

GHISLAINE MAXWELL



For the Period 4/1/13 to 4/30/13

Account Summary

Account
Number

Investment Account(s)

GHISLAINE MAXWELL

GHISLAINE MAXWELL

GHISLAINE MAXWELL - OAP IHD

GHISLAINE MAXWELL - TAP DYS

GHISLAINE MAXWELL - TAP ARFI

Total Value

[REDACTED]¹
[REDACTED]²
[REDACTED]
[REDACTED]
[REDACTED]

Beginning Net

Market Value

4,369,709.43

430.00

1,005,301.15

1,010,659.70

1,000,478.34

\$7,386,578.62

Ending Net

Market Value

10,618,191.17

430.00

1,559,621.45

1,024,348.47

1,007,691.20

\$14,210,282.29

This account summary is provided for informational purposes and includes assets at different entities.

(1) Assets held at JPMorgan Chase Bank, N.A., member Federal Deposit Insurance Corporation ("FDIC"), except for exchange-listed options, which are held at JPMorgan Clearing Corporation ("JPMCC"). The Asset Account Statement reflects brokerage transactions executed through J.P. Morgan Securities LLC ("JPMS"), see "Portfolio Activity Detail". Equity securities, fixed income securities, and listed options transactions are generally cleared through JPMCC, a wholly owned subsidiary of JPMS. Please see "Additional Information About Your Accounts" at the end of the Asset Account Statement.

(2) Assets held in Margin Account at JPMCC, member Financial Regulatory Authority ("FINRA") and Securities Insurance Protection Corporation ("SIPC"). The Margin Account Statement reflects brokerage transactions executed by JPMS, see "Portfolio Activity Detail". Such transactions are cleared and carried through JPMCC. Please see disclosures located at the end of this statement package for important information relating to each J.P.Morgan account(s).

Change
In Value
6,248,481.74
0.00
554,320.30
13,688.77
7,212.86
\$6,823,703.67

Start on

Page

4

36

41

59

73

0000002510.00.0.9E.00001.MAXWE04.20130506

Consolidated Statement Page 1

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For the Period 4/1/13 to 4/30/13

Consolidated Summary

INVESTMENT ACCOUNTS

Asset Allocation

Equity

Alternative Assets

Cash & Fixed Income

Other

Market Value

Accruals

Market Value with Accruals

Beginning

Market Value

1,800,753.87

651,406.33

4,750,603.43

150,570.76

\$7,353,334.39

33,244.23

\$7,386,578.62

Ending

Market Value

2,357,094.16

649,357.66

11,012,714.00

157,864.00

\$14,177,029.82

33,252.47

\$14,210,282.29

Change

In Value

556,340.29

(2,048.67)

6,262,110.57

7,293.24

\$6,823,695.43

8.24

\$6,823,703.67

Estimated

100,181.02

12,364.96

99,183.48

Current

Annual Income Allocation

17%

5%

77%

1%

\$211,729.46

100%

Alternative

Assets
Cash &
Fixed Income
Equity
Asset Allocation
Other
Current
Portfolio Activity
Beginning Market Value
Net Contributions/Withdrawals
Income & Distributions
Change in Investment Value
Ending Market Value
Accruals
Market Value with Accruals
Period Value
7,353,334.39
55,127.54
27,968.97
6,740,598.92
\$14,177,029.82
33,252.47
\$14,210,282.29
Year-to-Date
Value
8,349,688.16
(1,060,858.41)
52,984.47
6,835,215.60
\$14,177,029.82
33,252.47
\$14,210,282.29

This Consolidated Summary shows all of your investments at J.P. Morgan other than investments we hold in trust for you. These investments may be held in custody or investment

management account at JPMorgan Chase Bank, N.A. (the "Bank") or in a brokerage or margin account at J.P. Morgan Clearing Corp. ("JPMCC").

Brokerage and margin accounts are non-discretionary and all investment decisions are made by the client. J.P. Morgan Securities LLC ("JPMS") does not provide advice on asset allocation or investment management

services, nor do its personnel take discretion over any client accounts. Such advice and services are provided exclusively by the Bank.

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For the Period 4/1/13 to 4/30/13
Consolidated Summary
INVESTMENT ACCOUNT(S) YEAR-TO-DATE
Portfolio Activity

GHISLAINE MAXWELL
GHISLAINE MAXWELL
GHISLAINE MAXWELL - OAP IHD
GHISLAINE MAXWELL - TAP DYS
GHISLAINE MAXWELL - TAP ARFI

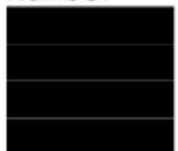
Total Value

Tax Summary

GHISLAINE MAXWELL
GHISLAINE MAXWELL - OAP IHD
GHISLAINE MAXWELL - TAP DYS
GHISLAINE MAXWELL - TAP ARFI

Total Value

Account
Number



Account
Number



Beginning
Market Value
8,349,258.16
430.00

0.00
0.00
0.00
\$8,349,688.16

Taxable
Income

30,582.83
7,746.69
7,096.59
4,595.39
\$50,021.50
Net Contributions/
Withdrawals
(4,555,759.98)
1,497,298.11
998,715.45
998,888.01
(\$1,060,858.41)

Tax-Exempt

Income

2,962.97

(287.64)

51.73

\$2,962.97

¹Unrealized Gain/Loss represents data from the time of account inception to the current statement period.

(\$235.91)

(\$53,822.40)

Other Income

& Receipts

Income &

Distributions

33,545.80

7,746.69

7,096.59

4,595.39

\$52,984.47

Change in

Investment Value

6,766,054.50

47,455.70

18,246.96

3,458.44

\$6,835,215.60

Realized Gain/Loss

Short-term

Long-term

(53,822.40)

Ending Market Value

with Accruals

10,618,191.17

430.00

1,559,621.45

1,024,348.47

1,007,691.20

\$14,210,282.29

Unrealized

Gain/Loss¹

6,218,951.54

47,743.34

18,195.23

3,458.44

\$6,288,348.55

CONTINUED

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JPMorgan Chase Bank, N.A.
GHISLAINE MAXWELL
270 Park Avenue, New York, NY 10017-2014
ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Asset Account
J.P. Morgan Team
James Buckley
Jason Bell
Janet Young
Gina Magliocco
Jason Grosse
Online access
Banker

Investment Specialist
Client Service Team
Client Service Team
Client Service Team
www.jpmorganonline.com

Please see disclosures located at the end of this statement package for important information relating to each J.P.Morgan account(s).

[REDACTED]

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Account Summary	
Holdings	
Equity	
Alternative Assets	
Cash & Fixed Income	
Other Assets	
Portfolio Activity	
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GHISLAINE MAXWELL

Account Summary

Asset Allocation

Equity

Alternative Assets

Cash & Fixed Income

Other

Market Value

Accruals

Market Value with Accruals

Beginning

Market Value

721,249.14

76,257.14

3,394,779.18

150,570.76

\$4,342,856.22

26,853.21

\$4,369,709.43

Ending

Market Value

723,327.40

70,310.77

9,641,596.31

157,864.00

\$10,593,098.48

25,092.69

\$10,618,191.17

Current

Portfolio Activity

Beginning Market Value

Withdrawals & Fees

Securities Transferred In

Securities Transferred Out

Net Contributions/Withdrawals

Income & Distributions

Change In Investment Value

Ending Market Value

Accruals

Market Value with Accruals

Period Value

4,342,856.22

(244.40)

867,776.73

(1,307,351.47)

(\$439,819.14)

16,558.75

6,673,502.65

\$10,593,098.48

25,092.69

\$10,618,191.17

Change
In Value
2,078.26
(5,946.37)
6,246,817.13
7,293.24
\$6,250,242.26
(1,760.52)
\$6,248,481.74
Year-to-Date
Value
8,349,258.16
(4,000,888.10)
4,991,684.25
(5,546,556.13)
(\$4,555,759.98)
33,545.80
6,766,054.50
\$10,593,098.48
25,092.69
\$10,618,191.17
44,985.86
\$90,707.69
Estimated
45,721.83
Current
Annual Income Allocation
9%
1%
89%
1%
100%
Other
Alternative
Assets
Equity
Cash &
Fixed Income
Asset Allocation
ACCT. [REDACTED]
For the Period 4/1/13 to 4/30/13
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GHISLAINE MAXWELL

Account Summary

Tax Summary

Domestic Dividends/Distributions

Foreign Dividends

Currency Gain/Loss

Interest Income

Taxable Income

Tax-Exempt Income

Bond Premium Amortization

Tax-Exempt Income

CONTINUED

Current

Period Value

5,925.00

2,246.16

7,678.65

\$15,849.81

1,250.00

(541.06)

\$708.94

Year-to-Date

Value

6,547.92

4,123.30

(68.11)

19,979.72

\$30,582.83

5,000.00

(2,037.03)

\$2,962.97

Unrealized Gain/Loss

LT Realized Gain/Loss

Realized Gain/Loss

Current

Period Value

Year-to-Date

Value

(53,822.40)

(\$53,822.40)

ACCT. ██████████

For the Period 4/1/13 to 4/30/13

To-Date Value

\$6,218,951.54

Note: * This summary is for informational purposes only and is not to be used for any financial or tax purposes. This summary does not include securities which are taxable at the federal level but are state exempt. This summary may not include all income derived from Municipal Securities; Please consult your tax advisor for tax implications.

¹ Other category combines all the remaining Tax Exempt Income generated by

securities in the account which may not have a state affiliation.

Tax-Exempt Income

Summary by State*

MA

MI

Current

Period Value

708.94

Year-to-Date

Value

710.14

708.94

Tax-Exempt Income

Summary by State*

TX

Tax-Exempt Income

\$708.94

Current

Period Value

Year-to-Date

Value

1,543.89

\$2,962.97

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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Account Summary

Cost Summary

Equity

Cash & Fixed Income

Other

Total

CONTINUED

Cost

693,954.39

3,449,525.03

92,027.29

\$4,235,506.71

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GHISLAINE MAXWELL
Equity Summary
Asset Categories
US Large Cap Equity
US Small/Mid Cap Equity
Non-US Equity
Preferred Stocks
Concentrated & Other Equity
Total Value
Market Value/Cost
Market Value
Tax Cost
Unrealized Gain/Loss
Estimated Annual Income
Accrued Dividends
Yield
Beginning
Market Value
43,128.61
3,210.00
140.53
457,935.00
216,835.00
\$721,249.14
Ending
Market Value
43,754.11
3,510.00
136.29
455,201.00
220,726.00
\$723,327.40
Current
Period Value
723,327.40
693,954.39
29,373.01
45,721.83
1,856.50
6.29%
US Large Cap Equity
Concentrated & Other Equity
Change
In Value
625.50
300.00
(4.24)
(2,734.00)
3,891.00
\$2,078.26
Current

Allocation

1%

1%

1%

4%

2%

Non-US Equity

9%

US Small/Mid Cap Equity

Preferred Stocks

Asset Categories

ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Equity as a percentage of your portfolio - 9 %

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GHISLAINE MAXWELL

ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Equity Detail

Price

US Large Cap Equity

APACHE CORP

037411-10-5 APA

BANK OF AMERICA CORP

CITIGROUP INC NEW

SCHLUMBERGER LTD

Total US Large Cap Equity

060505-10-4 BAC

46.66

172967-42-4 C

74.43

806857-10-8 SLB

\$43,754.11

\$45,124.71

(\$1,370.60)

\$269.33

\$19.00

US Small/Mid Cap Equity

GERON CORP

374163-10-3 GERN

Non-US Equity

RIO TINTO PLC

ISIN GB0007188757 SEDOL 0718875

766994-90-9 GBP

0.62%

113.000

8,410.59

9,524.04

(1,113.45)

141.25

1.68%

358.000

16,704.28

14,048.52

2,655.76

14.32

0.09%

12.31

944.000

11,620.64

10,148.85

1,471.79

Quantity

Value

Adjusted Tax Cost

Original Cost

Unrealized
Gain/Loss
Est. Annual Inc.
Accrued Div.

Yield

73.88

95.000

7,018.60

11,403.30

(4,384.70)

76.00

19.00

37.76

1.08%

0.32%

1.17

3,000.000

3,510.00

18,129.32

(14,619.32)

45.43

3.000

136.29

212.23

(75.94)

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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Price

Preferred Stocks

CITIGROUP CAPITAL XIII

7 7/8% PFD

173080-20-1 C PNNA /BA1

COUNTRYWIDE CAPITAL V

7% PFD

222388-20-9 CFC PB

JPM CHASE CAPITAL XXIX

6.7% PFD

48125E-20-7 JPM PC

Total Preferred Stocks

26.65

2,500.000

66,625.00

\$455,201.00

62,500.00

\$422,578.96

4,125.00

\$32,622.04

4,187.50

\$31,227.50

\$1,837.50

Concentrated & Other Equity

GENERAL MOTORS CO

CV PFD B

37045V-20-9 GM PB

JPMORGAN CHASE & CO

7.9% PFD STK APR 30 2049

DTD 04/23/2008

46625H-HA-1 BBB /BA1

Total Concentrated & Other Equity

\$220,726.00

\$207,909.17

\$12,816.83

\$14,225.00

6.37%

116.16

150,000.000

174,246.00

157,909.17

16,336.83

11,850.00

6.70%

6.29%

6.86%

25.28

4,200.000

106,176.00

98,778.96
7,397.04
7,350.00
1,837.50
6.92%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Inc.
Accrued Div.
Yield
28.24
10,000.000
282,400.00
261,300.00
21,100.00
19,690.00
6.97%
46.48
1,000.000
46,480.00
50,000.00
(3,520.00)
2,375.00
5.11%

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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Alternative Assets Summary

Beginning

Asset Categories

Hard Assets

Estimated Value

76,257.14

Alternative Assets Detail

Price

Hard Assets

ETFS METAL SECURITIES LTD

ISIN JE00B1VS3770 SEDOL B1VS377

131994-91-5

SPDR GOLD TRUST

Total Hard Assets

78463V-10-7 GLD

\$70,310.77

\$76,999.78

142.77

190.000

27,126.30

31,931.40

143.47

301.000

43,184.47

45,068.38

Quantity

Estimated

Value

Cost

Ending

Estimated Value

70,310.77

Change

In Value

(5,946.37)

Current

Allocation

1%

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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Cash & Fixed Income Summary

Beginning

Asset Categories

Cash

Non-USD Cash

Non-USD Short Term

US Fixed Income

Non-US Fixed Income

Total Value

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Accrued Interest

Yield

Market Value

1,894,083.03

348,305.54

227,307.58

628,763.80

296,319.23

\$3,394,779.18

Ending

Market Value

1,908,727.91

138,204.60

6,660,683.61

633,182.76

300,797.43

\$9,641,596.31

Current

Period Value

9,641,596.31

3,449,525.03

6,192,071.28

44,985.86

23,236.19

4.08%

Change

In Value

14,644.88

(210,100.94)

6,433,376.03

4,418.96

4,478.20

\$6,246,817.13

Current

Allocation

18%

1%

61%

6%

3%

89%

US Fixed Income

Non-US Fixed Income

Non-USD Cash

Non-USD Short Term

Asset Categories

Cash

Cash & Fixed Income as a percentage of your portfolio - 89 %

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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

SUMMARY BY MATURITY

1

Cash & Fixed Income

0-6 months

6-12 months¹

1-5 years¹

10+ years¹

Total Value

1

SUMMARY BY TYPE

Market

Value

2,638,374.31

6,332,840.00

445,419.00

224,963.00

\$9,641,596.31

% of Bond

Portfolio

27%

67%

4%

2%

100%

The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

Note:

A - Bonds purchased at a premium show amortization.

