

GHISLAINE MAXWELL
1000 VENETIAN WAY APT 801
MIAMI FL 33139-1043

For the Period 8/1/13 to 8/31/13

Account Summary

Account

Number

Investment Account(s)

GHISLAINE MAXWELL

GHISLAINE MAXWELL

GHISLAINE MAXWELL - OAP IHD

GHISLAINE MAXWELL - TAP DYS

GHISLAINE MAXWELL - TAP ARFI

Total Value

Beginning Net

Market Value

5,183,548.50

430.00

1,783,626.24

1,007,636.29

991,289.44

\$8,966,530.47

Ending Net

Market Value

5,139,274.77

430.00

1,734,264.94

997,197.48

987,444.55

\$8,858,611.74

This account summary is provided for informational purposes and includes assets at different entities.

(1) Assets held at JPMorgan Chase Bank, N.A., member Federal Deposit Insurance Corporation ("FDIC"), except for exchange listed options, which are held at JPMorgan Clearing Corporation ("JPMCC"). The Asset Account Statement reflects brokerage transactions executed through J.P. Morgan Securities LLC ("JPMS"), see "Portfolio Activity Detail". Equity securities, fixed income securities, and listed options transactions are generally cleared through JPMCC, a wholly owned subsidiary of JPMS. Please see "Additional Information About Your Accounts" at the end of the Asset Account Statement.

(2) Assets held in Margin Account at JPMCC, member Financial Regulatory Authority ("FINRA") and Securities Insurance Protection Corporation ("SIPC"). The Margin Account Statement reflects brokerage transactions executed by JPMS, see "Portfolio Activity Detail". Such transactions are cleared and carried through JPMCC. Please see disclosures located at the end of this statement package for important information relating to each J.P.Morgan account(s).

Change
In Value
(44,273.73)
0.00
(49,361.30)
(10,438.81)
(3,844.89)
(\$107,918.73)

Start on

Page

4

40

45

60

75

0000038664.00.0.9E.00001.MAXWE04.20130904

Consolidated Statement Page 1

THIS PAGE INTENTIONALLY LEFT BLANK

For the Period 8/1/13 to 8/31/13

Consolidated Summary

INVESTMENT ACCOUNTS

Asset Allocation

Equity

Alternative Assets

Cash & Fixed Income

Other

Market Value

Accruals

Market Value with Accruals

Beginning

Market Value

2,858,604.20

633,451.31

5,308,011.69

148,917.08

\$8,948,984.28

17,546.19

\$8,966,530.47

Ending

Market Value

2,814,353.32

630,227.68

5,249,218.60

151,065.93

\$8,844,865.53

13,746.21

\$8,858,611.74

Change

In Value

(44,250.88)

(3,223.63)

(58,793.09)

2,148.85

(\$104,118.75)

(3,799.98)

(\$107,918.73)

Estimated

114,016.21

12,342.68

69,069.66

Current

Annual Income Allocation

32%

7%

Cash &

59%

2%

\$195,428.55

100%

Equity
 Alternative
 Assets
 Fixed Income
 Asset Allocation
 Other
 Current
 Portfolio Activity
 Beginning Market Value
 Net Contributions/Withdrawals
 Income & Distributions
 Change in Investment Value
 Ending Market Value
 Accruals
 Market Value with Accruals
 Period Value
 8,948,984.28
 (291.77)
 (64,008.46)
 (39,818.52)
 \$8,844,865.53
 13,746.21
 \$8,858,611.74
 Year-to-Date
 Value
 8,349,688.16
 (297,668.52)
 34,830.72
 758,015.17
 \$8,844,865.53
 13,746.21
 \$8,858,611.74

This Consolidated Summary shows all of your investments at J.P. Morgan other than investments we hold in trust for you. These investments may be held in custody or investment

management account at JPMorgan Chase Bank, N.A. (the "Bank") or in a brokerage or margin account at J.P. Morgan Clearing Corp. ("JPMCC").

