

SCHAFFER CULLEN CAPITAL MANAGEMENT
ATTN CAROLYN ROY
645 FIFTH AVENUE FL 7
NEW YORK NY 10022

JPMorgan Chase Bank, N.A.
GHISLAINE MAXWELL - OAP IHD

ACCT. [REDACTED]

For the Period 7/1/13 to 7/31/13

Investment Management Account

J.P. Morgan Team

James Buckley

Jason Bell

Janet Young

Gina Magliocco

Jason Grosse

Online access

Banker

Investment Specialist

Client Service Team

Client Service Team

Client Service Team

www.jpmorganonline.com

Please see disclosures located at the end of this statement package for important information relating to each J.P.Morgan account(s).

[REDACTED]
Table of Contents

Account Summary

Page

2

Holdings

Equity

Portfolio Activity

4

10

0000001512.00.0.PD.00003.SCHA0AP.20130802

Page 1 of 19

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 7/1/13 to 7/31/13

Account Summary

Asset Allocation

Equity

Market Value

Accruals

Market Value with Accruals

Beginning

Market Value

1,474,647.52

\$1,474,647.52

6,227.02

\$1,480,874.54

Ending

Market Value

1,779,758.70

\$1,779,758.70

3,867.54

\$1,783,626.24

Current

Portfolio Activity

Beginning Market Value

Contributions

Withdrawals & Fees

Net Contributions/Withdrawals

Income & Distributions

Change In Investment Value

Ending Market Value

Accruals

Market Value with Accruals

Period Value

1,474,647.52

250,000.00

(5,003.44)

\$244,996.56

7,388.22

52,726.40

\$1,779,758.70

3,867.54

\$1,783,626.24

Change

In Value

305,111.18

\$305,111.18

(2,359.48)

\$302,751.70

Year-to-Date

Value

0.00

1,750,000.00

(10,089.84)

\$1,739,910.16

32,947.58

6,900.96

\$1,779,758.70

3,867.54

\$1,783,626.24

Estimated

60,660.21

\$60,660.21

Current

Annual Income Allocation

100%

100%

Page 2 of 19

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 7/1/13 to 7/31/13

Account Summary

Tax Summary

Foreign Dividends

Interest Income

Taxable Income

CONTINUED

Current

Period Value

7,387.92

0.30

\$7,388.22

Year-to-Date

Value

32,944.17

3.41

\$32,947.58

ST Realized Gain/Loss

LT Realized Gain/Loss

Realized Gain/Loss

Current

Period Value

(5,621.37)

(\$5,621.37)

Year-to-Date

Value

(5,909.01)

4.44

(\$5,904.57)

Unrealized Gain/Loss

To-Date Value

\$12,805.53

Cost Summary

Equity

Total

1,766,953.17

Cost

\$1,766,953.17

Page 3 of 19

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 7/1/13 to 7/31/13

Equity Summary

Asset Categories

EAFE Equity

Beginning

Market Value

1,474,647.52

Ending

Market Value

1,779,758.70

Change

In Value

305,111.18

Current

Allocation

100%

Current

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Accrued Dividends

Yield

Period Value

1,779,758.70

1,766,953.17

12,805.53

60,660.21

3,867.54

3.40%

Page 4 of 19

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 7/1/13 to 7/31/13

Note:

P

1

indicates position adjusted for Pending Trade Activity.

This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account.

Equity Detail

Price

EAFE Equity

ABB LTD

SPONS ADR

000375-20-4 ABB

ASTRAZENECA PLC

SPONS ADR

046353-10-8 AZN

BAE SYSTEMS PLC

SPONS ADR

05523R-10-7 BAES Y

BAYER A G

SPONS ADR

072730-30-2 BAYR Y

BHP LTD

SPONS ADR

088606-10-8 BHP

BOC HONG KONG HOLDINGS LTD

SPONS ADR

096813-20-9 BHKL Y

BRITISH AMERICAN TOBACCO PLC

SPONS ADR

110448-10-7 BTI

106.50

590.000

62,835.00

62,046.30

788.70

2,496.29

3.97%

62.80

780.000

48,980.10

52,468.00

(3,487.90)

2,457.78

5.02%

62.73

435.000

27,287.55

32,227.68
(4,940.13)
991.80
3.63%
27.04
115.99
1,735.000
325.000
46,914.40
37,695.78
39,277.38
32,896.77
7,637.02
4,799.01
2,033.42
586.62
4.33%
1.56%
50.72
365.000
18,512.80
18,351.75
161.05
1,022.00
5.52%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Inc.
Accrued Div.
Yield
22.04
2,200.000
48,488.00
47,460.92
1,027.08
1,548.80
3.19%
Page 5 of 19