1

Cash & Fixed Income Detail

Price

Cash

US DOLLAR

JPM NY MUNI MM FD - MORGAN

Total Cash

FUND 3

\$1,908,727.91

\$1,908,727.91

\$0.00

\$190.87

\$15.30

0.01%

1.00

1.00

1,908,726.91

1.00

1,908,726.91

1.00

1,908,726.91
1.00
190.87
15.30
0.01% ¹
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Income
Accrued Interest
Yield
Cash & Fixed Income
Cash
NON USD Cash
Corporate Bonds
Municipal Bonds
International Bonds
Mutual Funds
Total Value
Market
Value
1,908,727.91
138,204.60
309,829.00
224,963.00
7,037,973.25
21,898.55
\$9,641,596.31
% of Bond
Portfolio
19%
1%
3%
2%
74%
1%
100%

This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account.

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 4/1/13 to 4/30/13
Price
Non-USD Cash
AUSTRALIAN DOLLAR
POUND STERLING
HONG KONG DOLLAR
TURKISH LIRA NEW
PRINCIPAL CURRENCY
JP MORGAN CHASE BANK - CAD
LONDON TIME DEPOSITS 0.6200%
DATED 04/24/2013 MATURITY 05/02/2013
HELD BY LONDON TREASURY SERVICES
Total Non-USD Cash
\$138,204.60
\$136,543.12
\$1,661.48
\$714.65
\$13.83
Non-USD Short Term
JP MORGAN CHASE BANK - NOK
LONDON TIME DEPOSITS 1.0000%
DATED 04/26/2013 MATURITY 05/03/2013
HELD BY LONDON TREASURY SERVICES
Bearer
2534Y9-9X-9 NOK
KFW - TRY
MTN 9 3/4% JUL 16 2013
DTD 02/10/2010
HELD BY EUROCLEAR
ISIN XS0484842470 SEDOL B637YV8
14085A-9A-6 AAA /AAA TRY
56.32
200,000.00
112,643.23
135,555.56
(22,912.33)
10,872.89
8,608.88
4.65%
0.55%
99.42
115,942.07
115,267.75
113,547.64
1,720.11
714.65
13.83
0.66%
1.04
1.56

0.13
0.56
100.00
7,605.86
950.00
19,500.00
103.80
11,837.75
122.41
10,872.89
103.25
12,005.82
122.44
10,763.97
0.55
(168.07)
(0.03)
108.92
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Income
Accrued Interest
Yield
17.37
1,238,919.37
215,200.38
209,121.05
6,079.33
2,152.00
29.69
1.02%

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 4/1/13 to 4/30/13
Price
Non-USD Short Term
INTER AMERICAN DEVELOPMENT BANK- INR
MEDIUM TERM NOTE 3% MAR 25 2014
DTD 03/25/2010
HELD BY EUROCLEAR
ISIN XS0495010562 SEDOL B5114T3
U45818-9G-5 AAA /AAA INR
Total Non-USD Short Term
\$6,660,683.61
\$487,908.78
\$6,172,774.83
\$16,755.74
\$9,016.75
US Fixed Income
JPM MANAGED RESERVES C
(DIST) - GBP (HEDGED)
051398-91-5 GBP
ISHARES MARKIT IBOXX CORPORATE BOND
ISIN IE00B00FV011 SEDOL B00FV01
461278-91-3 GBP
HSBC FINANCE CORP
MEDIUM TERM NOTE 2.9% OCT 15 2015
DTD 10/15/2010
40429X-YB-6 A /BAA
GENERAL ELEC CAP CORP
MEDIUM TERM FLOATING RATE NOTE
MAY 11 2016 DTD 05/11/2007
36962G-2V-5 AA+ /A1
BANK OF AMERICA CORP
MEDIUM TERM NOTE
3.6% NOV 15 2016
DTD 11/04/2010
06050W-DW-2 A- /BAA
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104.64
150,000.00
156,960.00
150,000.00
6,960.00
5,400.00
2,490.00
2.23%
99.45
50,000.00
49,723.00
45,394.50
4,328.50

333.42
73.15
0.85%
103.15
100,000.00
103,146.00
100,000.00
3,146.00
2,900.00
128.80
1.59%
208.56
105.00
21,898.55
19,023.08
2,875.47
10,002.90
7.65
76,492.21
80,157.26
(3,665.05)
5.58%
94.52
6,700,000.00
6,332,840.00
143,232.17
6,189,607.83
3,730.85
378.18
5.75%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Income
Accrued Interest
Yield

GHISLAINE MAXWELL

ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Price

US Fixed Income

A MI ST MUNI BOND AUTH

ST CLEAN WTR REVOLVING FD 5%

OCT 01 2026 DTD 11/02/2006

HELD BY DTC BOOK ENTRY ONLY

PAR CALL 10/01/2016 @ 100

59455T-PF-3 AAA /AAA

A BIRDEVILLE TEXAS INDEPENDENT SCHOOL

DIST PERM SCH GTD FUND UNLIMITED TAX

5% FEB 15 2029 DTD 01/15/2007

HELD BY DTC BOOK ENTRY ONLY

PAR CALL 02/15/2017 @ 100

090874-FV-5 AAA /AAA

A MA ST HLTH & EDUCNTL FACS AUTH

REVENUE HARVARD UNIV 5% B

JUL 15 2032 DTD 08/03/2005

HELD BY DTC BOOK ENTRY ONLY

PAR CALL 07/15/2015 @ 100

57586C-FW-0 AAA /AAA

Total US Fixed Income

\$633,182.76

\$608,727.79

\$614,541.84

Non-US Fixed Income

BLUEBAY HIGH YIELD BD FD GBP HDG-DB

ISIN LU0222772518

G13289-92-4 GBP

ISHARES BARCLAYS EURO INDEX-LINK

ISIN IE00B1FZSD53 SEDOL B1G52V0

46578W-92-2 GBP

22.09

5,790.00

127,873.97

118,212.32

9,661.65

1,616.95

198.69

187.90

37,333.46

39,293.00

(1,959.54)

\$24,454.97

\$18,633.42

\$4,691.85

2.27%

108.22

50,000.00

54,108.00

52,503.33

54,040.00

1,604.67

2,500.00

736.10

4.36%

113.92

100,000.00

113,923.00

107,748.37

110,503.00

6,174.63

5,000.00

1,055.50

3.82%

113.86

50,000.00

56,932.00

53,901.25

55,424.00

3,030.75

2,500.00

208.30

3.68%

Quantity

Value

Adjusted Tax Cost

Original Cost

Unrealized

Gain/Loss

Est. Annual Income

Accrued Interest

Yield

Account [REDACTED] Page 13 of 32

Consolidated Statement Page 16

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 4/1/13 to 4/30/13
Price
Non-US Fixed Income
INTER AMERICAN DEVEL BK - IDR
MEDIUM TERM NOTE 6.50% JUN 04 2014
DTD 06/04/2010
HELD BY EUROCLEAR
ISIN XS0513770957 SEDOL B4YWHC0
45818W-9K-4 AAA /AAA IDR
Total Non-US Fixed Income
\$300,797.43
\$307,617.43
(\$6,820.00)
\$8,691.18
\$9,498.46
2.44%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Income
Accrued Interest
Yield
0.01 1,300,000,000.00
135,590.00
150,112.11
(14,522.11)
8,691.18
7,881.51
5.41%

Account [REDACTED] Page 14 of 32
Consolidated Statement Page 17

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Other Summary

Asset Categories

Balanced

Beginning

Estimated Value

150,570.76

Ending

Estimated Value

157,864.00

Change

In Value

7,293.24

Current

Allocation

1%

Current

Market Value/Cost

Estimated Value

Tax Cost

Estimated Gain/Loss

Note:

**

Period Value

157,864.00

92,027.29

4,196.26

Unrealized Gain/Loss is shown as "N/A" in cases where we do not have appropriate cost information for all tax lots comprising the position.

Please contact your J.P. Morgan team for additional

information.

Other Detail

Price

Balanced

JPM INC OPP - GBP - A - DIST(HEDGED)

ISIN LU0323456201

287991-93-9 GBP

140.59

450.272

63,303.56

1,663.11 **

N/A

Quantity

Value

Adjusted Cost

Original Cost

Unrealized

Gain/Loss

Accruals

Account [REDACTED] Page 15 of 32

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 4/1/13 to 4/30/13
Price
Balanced
PIMCO FUNDS GLOBAL INVESTORS SERIES
- GLOBAL INVESTMENT GRADE CREDIT
FUND
ISIN IE00B3BMD843 SEDOL B3BMD84
695421-91-7 GBP
Total Balanced
\$157,864.00
\$92,027.29
\$4,196.26
21.68
4,361.513
94,560.44
90,364.18
4,196.26
Quantity
Value
Adjusted Cost
Original Cost
Unrealized
Gain/Loss
Accruals
Account [REDACTED] Page 16 of 32
Consolidated Statement Page 19

GHISLAINE MAXWELL ACCT. ██████████
 For the Period 4/1/13 to 4/30/13
 Portfolio Activity Summary - U S Dollar
 Beginning Cash Balance
 PRINCIPAL
 Current
 Transactions
 INFLOWS
 Total Inflows
 Income
 OUTFLOWS **
 Total Outflows
 Withdrawals
 \$0.00
 TRADE ACTIVITY
 Total Trade Activity
 Settled Sales/Maturities/Redemptions
 \$0.00
 Ending Cash Balance
 \$1,908,726.91
 Period Value
 1,894,082.03
 14,644.88
 \$14,644.88
 Year-To-Date
 Value*
 -30,727.28
 \$30,727.28
 (4,000,000.00)
 (\$4,000,000.00)
 17,190.60
 \$17,190.60
 -INCOME
 Current
 Period
 Value
 0.00
 Year-To-Date
 Value*
 -\$0.00
 \$0.00
 \$0.00
 \$0.00
 \$0.00
 \$0.00
 \$0.00
 -Current
 Cost
 Adjustments
 Amortization
 Total Cost Adjustments

Period Value

(541.06)

(\$541.06)

Year-To-Date

Value*

(2,037.03)

(\$2,037.03)

* Year to date information is calculated on a calendar year basis.

** Your account's standing instructions use a HIGH COST method for relieving assets

from your position

Account [REDACTED] Page 17 of 32

Consolidated Statement Page 20

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 4/1/13 to 4/30/13
Portfolio Activity Detail - U S Dollar
INFLOWS & OUTFLOWS

Type
Settle Date Selection Method
4/1

Municipal Interest
Description
MI ST MUNI BOND AUTH ST CLEAN WTR REVOLVING FD
5% OCT 01 2026 DTD 11/02/2006 HELD BY DTC BOOK
ENTRY ONLY PAR CALL 10/01/2016 @ 100
(ID: 59455T-PF-3)

4/1
4/2
4/12
4/15
4/30
4/30

Interest Income
Interest Income
Foreign Dividend
Corporate Interest
Interest Income
Div Domestic

Total Inflows & Outflows
DEPOSIT SWEEP INTEREST FOR 03/01/13 - 03/31/13
@ .01% RATE ON AVG COLLECTED BALANCE OF
\$1,891,209.39 AS OF 04/01/13
JPM CHASE CAPITAL XXIX 6.7% PFD @ 0.41875 PER
SHARE (ID: 48125E-20-7)
SCHLUMBERGER LTD @ 0.3125 PER SHARE
(ID: 806857-10-8)
HSBC FINANCE CORP MEDIUM TERM NOTE 2.9% OCT 15
2015 DTD 10/15/2010 (ID: 40429X-YB-6)
CITIGROUP CAPITAL XIII 7 7/8% PFD @ 0.492188
PER SHARE (ID: 173080-20-1)
JPMORGAN CHASE & CO 7.9% PFD STK APR 30 2049
DTD 04/23/2008 AS OF 04/30/13 (ID: 46625H-HA-1)

2,500.000
113.000
100,000.000
10,000.000
150,000.000
0.419
0.312
0.015
0.492
0.04
1,046.88
35.31

1,450.00
4,921.88
5,925.00
\$14,644.88
15.81

Quantity
Cost

50,000.000

Per Unit
Amount

0.025

PRINCIPAL

Amount

1,250.00

INCOME

Amount

Account [REDACTED] Page 18 of 32

Consolidated Statement Page 21

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

COST ADJUSTMENTS

Settle Date

4/1

Type

Amortization

Description

MI ST MUNI BOND AUTH ST CLEAN WTR REVOLVING FD
5% OCT 01 2026 DTD 11/02/2006 HELD BY DTC BOOK
ENTRY ONLY PAR CALL 10/01/2016 @ 100 MUNICIPAL
BOND PREMIUM AMORTIZATIO (ID: 59455T-PF-3)

Quantity

50,000.000

Cost Basis

Adjustments

(541.06)

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Consolidated Statement Page 22

GHISLAINE MAXWELL ACCT. XXXXXXXXXX
 For the Period 4/1/13 to 4/30/13
 Portfolio Activity Summary - Canadian Dollar
 PRINCIPAL
 US Dollar Value
 Current
 Transactions
 Beginning Cash Balance
 INFLOWS
 Total Inflows
 Income
 OUTFLOWS **
 Total Outflows
 Withdrawals
 Ending Cash Balance
 Period Value
 -62.11
 \$62.11
 (62.11)
 (\$62.11)
 -Year-To-Date
 Value*
 -217.23
 \$217.23
 (217.23)
 (\$217.23)
 -Local
 Value
 Current
 Period Value
 0.00
 63.45
 63.45
 (63.45)
 (63.45)
 0.00
 INCOME
 US Dollar Value
 Current
 Transactions
 Beginning Cash Balance
 Ending Cash Balance
 Period Value
 --Year-To-Date
 Value*
 --Local
 Value
 Current
 Period Value
 0.00
 0.00

Year-To-Date
Value*
--Year-To-Date
Value*
-219.72
219.72
(219.72)
(219.72)
-Account

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Consolidated Statement Page 23

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 4/1/13 to 4/30/13
Portfolio Activity Summary - Canadian Dollar
Current

Securities Transferred In/Out

Securities Transferred In

Securities Transferred Out

Period Value

227,236.45

(453,886.78)

Year-To-Date

Value*

1,491,572.79

(1,833,987.78)

* Year to date information is calculated on a calendar year basis.

