

SCHAFFER CULLEN CAPITAL MANAGEMENT  
ATTN CAROLYN ROY  
645 FIFTH AVENUE FL 7  
NEW YORK NY 10022



JPMorgan Chase Bank, N.A.  
GHISLAINE MAXWELL - OAP IHD  
270 Park Avenue, New York, NY 10017-2014  
ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Investment Management Account

J.P. Morgan Team

James Buckley

Jason Bell

Janet Young

Gina Magliocco

Jason Grosse

Online access

Banker

Investment Specialist

Client Service Team

Client Service Team

Client Service Team

[www.jpmorganonline.com](http://www.jpmorganonline.com)

Please see disclosures located at the end of this statement package for important information relating to each J.P.Morgan account(s).

212/464-1536

212/464-2084

800/634-1318

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Account Summary

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Holdings

Equity

Portfolio Activity

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GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Account Summary

Asset Allocation

Equity

Market Value

Accruals

Market Value with Accruals

Beginning

Market Value

1,779,758.70

\$1,779,758.70

3,867.54

\$1,783,626.24

Ending

Market Value

1,730,444.30

\$1,730,444.30

3,820.64

\$1,734,264.94

Current

Portfolio Activity

Beginning Market Value

Contributions

Withdrawals & Fees

Net Contributions/Withdrawals

Income & Distributions

Change In Investment Value

Ending Market Value

Accruals

Market Value with Accruals

(291.74)

(\$291.74)

5,395.07

(54,417.73)

\$1,730,444.30

3,820.64

\$1,734,264.94

Period Value

1,779,758.70

Change

In Value

(49,314.40)

(\$49,314.40)

(46.90)

(\$49,361.30)

Year-to-Date

Value

0.00

1,750,000.00

(10,381.58)

\$1,739,618.42

38,342.65

(47,516.77)

\$1,730,444.30

3,820.64

\$1,734,264.94

Estimated

60,175.87

\$60,175.87

Current

Annual Income Allocation

100%

100%

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GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Account Summary

Tax Summary

Foreign Dividends

Interest Income

Taxable Income

CONTINUED

Current

Period Value

5,394.26

0.81

\$5,395.07

Year-to-Date

Value

38,338.43

4.22

\$38,342.65

ST Realized Gain/Loss

LT Realized Gain/Loss

Realized Gain/Loss

Current

Period Value

(16,902.76)

(\$16,902.76)

Year-to-Date

Value

(22,811.77)

4.44

(\$22,807.33)

Unrealized Gain/Loss

To-Date Value

(\$24,709.44)

Cost Summary

Equity

Total

1,755,153.74

Cost

\$1,755,153.74

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GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Equity Summary

Asset Categories

EAFE Equity

Beginning

Market Value

1,779,758.70

Ending

Market Value

1,730,444.30

Change

In Value

(49,314.40)

Current

Allocation

100%

Current

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Accrued Dividends

Yield

Period Value

1,730,444.30

1,755,153.74

(24,709.44)

60,175.87

3,820.64

3.47%

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GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Note:

1

This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account.

Equity Detail

Price

EAFE Equity

ABB LTD

SPONS ADR

000375-20-4 ABB

ALSTOM

ADR

021244-20-7 ALSM Y

ASTRAZENECA PLC

SPONS ADR

046353-10-8 AZN

BAE SYSTEMS PLC

SPONS ADR

05523R-10-7 BAES Y

BAYER A G

SPONS ADR

072730-30-2 BAYR Y

BHP LTD

SPONS ADR

088606-10-8 BHP

BOC HONG KONG HOLDINGS LTD

SPONS ADR

096813-20-9 BHKL Y

63.06

555.000

34,998.86

36,821.52

(1,822.66)

1,748.80

5.00%

63.28

435.000

27,526.80

32,227.68

(4,700.88)

