periodically in an auction. Although there may be daily, weekly and monthly resets, there is no guarantee that there will be liquidity. If there are not enough bids at an auction to redeem the securities available for sale, the result may be a failed auction. In the event of a failed auction, there is no assurance that a secondary market will develop or that the security will trade at par or any other price reflected on statements and online. Accordingly, investors should not rely on pricing information appearing in their statements or online with respect to ARS. When J.P. Morgan is unable to obtain a price from an internal or outside source for a particular ARS, the price column on your statement will indicate "unpriced".

Valuations of over-the-counter derivative transactions, including certain derivatives-related deposit products, have been prepared on a mid-market basis. These valuations are sourced from the various issuers of the securities or they are sourced from a third party valuation provider. J.P. Morgan expressly disclaims any responsibility for (1) the accuracy of the models or estimates used in deriving the valuations, (2) any errors or omissions in computing or disseminating the valuations, and (3) any uses to which the valuations are put. Valuations are provided for information purposes only and are intended solely for your own use. Please refer to the trade confirmation for details of each transaction.

Certain assets, including but not limited to, pooled and private investments, non-publicly traded and infrequently traded securities, derivatives, partnership interests and tangible assets are generally illiquid, the value of such asset may have been provided to us by third

parties who may or may not be independent of the issuer or manager. Such information is reflected as of the last date provided to us, and is not independently verified.

In cases where we are unable to obtain a current market value from an internal or outside source for a particular security, the price column on your statement will indicate "unpriced". Although such securities may have value, please note that the value of a security indicated as "unpriced" will not be included in your overall current market value as reflected on the statement.

J.P. Morgan makes no representation, warranty or guarantee, express or implied, that any quoted value represents the actual terms at which securities could be bought or sold or new transactions could be entered into, or the actual terms on which existing transactions or securities could be liquidated. Such values may only be indicative.

When we are unable to obtain a current value from an internal or outside source for a particular security, the price column on your statement will indicate "unpriced".

If a partial call is made with respect to an issue of securities included in your Accounts we will allocate the call by a method we deem fair and equitable.

To the extent applicable, please note the following regarding estimated annual income (EAI) and estimated yield (EY): EAI and EY for certain types of securities could include a return of principal or capital gains in which case the EAI and EY would be overstated. EAI and EY are estimates and the actual income and yield might be lower or higher than the estimated amounts. EY reflects only the income generated by an investment. It does not reflect changes in its price, which may fluctuate.

Offshore Deposits - London and Nassau

Disclosures Page 1 of 3

For the Period 4/1/13 to 4/30/13

Deposits in Foreign Branches are not insured by the FDIC or any other Agency of the Federal Government; amounts in such foreign accounts do not have the benefit of any domestic preference applicable to U.S Banks; certain Foreign accounts are considered reportable to the Internal Revenue Service on a Report of Foreign Bank and Financial Accounts (TD F 90-22.1).

Bank products and services are offered through JPMorgan Chase Bank, N.A. ("JPMCB") and its banking affiliates. Securities are offered by J.P.Morgan Securities LLC ("JPMS") and, to the extent noted below, cleared through J.P. Morgan Clearing Corp. ("JPMCC"). Neither JPMS, nor JPMCC is a bank and are each separate legal entities from its bank or thrift affiliates.

Investment Products: Not FDIC Insured

-No Bank Guarantee -May Lose Value

Fund manager disclosure information available upon request

If you have an investment account that is managed by an SEC-Registered Investment Advisor, J.P. Morgan will provide a copy of the advisor's Form ADV II or brochure upon written request.

These statements are not official documents for income tax reporting purposes and should not be relied upon for such purposes, including determination of income, cost basis, amortization or accretion, or gain/loss. Such information, which may be inaccurate, incomplete or subject to updating, should be confirmed with your records and your tax advisor.

Please take the steps indicated below if you think statement(s) are incorrect or contact your J.P.Morgan team if you require additional information about a transaction on your statement(s).

IMPORTANT ADDITIONAL INFORMATION APPLICABLE ONLY TO YOUR ASSET ACCOUNT(S)
(NOT LINKED TO JPMS)

In Case of Errors or Questions About Your Electronic Transfers.

Your Asset Account consists of a bank account that custodies assets.

Contact your J.P. Morgan Team at one of the telephone numbers on the front of your statements or write us at J.P. Morgan, 500 Stanton Christiana Road, 1/OPS3, Newark, DE 19713-2107 as soon

as you can, if you think your statement is wrong or if you need more information about a transfer on the statement. We must hear from you no later than 60 days after we sent you the FIRST statement

on which the error or problem appeared. (1) Tell us your name and account number. (2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is

an error or why you need more information. (3) Tell us the dollar amount of the suspected error. We will investigate your complaint and will correct any error promptly. If we take more than 10 business

days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

In Case of Errors or Questions About Non-Electronic Transfers (Checks or

Deposits).

Contact JPMorgan Chase Bank, N.A. ("JPMCB") Member FDIC immediately if a statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing as soon as possible after your statement was made available to you. For more complete details, see the applicable account agreements and appendices that govern your account.

Deposit products and services are offered by JPMCB Member FDIC.

In Case of Other Errors or Questions About Your Asset Account Statement(s)

You must promptly advise your J.P.Morgan representative of material changes in your investment objectives or financial situation or if you wish to modify the management of your account. Unless you

inform otherwise, your J.P.Morgan representative will consider the information currently in its files to be complete and accurate.

IMPORTANT ADDITIONAL INFORMATION APPLICABLE ONLY TO YOUR INVESTMENT MANAGEMENT ACCOUNT(S) WHICH REFLECT ASSETS HELD AT JPMORGAN CHASE BANK, N.A.

Disclosures Page 2 of 3

For the Period 4/1/13 to 4/30/13

In case of other errors or questions about other account statement(s)

Please review your account statement(s) and promptly report any inaccuracy or discrepancy including possible unauthorized trading activity, unrecorded dividend payments, unaccounted cash positions, improper payments or transfers in writing to JPMCB at the addresses shown on your statement(s). Any oral communication should be re-confirmed in writing to further protect your rights. If you have any questions please contact your J.P. Morgan team.

In your written communication, please provide the following information: (1) your name and account number; (2) the dollar amount of the suspected error; and (3) a description of the error and explanation, if you can, why you believe there is an error. If you need more information, you must describe the item you are unsure about. We must receive your written communication no later than 30 days after the statement on which the error or problem appeared is sent or made available. If you do not so notify us, you agree that the statement activity and account balance(s) are correct.

You must promptly advise your J.P.Morgan representative of material changes in your investment objectives or financial situation or if you wish to modify the management of your account. Unless you inform otherwise, your J.P.Morgan representative will consider the information currently in its files to be complete and accurate.

Disclosures Page 3 of 3