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 7/1/13 to 7/31/13

Price

EAFE Equity

DEUTSCHE POST AG

SPONS ADR

25157Y-20-2 DPSG Y

GDF SUEZ

SPONS ADR

36160B-10-5 GDFZ Y

GLAXOSMITHKLINE PLC

SPONS ADR

[REDACTED] GSK

HSBC HOLDINGS PLC

SPONS ADR

[REDACTED] HBC

IMPERIAL TOBACCO PLC

ADR

453142-10-1 ITYB Y

ISRAEL CHEMICALS LTD

ADR

[REDACTED] ISCH Y

KIRIN HOLDINGS COMPANY LTD

SPONS ADR

497350-30-6 KNBW Y

LUKOIL OAO SPONS ADR

MTN GROUP LTD

[REDACTED] LUKO Y

18.70

SPONS ADR

62474M-10-8 MTNO Y

2,625.000

49,074.38

49,970.21

(895.83)

1,971.37

4.02%

59.02

1,035.000

61,089.84

64,776.50

(3,686.66)

3,160.89

5.17%

14.75

2,175.000

32,087.78

35,337.00

(3,249.22)

578.55

334.19

1.80%
7.99
3,750.000
29,973.75
48,666.96
(18,693.21)
2,133.75
7.12%
66.89
515.000
34,447.32
36,270.85
(1,823.53)
1,736.58
506.98
5.04%
56.75
1,145.000
64,978.75
62,810.83
2,167.92
2,633.50
4.05%
50.96
1,015.000
51,724.40
48,412.36
3,312.04
2,407.58
4.65%
27.98
20.94
2,015.000
1,315.000
56,375.67
27,536.10
47,293.82
27,241.29
9,081.85
294.81
1,787.30
1,731.85
3.17%
6.29%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Inc.

Accrued Div.
Yield
Page 6 of 19

GHISLAINE MAXWELL - OAP IHD
ACCT. ██████████
For the Period 7/1/13 to 7/31/13
Price
EAFE Equity
MUENCHENER RUECKVERSICHERUNGS -
GESELLSCHAFT
ADR

██████████ MURG Y
NESTLE S A
SPONS ADR REPSTG REG SH
██████████ NSRG Y
NIPPON TEL & TEL CORP
ADR

██████████ NTT
NOVARTIS A G
ADR

██████████ NVS
P PETROCHINA CO LTD
ADR

██████████ PTR
PROCEEDS FROM PENDING SALES
RECKITT BENCKISER GROUP PLC
SPONSORED ADR

██████████ RBGL Y
ROCHE HOLDINGS LTD
SPONS ADR

██████████ RHHB Y
ROYAL DUTCH SHELL PLC
ADR

██████████ RDS B
SANOFI

██████████ SNY

Page 7 of 19

70.87
51.48
580.000
1,195.000
41,104.60
61,518.60
41,070.68
60,068.51
33.92
1,450.09
2,088.00
1,499.72
5.08%
2.44%
61.35
840.000
51,530.64

48,247.14
3,283.50
1,364.16
2.65%
1.00
14.19
28,789.150
3,540.000
28,789.15
50,221.98
28,789.15
48,749.99
1,471.99
1,423.08
2.83%
116.58
0.00
466.50
3.46%
25.18
71.61
1,700.000
975.000
42,806.00
69,819.75
44,957.18
68,101.01
(2,151.18)
1,718.74
1,399.10
2,005.57
3.27%
2.87%
67.48
1,055.000
71,192.46
73,413.83
(2,221.37)
1,915.88
2.69%
19.81
2,835.000
56,164.19
53,062.46
3,101.73
1,757.70
3.13%
Quantity
Value
Adjusted Tax Cost
Original Cost

Unrealized
Gain/Loss
Est. Annual Inc.
Accrued Div.
Yield

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 7/1/13 to 7/31/13

Price

EAFE Equity

SIEMENS A G

SPONS ADR

[REDACTED] SI

SINGAPORE TELECOMMUNICATIONS LTD

ADR

[REDACTED] SGAP Y

SMITHS GROUP PLC

SPONSORED ADR

[REDACTED] SMGZ Y

STATOIL ASA

SPONS ADR

[REDACTED] STO

TAIWAN SEMICONDUCTOR MANUFACTURING

CO LTD

SPONS ADR

[REDACTED] TSM

TELEKOMUNIKASI IND

SPONS ADR

[REDACTED] TLK

TESCO PLC

SPONS ADR

[REDACTED] TSCD Y

TOTAL SA

SPONS ADR

[REDACTED] TOT

UNILEVER N V

[REDACTED] UN

40.01

1,630.000

65,216.30

66,467.39

(1,251.09)