1,009.20

3.67%

26.92

110.82

1,735.000

325.000

46,709.67

36,014.88  
39,277.38  
32,896.77  
7,432.29  
3,118.11  
2,026.48  
586.62  
4.34%  
1.63%  
3.51  
49.21  
12,070.000  
365.000  
42,377.77  
17,961.65  
44,210.81  
18,351.75  
(1,833.04)  
(390.10)  
869.04  
1,022.00  
295.65  
2.05%  
5.69%  
Quantity  
Value  
Adjusted Tax Cost  
Original Cost  
Unrealized  
Gain/Loss  
Est. Annual Inc.  
Accrued Div.  
Yield  
21.41  
2,200.000  
47,102.00  
47,460.92  
(358.92)  
1,548.80  
3.29%  
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GHISLAINE MAXWELL - OAP IHD ACCT [REDACTED]

For the Period 8/1/13 to 8/31/13

Price

EAFE Equity

BRITISH AMERICAN TOBACCO PLC

SPONS ADR

110448-10-7 BTI

DEUTSCHE POST AG

SPONS ADR

25157Y-20-2 DPSG Y

GDF SUEZ

SPONS ADR

36160B-10-5 GDFZ Y

GLAXOSMITHKLINE PLC

SPONS ADR

37733W-10-5 GSK

HSBC HOLDINGS PLC

SPONS ADR

404280-40-6 HBC

IMPERIAL TOBACCO PLC

ADR

453142-10-1 ITYB Y

ISRAEL CHEMICALS LTD

ADR

465036-20-0 ISCH Y

KIRIN HOLDINGS COMPANY LTD

SPONS ADR

497350-30-6 KNBW Y

LUKOIL OAO SPONS ADR

MTN GROUP LTD

677862-10-4 LUKO Y

18.34

SPONS ADR

62474M-10-8 MTNO Y

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2,625.000

48,150.38

49,970.21

(1,819.83)

1,916.25

3.98%

57.79

1,035.000

59,810.58

64,776.50

(4,965.92)

2,431.21

4.06%

13.76

3,010.000

41,414.59

48,510.86  
(7,096.27)  
800.66  
334.19  
1.93%  
6.97  
1,650.000  
11,495.55  
20,449.05  
(8,953.50)  
858.00  
174.11  
7.46%  
65.96  
515.000  
33,969.40  
36,270.85  
(2,301.45)  
1,739.15  
5.12%  
52.42  
1,145.000  
60,020.90  
62,810.83  
(2,789.93)  
2,690.75  
4.48%  
50.89  
1,015.000  
51,653.35  
48,412.36  
3,240.99  
2,407.58  
505.36  
4.66%  
28.83  
21.63  
2,015.000  
1,980.000  
58,082.38  
42,817.50  
47,293.82  
42,100.10  
10,788.56  
717.40  
1,787.30  
2,665.08  
3.08%  
6.22%  
101.57  
590.000

59,926.30  
62,046.30  
(2,120.00)  
2,498.06  
721.68  
4.17%  
Quantity  
Value  
Adjusted Tax Cost  
Original Cost  
Unrealized  
Gain/Loss  
Est. Annual Inc.  
Accrued Div.  
Yield

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED] B

For the Period 8/1/13 to 8/31/13

Price

EAFE Equity

MUENCHENER RUECKVERSICHERUNGS -

GESELLSCHAFT

ADR

626188-10-6 MURG Y

NESTLE S A

SPONS ADR REPSTG REG SH

641069-40-6 NSRG Y

NIPPON TEL & TEL CORP

ADR

654624-10-5 NTT

NOVARTIS A G

ADR

66987V-10-9 NVS

RECKITT BENCKISER GROUP PLC

SPONSORED ADR

756255-20-4 RBGL Y

ROCHE HOLDINGS LTD

SPONS ADR

771195-10-4 RHHB Y

ROYAL DUTCH SHELL PLC

ADR

780259-10-7 RDS B

SANOFI

80105N-10-5 SNY

SIEMENS A G

SPONS ADR

826197-50-1 SI

67.15

47.78

106.16

400.000

1,195.000

500.000

26,860.00

57,097.10

53,080.00

27,957.37

60,068.51

52,917.17

(1,097.37)

(2,971.41)