** Your account's standing instructions use a HIGH COST method for relieving
assets

from your position

Portfolio Activity Detail - Canadian Dollar

INFLOWS & OUTFLOWS

Per Unit

Type

Settle Date Selection Method

4/2

Foreign Interest

Description

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.6200% DATED 03/22/2013 MATURITY 04/02/2013

HELD BY LONDON TREASURY SERVICES

(ID: 2534M9-9D-6)

4/2

4/9

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT CANADIAN DOLLAR

(ID: 0CADPR-AA-6)

Foreign Interest

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.6200% DATED 04/02/2013 MATURITY 04/09/2013

HELD BY LONDON TREASURY SERVICES

(ID: 2534Q9-9D-2)

4/9

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT CANADIAN DOLLAR

(ID: 0CADPR-AA-6)

(13.970)

(13.70)

(13.97)

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(21.950)

115,900.570

(21.62)

(21.95)

13.70
13.97
Quantity
115,878.620
Amount USD
Local Value
PRINCIPAL
Amount USD
Local Value
21.62
21.95
INCOME
Amount USD
Local Value
Currency
Gain/Loss USD

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

INFLOWS & OUTFLOWS

Per Unit

Type

Settle Date Selection Method

4/17

Foreign Interest

Description

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.5700% DATED 04/09/2013 MATURITY 04/17/2013

HELD BY LONDON TREASURY SERVICES

(ID: 2534S9-9N-8)

4/17

4/24

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT CANADIAN DOLLAR

(ID: 0CADPR-AA-6)

Foreign Interest

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.5700% DATED 04/17/2013 MATURITY 04/24/2013

HELD BY LONDON TREASURY SERVICES

(ID: 2534U9-9U-9)

4/24

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT CANADIAN DOLLAR

(ID: 0CADPR-AA-6)

Total Inflows & Outflows

SECURITIES TRANSFERRED IN/OUT

Notes:

Settle Date Selection Method

Securities Transferred In

4/2

Receipt of Assets

Description

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.6200% DATED 04/02/2013 MATURITY 04/09/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2534Q9-9D-2)

(12.850)

(12.51)

(12.85)

\$0.00

(14.680)

115,929.220

(14.28)

(14.68)

12.51

12.85

Quantity

115,914.540

Amount USD

Local Value

PRINCIPAL

Amount USD

Local Value

14.28

14.68

INCOME

Amount USD

Local Value

Currency

Gain/Loss USD

* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax

purposes. The Transaction Market Value shown is in USD.

Type

Quantity

Cost

115,900.570

113,507.15

Transaction

Market Value *

113,985.61

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Consolidated Statement Page 25

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Notes:

* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Settle Date Selection Method

Securities Transferred In

4/9

Receipt of Assets

Description

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.5700% DATED 04/09/2013 MATURITY 04/17/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2534S9-9N-8)

4/17

Receipt of Assets

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.5700% DATED 04/17/2013 MATURITY 04/24/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2534U9-9U-9)

4/24

Receipt of Assets

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.6200% DATED 04/24/2013 MATURITY 05/02/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2534X9-9H-5)

Total Securities Transferred In

Securities Transferred Out

4/2

Free Delivery

\$227,236.45

115,942.070

113,547.64

115,929.220

113,535.13

113,250.84

Quantity

Cost

115,914.540

113,520.85

Transaction

Market Value *

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.6200% DATED 03/22/2013 MATURITY 04/02/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2534M9-9D-6)

4/9

Free Delivery

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS
0.6200% DATED 04/02/2013 MATURITY 04/09/2013
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 253409-9D-2)
(115,900.570)
113,507.15
(113,689.31)
(115,878.620)
113,485.53
(113,964.02)
Account [REDACTED] Page 23 of 32
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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Notes:

* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Settle Date Selection Method

Securities Transferred Out

4/17

Free Delivery

Description

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.5700% DATED 04/09/2013 MATURITY 04/17/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2534S9-9N-8)

4/24

Free Delivery

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.5700% DATED 04/17/2013 MATURITY 04/24/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2534U9-9U-9)

Total Securities Transferred Out

(\$453,886.78)

(115,929.220)

113,535.13

(112,996.95)

Quantity

Cost

(115,914.540)

113,520.85

Transaction

Market Value *

(113,236.50)

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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 4/1/13 to 4/30/13
Portfolio Activity Summary - Norwegian Krone
PRINCIPAL
US Dollar Value
Current
Transactions
Beginning Cash Balance
INFLOWS
Total Inflows
Income
OUTFLOWS **
Total Outflows
Withdrawals
Ending Cash Balance
Period Value
-181.97
\$181.97
(181.97)
(\$181.97)
-Year-To-Date
Value*
-649.52
\$649.52
(649.52)
(\$649.52)
-Local
Value
Current
Period Value
0.00
1,055.95
1,055.95
(1,055.95)
(1,055.95)
0.00
INCOME
US Dollar Value
Current
Transactions
Beginning Cash Balance
Ending Cash Balance
Period Value
--Year-To-Date
Value*
--Local
Value
Current
Period Value
0.00
0.00

Year-To-Date
Value*
--Year-To-Date
Value*
-3,683.40
3,683.40
(3,683.40)
(3,683.40)
-Account

██████████ Page 25 of 32
Consolidated Statement Page 28

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 4/1/13 to 4/30/13
Portfolio Activity Summary - Norwegian Krone
Current

Securities Transferred In/Out

Securities Transferred In

Securities Transferred Out

Period Value

640,540.28

(853,464.69)

Year-To-Date

Value*

3,500,111.46

(3,712,568.35)

* Year to date information is calculated on a calendar year basis.

** Your account's standing instructions use a HIGH COST method for relieving
assets

from your position

Portfolio Activity Detail - Norwegian Krone

INFLOWS & OUTFLOWS

Per Unit

Type

Settle Date Selection Method

4/3

Foreign Interest

Description

HELD BY LONDON TREASURY SERVICES

(ID: 2534N9-9Y-9)

4/3

4/10

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT NORWEGIAN KRONE

(ID: 0NOKPR-AA-1)

Foreign Interest

HELD BY LONDON TREASURY SERVICES

(ID: 2534R9-9J-8)

4/10

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT NORWEGIAN KRONE

(ID: 0NOKPR-AA-1)

(252.780)

(44.20)

(252.78)

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(252.730)

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS 1,238,116.150

1.0500% DATED 04/03/2013 MATURITY 04/10/2013

(43.50)

(252.73)

44.20

252.78

Quantity

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS 1,237,863.420

1.0500% DATED 03/27/2013 MATURITY 04/03/2013

Amount USD

Local Value

PRINCIPAL

Amount USD

Local Value

43.50

252.73

INCOME

Amount USD

Local Value

Currency

Gain/Loss USD

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

INFLOWS & OUTFLOWS

Per Unit

Type

Settle Date Selection Method

4/19

Foreign Interest

Description

HELD BY LONDON TREASURY SERVICES

(ID: 2534T9-9P-2)

4/19

4/26

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT NORWEGIAN KRONE

(ID: 0NOKPR-AA-1)

Foreign Interest

HELD BY LONDON TREASURY SERVICES

(ID: 2534W9-9M-5)

4/26

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT NORWEGIAN KRONE

(ID: 0NOKPR-AA-1)

Total Inflows & Outflows

SECURITIES TRANSFERRED IN/OUT

Notes:

Settle Date Selection Method

Securities Transferred In

4/3

Receipt of Assets

Description

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

1.0500% DATED 04/03/2013 MATURITY 04/10/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2534R9-9J-8)

(240.850)

(40.97)

(240.85)

\$0.00

(309.590)

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS 1,238,678.520

1.0000% DATED 04/19/2013 MATURITY 04/26/2013

(53.30)

(309.59)

40.97

240.85

Quantity

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS 1,238,368.930

1.0000% DATED 04/10/2013 MATURITY 04/19/2013

Amount USD

Local Value

PRINCIPAL

Amount USD

Local Value

53.30

309.59

INCOME

Amount USD

Local Value

Currency

Gain/Loss USD

* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Quantity

Cost

1,238,116.150

208,982.58

Transaction

Market Value *

Account [REDACTED] Page 27 of 32

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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Notes:

* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Settle Date Selection Method

Securities Transferred In

4/10

Receipt of Assets

Description

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

1.0000% DATED 04/10/2013 MATURITY 04/19/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2534T9-9P-2)

4/19

Receipt of Assets

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

1.0000% DATED 04/19/2013 MATURITY 04/26/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2534W9-9M-5)

4/26

Receipt of Assets

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

1.0000% DATED 04/26/2013 MATURITY 05/03/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2534Y9-9X-9)

Total Securities Transferred In

Securities Transferred Out

4/3

Free Delivery

\$640,540.28

1,238,919.370

209,121.05

210,725.65

1,238,678.520

209,080.08

213,269.25

Quantity

Cost

1,238,368.930

209,026.78

Transaction

Market Value *

216,545.38

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

1.0500% DATED 03/27/2013 MATURITY 04/03/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2534N9-9Y-9)

4/10

Free Delivery

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

1.0500% DATED 04/03/2013 MATURITY 04/10/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2534R9-9J-8)

(1,238,116.150)

208,982.58

(216,501.17)

(1,237,863.420)

208,939.08

(213,062.88)

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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Notes:

* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Settle Date Selection Method

Securities Transferred Out

4/19

Free Delivery

Description

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

1.0000% DATED 04/10/2013 MATURITY 04/19/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2534T9-9P-2)

4/26

Free Delivery

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

1.0000% DATED 04/19/2013 MATURITY 04/26/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2534W9-9M-5)

Total Securities Transferred Out

(\$853,464.69)

(1,238,678.520)

209,080.08

(210,684.69)

Quantity

Cost

(1,238,368.930)

209,026.78

Transaction

Market Value *

(213,215.95)

Account [REDACTED] Page 29 of 32

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GHISLAINE MAXWELL ACCT. XXXXXXXXXX
 For the Period 4/1/13 to 4/30/13
 Portfolio Activity Summary - Pound Sterling
 PRINCIPAL
 US Dollar Value
 Current
 Transactions
 Beginning Cash Balance
 INFLOWS
 Total Inflows
 Income
 OUTFLOWS **
 Total Outflows
 Tax Payments
 TRADE ACTIVITY
 Total Trade Activity
 Settled Securities Purchased
 Ending Cash Balance
 Period Value
 -2,210.85
 \$2,210.85
 (0.32)
 (\$0.32)
 (1,663.11)
 (\$1,663.11)
 -Year-To-Date
 Value*
 -4,056.91
 \$4,056.91
 (21.35)
 (\$21.35)
 (1,663.11)
 (\$1,663.11)
 -Local
 Value
 Current
 Period Value
 7,249.26
 1,452.07
 1,452.07
 (0.20)
 (0.20)
 (1,095.27)
 (1,095.27)
 7,605.86
 INCOME
 US Dollar Value
 Current
 Transactions
 Beginning Cash Balance
 Ending Cash Balance

Period Value
--Year-To-Date
Value*
--Local
Value
Current
Period Value
0.00
0.00
Year-To-Date
Value*
--Year-To-Date
Value*
-2,645.23
2,645.23
(13.37)
(13.37)
(1,095.27)
(1,095.27)
-Account

██████████ Page 30 of 32
Consolidated Statement Page 33

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Portfolio Activity Summary - Pound Sterling

* Year to date information is calculated on a calendar year basis.

** Your account's standing instructions use a HIGH COST method for relieving assets

from your position

Portfolio Activity Detail - Pound Sterling

INFLOWS & OUTFLOWS

Per Unit

Type

Settle Date Selection Method

4/8

4/8

4/11

4/11

Foreign Dividend

Foreign Dividend

Foreign Dividend

FGN Tax Withheld

Total Inflows & Outflows

Description

JPM INC OPP - GBP - A - DIST(HEDGED) ISIN

LU0323456201 AS OF 03/28/13 (ID: 287991-93-9)

BLUEBAY HIGH YIELD BD FD GBP HDG-DB ISIN

LU0222772518 @ 1.8882 PER SHARE CONV. @ "CONV RATE" (ID: G13289-92-4)

RIO TINTO PLC ISIN GB0007188757 SEDOL 0718875 @ 0.670444 PER SHARE (ID: 766994-90-9)

RIO TINTO PLC ISIN GB0007188757 SEDOL 0718875

TAX WITHHELD NETHERLANDS 10.00%

(ID: 0GBPPR-AA-9)

\$2,210.53

\$0.00

3.000

(0.200)

1.027

0.67

3.08

2.01

(0.32)

(0.20)

Quantity

438.110

187.898

Amount USD

Local Value

3.796

2.50

2.899

1.888

PRINCIPAL

Amount USD

Local Value

1,663.11

1,095.27

544.66

354.79

INCOME

Amount USD

Local Value

Currency

Gain/Loss USD

Account [REDACTED] Page 31 of 32

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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

TRADE ACTIVITY - Pound Sterling

Note:

Trade Date

Settle Date

Type

Settled Securities Purchased

3/28

Purchase

4/8

* Settled transaction was initiated in prior statement period and settled in current statement period

Per Unit

Description

JPM INC OPP - GBP - A - DIST(HEDGED) ISIN

LU0323456201 AS OF 03/28/13 (ID: 287991-93-9)

Quantity

12.163

Amount USD

Local Value

136.735

90.05

Market

Cost USD

Local Value

(1,663.11)

(1,095.27)

Currency

Gain/Loss USD

(68.11) *

Account [REDACTED] Page 32 of 32

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J.P. Morgan Securities LLC
GHISLAINE MAXWELL
383 Madison Avenue, New York, NY 10179
ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Margin Account

J.P. Morgan Team

James Buckley

Jason Bell

Janet Young

Gina Magliocco

Jason Grosse

Online access

Banker

Investment Specialist

Client Service Team

Client Service Team

Client Service Team

www.jpmorganonline.com

Transactions cleared and carried through J.P. Morgan Clearing Corp. - Three
Chase Metrotech Center, Brooklyn, NY 11245-0001,
[REDACTED]

Please see disclosures located at the end of this statement package for
important information relating to each J.P.Morgan account(s).