1,861.46

2.85%

16.71

53.05

2,225.000

1,070.000

37,168.63

56,763.50

37,696.35

54,046.70

(527.72)

2,716.80

1,430.67

2,743.48

3.85%

4.83%
45.61
975.000
44,469.75
39,925.27
4,544.48
1,188.52
2.67%
16.98
965.000
16,385.70
17,281.74
(896.04)
386.96
2.36%
21.59
1,450.000
31,305.50
35,974.62
(4,669.12)
1,245.55
3.98%
30.85
21.00
1,765.000
1,685.000
54,448.49
35,379.95
51,172.92
33,034.06
3,275.57
2,345.89
2,296.26
997.52
4.22%
2.82%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Inc.
Accrued Div.
Yield
110.46
500.000
55,230.00
52,917.17
2,312.83
1,471.00

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 7/1/13 to 7/31/13

Price

EAFE Equity

UNITED OVERSEAS BANK LTD

SPONS ADR

[REDACTED] UOVE Y

US DOLLAR

VODAFONE GROUP PLC

SPONS ADR

[REDACTED] VOD

ZURICH INSURANCE GROUP-ADR

Total EAFE Equity

[REDACTED] ZURV Y

\$1,779,758.70

\$1,766,953.17

\$12,805.53

\$60,660.21

\$3,867.54

3.41%

26.81

2,025.000

54,288.23

55,630.28

(1,342.05)

33.69

1.00

29.95

1,590.000

31,319.170

2,440.000

53,568.69

31,319.17

73,065.80

50,517.66

31,319.17

69,001.27

4,064.53

3,051.03

519.93

3.13

0.81

3,784.44

2,559.06

0.97%

0.01%

5.18%

Quantity

Value

Adjusted Tax Cost

Original Cost

Unrealized
Gain/Loss
Est. Annual Inc.
Accrued Div.
Yield
Page 9 of 19

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 7/1/13 to 7/31/13

Portfolio Activity Summary

Beginning Cash Balance

Transactions

INFLOWS

Income

Contributions

Total Inflows

OUTFLOWS **

Withdrawals

Fees & Commissions

Tax Payments

Total Outflows

TRADE ACTIVITY

Settled Sales/Maturities/Redemptions

Settled Securities Purchased

Total Trade Activity

Ending Cash Balance

8,910.72

(281,030.32)

(\$272,119.60)

\$31,319.17

* Year to date information is calculated on a calendar year basis.

** Your account's standing instructions use a HIGH COST method for relieving assets

from your position

46,275.45

(1,787,814.02)

(\$1,741,538.57)

-Current

Period

Value

51,053.99

7,388.22

250,000.00

\$257,388.22

(171.15)

(4,150.11)

(682.18)

(\$5,003.44)

Year-To-Date

Value*

-32,947.58

1,750,000.00

\$1,782,947.58

(595.41)

(6,121.75)

(3,372.68)

(\$10,089.84)

Current

Cost
Adjustments
Cost Adjustments
Total Cost Adjustments
Period Value
\$0.00
Year-To-Date
Value*
1,652.99
\$1,652.99
Page 10 of 19

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 7/1/13 to 7/31/13

Portfolio Activity Detail

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

Income

7/1

7/2

7/3

7/5

7/9

7/11

7/12

7/16

7/18

7/25

Interest Income

Foreign Dividend

Description

DEPOSIT SWEEP INTEREST FOR 06/01/13 - 06/30/13

@ .01% RATE ON AVG COLLECTED BALANCE OF

\$48,264.45 AS OF 07/01/13

TELEKOMUNIKASI IND SPONS ADR @ 1.466793 PER SHARE (ID: [REDACTED])

TELEKOMUNIKASI IND SPONS ADR @ 0.26669 PER SHARE (ID: [REDACTED])

ISRAEL CHEMICALS LTD ADR @ 0.1684 PER SHARE (ID: [REDACTED])

COMPANHIA ENERGETICA DE MINAS GERAIS SPONS ADR REP NON VOTING PFD @ 0.321396 PER SHARE AS OF 07/05/13 (ID: [REDACTED])

GLAXOSMITHKLINE PLC SPONS ADR @ 0.550224 PER SHARE (ID: [REDACTED])

HSBC HOLDINGS PLC SPONS ADR @ 0.50 PER SHARE AS OF 07/11/13 (ID: [REDACTED])

TESCO PLC SPONS ADR @ 0.4551 PER SHARE AS OF 07/12/13 (ID: [REDACTED])

TOTAL SA SPONS ADR @ 0.76583 PER SHARE (ID: [REDACTED])

COMPANHIA ENERGETICA DE MINAS GERAIS SPONS ADR REP NON VOTING PFD @ 0.130981 PER SHARE (ID: [REDACTED])