162.83

1,440.00

328.05

1,499.72

1,471.00

5.36%

2.63%  
2.77%  
13.57  
62.23  
3,540.000  
700.000  
48,023.64  
43,562.40  
48,749.99  
39,379.81  
(726.35)  
4,182.59  
1,423.08  
542.60  
1,136.80  
2.96%  
2.61%  
25.38  
72.98  
2,210.000  
975.000  
56,089.80  
71,155.50  
58,374.87  
68,101.01  
(2,285.07)  
3,054.49  
1,818.83  
2,005.57  
3.24%  
2.82%  
65.48  
1,055.000  
69,077.18  
73,413.83  
(4,336.65)  
1,915.88  
2.77%  
18.18  
2,835.000  
51,551.64  
53,062.46  
(1,510.82)  
1,757.70  
3.41%  
Quantity  
Value  
Adjusted Tax Cost  
Original Cost  
Unrealized  
Gain/Loss

Est. Annual Inc.  
Accrued Div.  
Yield  
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GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Price

EAFE Equity

SINGAPORE TELECOMMUNICATIONS LTD

ADR

82929R-30-4 SGAP Y

SMITHS GROUP PLC

SPONSORED ADR

83238P-20-3 SMGZ Y

STATOIL ASA

SPONS ADR

85771P-10-2 STO

TAIWAN SEMICONDUCTOR MANUFACTURING

CO LTD

SPONS ADR

874039-10-0 TSM

TELEKOMUNIKASI IND

SPONS ADR

715684-10-6 TLK

TESCO PLC

SPONS ADR

881575-30-2 TSCD Y

TOTAL SA

SPONS ADR

89151E-10-9 TOT

UNILEVER N V

904784-70-9 UN

UNITED OVERSEAS BANK LTD

SPONS ADR

911271-30-2 UOVE Y

US DOLLAR

37.63

31.16

1.00

1,630.000

1,590.000

43,691.470

61,336.90

49,539.63

43,691.47

66,467.39

50,517.66

43,691.47

(5,130.49)

(978.03)

1,861.46

417.45

1,512.09

501.06

4.36

0.49  
3.03%  
3.05%  
0.01%  
Page 8 of 16  
17.01  
55.31  
2,225.000  
1,070.000  
37,849.48  
59,181.70  
37,696.35  
54,046.70  
153.13  
5,135.00  
1,430.67  
2,806.61  
3.78%  
4.74%  
37.06  
690.000  
25,571.40  
27,215.25  
(1,643.85)  
841.11  
3.29%  
16.56  
830.000  
13,744.80  
14,793.04  
(1,048.24)  
332.83  
2.42%  
21.95  
1,450.000  
31,827.50  
35,974.62  
(4,147.12)  
1,245.55  
3.91%  
27.49  
19.83  
1,765.000  
1,685.000  
48,523.38  
33,415.24  
51,172.92  
33,034.06  
(2,649.54)  
381.18  
2,285.67

997.52  
4.71%  
2.99%  
Quantity  
Value  
Adjusted Tax Cost  
Original Cost  
Unrealized  
Gain/Loss  
Est. Annual Inc.  
Accrued Div.  
Yield

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Price

EAFE Equity

VODAFONE GROUP PLC

SPONS ADR

92857W-20-9 VOD

ZURICH INSURANCE GROUP-ADR

Total EAFE Equity

989825-10-4 ZURV Y

\$1,730,444.30

\$1,755,153.74

(\$24,709.44)

\$60,175.87

\$3,820.64

3.48%

24.84

2,025.000

50,298.98

55,630.28

(5,331.30)

32.35

2,440.000

78,934.00

69,001.27

9,932.73

3,784.44

4.79%

Quantity

Value

Adjusted Tax Cost

Original Cost

Unrealized

Gain/Loss

Est. Annual Inc.

Accrued Div.

Yield

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GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Portfolio Activity Summary

Beginning Cash Balance

Transactions

INFLOWS

Income

Contributions

Total Inflows

OUTFLOWS \*\*

Withdrawals

Fees & Commissions

Tax Payments

Total Outflows

TRADE ACTIVITY

Settled Sales/Maturities/Redemptions

Settled Securities Purchased

Total Trade Activity

Ending Cash Balance

92,930.14

(85,661.17)

\$7,268.97

\$43,691.47

\* Year to date information is calculated on a calendar year basis.