[REDACTED]
[REDACTED]
[REDACTED]
Table of Contents

Account Summary

Holdings

Cash & Fixed Income

Portfolio Activity

3

5

Page

2

Account [REDACTED] Page 1 of 5

Consolidated Statement Page 36

GHISLAINE MAXWELL

Account Summary

MARGIN

Cash

Asset Allocation

Cash & Fixed Income

Market Value

Market Value

0.00

\$0.00

Margin

Market Value

430.00

\$430.00

Current

Portfolio Activity

Beginning Market Value

Ending Market Value

Cost Summary

Cash & Fixed Income

Total

Period Value

430.00

\$430.00

Short

Market Value

0.00

\$0.00

Year-to-Date

Value

430.00

\$430.00

430.00

Cost

\$430.00

Investment Objectives

Speculation Permitted

Capital Appreciation

Total

430.00

\$430.00

Estimated

\$0.00

Current

Market Value Annual Income Allocation

100%

100%

ACCT. XXXXXXXXXX

For the Period 4/1/13 to 4/30/13

Yes

Yes

GHISLAINE MAXWELL

Cash & Fixed Income Summary

Beginning

Asset Categories

Cash

Market Value

430.00

ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Ending

Market Value

430.00

Change

In Value

0.00

Current

Allocation

100%

Current

Market Value/Cost

Market Value

Tax Cost

SUMMARY BY MATURITY

1

Cash & Fixed Income

0-6 months

1

Period Value

430.00

430.00

SUMMARY BY TYPE

Market

Value

430.00

% of Bond

Portfolio

100%

The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

Cash & Fixed Income

Cash

Market

Value

430.00

% of Bond

Portfolio

100%

Account [REDACTED] Page 3 of 5

Consolidated Statement Page 38

GHISLAINE MAXWELL

ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Cash & Fixed Income Detail

Quantity

Price

Cash

US DOLLAR

1.00

430.00

Margin

430.00

430.00

Account

Value

Adjusted Tax Cost

Original Cost

Unrealized

Gain/Loss

Est. Annual Income

Accrued Interest

Yield

Account [REDACTED] Page 4 of 5

Consolidated Statement Page 39

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Portfolio Activity Summary

PRINCIPAL

Current

Transactions

Portfolio Activity Detail

Beginning Cash Balance

Ending Cash Balance

Period Value

430.00

\$430.00

Year-To-Date

Value

--INCOME

Current

Period

Value

0.00

\$0.00

Year-To-Date

Value

--No

Activity This Period

Account [REDACTED] Page 5 of 5

Consolidated Statement Page 40

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JPMorgan Chase Bank, N.A.
GHISLAINE MAXWELL - OAP IHD
270 Park Avenue, New York, NY 10017-2014
ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Investment Management Account

J.P. Morgan Team

James Buckley

Jason Bell

Janet Young

Gina Magliocco

Jason Grosse

Online access

Banker

Investment Specialist

Client Service Team

Client Service Team

Client Service Team

www.jpmorganonline.com

Please see disclosures located at the end of this statement package for important information relating to each J.P.Morgan account(s).

[REDACTED]
[REDACTED]
[REDACTED]
Table of Contents

Account Summary

Page

2

Holdings

Equity

Portfolio Activity

4

10

Account [REDACTED] Page 1 of 18

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GHISLAINE MAXWELL - OAP IHD

Account Summary

Asset Allocation

Equity

Market Value

Accruals

Market Value with Accruals

Beginning

Market Value

1,000,915.40

\$1,000,915.40

4,385.75

\$1,005,301.15

Ending

Market Value

1,552,500.50

\$1,552,500.50

7,120.95

\$1,559,621.45

Current

Portfolio Activity

Beginning Market Value

Contributions

Withdrawals & Fees

Net Contributions/Withdrawals

Income & Distributions

Change In Investment Value

Ending Market Value

Accruals

Market Value with Accruals

Period Value

1,000,915.40

500,000.00

(2,656.78)

\$497,343.22

6,156.49

48,085.39

\$1,552,500.50

7,120.95

\$1,559,621.45

Change

In Value

551,585.10

\$551,585.10

2,735.20

\$554,320.30

Year-to-Date

Value

0.00

1,500,000.00

(2,701.89)

\$1,497,298.11

7,746.69

47,455.70

\$1,552,500.50

7,120.95

\$1,559,621.45

Estimated

52,134.82

\$52,134.82

Current

Annual Income Allocation

100%

100%

ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Account [REDACTED] Page 2 of 18

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GHISLAINE MAXWELL - OAP IHD

Account Summary

Tax Summary

Foreign Dividends

Interest Income

Taxable Income

CONTINUED

Current

Period Value

6,156.18

0.31

\$6,156.49

Year-to-Date

Value

7,745.02

1.67

\$7,746.69

Unrealized Gain/Loss

To-Date Value

\$47,743.34

ST Realized Gain/Loss

Realized Gain/Loss

Current

Period Value

87.03

\$87.03

Year-to-Date

Value

(287.64)

(\$287.64)

ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Cost Summary

Equity

Total

1,504,757.16

Cost

\$1,504,757.16

Account [REDACTED] Page 3 of 18

Consolidated Statement Page 43

GHISLAINE MAXWELL - OAP IHD

Equity Summary

Asset Categories

EAFE Equity

Beginning

Market Value

1,000,915.40

Ending

Market Value

1,552,500.50

Change

In Value

551,585.10

Current

Allocation

100%

ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Current

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Accrued Dividends

Yield

Period Value

1,552,500.50

1,504,757.16

47,743.34

52,134.82

7,120.95

3.35%

Account [REDACTED] Page 4 of 18

Consolidated Statement Page 44

GHISLAINE MAXWELL - OAP IHD

ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Note:

P

1

indicates position adjusted for Pending Trade Activity.

This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account.

Equity Detail

Price

EAFE Equity

ABB LTD

SPONS ADR

000375-20-4 ABB

ASTRAZENECA PLC

SPONS ADR

046353-10-8 AZN

BAE SYSTEMS PLC

SPONS ADR

05523R-10-7 BAES Y

BAYER A G

SPONS ADR

072730-30-2 BAYR Y

BHP LTD

SPONS ADR

088606-10-8 BHP

BOC HONG KONG HOLDINGS LTD

SPONS ADR

096813-20-9 BHKL Y

BRITISH AMERICAN TOBACCO PLC

SPONS ADR

110448-10-7 BTI

111.02

490.000

54,399.80

51,491.86

2,907.94

2,041.83

861.58

3.75%

68.81

620.000

42,661.58

42,424.80

236.78

1,953.62

4.58%

67.22

350.000
23,527.00
27,042.45
(3,515.45)
23.38
104.44
1,620.000
295.000
37,870.74
30,810.69
36,304.63
29,579.20
1,566.11
1,231.49
1,901.88
706.82
532.47
389.27
798.00
5.02%
1.73%
3.39%
51.92
295.000
15,316.40
14,890.83
425.57
826.00
5.39%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Inc.
Accrued Div.
Yield
22.57
1,930.000
43,560.10
41,400.85
2,159.25
1,385.74
1,177.48
3.18%

GHISLAINE MAXWELL - OAP IHD
ACCT. [REDACTED]
For the Period 4/1/13 to 4/30/13
Price
EAFE Equity
COMPANHIA ENERGETICA DE MINAS GERAIS
SPONS ADR
REP NON VOTING PFD
204409-60-1 CIG AAA /P-2
COST OF PENDING PURCHASES
DEUTSCHE POST AG
SPONS ADR
25157Y-20-2 DPSG Y
P DIAGEO P L C
SPONS ADR NEW
25243Q-20-5 DEO
GDF SUEZ
SPONS ADR
36160B-10-5 GDFZ Y
GLAXOSMITHKLINE PLC
SPONS ADR
37733W-10-5 GSK
HSBC HOLDINGS PLC
SPONS ADR
404280-40-6 HBC
P IMPERIAL TOBACCO PLC
ADR
453142-10-1 ITYB Y
ISRAEL CHEMICALS LTD
ADR
465036-20-0 ISCH Y
KIRIN HOLDINGS COMPANY LTD
SPONS ADR
497350-30-6 KNBW Y

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17.52
1,850.000
32,419.40
30,013.50
2,405.90
492.10
1.52%
11.90
3,750.000
44,621.25
48,666.96
(4,045.71)
2,073.75
178.17
4.65%

71.59
435.000
31,143.39
30,800.85
342.54
1,423.32
4.57%
54.86
1,000.000
54,860.00
54,849.63
10.37
2,250.00
461.70
4.10%
51.64
895.000
46,217.80
42,063.88
4,153.92
2,100.56
4.54%
21.49
1,100.000
23,639.00
22,910.32
728.68
1,457.50
671.32
6.17%
122.20
0.00
2.31%
1.00
23.76
(26,547.340)
1,980.000
(26,547.34)
47,038.86
(26,547.34)
46,372.75
666.11
12.83
890.000
11,418.70
9,975.21
1,443.49
611.43
5.35%
Quantity
Value

Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Inc.
Accrued Div.
Yield

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Price

EAFE Equity

LUKOIL OAO SPONS ADR

MTN GROUP LTD

677862-10-4 LUKO Y

18.01

SPONS ADR

62474M-10-8 MTNO Y

MUENCHENER RUECKVERSICHERUNGS -

GESELLSCHAFT

ADR

626188-10-6 MURG Y

NESTLE S A

SPONS ADR REPSTG REG SH

641069-40-6 NSRG Y

NOVARTIS A G

ADR

66987V-10-9 NVS

PETROCHINA CO LTD

ADR

71646E-10-0 PTR

PROCEEDS FROM PENDING SALES

RECKITT BENCKISER GROUP PLC

SPONSORED ADR

756255-20-4 RBGL Y

ROCHE HOLDINGS LTD

SPONS ADR

771195-10-4 RHHB Y

ROYAL DUTCH SHELL PLC

ADR

780259-10-7 RDS B

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69.79

515.000

35,941.85

36,534.71

(592.86)

1,771.60

4.93%

62.54

740.000

46,282.56

41,801.23

4,481.33

1.00

14.62

4,815.420

3,100.000

4,815.42
45,315.80
4,815.42
42,444.00
2,871.80
1,218.30
535.99
1,201.76
2.69%
2.60%
127.86
245.000
31,325.70
33,346.03
(2,020.33)
988.08
3.15%
73.76
830.000
61,220.80
57,450.63
3,770.17
20.02
2,300.000
46,046.00
42,865.73
3,180.27
1,426.00
1,042.74
71.48
860.000
61,471.94
60,267.42
1,204.52
1,571.22
885.28
1,707.31
3.10%
2,250.000
40,524.75
42,762.90
(2,238.15)
1,689.75
4.17%
63.71
880.000
56,061.28
55,350.56
710.72
2,687.52
4.79%

Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Inc.
Accrued Div.
Yield
2.56%
2.79%

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Price

EAFE Equity

SANOFI

80105N-10-5 SNY

SIEMENS A G

SPONS ADR

826197-50-1 SI

SINGAPORE TELECOMMUNICATIONS LTD

ADR

82929R-30-4 SGAP Y

SMITHS GROUP PLC

SPONSORED ADR

83238P-20-3 SMGZ Y

STATOIL ASA

SPONS ADR

85771P-10-2 STO

TAIWAN SEMICONDUCTOR MANUFACTURING

CO LTD

SPONS ADR

874039-10-0 TSM

TELEKOMUNIKASI IND

SPONS ADR

715684-10-6 TLK

TESCO PLC

SPONS ADR

881575-30-2 TSCD Y

TOTAL SA

SPONS ADR

89151E-10-9 TOT

UNILEVER N V

904784-70-9 UN

42.48

1,375.000

58,410.00

55,943.06

Account [REDACTED] Page 8 of 18

2,466.94

1,498.75

2.57%

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17.10

50.24

1,800.000

970.000

30,772.80

48,732.80

30,886.57

49,000.74

(113.77)

(267.94)
1,173.60
2,446.34
3.81%
5.02%
47.87
780.000
37,338.60
30,953.42
6,385.18
1,847.04
4.95%
19.08
800.000
15,264.00
14,240.00
1,024.00
318.40
2.09%
24.50
1,120.000
27,440.00
28,904.96
(1,464.96)
31.91
19.46
1,500.000
1,600.000
47,862.00
31,128.00
43,076.75
31,248.55
4,785.25
(120.55)
1,851.00
947.20
209.47
1,012.48
3.87%
3.04%
3.69%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Inc.
Accrued Div.
Yield
53.35

104.51
995.000
53,083.25
450.000
47,029.50
49,583.61
47,554.34
3,499.64
(524.84)
1,297.48
1,323.90
2.44%
2.82%

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Price

EAFE Equity

UNITED OVERSEAS BANK LTD

SPONS ADR

911271-30-2 UOVE Y

US DOLLAR

VODAFONE GROUP PLC

SPONS ADR

92857W-20-9 VOD

ZURICH INSURANCE GROUP-ADR

Total EAFE Equity

989825-10-4 ZURV Y

\$1,552,500.50

\$1,504,757.16

\$47,743.34

\$52,134.82

\$7,120.95

3.36%

27.95

1,735.000

48,486.31

47,875.71

610.60

34.67

1.00

30.59

1,340.000

57,353.310

2,000.000

46,456.46

57,353.31

61,180.00

42,222.66

57,353.31

56,034.47

5,145.53

4,233.80

1,305.16

5.73

1.13

2,998.00

2.81%

0.01%

4.90%

Quantity

Value

Adjusted Tax Cost

Original Cost

Unrealized

Gain/Loss
Est. Annual Inc.
Accrued Div.
Yield
Account [REDACTED] Page 9 of 18
Consolidated Statement Page 49

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Portfolio Activity Summary

Beginning Cash Balance

Transactions

INFLOWS

Income

Contributions

Total Inflows

OUTFLOWS **

Withdrawals

Fees & Commissions

Tax Payments

Total Outflows

TRADE ACTIVITY

Settled Sales/Maturities/Redemptions

Settled Securities Purchased

Total Trade Activity

Ending Cash Balance

15,298.95

(487,810.56)

(\$472,511.61)

\$57,353.31

* Year to date information is calculated on a calendar year basis.