895.000
1,000.000
1,800.000
970.000
890.000
0.55
0.50
0.455
0.766
0.131
492.45
500.00
819.18
742.86
116.57
780.000
780.000
3,750.000
890.000
1.467
0.267
0.168
0.321
1,144.10
208.02
631.50
286.04
Quantity
Cost
Per Unit
Amount
Amount
0.30
Page 11 of 19

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 7/1/13 to 7/31/13

Type

Settle Date Selection Method

Income

7/26

Foreign Dividend

Description

SIEMENS A G SPONS ADR SALE OF ASSETS - HOLDERS

RECEIVE \$3.0560 GROSS RATE LESS ADR FEE OF

\$0.05 FOR EACH SPONSORED ADR HELD. AS OF

07/25/13 (ID: [REDACTED])

7/30

7/31

Foreign Dividend

Foreign Dividend

Total Income

Type

Settle Date Selection Method

Contributions

7/11

Misc Receipt

Description

PHONE INSTRUCTIONS FROM CLIENT FROM [REDACTED]

TO [REDACTED]

Quantity

Cost

TAIWAN SEMICONDUCTOR MANUFACTURING CO LTD SPONS

ADR @ 0.501082 PER SHARE AS OF 07/29/13

(ID: [REDACTED])

PETROCHINA CO LTD ADR @ 2.115642 PER SHARE AS

OF 07/29/13 (ID: [REDACTED])

245.000

2.116

518.33

\$7,388.22

800.000

0.501

400.87

Quantity

Cost

500.000

Per Unit

Amount

3.056

Amount

1,528.00

Amount

250,000.00

Type

Settle Date Selection Method

Withdrawals

7/2

7/3

Expenses

Expenses

Description

TELEKOMUNIKASI IND SPONS ADR REPRESENTS @ .020

DEPOSITORY FEE (ID: ██████████)

TELEKOMUNIKASI IND SPONS ADR REPRESENTS @ .020

DEPOSITORY FEE (ID: ██████████)

Quantity

Cost

Amount

(15.60)

(15.60)

Page 12 of 19

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 7/1/13 to 7/31/13

Type

Settle Date Selection Method

Withdrawals

7/5

7/9

7/16

7/26

Expenses

Expenses

Expenses

Expenses

Description

ISRAEL CHEMICALS LTD ADR REPRESENTS @ .015

DEPOSITORY FEE (ID: [REDACTED])

COMPANHIA ENERGETICA DE MINAS GERAIS SPONS ADR

REP NON VOTING PFD REPRESENTS @ .020 DEPOSITORY

FEE AS OF 07/05/13 (ID: [REDACTED])

TESCO PLC SPONS ADR REPRESENTS @ .020

DEPOSITORY FEE AS OF 07/12/13 (ID: [REDACTED])

SIEMENS A G SPONS ADR \$0.05 ADR FEE FOR EACH

SPONSORED ADR RESULTING FROM 7/26/2013 SALE OF

ASSETS DISTRIBUTION. AS OF 07/25/13

(ID: [REDACTED])

7/31

Expenses

Total Withdrawals

Fees & Commissions

7/23

7/23

PETROCHINA CO LTD ADR REPRESENTS @ .020

DEPOSITORY FEE AS OF 07/29/13 (ID: [REDACTED])

(4.90)

(\$171.15)

(36.00)

(25.00)

Quantity

Cost

Amount

(56.25)

(17.80)

Fees & Commissions JPMORGAN CHASE INVESTMENT MANAGEMENT FEE FOR
THE PERIOD 04-01-2013 TO 06-30-2013

(2,669.24)

Fees & Commissions SCHAFER CULLEN CAPITAL MANAGEMENT MANAGEMENT
FEE FOR 04-01-2013 TO 06-30-2013

Total Fees & Commissions

Tax Payments

7/2

(1,480.87)

(\$4,150.11)

FGN Tax Withheld

TELEKOMUNIKASI IND SPONS ADR TAX WITHHELD

INDONESIA 20.00% (ID: ██████████)

(228.82)

Page 13 of 19

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 7/1/13 to 7/31/13

Type

Settle Date Selection Method

Tax Payments

7/3

7/5

7/18

7/25

FGN Tax Withheld

FGN Tax Withheld

FGN Tax Withheld

FGN Tax Withheld

Description

TELEKOMUNIKASI IND SPONS ADR TAX WITHHELD

INDONESIA 20.00% (ID: [REDACTED])

ISRAEL CHEMICALS LTD ADR TAX WITHHELD ISRAEL

10.90% (ID: [REDACTED])

TOTAL SA SPONS ADR TAX WITHHELD FRANCE 30.00%

(ID: [REDACTED])