\*\* Your account's standing instructions use a HIGH COST method for relieving assets

from your position

139,205.59

(1,873,475.19)

(\$1,734,269.60)

-Current

Period

Value

31,319.17

5,395.07

\$5,395.07

(92.90)

(198.84)

(\$291.74)

Year-To-Date

Value\*

-38,342.65

1,750,000.00

\$1,788,342.65

(688.31)

(6,121.75)

(3,571.52)

(\$10,381.58)

Current

Cost

Adjustments

Cost Adjustments  
Total Cost Adjustments  
Period Value  
498.20  
\$498.20  
Year-To-Date  
Value\*  
2,151.19  
\$2,151.19  
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GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Portfolio Activity Detail

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

Income

8/1

8/9

8/22

8/23

8/30

Interest Income

Foreign Dividend

Foreign Dividend

Foreign Dividend

Foreign Dividend

Total Income

Type

Settle Date Selection Method

Withdrawals

8/9

8/22

Expenses

Expenses

Description

VODAFONE GROUP PLC SPONS ADR REPRESENTS @ .020

DEPOSITORY FEE AS OF 08/07/13 (ID: 92857W-20-9)

LUKOIL OAO SPONS ADR REPRESENTS @ .020

DEPOSITORY FEE AS OF 08/20/13 (ID: 677862-10-4)

Quantity

Cost

Description

DEPOSIT SWEEP INTEREST FOR 07/01/13 - 07/31/13

@ .01% RATE ON AVG COLLECTED BALANCE OF

\$104,273.52 AS OF 08/01/13

VODAFONE GROUP PLC SPONS ADR @ 1.062635 PER

SHARE AS OF 08/07/13 (ID: 92857W-20-9)

LUKOIL OAO SPONS ADR GROSS RATE 1.506759 AS OF

08/20/13 (ID: 677862-10-4)

IMPERIAL TOBACCO PLC ADR @ 1.098944 PER SHARE

(ID: 453142-10-1)

SINGAPORE TELECOMMUNICATIONS LTD ADR @ 0.780213

PER SHARE (ID: 82929R-30-4)

2,000.000

880.000

515.000

1,765.000

1.063

1.507

1.099

0.78  
2,125.27  
1,325.95  
565.96  
1,377.08  
\$5,395.07

Quantity

Cost

Per Unit

Amount

Amount

0.81

Amount

(40.00)

(17.60)

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GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Type

Settle Date Selection Method

Withdrawals

8/30

Expenses

Total Withdrawals

Tax Payments

8/22

8/22

Description

SINGAPORE TELECOMMUNICATIONS LTD ADR REPRESENTS

@ .020 DEPOSITORY FEE (ID: 82929R-30-4)

Quantity

Cost

Amount

(35.30)

(\$92.90)

FGN Tax Withheld

FGN Tax Withheld

Total Tax Payments

TRADE ACTIVITY

Note:

Trade Date

Settle Date

7/31

8/5

8/6

8/9

LUKOIL OAO SPONS ADR TAX WITHHELD RUSSIA 15.00%

AS OF 08/20/13 (ID: 677862-10-4)

(198.89)

SANOFI ADDITIONAL RATE CHANGE FOR DIVIDEND

PAYABLE 06/05/2013 AS OF 07/29/13

(ID: 80105N-10-5)

(\$198.84)

0.05

S indicates Short Term Realized Gain/Loss

\* Settled transaction was initiated in prior statement period and settled in current statement period

Type

Selection Method Description

Settled Sales/Maturities/Redemptions

Sale

High Cost

Sale

High Cost

PETROCHINA CO LTD ADR @ 117.5488 28,799.46

BROKERAGE 9.80 TAX &/OR SEC .51 ESI SECURITIES

COMPANY (ID: 71646E-10-0)