** Your account's standing instructions use a HIGH COST method for relieving assets

from your position

32,544.87

(1,480,236.36)

(\$1,447,691.49)

-Current

Period

Value

26,365.21

6,156.49

500,000.00

\$506,156.49

(70.08)

(1,971.64)

(615.06)

(\$2,656.78)

Year-To-Date

Value*

-7,746.69

1,500,000.00

\$1,507,746.69

(70.08)

(1,971.64)

(660.17)

(\$2,701.89)

Current

Cost
Adjustments
Cost Adjustments
Total Cost Adjustments
Period Value
1,652.99
\$1,652.99
Year-To-Date
Value*
1,652.99
\$1,652.99

Account [REDACTED] Page 10 of 18
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GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Portfolio Activity Detail

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

4/1

4/5

4/5

4/9

4/11

4/11

4/11

4/11

4/11

4/11

4/12

4/12

Interest Income

Foreign Dividend

FGN Tax Withheld

Expenses

Foreign Dividend

Foreign Dividend

FGN Tax Withheld

Foreign Dividend

Expenses

FGN Tax Withheld

Foreign Dividend

Foreign Dividend

Description

DEPOSIT SWEEP INTEREST FOR 03/01/13 - 03/31/13

@ .01% RATE ON AVG COLLECTED BALANCE OF

\$25,583.73 AS OF 04/01/13

NOVARTIS A G ADR @ 2.42647 PER SHARE

(ID: 66987V-10-9)

NOVARTIS A G ADR TAX WITHHELD SWITZERLAND

15.00% (ID: 66987V-10-9)

NOVARTIS A G ADR .005 DSC FEE FOR 04/05/2013

PAYMENT AS OF 04/05/13 (ID: 66987V-10-9)

GLAXOSMITHKLINE PLC SPONS ADR @ 0.68948 PER

SHARE (ID: 37733W-10-5)

TOTAL SA SPONS ADR @ 0.76036 PER SHARE

(ID: 89151E-10-9)

TOTAL SA SPONS ADR TAX WITHHELD FRANCE 30.00%

(ID: 89151E-10-9)

ROCHE HOLDINGS LTD SPONS ADR GROSS RATE 1.9388

(ID: 771195-10-4)

ROCHE HOLDINGS LTD SPONS ADR REPRESENTS @ .023

DEPOSITORY FEE (ID: 771195-10-4)

ROCHE HOLDINGS LTD SPONS ADR TAX WITHHELD

SWITZERLAND 15.00% (ID: 771195-10-4)
DIAGEO P L C SPONS ADR NEW @ 1.104679 PER SHARE
(ID: 25243Q-20-5)
MTN GROUP LTD SPONS ADR @ 0.54393 PER SHARE
(ID: 62474M-10-8)

590.000
590.000
590.000
650.000
460.000
460.000
550.000
550.000
550.000
40.000
1,660.000
1.105
0.544
1.939
0.689
0.76
2.426
1,431.62
(214.74)
(2.95)
448.16
349.77
(104.93)
1,066.34
(12.93)
(159.95)
44.19
902.92
Quantity
Cost
Per Unit
Amount
Amount
0.31

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GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

4/12

4/12

4/18

4/18

4/19

4/22

4/22

Expenses

FGN Tax Withheld

Description

MTN GROUP LTD SPONS ADR REPRESENTS @ .020

DEPOSITORY FEE (ID: 62474M-10-8)

MTN GROUP LTD SPONS ADR TAX WITHHELD SOUTH

AFRICA 15.00% (ID: 62474M-10-8)

Fees & Commissions JPMORGAN CHASE INVESTMENT MANAGEMENT FEE FOR
THE PERIOD 01-28-2013 TO 03-31-2013

Fees & Commissions SCHAFER CULLEN CAPITAL MANAGEMENT MANAGEMENT
FEE FOR 01-29-2013 TO 03-31-2013

Misc Receipt

Foreign Dividend

Expenses

Total Inflows & Outflows

TRANSFER FROM MMIA A/C TO INVESTMENT MANAGEMENT
A/C.

ZURICH INSURANCE GROUP-ADR @ 1.822079 PER SHARE
(ID: 989825-10-4)

ZURICH INSURANCE GROUP-ADR REPRESENTS @ .020
DEPOSITORY FEE (ID: 989825-10-4)

1,050.000

1,050.000

1.822

Quantity

Cost

Per Unit

Amount

1,660.000

1,660.000

Amount

(33.20)

(135.44)

(1,279.10)

(692.54)

500,000.00

1,913.18

(21.00)

\$503,499.71

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

TRADE ACTIVITY

Note:

Trade Date

Settle Date

4/3

4/8

4/4

4/9

4/4

4/9

4/5

4/10

S indicates Short Term Realized Gain/Loss

Type

Selection Method Description

Settled Sales/Maturities/Redemptions

Sale

High Cost

Sale

High Cost

Sale

High Cost

Sale

High Cost

PETROCHINA CO LTD ADR @ 129.6562 4,537.97

BROKERAGE 1.40 TAX &/OR SEC .11 ESI SECURITIES

COMPANY WASH SALE ADJUSTMENT 04/25/13

(ID: 71646E-10-0)

PETROCHINA CO LTD ADR @ 129.576 2,591.52

BROKERAGE 0.80 TAX &/OR SEC .06 ESI SECURITIES

COMPANY WASH SALE ADJUSTMENT 04/25/13

(ID: 71646E-10-0)

STATOIL ASA SPONS ADR @ 24.0834 4,937.10

BROKERAGE 8.20 TAX &/OR SEC .12 ESI SECURITIES

COMPANY WASH SALE ADJUSTMENT 04/25/13

(ID: 85771P-10-2)

STATOIL ASA SPONS ADR @ 24.0632 3,248.53

BROKERAGE 5.40 TAX &/OR SEC .08 ESI SECURITIES

COMPANY WASH SALE ADJUSTMENT 04/25/13

(ID: 85771P-10-2)

Total Settled Sales/Maturities/Redemptions

\$15,298.95

(\$15,298.95)

\$0.00

(135.000)

24.023

3,243.05

(3,243.05)

(205.000)

24.043
4,928.78
(4,928.78)
(20.000)
129.533
2,590.66
(2,590.66)
Quantity
(35.000)
Per Unit
Amount
129.613
Proceeds
4,536.46
Tax Cost
(4,536.46)
Realized
Gain/Loss
Trade Date
Settle Date
Type
Description
Settled Securities Purchased
4/3
Purchase
4/8
KIRIN HOLDINGS COMPANY LTD SPONS ADR @ 16.1472
20,184.00 BROKERAGE 37.50 ESI SECURITIES
COMPANY (ID: 497350-30-6)
Account [REDACTED] Page 13 of 18
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Quantity
1,250.000
Per Unit
Amount
16.177
Market Cost
(20,221.50)

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Trade Date

Settle Date

Type

Settled Securities Purchased

4/22

Purchase

4/25

4/22

4/25

4/22

4/25

4/22

4/25

4/22

4/25

4/22

4/25

4/22

4/25

4/22

4/25

4/22

4/25

4/22

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Description

ABB LTD SPONS ADR @ 21.171 13,761.15 BROKERAGE

26.00 ESI SECURITIES COMPANY (ID: 000375-20-4)

ASTRAZENECA PLC SPONS ADR @ 51.2956 4,360.13

BROKERAGE 3.40 ESI SECURITIES COMPANY

(ID: 046353-10-8)

BAE SYSTEMS PLC SPONS ADR @ 22.9474 11,244.23

BROKERAGE 19.60 ESI SECURITIES COMPANY

(ID: 05523R-10-7)

BAYER A G SPONS ADR @ 101.72 9,663.40 BROKERAGE

3.80 ESI SECURITIES COMPANY (ID: 072730-30-2)

BHP LTD SPONS ADR @ 63.825 1,914.75 BROKERAGE

1.20 ESI SECURITIES COMPANY (ID: 088606-10-8)

BOC HONG KONG HOLDINGS LTD SPONS ADR @ 66.3638

14,600.04 BROKERAGE 8.80 ESI SECURITIES COMPANY

(ID: 096813-20-9)
BRITISH AMERICAN TOBACCO PLC SPONS ADR @
107.2852 16,092.78 BROKERAGE 6.00 ESI
SECURITIES COMPANY (ID: 110448-10-7)
DEUTSCHE POST AG SPONS ADR @ 22.5416 16,229.95
BROKERAGE 28.80 ESI SECURITIES COMPANY
(ID: 25157Y-20-2)
GDF SUEZ SPONS ADR @ 20.8377 7,918.33 BROKERAGE
15.20 TAX &/OR SEC 15.84 ESI SECURITIES COMPANY
(ID: 36160B-10-5)
GLAXOSMITHKLINE PLC SPONS ADR @ 50.5905
12,394.67 BROKERAGE 9.80 ESI SECURITIES COMPANY
(ID: 37733W-10-5)
245.000
50.63
(12,404.47)
380.000
20.919
(7,949.37)
720.000
22.582
(16,258.75)
150.000
107.325
(16,098.78)
95.000
30.000
220.000
101.76
63.865
66.404
(9,667.20)
(1,915.95)
(14,608.84)
490.000
22.987
(11,263.83)
Quantity
650.000
85.000
Per Unit
Amount
21.211
51.336
Market Cost
(13,787.15)
(4,363.53)

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Trade Date

Settle Date

Type

Settled Securities Purchased

4/22

Purchase

4/25

4/22

4/25

4/22

4/25

4/22

4/25

4/22

4/25

4/22

4/25

4/22

4/25

4/22

4/25

4/22

4/25

4/22

4/25

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Description

HSBC HOLDINGS PLC SPONS ADR @ 52.0803 22,394.53

BROKERAGE 17.20 ESI SECURITIES COMPANY

(ID: 404280-40-6)

ISRAEL CHEMICALS LTD ADR @ 12.2857 18,858.55

BROKERAGE 46.05 ESI SECURITIES COMPANY

(ID: 465036-20-0)

KIRIN HOLDINGS COMPANY LTD SPONS ADR @ 16.29

9,774.00 BROKERAGE 18.00 ESI SECURITIES COMPANY

(ID: 497350-30-6)

MTN GROUP LTD SPONS ADR @ 17.36 10,242.40

BROKERAGE 17.70 ESI SECURITIES COMPANY

(ID: 62474M-10-8)

MUENCHENER RUECKVERSICHERUNGS - GESELLSCHAFT

ADR @ 19.5142 12,684.23 BROKERAGE 19.50 ESI

SECURITIES COMPANY (ID: 626188-10-6)
NESTLE S A SPONS ADR REPSTG REG SH @ 69.878
20,264.62 BROKERAGE 11.60 ESI SECURITIES
COMPANY (ID: 641069-40-6)
NOVARTIS A G ADR @ 72.4726 17,393.42 BROKERAGE
9.60 ESI SECURITIES COMPANY (ID: 66987V-10-9)
LUKOIL OAO SPONS ADR @ 58.6381 25,507.57
BROKERAGE 17.40 ESI SECURITIES COMPANY
(ID: 677862-10-4)
TELEKOMUNIKASI IND SPONS ADR @ 48.82 976.40
BROKERAGE 0.80 ESI SECURITIES COMPANY
(ID: 715684-10-6)
PETROCHINA CO LTD ADR @ 122.7321 15,955.17
BROKERAGE 5.20 ESI SECURITIES COMPANY
(ID: 71646E-10-0)

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130.000
122.772
(15,960.37)
20.000
48.86
(977.20)
240.000
435.000
72.513
58.678
(17,403.02)
(25,524.97)
290.000
69.918
(20,276.22)
650.000
19.544
(12,703.73)
590.000
17.39
(10,260.10)
600.000
16.32
(9,792.00)
1,535.000
12.316
(18,904.60)
Quantity
430.000
Per Unit
Amount
52.12
Market Cost
(22,411.73)

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Trade Date

Settle Date

Type

Settled Securities Purchased

4/22

Purchase

4/25

4/22

4/25

4/22

4/25

4/22

4/25

4/22

4/25

4/22

4/25

4/22

4/25

4/22

4/25

4/22

4/25

4/22

4/25

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Description

RECKITT BENCKISER GROUP PLC SPONSORED ADR @
14.33 7,308.30 BROKERAGE 15.30 ESI SECURITIES
COMPANY (ID: 756255-20-4)

ROCHE HOLDINGS LTD SPONS ADR @ 60.4954
11,494.13 BROKERAGE 7.60 ESI SECURITIES COMPANY
(ID: 771195-10-4)

ROYAL DUTCH SHELL PLC ADR @ 65.8161 14,150.46
BROKERAGE 8.60 ESI SECURITIES COMPANY
(ID: 780259-10-7)

SIEMENS A G SPONS ADR @ 100.0942 20,018.84
BROKERAGE 8.00 ESI SECURITIES COMPANY
(ID: 826197-50-1)

SINGAPORE TELECOMMUNICATIONS LTD ADR @ 29.7808
13,103.55 BROKERAGE 17.60 ESI SECURITIES

COMPANY (ID: 82929R-30-4)
SMITHS GROUP PLC SPONSORED ADR @ 18.655
6,156.15 BROKERAGE 9.90 ESI SECURITIES COMPANY
(ID: 83238P-20-3)
STATOIL ASA SPONS ADR @ 23.2348 11,849.75
BROKERAGE 20.40 ESI SECURITIES COMPANY
(ID: 85771P-10-2)
TESCO PLC SPONS ADR @ 16.88 11,140.80 BROKERAGE
19.80 ESI SECURITIES COMPANY (ID: 881575-30-2)
TOTAL SA SPONS ADR @ 46.7463 23,840.61
BROKERAGE 20.40 TAX &/OR SEC 47.69 ESI
SECURITIES COMPANY (ID: 89151E-10-9)
UNILEVER N V @ 41.0343 18,260.26 BROKERAGE
17.80 ESI SECURITIES COMPANY (ID: 904784-70-9)
445.000
41.074
(18,278.06)
660.000
510.000
16.91
46.88
(11,160.60)
(23,908.70)
510.000
23.275
(11,870.15)
330.000
18.685
(6,166.05)
440.000
29.821
(13,121.15)
200.000
100.134
(20,026.84)
215.000
65.856
(14,159.06)
190.000
60.535
(11,501.73)
Quantity
510.000
Per Unit
Amount
14.36
Market Cost
(7,323.60)

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Trade Date

Settle Date

Type

Settled Securities Purchased

4/22

Purchase

4/25

4/22

4/25

4/22

4/25

4/22

4/26

4/25

4/30

Purchase

Purchase

Purchase

Purchase

Total Settled Securities Purchased

Trade Date

Type

Est. Settle Date Selection Method Description

Pending Sales, Maturities, Redemptions

Sale

4/26

5/1

DIAGEO P L C SPONS ADR NEW (ID: 25243Q-20-5)

Quantity

(40.000)

Per Unit

Amount

120.428

Description

UNITED OVERSEAS BANK LTD SPONS ADR @ 33.4524

14,719.06 BROKERAGE 17.60 ESI SECURITIES

COMPANY (ID: 911271-30-2)

VODAFONE GROUP PLC SPONS ADR @ 29.7542

16,067.27 BROKERAGE 21.60 ESI SECURITIES

COMPANY (ID: 92857W-20-9)

ZURICH INSURANCE GROUP-ADR @ 26.2114 17,954.81

BROKERAGE 27.40 ESI SECURITIES COMPANY

(ID: 989825-10-4)

SANOFI @ 52.5097 14,440.17 BROKERAGE 11.00 TAX

&/OR SEC 28.89 ESI SECURITIES COMPANY

(ID: 80105N-10-5)