SANOFI DUE TO FRENCH TAX CREDITS. THE

UNFAVORABLE TAX RATE HAS BEEN REDUCED TO

29.348267 FROM 30%. RELATED TO DIVIDEND PAYMENT

ON 06/05/2013 (ID: [REDACTED])

7/30

7/31

FGN Tax Withheld

FGN Tax Withheld

Total Tax Payments

TAIWAN SEMICONDUCTOR MANUFACTURING CO LTD SPONS

ADR TAX WITHHELD TAIWAN 20.00% AS OF 07/29/13

(ID: [REDACTED])

PETROCHINA CO LTD ADR TAX WITHHELD CHINA 10.00%

AS OF 07/29/13 (ID: [REDACTED])

(51.83)

(\$682.18)

(80.17)

Quantity

Cost

Amount

(41.60)

(68.52)

(222.86)

11.62

Page 14 of 19

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 7/1/13 to 7/31/13

TRADE ACTIVITY

Note:

Trade Date

Settle Date

7/8

S indicates Short Term Realized Gain/Loss

Type

Selection Method Description

Settled Sales/Maturities/Redemptions

Sale

7/11

7/9

7/12

High Cost

Sale

High Cost

COMPANHIA ENERGETICA DE MINAS GERAIS SPONS ADR

REP NON VOTING PFD @ 8.9563 4,908.05 BROKERAGE

16.44 TAX &/OR SEC .09 ESI SECURITIES COMPANY

(ID: [REDACTED])

COMPANHIA ENERGETICA DE MINAS GERAIS SPONS ADR

REP NON VOTING PFD @ 8.8442 4,032.96 BROKERAGE

13.68 TAX &/OR SEC .08 ESI SECURITIES COMPANY

(ID: [REDACTED])

Total Settled Sales/Maturities/Redemptions

\$8,910.72

(\$9,975.21)

(\$1,064.49) S

(456.000)

8.814

4,019.20

(4,530.57)

(511.37) S

Quantity

(548.000)

Per Unit

Amount

8.926

Proceeds

4,891.52

Tax Cost

(5,444.64)

Realized

Gain/Loss

(553.12) S

Trade Date

Settle Date

Type

Description

Settled Securities Purchased

7/12

Purchase

7/17

7/12

7/17

7/12

7/17

7/12

7/17

Purchase

Purchase

Purchase

ABB LTD SPONS ADR @ 22.4047 6,049.27 BROKERAGE

10.80 ESI SECURITIES COMPANY (ID: 000375-20-4)

ASTRAZENECA PLC SPONS ADR @ 49.4017 3,458.12

BROKERAGE 2.80 ESI SECURITIES COMPANY

(ID: 046353-10-8)

BAE SYSTEMS PLC SPONS ADR @ 25.81 2,968.15

BROKERAGE 4.60 ESI SECURITIES COMPANY

(ID: 05523R-10-7)

BAYER A G SPONS ADR @ 110.5458 3,316.37

BROKERAGE 1.20 ESI SECURITIES COMPANY

(ID: 072730-30-2)

Page 15 of 19

30.000

110.586

(3,317.57)

115.000

25.85

(2,972.75)

Quantity

270.000

70.000

Per Unit

Amount

22.445

49.442

Market Cost

(6,060.07)

(3,460.92)

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 7/1/13 to 7/31/13

Trade Date

Settle Date

Type

Settled Securities Purchased

7/12

Purchase

7/17

7/12

7/17

7/12

7/17

7/12

7/17

7/12

7/17

7/12

7/17

7/12

7/17

7/12

7/17

7/12

7/17

7/12

7/17

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Description

BHP LTD SPONS ADR @ 60.9627 5,181.83 BROKERAGE

3.40 ESI SECURITIES COMPANY (ID: 088606-10-8)

BOC HONG KONG HOLDINGS LTD SPONS ADR @ 62.73

10,036.80 BROKERAGE 6.40 ESI SECURITIES COMPANY

(ID: 096813-20-9)

BRITISH AMERICAN TOBACCO PLC SPONS ADR @

105.5044 10,550.44 BROKERAGE 4.00 ESI

SECURITIES COMPANY (ID: 110448-10-7)

DEUTSCHE POST AG SPONS ADR @ 26.2763 919.67

BROKERAGE 1.40 ESI SECURITIES COMPANY

(ID: 25157Y-20-2)

GDF SUEZ SPONS ADR @ 20.0639 4,313.74 BROKERAGE

8.60 TAX &/OR SEC 8.63 ESI SECURITIES COMPANY

(ID: 36160B-10-5)