ISRAEL CHEMICALS LTD ADR @ 7.7855 6,734.46  
BROKERAGE 17.30 TAX &/OR SEC .12 ESI SECURITIES  
COMPANY (ID: 465036-20-0)  
(865.000)  
7.765  
6,717.04  
(11,631.86)  
(4,914.82) S  
Quantity  
(245.000)  
Per Unit  
Amount  
117.507  
Proceeds  
28,789.15  
Tax Cost  
(33,346.03)  
Realized  
Gain/Loss  
(4,556.88) S\*  
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GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Trade Date

Settle Date

8/6

8/9

8/7

8/12

8/7

8/12

8/8

8/13

8/16

8/21

8/16

8/21

8/16

8/21

8/19

8/22

8/20

8/23

Type

Selection Method Description

Settled Sales/Maturities/Redemptions

Sale

High Cost

ROYAL DUTCH SHELL PLC ADR @ 66.9621 6,696.21

BROKERAGE 4.00 TAX &/OR SEC .12 ESI SECURITIES

COMPANY WASH SALE ADJUSTMENT 08/09/13

(ID: 780259-10-7)

ISRAEL CHEMICALS LTD ADR @ 7.8068 5,386.69

BROKERAGE 13.80 TAX &/OR SEC .10 ESI SECURITIES

COMPANY (ID: 465036-20-0)

ROYAL DUTCH SHELL PLC ADR @ 67.1803 5,038.52

BROKERAGE 3.00 TAX &/OR SEC .09 ESI SECURITIES  
 COMPANY (ID: 780259-10-7)  
 ISRAEL CHEMICALS LTD ADR @ 7.9179 3,840.18  
 BROKERAGE 9.70 TAX &/OR SEC .07 ESI SECURITIES  
 COMPANY (ID: 465036-20-0)  
 BOC HONG KONG HOLDINGS LTD SPONS ADR @ 63.8153  
 14,358.44 BROKERAGE 9.00 TAX &/OR SEC .25 ESI  
 SECURITIES COMPANY (ID: 096813-20-9)  
 TELEKOMUNIKASI IND SPONS ADR @ 43.9722 659.58  
 BROKERAGE 0.60 TAX &/OR SEC .02 ESI SECURITIES  
 COMPANY (ID: 715684-10-6)  
 TAIWAN SEMICONDUCTOR MANUFACTURING CO LTD SPONS (135.000)  
 ADR @ 16.0205 2,162.77 BROKERAGE 4.05 TAX &/OR  
 SEC .04 ESI SECURITIES COMPANY (ID: 874039-10-0)  
 ISRAEL CHEMICALS LTD ADR @ 7.7977 194.94  
 BROKERAGE 0.75 TAX &/OR SEC .01 ESI SECURITIES  
 COMPANY (ID: 465036-20-0)  
 ISRAEL CHEMICALS LTD ADR @ 7.626 152.52  
 BROKERAGE 0.60 TAX &/OR SEC .01 ESI SECURITIES  
 COMPANY (ID: 465036-20-0)  
 (20.000)  
 7.596  
 151.91  
 (268.60)  
 (116.69) S  
 (25.000)  
 7.767  
 194.18  
 (335.75)  
 (141.57) S  
 15.99  
 2,158.68  
 (2,488.70)  
 (330.02) S  
 (15.000)  
 43.931  
 658.96  
 (732.90)  
 (73.94) S  
 (225.000)  
 63.774  
 14,349.19  
 (15,646.48)  
 (1,297.29) S  
 (485.000)  
 7.898  
 3,830.41  
 (6,513.55)  
 (2,683.14) S  
 (75.000)  
 67.139

5,035.43  
(5,780.03)  
(744.60) S  
(690.000)  
7.787  
5,372.79  
(9,266.70)  
(3,893.91) S  
Quantity  
(100.000)  
Per Unit  
Amount  
66.921  
Proceeds  
6,692.09  
Tax Cost  
(6,960.35)  
Realized  
Gain/Loss  
(268.26) S  
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GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Trade Date