IMPERIAL TOBACCO PLC ADR @ 70.8518 4,251.11

BROKERAGE 2.40 ESI SECURITIES COMPANY

(ID: 453142-10-1)

(\$487,810.56)

Proceeds

4,815.42

Tax Cost

(4,728.39)

Realized

Gain/Loss

87.03 S

60.000

70.892

(4,253.51)

275.000

52.655

(14,480.06)

685.000

26.251

(17,982.21)

540.000

29.794

(16,088.87)

Quantity

440.000

Per Unit

Amount

33.492

Market Cost

(14,736.66)

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GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Trade Date

Est. Settle Date Type

Pending Securities Purchased

4/26

Purchase

5/1

Description

IMPERIAL TOBACCO PLC ADR (ID: 453142-10-1)

Quantity

375.000

Per Unit

Amount

70.752

Market Cost

(26,547.34)

COST ADJUSTMENTS

Settle Date

4/26

4/26

4/26

4/26

Type

Wash Sale Adj

Wash Sale Adj

Wash Sale Adj

Wash Sale Adj

Total Cost Adjustments

Description

PETROCHINA CO LTD ADR TRADE DATE OF SALE :

04/03/13 TRADE DATE OF SALE : 04/04/13

(ID: 71646E-10-0)

STATOIL ASA SPONS ADR TRADE DATE OF SALE :

04/04/13 TRADE DATE OF SALE : 04/05/13

(ID: 85771P-10-2)

PETROCHINA CO LTD ADR (ID: 71646E-10-0)

STATOIL ASA SPONS ADR (ID: 85771P-10-2)

245.000

1,120.000

(6,752.46)

(7,913.43)

\$1,652.99

1,120.000

8,762.93

Quantity

245.000

Cost Basis

Adjustments

7,555.95

Account [REDACTED] Page 18 of 18

JPMorgan Chase Bank, N.A.
GHISLAINE MAXWELL - TAP DYS
270 Park Avenue, New York, NY 10017-2014
ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Investment Management Account

J.P. Morgan Team

James Buckley

Jason Bell

Janet Young

Gina Magliocco

Jason Grosse

Online access

Banker

Investment Specialist

Client Service Team

Client Service Team

Client Service Team

www.jpmorganonline.com

Please see disclosures located at the end of this statement package for important information relating to each J.P.Morgan account(s).

[REDACTED]
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Account Summary

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Holdings

Equity

Alternative Assets

Cash & Fixed Income

Portfolio Activity

4

6

9

12

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GHISLAINE MAXWELL - TAP DYS

Account Summary

Asset Allocation

Equity

Alternative Assets

Cash & Fixed Income

Market Value

Accruals

Market Value with Accruals

Beginning

Market Value

78,589.33

228,397.34

702,810.97

\$1,009,797.64

862.06

\$1,010,659.70

Ending

Market Value

81,266.26

230,915.10

711,877.64

\$1,024,059.00

289.47

\$1,024,348.47

Current

Portfolio Activity

Beginning Market Value

Contributions

Withdrawals & Fees

Net Contributions/Withdrawals

Income & Distributions

Change In Investment Value

Ending Market Value

Accruals

Market Value with Accruals

(1,284.55)

(\$1,284.55)

3,330.53

12,215.38

\$1,024,059.00

289.47

\$1,024,348.47

Period Value

1,009,797.64

Change

In Value

2,676.93

2,517.76

9,066.67

\$14,261.36

(572.59)
\$13,688.77
Alternative
Assets
Year-to-Date
Value
0.00
1,000,000.00
(1,284.55)
\$998,715.45
7,096.59
18,246.96
\$1,024,059.00
289.47
\$1,024,348.47
Estimated
2,324.37
6,380.95
32,188.00
Current
Annual Income Allocation
8%
22%
70%
\$40,893.32
100%
Equity
Cash &
Fixed Income
Asset Allocation
ACCT. [REDACTED]
For the Period 4/1/13 to 4/30/13
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GHISLAINE MAXWELL - TAP DYS

Account Summary

Tax Summary

Domestic Dividends/Distributions

Interest Income

Taxable Income

CONTINUED

Current

Period Value

3,330.22

0.31

\$3,330.53

Year-to-Date

Value

7,095.54

1.05

\$7,096.59

Unrealized Gain/Loss

To-Date Value

\$18,195.23

ST Realized Gain/Loss

Realized Gain/Loss

Current

Period Value

Year-to-Date

Value

51.73

\$51.73

ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Cost Summary

Equity

Cash & Fixed Income

Total

706,166.42

Cost

75,227.00

\$781,393.42

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GHISLAINE MAXWELL - TAP DYS

Equity Summary

Asset Categories

US Large Cap Equity

Asia ex-Japan Equity

Total Value

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Yield

Beginning

Market Value

37,668.03

40,921.30

\$78,589.33

Ending

Market Value

38,181.48

43,084.78

\$81,266.26

Current

Period Value

81,266.26

75,227.00

6,039.26

2,324.37

2.86%

Asia ex-Japan Equity

Change

In Value

513.45

2,163.48

\$2,676.93

Current

Allocation

4%

4%

8%

US Large Cap Equity

Asset Categories

ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Equity as a percentage of your portfolio - 8 %

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GHISLAINE MAXWELL - TAP DYS
ACCT. [REDACTED]
For the Period 4/1/13 to 4/30/13
Equity Detail
Price
US Large Cap Equity
SIT MUT FDS INC DIVIDEND GRWTH
82980D-70-7 SDVG X
Asia ex-Japan Equity
MATTHEWS ASIA DIVIDEND INSTITUTIONAL
577130-75-0 MIPI X
16.33
2,638.382
43,084.78
39,286.00
3,798.78
1,717.58
3.99%
16.36
2,333.831
38,181.48
35,941.00
2,240.48
606.79
1.59%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Inc.
Accrued Div.
Yield
Account [REDACTED] Page 5 of 14
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GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Alternative Assets Summary

Beginning

Asset Categories

Hedge Funds

Real Estate & Infrastructure

Total Value

Estimated Value

174,725.22

53,672.12

\$228,397.34

Ending

Estimated Value

175,333.12

55,581.98

\$230,915.10

Change

In Value

607.90

1,909.86

\$2,517.76

Current

Allocation

17%

5%

22%

Asset Categories

Real Estate & Infrastructure

Hedge Funds

Alternative Assets Detail

Alternative Assets as a percentage of your portfolio - 22 %

Price

Hedge Funds

EATON VANCE FLOATING-RATE

ADVANTAGE I

277923-63-7 EIFA X

GATEWAY FUND - Y

367829-88-4 GTEY X

28.22

1,810.685

51,097.53

49,938.70

11.25

7,524.150

84,646.69

84,120.00

Quantity

Estimated

Value

Cost

GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Price

Hedge Funds

HSBC FDS

TOTAL RETURN I

40428X-15-6 HTRI X

Total Hedge Funds

\$175,333.12

\$173,763.35

Quantity

Estimated

Value

Cost

10.26

3,858.567

39,588.90

39,704.65

Quantity/Original

Commitment Amount

Real Estate & Infrastructure

MANAGED PORTFOLIO SER

TORTOIS INSTL

56166Y-40-4 TORI X

Cost/Net Capital Called

Since Inception

Net Distributions

Since Inception

Estimated

Value

Est. Annual Inc.

Accrued Div.

Yield

2,296.77

30,616.00

33,992.26

206.70

0.61%

Account [REDACTED] Page 7 of 14

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GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Quantity/Original

Commitment Amount

Real Estate & Infrastructure

NUVEEN INVT FDS INC

GLOB INFRAST I

670690-51-0 FGIY X

Total Real Estate & Infrastructure

\$50,707.00

\$0.00

\$55,581.98

\$642.13

Amounts shown above under "Estimated Value" for private equity funds are estimates based on the latest fund values received from each underlying fund, which value may be as of a date (underlying fund value date) prior to the period covered by this statement. The values provided by the underlying fund have been adjusted for any cash flows between your account and such fund that have occurred subsequent to the underlying fund value date to derive the "Estimated Value". Therefore, such "Estimated Value" may not reflect the value of your interest shown on any fund's actual books and records as of the date of this statement. For additional information, please contact your J.P. Morgan representative.

For private equity funds, Estimated Values are based on estimates provided by the underlying funds that are generally presented on a US GAAP basis, which records investments at fair value, or "marked-to-market". Most of these underlying funds also present their audited financial statements on a US GAAP basis (i.e., "marked-to-market"). However, some of these underlying funds present their audited financial statements using the Income Tax Basis of Accounting, which records investments "at cost" based on the accrual basis of accounting for Federal income taxes. Where the underlying fund provides periodic estimates on a "marked-to-market" basis but reflects investments "at cost" in its audited financial statements, the marked-to-market Estimated Value shown herein for a private equity fund may be materially different from the value reflected on such fund's audited financial statements (which are also based on the audited financial statements of the underlying fund).

Cost/Net Capital Called

Since Inception

Net Distributions

Since Inception

Estimated

Value

Est. Annual Inc.

Accrued Div.

Yield

2,025.30

20,091.00

21,589.72

435.43

2.02%

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GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]
For the Period 4/1/13 to 4/30/13
Cash & Fixed Income Summary
Beginning
Asset Categories
Cash
US Fixed Income
Non-US Fixed Income
Foreign Exchange & Non-USD Fixed Income
Total Value
Market Value/Cost
Market Value
Tax Cost
Unrealized Gain/Loss
Estimated Annual Income
Accrued Interest
Yield

SUMMARY BY MATURITY

1
Cash & Fixed Income
0-6 months
1

Market Value

23,858.06
619,442.42
30,026.47
29,484.02
\$702,810.97

Ending

Market Value

25,904.04
625,394.86
30,224.34
30,354.40
\$711,877.64

Current

Period Value

711,877.64
706,166.42
5,711.22
32,188.00
289.47

4.52%

SUMMARY BY TYPE

Market

Value

711,877.64

% of Bond

Portfolio

100%

The years indicate the number of years until the bond is scheduled to mature

based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

Cash & Fixed Income

Cash

International Bonds

Mutual Funds

Other

Total Value

Market

Value

25,904.04

114,649.78

500,384.85

70,938.97

\$711,877.64

% of Bond

Portfolio

3%

16%

72%

9%

100%

US Fixed Income

Change

In Value

2,045.98

5,952.44

197.87

870.38

\$9,066.67

Current

Allocation

3%

61%

3%

3%

70%

Foreign Exchange & Non-USD

Fixed Income

Non-US Fixed Income

Cash

Asset Categories

Cash & Fixed Income as a percentage of your portfolio - 70 %

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GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Note:

1

This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account.

Cash & Fixed Income Detail

Price

Cash

US DOLLAR

1.00

25,904.04

25,904.04

25,904.04

2.59

0.30

US Fixed Income

HARBOR HIGH YIELD BOND FUND - INS

COHEN & STEERS PREFERRED SECURITIES

411511-55-3

13.74

AND INCOME FUND INC

19248X-30-7

HARBOR CONVERTIBLE SEC FD-IS

JPM STR INC OPP FD

411512-73-4

11.97

FUND 3844

4812A4-35-1

PRUDENTIAL TOTAL RETURN BD F

CL Z

74440B-40-5

DOUBLELINE FDS TR

TTL RTN BD I

258620-10-3

11.41

12,498.16

142,603.95

142,229.00

374.95

7,923.83

5.56%

14.77

5,318.18

78,549.46

77,539.00

1,010.46

3,015.90

36,100.32

36,040.00

60.32

1,209.37

87.46

2,818.63

3.35%

3.59%

10.42

1,872.78

19,514.37

19,196.00

318.37

473.81

2.43%

3,742.69

51,424.60

50,602.00

822.60

3,188.77

6.20%

11.38

4,547.24

51,747.61

51,111.00

636.61

2,901.14

5.61%

0.01% ¹

Quantity

Value

Adjusted Tax Cost

Original Cost

Unrealized

Gain/Loss

Est. Annual Income

Accrued Interest

Yield

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GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Price

US Fixed Income

JPM TR I MLT SC INCM SL

RIDGEWORTH FDS

48121A-29-0

9.09

SEIX FLRT HI I

76628T-67-8

T. ROWE PRICE INSTL INCOME FDS FLTG

RATE FD F

77958B-10-5

BLACKROCK HIGH YIELD BOND

Total US Fixed Income

091929-63-8

\$625,394.86

\$619,886.38

\$5,508.48

\$29,775.75

\$289.17

Non-US Fixed Income

PIMCO EMERGING MARKETS CORPORATE

BOND FUND

72201W-85-7

Foreign Exchange & Non-USD Fixed Income

PIMCO EMERGING LOCAL BOND FUND - P

72201M-39-6

12.22

2,473.35

30,224.34

30,348.00

(123.66)

1,120.42

3.71%

4.76%

8.33

6,023.17

50,173.01

49,390.00

783.01

3,023.63

6.03%

10.33

5,394.06

55,720.64

55,397.00

323.64

2,562.17

4.60%

6,502.66

59,109.13
58,784.00
325.13
10.37
7,758.13
80,451.77
79,598.38
853.39
2,878.26
201.71
2,796.14
3.58%
4.73%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Income
Accrued Interest
Yield
11.16
2,719.93
30,354.40
30,028.00
326.40
1,289.24
4.25%

Account [REDACTED] Page 11 of 14
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GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Portfolio Activity Summary

Beginning Cash Balance

Transactions

INFLOWS

Income

Contributions

Total Inflows

OUTFLOWS **

Total Outflows

Fees & Commissions

TRADE ACTIVITY

Settled Sales/Maturities/Redemptions

Settled Securities Purchased

Total Trade Activity

Ending Cash Balance

\$0.00

\$25,904.04

* Year to date information is calculated on a calendar year basis.