GLAXOSMITHKLINE PLC SPONS ADR @ 52.864 6,343.68
BROKERAGE 4.80 ESI SECURITIES COMPANY
(ID: ██████████)
HSBC HOLDINGS PLC SPONS ADR @ 54.8648 7,955.40
BROKERAGE 5.80 ESI SECURITIES COMPANY
(ID: ██████████)
IMPERIAL TOBACCO PLC ADR @ 68.335 5,466.80
BROKERAGE 3.20 ESI SECURITIES COMPANY
(ID: 453142-10-1)
KIRIN HOLDINGS COMPANY LTD SPONS ADR @ 16.35
5,313.75 BROKERAGE 9.75 ESI SECURITIES COMPANY
(ID: 497350-30-6)
MTN GROUP LTD SPONS ADR @ 19.1895 7,196.06
BROKERAGE 11.25 ESI SECURITIES COMPANY
(ID: 62474M-10-8)

Page 16 of 19

375.000
19.219
(7,207.31)
325.000
16.38
(5,323.50)
80.000
68.375
(5,470.00)
145.000
54.905
(7,961.20)
120.000
52.904
(6,348.48)
215.000
20.144
(4,330.97)
35.000
26.316
(921.07)
100.000
105.544
(10,554.44)
Quantity
85.000
160.000
Per Unit
Amount
61.003
62.77
Market Cost
(5,185.23)
(10,043.20)

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 7/1/13 to 7/31/13

Trade Date

Settle Date

Type

Settled Securities Purchased

7/12

Purchase

7/17

7/12

7/17

7/12

7/17

7/12

7/17

7/12

7/17

7/12

7/17

7/12

7/17

7/12

7/17

7/12

7/17

7/12

7/17

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Description

MUENCHENER RUECKVERSICHERUNGS - GESELLSCHAFT

ADR @ 19.0293 10,180.68 BROKERAGE 16.05 ESI

SECURITIES COMPANY (ID: [REDACTED])

NESTLE S A SPONS ADR REPSTG REG SH @ 67.3775

13,138.61 BROKERAGE 7.80 ESI SECURITIES COMPANY

(ID: [REDACTED])

NOVARTIS A G ADR @ 73.4109 10,644.58 BROKERAGE

5.80 ESI SECURITIES COMPANY (ID: [REDACTED])

LUKOIL OAO SPONS ADR @ 60.7725 9,419.74

BROKERAGE 6.20 ESI SECURITIES COMPANY

(ID: [REDACTED])

TELEKOMUNIKASI IND SPONS ADR @ 45.9695 8,964.05

BROKERAGE 7.80 ESI SECURITIES COMPANY

(ID: [REDACTED])

RECKITT BENCKISER GROUP PLC SPONSORED ADR @
14.3018 6,292.79 BROKERAGE 13.20 ESI SECURITIES
COMPANY (ID: ██████████)
ROCHE HOLDINGS LTD SPONS ADR @ 64.4191 6,441.91
BROKERAGE 4.00 ESI SECURITIES COMPANY
(ID: ██████████)
ROYAL DUTCH SHELL PLC ADR @ 69.7441 4,533.37
BROKERAGE 2.60 ESI SECURITIES COMPANY
(ID: ██████████)
SANOFI @ 52.2799 10,455.98 BROKERAGE 8.00 TAX
&/OR SEC 20.92 ESI SECURITIES COMPANY
(ID: ██████████)
SIEMENS A G SPONS ADR @ 107.2165 5,360.83
BROKERAGE 2.00 ESI SECURITIES COMPANY
(ID: ██████████)

Page 17 of 19

50.000
107.257
(5,362.83)
200.000
52.425
(10,484.90)
65.000
69.784
(4,535.97)
100.000
64.459
(6,445.91)
440.000
14.332
(6,305.99)
195.000
46.009
(8,971.85)
145.000
155.000
73.451
60.813
(10,650.38)
(9,425.94)
195.000
67.417
(13,146.41)
Quantity
535.000
Per Unit
Amount
19.059
Market Cost
(10,196.73)

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 7/1/13 to 7/31/13

Trade Date

Settle Date

Type

Settled Securities Purchased

7/12

Purchase

7/17

7/12

7/17

7/12

7/17

7/12

7/17

7/12

7/17

7/12

7/17

7/12

7/17

7/12

7/17

7/12

7/17

7/12

7/17

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Description

SINGAPORE TELECOMMUNICATIONS LTD ADR @ 30.5116
8,085.57 BROKERAGE 10.60 ESI SECURITIES COMPANY
(ID: [REDACTED])

SMITHS GROUP PLC SPONSORED ADR @ 20.966
1,782.11 BROKERAGE 3.40 ESI SECURITIES COMPANY
(ID: [REDACTED])