Settle Date

8/20

8/23

8/20

8/23

8/21

8/26

8/21

8/26

8/22

8/27

Type

Selection Method Description

Settled Sales/Maturities/Redemptions

Sale

High Cost

ROCHE HOLDINGS LTD SPONS ADR @ 65.5582 5,900.24

BROKERAGE 3.60 TAX &/OR SEC .11 ESI SECURITIES

COMPANY (ID: 771195-10-4)

ROYAL DUTCH SHELL PLC ADR @ 66.492 332.46

BROKERAGE 0.20 TAX &/OR SEC .01 ESI SECURITIES

COMPANY (ID: 780259-10-7)

ISRAEL CHEMICALS LTD ADR @ 7.4761 112.14

BROKERAGE 0.45 TAX &/OR SEC .01 ESI SECURITIES

COMPANY (ID: 465036-20-0)

ROCHE HOLDINGS LTD SPONS ADR @ 64.6613 3,233.07

BROKERAGE 2.00 TAX &/OR SEC .06 ESI SECURITIES

COMPANY (ID: 771195-10-4)

TELEKOMUNIKASI IND SPONS ADR @ 34.8482 9,409.01

TAX &/OR SEC .17 ESI SECURITIES COMPANY

(ID: 715684-10-6)

Total Settled Sales/Maturities/Redemptions

\$92,930.14

(\$114,389.78)

(\$21,459.64) S

(270.000)

34.848

9,408.84

(11,977.12)

(2,568.28) S

(50.000)  
64.62  
3,231.01  
(3,066.01)  
165.00 S  
(15.000)  
7.445  
111.68  
(201.45)  
(89.77) S  
(5.000)  
66.45  
332.25  
(372.93)  
(40.68) S  
Quantity  
(90.000)  
Per Unit  
Amount  
65.517  
Proceeds  
5,896.53  
Tax Cost  
(5,801.32)  
Realized  
Gain/Loss  
95.21 S  
Trade Date  
Settle Date  
Type  
Description  
Settled Securities Purchased  
8/5  
Purchase  
8/8  
8/6  
8/9  
Purchase  
KIRIN HOLDINGS COMPANY LTD SPONS ADR @ 15.6259  
6,250.36 BROKERAGE 12.00 ESI SECURITIES COMPANY  
(ID: 497350-30-6)  
KIRIN HOLDINGS COMPANY LTD SPONS ADR @ 15.8585  
6,898.45 BROKERAGE 13.05 ESI SECURITIES COMPANY  
(ID: 497350-30-6)  
Page 14 of 16  
435.000  
15.889  
(6,911.50)  
Quantity  
400.000  
Per Unit

Amount  
15.656  
Market Cost  
(6,262.36)

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Trade Date

Settle Date

Type

Settled Securities Purchased

8/6

Purchase

8/9

8/16

8/21

8/19

8/22

8/20

8/23

8/21

8/26

8/21

8/26

8/22

8/27

8/22

8/27

8/23

8/28

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Description

NIPPON TEL & TEL CORP ADR @ 26.2692 13,397.29

BROKERAGE 20.40 ESI SECURITIES COMPANY

(ID: 654624-10-5)

ALSTOM ADR @ 3.6516 9,037.71 BROKERAGE 24.75

TAX &/OR SEC 18.08 ESI SECURITIES COMPANY

(ID: 021244-20-7)

ALSTOM ADR @ 3.6577 11,229.14 BROKERAGE 30.70

TAX &/OR SEC 22.46 ESI SECURITIES COMPANY

(ID: 021244-20-7)

ALSTOM ADR @ 3.6169 8,770.98 BROKERAGE 24.25

TAX &/OR SEC 17.55 ESI SECURITIES COMPANY

(ID: 021244-20-7)

ALSTOM ADR @ 3.6391 10,862.71 BROKERAGE 29.85

TAX &/OR SEC 21.73 ESI SECURITIES COMPANY

(ID: 021244-20-7)