** Your account's standing instructions use a HIGH COST method for relieving assets

from your position

39,139.00

(1,019,047.00)

(\$979,908.00)

-Current

Period

Value

23,858.06

3,330.53

\$3,330.53

(1,284.55)

(\$1,284.55)

Year-To-Date

Value*

-7,096.59

1,000,000.00

\$1,007,096.59

(1,284.55)

(\$1,284.55)

Account

[REDACTED] Page 12 of 14

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GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Portfolio Activity Detail

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

4/1

4/1

4/1

4/1

4/1

4/1

4/1

4/2

4/2

Interest Income

Div Domestic

Description

DEPOSIT SWEEP INTEREST FOR 03/01/13 - 03/31/13
@ .01% RATE ON AVG COLLECTED BALANCE OF
\$23,485.12 AS OF 04/01/13

JPM STR INC OPP FD FUND 3844 @ 0.028 PER SHARE
(ID: 4812A4-35-1)

JPM TR I MLT SC INCM SL @ 0.023 PER SHARE
(ID: 48121A-29-0)

BLACKROCK HIGH YIELD BOND 03/28/13 INCOME
DIVIDEND @ 0.043 PER SHARE AS OF 03/28/13
(ID: 091929-63-8)

EATON VANCE FLOATING-RATE ADVANTAGE I 03/28/13
INCOME DIVIDEND @ 0.046 PER SHARE AS OF
03/28/13 (ID: 277923-63-7)

HSBC FDS TOTAL RETURN I 04/01/13 INCOME
DIVIDEND @ 0.005 PER SHARE (ID: 40428X-15-6)

PRUDENTIAL TOTAL RETURN BD F CL Z 03/28/13
INCOME DIVIDEND @ 0.045 PER SHARE AS OF
03/28/13 (ID: 74440B-40-5)

COHEN & STEERS PREFERRED SECURITIES AND INCOME
FUND INC 03/28/13 INCOME DIVIDEND @ 0.071 PER
SHARE AS OF 03/28/13 (ID: 19248X-30-7)

DOUBLELINE FDS TR TTL RTN BD I 03/28/13 INCOME
DIVIDEND @ 0.044 PER SHARE AS OF 03/28/13
(ID: 258620-10-3)

12,498.155

0.044

550.85
3,742.693
0.071
265.73
3,858.567
5,318.176
0.005
0.045
19.30
236.76
7,524.150
0.046
342.80
3,015.900
7,758.126
6,023.171
0.028
0.023
0.043
84.45
178.44
257.51
Quantity
Cost
Per Unit
Amount
Amount
0.31

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GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

4/2

4/2

4/2

4/2

4/2

4/2

4/11

4/18

Div Domestic

Description

HARBOR HIGH YIELD BOND FUND - INS 03/28/13

INCOME DIVIDEND @ 0.123 PER SHARE AS OF

03/28/13 (ID: 411511-55-3)

HARBOR CONVERTIBLE SEC FD-IS 03/28/13 INCOME

DIVIDEND @ 0.038 PER SHARE AS OF 03/28/13

(ID: 411512-73-4)

PIMCO EMERGING LOCAL BOND FUND - P 03/28/13

INCOME DIVIDEND @ 0.043 PER SHARE AS OF

03/28/13 (ID: 72201M-39-6)

PIMCO EMERGING MARKETS CORPORATE BOND FUND

03/28/13 INCOME DIVIDEND @ 0.036 PER SHARE AS

OF 03/28/13 (ID: 72201W-85-7)

RIDGEWORTH FDS SEIX FLRT HI I 04/01/13 INCOME

DIVIDEND @ 0.035 PER SHARE AS OF 04/01/13

(ID: 76628T-67-8)

T. ROWE PRICE INSTL INCOME FDS FLTG RATE FD F

03/28/13 INCOME DIVIDEND @ 0.035 PER SHARE AS

OF 03/28/13 (ID: 77958B-10-5)

SIT MUT FDS INC DIVIDEND GRWTH 04/10/13 INCOME

DIVIDEND @ 0.061 PER SHARE AS OF 04/10/13

(ID: 82980D-70-7)

Fees & Commissions JPMORGAN CHASE INVESTMENT MANAGEMENT FEE FOR

THE PERIOD 01-28-2013 TO 03-31-2013

Total Inflows & Outflows

(1,284.55)

\$2,045.98

2,333.831

0.061

143.25

5,394.060

0.035
190.02
6,502.655
0.035
227.95
2,473.350
0.036
87.99
2,719.928
0.043
117.80
1,872.780
0.038
70.29
Quantity
Cost
Per Unit
Amount
4,547.242
0.123
Amount
557.08

Account [REDACTED] Page 14 of 14
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JPMorgan Chase Bank, N.A.
GHISLAINE MAXWELL - TAP ARFI
270 Park Avenue, New York, NY 10017-2014
ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Investment Management Account

J.P. Morgan Team

James Buckley

Jason Bell

Janet Young

Gina Magliocco

Jason Grosse

Online access

Banker

Investment Specialist

Client Service Team

Client Service Team

Client Service Team

www.jpmorganonline.com

Please see disclosures located at the end of this statement package for important information relating to each J.P.Morgan account(s).

[REDACTED]
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Holdings

Alternative Assets

Cash & Fixed Income

Portfolio Activity

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GHISLAINE MAXWELL - TAP ARFI

Account Summary

Asset Allocation

Alternative Assets

Cash & Fixed Income

Market Value

Accruals

Market Value with Accruals

Beginning

Market Value

346,751.85

652,583.28

\$999,335.13

1,143.21

\$1,000,478.34

Ending

Market Value

348,131.79

658,810.05

\$1,006,941.84

749.36

\$1,007,691.20

Current

Portfolio Activity

Beginning Market Value

Contributions

Withdrawals & Fees

Net Contributions/Withdrawals

Income & Distributions

Change In Investment Value

Ending Market Value

Accruals

Market Value with Accruals

(1,111.99)

(\$1,111.99)

1,923.20

6,795.50

\$1,006,941.84

749.36

\$1,007,691.20

Period Value

999,335.13

Change

In Value

1,379.94

6,226.77

\$7,606.71

(393.85)

\$7,212.86

Year-to-Date

Value

0.00
1,000,000.00
(1,111.99)
\$998,888.01
4,595.39
3,458.44
\$1,006,941.84
749.36
\$1,007,691.20
Alternative
Assets
Estimated
5,984.01
22,009.62
Current
Annual Income Allocation
35%
65%
\$27,993.63
100%
Cash &
Fixed Income
Asset Allocation
ACCT. [REDACTED]
For the Period 4/1/13 to 4/30/13
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GHISLAINE MAXWELL - TAP ARFI
Account Summary
Tax Summary
Domestic Dividends/Distributions
Interest Income
Taxable Income
CONTINUED
Current
Period Value
1,922.89
0.31
\$1,923.20
Year-to-Date
Value
4,594.28
1.11
\$4,595.39
Unrealized Gain/Loss
ACCT. [REDACTED]
For the Period 4/1/13 to 4/30/13
To-Date Value
\$3,458.44
Cost Summary
Cash & Fixed Income
656,404.40
Cost
Total
\$656,404.40
Account [REDACTED] Page 3 of 9
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GHISLAINE MAXWELL - TAP ARFI
Alternative Assets Summary
Beginning
Asset Categories
Hedge Funds
Estimated Value
346,751.85
Alternative Assets Detail
Price
Hedge Funds
HSBC FDS
TOTAL RETURN I
40428X-15-6 HTRI X
PIMCO FDS
UNCONSTR BD
72201M-45-3 PUCP X
PRUDENTIAL INVT PORTFOLIOS 9
PRU ABRTN FD Z
74441J-82-9 PADZ X
Total Hedge Funds
\$348,131.79
\$347,079.00
10.05
9,986.070
100,360.00
100,360.00
11.59
17,107.465
198,275.52
197,078.00
Quantity
Estimated
Value
Cost
ACCT. [REDACTED]
For the Period 4/1/13 to 4/30/13
Ending
Estimated Value
348,131.79
Change
In Value
1,379.94
Current
Allocation
35%
10.26
4,824.198
49,496.27
49,641.00
Account [REDACTED] Page 4 of 9
Consolidated Statement Page 76

GHISLAINE MAXWELL - TAP ARFI

Cash & Fixed Income Summary

Beginning

Asset Categories

Cash

US Fixed Income

Total Value

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Accrued Interest

Yield

SUMMARY BY MATURITY

1

Cash & Fixed Income

0-6 months

1

Market Value

22,510.19

630,073.09

\$652,583.28

ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Ending

Market Value

23,321.40

635,488.65

\$658,810.05

Current

Period Value

658,810.05

656,404.40

2,405.65

22,009.62

749.36

3.34%

SUMMARY BY TYPE

Market

Value

658,810.05

% of Bond

Portfolio

100%

The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

Cash & Fixed Income

Cash

International Bonds

Mutual Funds
Total Value
Market
Value
23,321.40
151,753.55
483,735.10
\$658,810.05
% of Bond
Portfolio
3%
23%
74%
100%
US Fixed Income
Change
In Value
811.21
5,415.56
\$6,226.77
Current
Allocation
2%
63%
65%
Cash
Asset Categories
Cash & Fixed Income as a percentage of your portfolio - 65 %
Account [REDACTED] Page 5 of 9
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GHISLAINE MAXWELL - TAP ARFI ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Note:

1

This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account.

Cash & Fixed Income Detail

Price

Cash

US DOLLAR

1.00

23,321.40

23,321.40

23,321.40

2.33

0.30

US Fixed Income

JPM STR INC OPP FD

FUND 3844

4812A4-35-1

DOUBLELINE FDS TR

TTL RTN BD I

258620-10-3

EATON VANCE FLOATING RATE I

JPM TR I MLT SC INCM SL

RBC FDS TR

277911-49-1

10.37

48121A-29-0

10.16

BLUBAY ABRTR I

74926P-34-0

Total US Fixed Income

\$635,488.65

\$633,083.00

\$2,405.65

\$22,007.29

\$749.06

3.46%

12,911.80

131,183.88

131,313.00

(129.12)

14,669.40

152,121.64

150,508.00

1,613.64

5,442.34

381.40

955.47
3.58%
0.73%
9.23
5,463.94
50,432.19
50,159.00
273.19
2,191.04
4.34%
11.41
13,146.13
149,997.39
149,603.00
394.39
0.01% ¹
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Income
Accrued Interest
Yield
11.97
12,677.82
151,753.55
151,500.00
253.55
5,083.80
367.66
8,334.64
3.35%
5.56%

GHISLAINE MAXWELL - TAP ARFI ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Portfolio Activity Summary

Beginning Cash Balance

Transactions

INFLOWS

Income

Contributions

Total Inflows

OUTFLOWS **

Total Outflows

Fees & Commissions

TRADE ACTIVITY

Total Trade Activity

Current

Period Value

22,510.19

1,923.20

\$1,923.20

(1,111.99)

(\$1,111.99)

Settled Securities Purchased

\$0.00

Ending Cash Balance

\$23,321.40

* Year to date information is calculated on a calendar year basis.

** Your account's standing instructions use a HIGH COST method for relieving assets

from your position

Year-To-Date

Value*

-4,595.39

1,000,000.00

\$1,004,595.39

(1,111.99)

(\$1,111.99)

(980,162.00)

(\$980,162.00)

-Account

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GHISLAINE MAXWELL - TAP ARFI ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

Portfolio Activity Detail

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

4/1

4/1

4/1

4/1

4/1

4/1

4/2

4/2

4/2

Interest Income

Div Domestic

Description

DEPOSIT SWEEP INTEREST FOR 03/01/13 - 03/31/13

@ .01% RATE ON AVG COLLECTED BALANCE OF

\$22,507.85 AS OF 04/01/13

JPM STR INC OPP FD FUND 3844 @ 0.028 PER SHARE

(ID: 4812A4-35-1)

JPM TR I MLT SC INCM SL @ 0.023 PER SHARE

(ID: 48121A-29-0)

EATON VANCE FLOATING RATE I 03/28/13 INCOME

DIVIDEND @ 0.031 PER SHARE AS OF 03/28/13

(ID: 277911-49-1)

HSBC FDS TOTAL RETURN I 04/01/13 INCOME

DIVIDEND @ 0.005 PER SHARE (ID: 40428X-15-6)

PRUDENTIAL INVT PORTFOLIOS 9 PRU ABRTN FD Z

03/28/13 INCOME DIVIDEND @ 0.026 PER SHARE AS

OF 03/28/13 (ID: 74441J-82-9)

DOUBLELINE FDS TR TTL RTN BD I 03/28/13 INCOME

DIVIDEND @ 0.044 PER SHARE AS OF 03/28/13

(ID: 258620-10-3)

PIMCO FDS UNCONSTR BD 03/28/13 INCOME DIVIDEND

@ 0.004 PER SHARE AS OF 03/28/13

(ID: 72201M-45-3)

RBC FDS TR BLUBAY ABRTR I 03/28/13 INCOME

DIVIDEND @ 0.004 PER SHARE AS OF 03/28/13

(ID: 74926P-34-0)

12,911.799

0.004

57.19
17,107.465
0.008
143.39
13,146.134
0.044
579.41
4,824.198
9,986.070
0.005
0.026
24.13
259.22
12,677.824
14,669.396
5,463.943
0.028
0.023
0.031
354.98
337.40
167.17
Quantity
Cost
Per Unit
Amount
Amount
0.31

Account [REDACTED] Page 8 of 9
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GHISLAINE MAXWELL - TAP ARFI ACCT. [REDACTED]

For the Period 4/1/13 to 4/30/13

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

4/18

Description

Fees & Commissions JPMORGAN CHASE INVESTMENT MANAGEMENT FEE FOR
THE PERIOD 01-28-2013 TO 03-31-2013

Total Inflows & Outflows

Quantity

Cost

Per Unit

Amount

Amount

(1,111.99)

\$811.21

Account [REDACTED] Page 9 of 9

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For the Period 4/1/13 to 4/30/13

For your convenience we have combined statement(s) for activity you conduct through J.P. Morgan in one package. Below are important disclosures relating to these different accounts.

These statements may relate to various account types. Some of the disclosures are applicable to all of your accounts. For ease of reference the disclosures applicable to a particular type of account have been grouped together by descriptive headers.

IMPORTANT GENERAL INFORMATION APPLICABLE TO ALL OF YOUR ACCOUNT(S)

Important Information about Pricing, Valuations, Estimated Annual Income, and Estimated Yield

Market value information (including without limitation, prices, exchange rates, accrued income and bond ratings) furnished herein, some of which has been provided by pricing sources that J.P. Morgan believes to be reliable, is not guaranteed for accuracy but provided for informational purposes and is furnished for the exclusive use of the client. The current price is the value of the financial asset share, unit or contract as priced at the close of the market on the last day of the statement period or the last available price. All values provided for structured yield deposits (for example, JPMorgan London Time Deposits) reflect the original deposit amount only. The value for Real Estate, Mineral Interests and Miscellaneous Assets may not reflect the most current value of the asset.

Important information regarding Auction Rate Securities (ARS). ARS are debt or preferred securities with an interest or dividend rate reset periodically in an auction. Although there may be daily, weekly and monthly resets, there is no guarantee that there will be liquidity. If there are not enough bids at an auction to redeem the securities available for sale, the result may be a failed auction. In the event of a failed auction, there is no assurance that a secondary market will develop or that the security will trade at par or any other price reflected on statements and online. Accordingly, investors should not rely on pricing information appearing in their statements or online with respect to ARS. When J.P. Morgan is unable to obtain a price from an internal or outside source for a particular ARS, the price column on your statement will indicate "unpriced".