STATOIL ASA SPONS ADR @ 21.3832 7,056.46
BROKERAGE 13.20 ESI SECURITIES COMPANY
(ID: [REDACTED])

TAIWAN SEMICONDUCTOR MANUFACTURING CO LTD SPONS
ADR @ 18.4048 3,036.79 BROKERAGE 4.95 ESI
SECURITIES COMPANY (ID: [REDACTED])

TESCO PLC SPONS ADR @ 15.993 6,797.03 BROKERAGE
12.75 ESI SECURITIES COMPANY (ID: [REDACTED])

TOTAL SA SPONS ADR @ 50.3189 5,031.89 BROKERAGE
4.00 TAX &/OR SEC 10.07 ESI SECURITIES COMPANY
(ID: ██████████)
UNILEVER N V @ 41.2319 10,514.13 BROKERAGE
10.20 ESI SECURITIES COMPANY (ID: ██████████)
UNITED OVERSEAS BANK LTD SPONS ADR @ 33.14
8,285.00 BROKERAGE 10.00 ESI SECURITIES COMPANY
(ID: ██████████)
VODAFONE GROUP PLC SPONS ADR @ 29.43 12,949.20
BROKERAGE 17.60 ESI SECURITIES COMPANY
(ID: ██████████)
ZURICH INSURANCE GROUP-ADR @ 26.6999 7,742.97
BROKERAGE 11.60 ESI SECURITIES COMPANY
(ID: ██████████)

Page 18 of 19

290.000
26.74
(7,754.57)
440.000
29.47
(12,966.80)
255.000
250.000
41.272
33.18
(10,524.33)
(8,295.00)
425.000
100.000
16.023
50.46
(6,809.78)
(5,045.96)
165.000
18.435
(3,041.74)
330.000
21.423
(7,069.66)
85.000
21.006
(1,785.51)
Quantity
265.000
Per Unit
Amount
30.552
Market Cost
(8,096.17)

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]
For the Period 7/1/13 to 7/31/13
Trade Date
Settle Date
Type
Settled Securities Purchased
7/22
Purchase
7/25
Total Settled Securities Purchased
Trade Date
Type
Est. Settle Date Selection Method Description
Pending Sales, Maturities, Redemptions
Sale
7/31
8/5
PETROCHINA CO LTD ADR (ID: [REDACTED])
Quantity
(245.000)
Per Unit
Amount
117.548
Description
NIPPON TEL & TEL CORP ADR @ 26.4154 44,906.18
BROKERAGE 51.00 ESI SECURITIES COMPANY
(ID: [REDACTED])
(\$281,030.32)
Proceeds
28,789.15
Tax Cost
(33,346.03)
Realized
Gain/Loss
(4,556.88) S
Quantity
1,700.000
Per Unit
Amount
26.445
Market Cost
(44,957.18)
Page 19 of 19

THIS PAGE INTENTIONALLY LEFT BLANK

For the Period 7/1/13 to 7/31/13

For your convenience we have combined statement(s) for activity you conduct through J.P. Morgan in one package. Below are important disclosures relating to these different accounts.

These statements may relate to various account types. Some of the disclosures are applicable to all of your accounts. For ease of reference the disclosures applicable to a particular type of account have been grouped together by descriptive headers.

IMPORTANT GENERAL INFORMATION APPLICABLE TO ALL OF YOUR ACCOUNT(S)

Important Information about Pricing ,Valuations, Estimated Annual Income, and Estimated Yield

Market value information (including without limitation, prices, exchange rates, accrued income and bond ratings) furnished herein, some of which has been provided by pricing sources that J.P. Morgan believes to be reliable, is not guaranteed for accuracy but provided for informational purposes and is furnished for the exclusive use of the client. The current price is the value of the financial asset share, unit or contract as priced at the close of the market on the last day of the statement period or the last available price. All values provided for structured yield deposits (for example, JPMorgan London Time Deposits) reflect the original deposit amount only. The value for Real Estate, Mineral Interests and Miscellaneous Assets may not reflect the most current value of the asset.

Important information regarding Auction Rate Securities (ARS). ARS are debt or preferred securities with an interest or dividend rate reset periodically in an auction. Although there may be daily, weekly and monthly resets, there is no guarantee that there will be liquidity. If there are not enough bids at an auction to redeem the securities available for sale, the result may be a failed auction. In the event of a failed auction, there is no assurance that a secondary market will develop or that the security will trade at par or any other price reflected on statements and online. Accordingly, investors should not rely on pricing information appearing in their statements or online with respect to ARS. When J.P. Morgan is unable to obtain a price from an internal or outside source for a particular ARS, the price column on your statement will indicate "unpriced".