GDF SUEZ SPONS ADR @ 22.1161 6,303.09 BROKERAGE

11.40 TAX &/OR SEC 12.61 ESI SECURITIES COMPANY

(ID: 36160B-10-5)  
ALSTOM ADR @ 3.6829 2,209.74 BROKERAGE 6.00 TAX  
&/OR SEC 4.42 ESI SECURITIES COMPANY  
(ID: 021244-20-7)  
GDF SUEZ SPONS ADR @ 22.4237 3,139.32 BROKERAGE  
5.60 TAX &/OR SEC 6.28 ESI SECURITIES COMPANY  
(ID: 36160B-10-5)  
ALSTOM ADR @ 3.6734 1,891.80 BROKERAGE 5.15 TAX  
&/OR SEC 3.79 ESI SECURITIES COMPANY  
(ID: 021244-20-7)  
515.000  
3.691  
(1,900.74)  
140.000  
22.509  
(3,151.20)  
600.000  
3.70  
(2,220.16)  
285.000  
22.20  
(6,327.10)  
2,985.000  
3.656  
(10,914.29)  
2,425.000  
3.634  
(8,812.78)  
3,070.000  
3.675  
(11,282.30)  
2,475.000  
3.669  
(9,080.54)  
Quantity  
510.000  
Per Unit  
Amount  
26.309  
Market Cost  
(13,417.69)  
Page 15 of 16

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Trade Date

Settle Date

Type

Settled Securities Purchased

8/23

Purchase

8/28

Total Settled Securities Purchased

COST ADJUSTMENTS

Settle Date

Type

Cost Adjustments

8/12

Wash Sale Adj

Description

ROYAL DUTCH SHELL PLC ADR TRADE DATE OF SALE :

08/06/13 (ID: 780259-10-7)

Quantity

480.000

Cost Basis

Adjustments

498.20

Description

GDF SUEZ SPONS ADR @ 22.3341 5,360.18 BROKERAGE

9.60 TAX &/OR SEC 10.73 ESI SECURITIES COMPANY

(ID: 36160B-10-5)

(\$85,661.17)

Quantity

240.000

Per Unit

Amount

22.419

Market Cost

(5,380.51)

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For the Period 8/1/13 to 8/31/13

For your convenience we have combined statement(s) for activity you conduct through J.P. Morgan in one package. Below are important disclosures relating to these different accounts.

These statements may relate to various account types. Some of the disclosures are applicable to all of your accounts. For ease of reference the disclosures applicable to a particular type of account have been grouped together by descriptive headers.

**IMPORTANT GENERAL INFORMATION APPLICABLE TO ALL OF YOUR ACCOUNT(S)**

**Important Information about Pricing ,Valuations, Estimated Annual Income, and Estimated Yield**

Market value information (including without limitation, prices, exchange rates, accrued income and bond ratings) furnished herein, some of which has been provided by pricing sources that J.P. Morgan believes to be reliable, is not guaranteed for accuracy but provided for informational purposes and is furnished for the exclusive use of the client. The current price is the value of the financial asset share, unit or contract as priced at the close of the market on the last day of the statement period or the last available price. All values provided for structured yield deposits (for example, JPMorgan London Time Deposits) reflect the original deposit amount only. The value for Real Estate, Mineral Interests and Miscellaneous Assets may not reflect the most current value of the asset.

Important information regarding Auction Rate Securities (ARS). ARS are debt or preferred securities with an interest or dividend rate reset periodically in an auction. Although there may be daily, weekly and monthly resets, there is no guarantee that there will be liquidity. If there are not enough bids at an auction to redeem the securities available for sale, the result may be a failed auction. In the event of a failed auction, there is no assurance that a secondary market will develop or that the security will trade at par or any other price reflected on statements and online. Accordingly, investors should not rely on pricing information appearing in their statements or online with respect to ARS. When J.P. Morgan is unable to obtain a price from an internal or outside source for a particular ARS, the price column on your statement will indicate "unpriced".