Valuations of over-the-counter derivative transactions, including certain derivatives-related deposit products, have been prepared on a mid-market basis. These valuations are sourced from the various issuers of the securities or they are sourced from a third party valuation provider. J.P. Morgan expressly disclaims any responsibility for (1) the accuracy of the models or estimates used in deriving the valuations, (2) any errors or omissions in computing or disseminating the valuations, and (3) any uses to which the valuations are put. Valuations are provided for information purposes only and are intended solely for your own use. Please refer to the trade confirmation for details of each transaction.

Certain assets, including but not limited to, pooled and private investments, non-publicly traded and infrequently traded securities, derivatives, partnership interests and tangible assets are generally illiquid, the value of such asset may have been provided to us by third

parties who may or may not be independent of the issuer or manager. Such information is reflected as of the last date provided to us, and is not independently verified.

In cases where we are unable to obtain a current market value from an internal or outside source for a particular security, the price column on your statement will indicate "unpriced". Although such securities may have value, please note that the value of a security indicated as "unpriced" will not be included in your overall current market value as reflected on the statement.

J.P. Morgan makes no representation, warranty or guarantee, express or implied, that any quoted value represents the actual terms at which securities could be bought or sold or new transactions could be entered into, or the actual terms on which existing transactions or securities could be liquidated. Such values may only be indicative.

When we are unable to obtain a current value from an internal or outside source for a particular security, the price column on your statement will indicate "unpriced".

If a partial call is made with respect to an issue of securities included in your Accounts we will allocate the call by a method we deem fair and equitable.

To the extent applicable, please note the following regarding estimated annual income (EAI) and estimated yield (EY): EAI and EY for certain types of securities could include a return of principal or capital gains in which case the EAI and EY would be overstated. EAI and EY are estimates and the actual income and yield might be lower or higher than the estimated amounts. EY reflects only the income generated by an investment. It does not reflect changes in its price, which may fluctuate.

Offshore Deposits - London and Nassau

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For the Period 4/1/13 to 4/30/13

Deposits in Foreign Branches are not insured by the FDIC or any other Agency of the Federal Government; amounts in such foreign accounts do not have the benefit of any domestic preference applicable to U.S. Banks; certain Foreign accounts are considered reportable to the Internal Revenue Service on a Report of Foreign Bank and Financial Accounts (TD F 90-22.1).

Bank products and services are offered through JPMorgan Chase Bank, N.A. ("JPMCB") and its banking affiliates. Securities are offered by J.P.Morgan Securities LLC ("JPMS") and, to the extent noted below, cleared through J.P. Morgan Clearing Corp. ("JPMCC"). Neither JPMS, nor JPMCC is a bank and are each separate legal entities from its bank or thrift affiliates.

Investment Products: Not FDIC Insured

-No Bank Guarantee -May Lose Value

Fund manager disclosure information available upon request

If you have an investment account that is managed by an SEC-Registered Investment Advisor, J.P. Morgan will provide a copy of the advisor's Form ADV II or brochure upon written request.

These statements are not official documents for income tax reporting purposes and should not be relied upon for such purposes, including determination of income, cost basis, amortization or accretion, or gain/loss. Such information, which may be inaccurate, incomplete or subject to updating, should be confirmed with your records and your tax advisor.

Please take the steps indicated below if you think statement(s) are incorrect or contact your J.P.Morgan team if you require additional information about a transaction on your statement(s).

**IMPORTANT ADDITIONAL INFORMATION APPLICABLE ONLY TO YOUR ASSET ACCOUNT(S)
(LINKED TO JPMS)**

Your Asset Account consists of a bank account that custodies assets linked to a brokerage account through which securities transactions are executed. As a result, the Asset Account statement(s) reflect brokerage transactions executed through JPMS but (except for exchange listed options) held in custody at JPMCB. Securities purchased or sold through JPMS in U.S. markets (other than mutual funds) are cleared through an affiliate of JPMS, in non-U.S. markets securities are cleared through JPMS. Positions in exchange-listed options are held by JPMCC. For your convenience, however, positions in exchange-listed options are presented in Asset Account statement(s) together with other assets held in such account(s). All pertinent information about your settled and pending purchases and sales effected through your JPMS account during the period covered by these statement(s), is summarized in the "Trade Activity" portion of the statement(s).

In Case of Other Errors or Questions About Your Asset Account Statement(s)
Please review your statement(s) and promptly report any inaccuracy or discrepancy including possible unauthorized trading activity, unrecorded dividend payments, unaccounted cash positions,

improper payments or transfers in writing to both the introducing broker, JPMS and the clearing firm, JPMCC at the addresses shown on your statement(s). Any oral communication should be re-confirmed in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA). If you have any questions please contact your J.P.Morgan team.

In your written communication, please provide the following information: (1) your name and account number; (2) the dollar amount of the suspected error; and (3) a description of the error and explanation, if you can, why you believe there is an error. If you need more information, you must describe the item you are unsure about. We must receive your written communication no later than 30 days after the statement on which the error or problem appeared is sent or made available. If you do not so notify us, you agree that the statement activity and account balance(s) are correct.

JPMCC and JPMS are members of the Securities Investor Protection Corp ("SIPC"), a not-for-profit membership corporation funded by broker-dealers registered with the Securities and Exchange Commission. Securities and cash held for a customer at JPMCC are protected by SIPC up to \$500,000 per customer, which includes up to \$250,000 of protection for cash. SIPC does not protect against losses from fluctuations in the value of the securities. Assets held in custody by JPMCB are not subject to SIPC. You may obtain information about SIPC, including the SIPC Brochure, on their website, at "www.sipc.org" or by contacting them at [REDACTED].

In Case of Errors or Questions About Your Electronic Transfers.

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Contact your J.P. Morgan Team at one of the telephone numbers on the front of your statements or write us at J.P. Morgan, 500 Stanton Christiana Road, 1/OPS3, Newark, DE 19713-2107 as soon as you can, if you think your statement is wrong or if you need more information about a transfer on the statement. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared. (1) Tell us your name and account number. (2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information. (3) Tell us the dollar amount of the suspected error. We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

In Case of Errors or Questions About Non-Electronic Transfers (Checks or Deposits)

Contact JPMorgan Chase Bank, N.A. ("JPMCB") Member FDIC immediately if a statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing as soon as possible after your statement was made available to you. For more complete details, see the applicable account agreements and appendices that govern your account.

Deposit products and services are offered by JPMCB Member FDIC.

You must promptly advise your J.P.Morgan representative of material changes in your investment objectives or financial situation or if you wish to modify the management of your account. Unless you inform otherwise, your J.P.Morgan representative will consider the information currently in its files to be complete and accurate.

IMPORTANT ADDITIONAL INFORMATION APPLICABLE ONLY TO YOUR INVESTMENT MANAGEMENT ACCOUNT(S) WHICH REFLECT ASSETS HELD AT JPMORGAN CHASE BANK, N.A.

In case of other errors or questions about other account statement(s) Please review your account statement(s) and promptly report any inaccuracy or discrepancy including possible unauthorized trading activity, unrecorded dividend payments, unaccounted cash positions, improper payments or transfers in writing to JPMCB at the addresses shown on your statement(s). Any oral communication should be re-confirmed in writing to further protect your rights. If you have any questions please contact your J.P. Morgan team.

In your written communication, please provide the following information: (1) your name and account number; (2) the dollar amount of the suspected error; and (3) a description of the error and explanation, if you can, why you believe there is an error. If you need more information, you must describe the item you are unsure about. We must receive your written communication no later than 30 days after the statement on which the error or problem appeared is sent or made available. If you do not so notify us, you agree that the statement activity and account balance(s) are correct.

You must promptly advise your J.P.Morgan representative of material changes in your investment objectives or financial situation or if you wish to modify the management of your account. Unless you inform otherwise, your J.P.Morgan representative will consider the information currently in its files to be complete and accurate.

With reference to JPMS and JPMCC: A financial statement of this organization is available to you for personal inspection at its offices, or a copy will be mailed to you upon written request.

You should have received (or have made available to you) separate confirmations for each securities transaction. All transactions are subject to the terms and conditions stated on the reverse side of such confirmations and are subject to the constitution, by-laws, customs and interpretations of the marketplace where executed and governed by and construed in accordance with the laws of the State of New York and all applicable federal laws and regulations. Further information with respect to commissions and other charges related to the execution of transactions, including options transactions, has been included in confirmations that were previously furnished or have made available to you. Upon written request, JPMS will promptly supply you with the latest information.

IMPORTANT ADDITIONAL INFORMATION APPLICABLE ONLY TO YOUR MARGIN ACCOUNT(S)

All positions in your Margin Account Portfolio(s) are held in custody at J.P. Morgan Clearing Corp. ("JPMCC"), Three Chase Metrotech Center, Brooklyn, NY 11245-001, [REDACTED].

If you have a margin account as permitted by law we may use certain securities in such account for, among other things, settling short sales and lending the securities for short sales, and as a result may receive compensation in connection therewith.

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Please keep the following in mind when using a statement to track your brokerage activity in a margin account: The statement combines your general margin account with the special memorandum account required by Section 220.6 Regulation T. As required by Regulation T, a permanent record of your separate account is available upon your request. Free credit balances in your margin account(s) are not segregated and may be used in the operation of JPMCCs business, subject to the limitations of SEC Rule 15c3-3. Unless otherwise noted, JPMCC or its agents and depositories will hold your securities. Upon your demand, JPMCC will pay to you the amount of your free credit balance, and will deliver to you fully-paid securities held on your behalf.

Interest will be charged on any debit balance; the method of calculating interest is described in a letter sent to all margin customers.

You must promptly advise your J.P.Morgan representative of material changes in your investment objectives or financial situation or if you wish to modify the management of your account. Unless you inform otherwise, your J.P.Morgan representative will consider the information currently in its files to be complete and accurate.

PRODUCT RELATED DISCLOSURE: IMPORTANT INFORMATION ABOUT ALTERNATIVE INVESTMENTS: FOOTNOTES 1 - 4

Private Equity, Hedge Funds, Exchange Funds, Real Estate and Other Alternative Assets

1. Direct private equity investments and pooled private investments (e.g., interests in limited partnerships and limited liability companies) are generally illiquid securities. Values are estimates only and are not warranted for accuracy or completeness. Values do not represent the actual terms at which transactions or securities could be bought or sold or new transactions could be entered into or the actual terms on which existing transactions could be liquidated as of the date of this statement.

Direct private equity investments are generally valued at cost, unless there is an active secondary trading market in the securities, in which case, direct private equity investments are valued using market

prices as of the close of the last business day for this statement period.

Pooled private equity investments are valued according to the General Partner's or Manager's stated methodology. Please refer

to the limited partnership agreement, limited liability company agreement or other operative documentation for each investment for the specific valuation methodology used by the General Partner or

Manager for that investment or for your Investment Management or Trust account contact your J.P. Morgan team. J.P. Morgan expressly disclaims any responsibility for (1) the accuracy of the

information and the methodology used by the General Partner or Manager in deriving valuations, (2) any errors or omissions in compiling or disseminating the valuations, and (3) any use to which the valuations are put. Valuations are provided for information purposes only and are intended solely for your own use.

Hedge funds generally calculate the price (the "Net Asset Value" or "NAV"), 10-15 business days following the last business day of the month. For that reason, hedge fund NAVs shown will generally be

the NAV of the month preceding this statement period. In general, the NAV is stated net of management and incentive fees. The NAV is calculated according to the General Partner's or Manager's stated methodology. Please refer to the limited partnership agreement, limited liability company agreement or other operative documentation for each hedge fund for the specific methodology used by the General Partner or Manager for that hedge fund or for your Investment Management or Trust account contact your J.P. Morgan team. J.P. Morgan expressly disclaims any responsibility for (1) the accuracy of the information and the methodology used by the General Partner or Manager in deriving valuations, (2) any errors or omissions in compiling or disseminating the valuations, and (3) any use to which the valuations are put. Valuations are provided for information purposes only and are intended solely for your own use.

These statements do not provide tax reporting on private investments. Please continue to rely upon the General Partner or Manager of the investment vehicle for this information or for your Investment Management or Trust account contact your J.P. Morgan team.

2. The 'Capital Called Since Inception USD' and 'Cash/Security distributions Since Inception USD' columns are updated monthly to reflect activity (capital calls and distributions), if any.

3. Hedge funds generally allow subscriptions on a monthly or quarterly basis. An interest in a hedge fund or hedge fund of funds is generally as of the first business day of the subscription period. Due to early funding requirements by the applicable fund, your account may be debited for the subscription amount prior to the subscription date. Please refer to the applicable hedge fund's operative documentation for further details or for your Investment Management or Trust account contact your J.P. Morgan team.

Hedge fund redemptions are generally on a monthly, quarterly or annual basis but can sometimes be subject to a multi-year lockup before a redemption is permitted. For redemptions, there is generally a notification period that can be a long interval before the actual redemption date. Redemption proceeds are generally paid 15 calendar days after the final NAV is issued, but can be subject to a holdback of a portion of the proceeds until an annual audit of the Fund has been completed. Please refer to the applicable hedge fund's operative documentation for further details or for your Investment Management or Trust account contact your J.P. Morgan team.

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Global Access Portfolios share certain characteristics with hedge funds. In particular, an investor who withdraws from a Global Access Portfolio will not receive the withdrawal proceeds in their entirety until 30-60 days after completion of the Portfolio's audit, which may be 18 months or longer after the redemption date. Interest might not be paid on any proceeds pending distribution. These restrictions apply even if the investor's account at JPMorgan is closed. The Confidential Private Placement Memorandum and applicable Supplements contain other important information about the Global Access Portfolios and are available upon request or for your Investment Management or Trust account contact your J.P. Morgan team.

4. Alternative assets may include publicly available mutual funds that utilize non-traditional investment management strategies, for example, strategies commonly employed by hedge funds. Mutual funds generally calculate the price (the "Net Asset Value" or "NAV") on a daily basis and mutual fund NAVs shown on your statement generally will be the NAV as of the close of the last business day for this statement period. Please refer to the applicable mutual fund prospectus for further details. If the applicable mutual fund is a JPMorgan Fund, please refer to disclosures on this statement concerning JPMorgan Funds for other important information.

PRODUCT RELATED DISCLOSURE: THE JPMORGAN FUNDS OR THIRD PARTIES

Shares of the funds referenced above are not bank deposits and are not guaranteed by any bank, government entity, or the FDIC. Return and share price will fluctuate and redemption value may be more or less than original cost. While the money market funds seek to maintain a stable net asset value of \$1.00 per share, there is no assurance that they will continue to do so. The estimated annual income and dividend yield figures for mutual funds represent the funds' most recent income dividend annualized.

Prospectuses and other fund information for JPMorgan funds may be obtained by calling your J.P. Morgan team or JPMorgan Distribution Services, Inc. at [REDACTED]. You also may view and

order materials online for JPMorgan funds at www.jpmorganfunds.com.

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