Valuations of over-the-counter derivative transactions, including certain derivatives-related deposit products, have been prepared on a mid-market basis. These valuations are sourced from the various issuers of the securities or they are sourced from a third party valuation provider. J.P. Morgan expressly disclaims any responsibility for (1) the accuracy of the models or estimates used in deriving the valuations, (2) any errors or omissions in computing or disseminating the valuations, and (3) any uses to which the valuations are put. Valuations are provided for information purposes only and are intended solely for your own use. Please refer to the trade confirmation for details of each transaction.

Certain assets, including but not limited to, pooled and private investments, non-publicly traded and infrequently traded securities, derivatives, partnership interests and tangible assets are generally illiquid, the value of such asset may have been provided to us by third

parties who may or may not be independent of the issuer or manager. Such information is reflected as of the last date provided to us, and is not independently verified.

In cases where we are unable to obtain a current market value from an internal or outside source for a particular security, the price column on your statement will indicate "unpriced". Although such securities may have value, please note that the value of a security indicated as "unpriced" will not be included in your overall current market value as reflected on the statement.

J.P. Morgan makes no representation, warranty or guarantee, express or implied, that any quoted value represents the actual terms at which securities could be bought or sold or new transactions could be entered into, or the actual terms on which existing transactions or securities could be liquidated. Such values may only be indicative.

When we are unable to obtain a current value from an internal or outside source for a particular security, the price column on your statement will indicate "unpriced".

If a partial call is made with respect to an issue of securities included in your Accounts we will allocate the call by a method we deem fair and equitable.

To the extent applicable, please note the following regarding estimated annual income (EAI) and estimated yield (EY): EAI and EY for certain types of securities could include a return of principal or capital gains in which case the EAI and EY would be overstated. EAI and EY are estimates and the actual income and yield might be lower or higher than the estimated amounts. EY reflects only the income generated by an investment. It does not reflect changes in its price, which may fluctuate.

Offshore Deposits - London and Nassau

Disclosures Page 1 of 2

For the Period 7/1/13 to 7/31/13

Deposits in Foreign Branches are not insured by the FDIC or any other Agency of the Federal Government; amounts in such foreign accounts do not have the benefit of any domestic preference applicable to U.S Banks; certain Foreign accounts are considered reportable to the Internal Revenue Service on a Report of Foreign Bank and Financial Accounts (TD F 90-22.1).

Bank products and services are offered through JPMorgan Chase Bank, N.A. ("JPMCB") and its banking affiliates. Securities are offered by J.P.Morgan Securities LLC ("JPMS") and, to the extent noted below, cleared through J.P. Morgan Clearing Corp. ("JPMCC"). Neither JPMS, nor JPMCC is a bank and are each separate legal entities from its bank or thrift affiliates.

Investment Products: Not FDIC Insured

-No Bank Guarantee -May Lose Value

Fund manager disclosure information available upon request

If you have an investment account that is managed by an SEC-Registered Investment Advisor, J.P. Morgan will provide a copy of the advisor's Form ADV II or brochure upon written request.

These statements are not official documents for income tax reporting purposes and should not be relied upon for such purposes, including determination of income, cost basis, amortization or accretion, or gain/loss. Such information, which may be inaccurate, incomplete or subject to updating, should be confirmed with your records and your tax advisor.

Please take the steps indicated below if you think statement(s) are incorrect or contact your J.P.Morgan team if you require additional information about a transaction on your statement(s).

IMPORTANT ADDITIONAL INFORMATION APPLICABLE ONLY TO YOUR INVESTMENT MANAGEMENT ACCOUNT(S) WHICH REFLECT ASSETS HELD AT JPMORGAN CHASE BANK, N.A. In case of other errors or questions about other account statement(s)

Please review your account statement(s) and promptly report any inaccuracy or discrepancy including possible unauthorized trading activity, unrecorded dividend payments, unaccounted cash positions, improper payments or transfers in writing to JPMCB at the addresses shown on your statement(s). Any oral communication should be re-confirmed in writing to further protect your rights. If you have any questions please contact your J.P. Morgan team.

In your written communication, please provide the following information: (1) your name and account number; (2) the dollar amount of the suspected error; and (3) a description of the error and explanation, if you can, why you believe there is an error. If you need more information, you must describe the item you are unsure about. We must receive your written communication no later than 30 days after the statement on which the error or problem appeared is sent or made available. If you do not so notify us, you agree that the statement activity and account balance(s) are correct.

You must promptly advise your J.P.Morgan representative of material changes in your investment objectives or financial situation or if you wish to

modify the management of your account. Unless you inform otherwise, your J.P.Morgan representative will consider the information currently in its files to be complete and accurate.
Disclosures Page 2 of 2