Valuations of over-the-counter derivative transactions, including certain derivatives-related deposit products, have been prepared on a mid-market basis. These valuations are sourced from the various issuers of the securities or they are sourced from a third party valuation provider. J.P. Morgan expressly disclaims any responsibility for (1) the accuracy of the models or estimates used in deriving the valuations, (2) any errors or omissions in computing or disseminating the valuations, and (3) any uses to which the valuations are put. Valuations are provided for information purposes only and are intended solely for your own use. Please refer to the trade confirmation for details of each transaction.

Certain assets, including but not limited to, pooled and private investments, non-publicly traded and infrequently traded securities, derivatives, partnership interests and tangible assets are generally illiquid, the value of such asset may have been provided to us by third

parties who may or may not be independent of the issuer or manager. Such information is reflected as of the last date provided to us, and is not independently verified.

In cases where we are unable to obtain a current market value from an internal or outside source for a particular security, the price column on your statement will indicate "unpriced". Although such securities may have value, please note that the value of a security indicated as "unpriced" will not be included in your overall current market value as reflected on the statement.

J.P. Morgan makes no representation, warranty or guarantee, express or implied, that any quoted value represents the actual terms at which securities could be bought or sold or new transactions could be entered into, or the actual terms on which existing transactions or securities could be liquidated. Such values may only be indicative.

When we are unable to obtain a current value from an internal or outside source for a particular security, the price column on your statement will indicate "unpriced".

If a partial call is made with respect to an issue of securities included in your Accounts we will allocate the call by a method we deem fair and equitable.

To the extent applicable, please note the following regarding estimated annual income (EAI) and estimated yield (EY): EAI and EY for certain types of securities could include a return of principal or capital gains in which case the EAI and EY would be overstated. EAI and EY are estimates and the actual income and yield might be lower or higher than the estimated amounts. EY reflects only the income generated by an investment. It does not reflect changes in its price, which may fluctuate.

Offshore Deposits - London and Nassau

Disclosures Page 1 of 2

For the Period 8/1/13 to 8/31/13

Deposits in Foreign Branches are not insured by the FDIC or any other Agency of the Federal Government; amounts in such foreign accounts do not have the benefit of any domestic preference applicable to U.S Banks; certain Foreign accounts are considered reportable to the Internal Revenue Service on a Report of Foreign Bank and Financial Accounts (TD F 90-22.1).

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Investment Products: Not FDIC Insured

-No Bank Guarantee -May Lose Value

Fund manager disclosure information available upon request

If you have an investment account that is managed by an SEC-Registered Investment Advisor, J.P. Morgan will provide a copy of the advisor's Form ADV II or brochure upon written request.

These statements are not official documents for income tax reporting purposes and should not be relied upon for such purposes, including determination of income, cost basis, amortization or accretion, or gain/loss. Such information, which may be inaccurate, incomplete or subject to updating, should be confirmed with your records and your tax advisor.

Please take the steps indicated below if you think statement(s) are incorrect or contact your J.P.Morgan team if you require additional information about a transaction on your statement(s).

IMPORTANT ADDITIONAL INFORMATION APPLICABLE ONLY TO YOUR INVESTMENT MANAGEMENT ACCOUNT(S) WHICH REFLECT ASSETS HELD AT JPMORGAN CHASE BANK, N.A. In case of other errors or questions about other account statement(s)

Please review your account statement(s) and promptly report any inaccuracy or discrepancy including possible unauthorized trading activity, unrecorded dividend payments, unaccounted cash positions, improper payments or transfers in writing to JPMCB at the addresses shown on your statement(s). Any oral communication should be re-confirmed in writing to further protect your rights. If you have any questions please contact your J.P. Morgan team.

In your written communication, please provide the following information: (1) your name and account number; (2) the dollar amount of the suspected error; and (3) a description of the error and explanation, if you can, why you believe there is an error. If you need more information, you must describe the item you are unsure about. We must receive your written communication no later than 30 days after the statement on which the error or problem appeared is sent or made available. If you do not so notify us, you agree that the statement activity and account balance(s) are correct.

You must promptly advise your J.P.Morgan representative of material changes in your investment objectives or financial situation or if you wish to

modify the management of your account. Unless you inform otherwise, your J.P.Morgan representative will consider the information currently in its files to be complete and accurate.  
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