Brokerage and margin accounts are non-discretionary and all investment decisions are made by the client. J.P. Morgan Securities LLC ("JPMS") does not provide advice on asset allocation or investment management

services, nor do its personnel take discretion over any client accounts. Such advice and services are provided exclusively by the Bank.

Consolidated Statement Page 2

For the Period 8/1/13 to 8/31/13
Consolidated Summary
INVESTMENT ACCOUNT(S) YEAR-TO-DATE
Portfolio Activity

GHISLAINE MAXWELL
GHISLAINE MAXWELL
GHISLAINE MAXWELL - OAP IHD
GHISLAINE MAXWELL - TAP DYS
GHISLAINE MAXWELL - TAP ARFI

Total Value

Tax Summary

GHISLAINE MAXWELL
GHISLAINE MAXWELL - OAP IHD
GHISLAINE MAXWELL - TAP DYS
GHISLAINE MAXWELL - TAP ARFI

Total Value

Account
Number



Account
Number



Beginning
Market Value
8,349,258.16
430.00

0.00
0.00
0.00
\$8,349,688.16

Taxable
Income

(39,578.30)
38,342.65
17,461.62
11,137.50
\$27,363.47
Net Contributions/
Withdrawals
(4,031,823.72)
1,739,618.42
996,867.73
997,669.05
(\$297,668.52)

Tax-Exempt

Income

5,193.25

1,108.27

1,165.73

\$7,467.25

¹Unrealized Gain/Loss represents data from the time of account inception to the current statement period.

(22,811.77)

(3,978.62)

(1,903.84)

(\$28,694.23)

Other Income

& Receipts

Income &

Distributions

(34,385.05)

38,342.65

18,569.89

12,303.23

\$34,830.72

Change in

Investment Value

847,096.04

(47,516.77)

(18,451.59)

(23,112.51)

\$758,015.17

Realized Gain/Loss

Short-term

Long-term

(70,239.07)

4.44

Ending Market Value

with Accruals

5,139,274.77

430.00

1,734,264.94

997,197.48

987,444.55

\$8,858,611.74

Unrealized

Gain/Loss¹

(8,719.14)

(24,709.44)

(14,472.97)

(21,208.67)

(\$70,234.63)

(\$69,110.22)

CONTINUED

Consolidated Statement Page 3

JPMorgan Chase Bank, N.A.
GHISLAINE MAXWELL
270 Park Avenue, New York, NY 10017-2014
ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Asset Account

J.P. Morgan Team

James Buckley

Jason Bell

Janet Young

Gina Magliocco

Jason Grosse

Online access

Banker

Investment Specialist

Client Service Team

Client Service Team

Client Service Team

www.jpmorganonline.com

Please see disclosures located at the end of this statement package for important information relating to each J.P.Morgan account(s).

212/464-1536

212/464-2084

800/634-1318

Table of Contents

Account Summary

Holdings

Equity

Alternative Assets

Cash & Fixed Income

Other Assets

Portfolio Activity

Page

2

5

8

9

16

18

Account [REDACTED] Page 1 of 36

Consolidated Statement Page 4

GHISLAINE MAXWELL

Account Summary

Asset Allocation

Equity

Alternative Assets

Cash & Fixed Income

Other

Market Value

Accruals

Market Value with Accruals

Beginning

Market Value

967,067.91

62,735.05

3,992,151.75

148,917.08

\$5,170,871.79

12,676.71

\$5,183,548.50

Ending

Market Value

955,872.67

66,756.11

3,956,450.72

151,065.93

\$5,130,145.43

9,129.34

\$5,139,274.77

Current

Portfolio Activity

Beginning Market Value

Contributions

Withdrawals & Fees

Securities Transferred In

Securities Transferred Out

Net Contributions/Withdrawals

Income & Distributions

Change In Investment Value

Ending Market Value

Accruals

Market Value with Accruals

(179.64)

1,282,452.09

(1,282,272.48)

(\$0.03)

(73,934.06)

33,207.73

\$5,130,145.43

9,129.34

\$5,139,274.77

Period Value

5,170,871.79
Change
In Value
(11,195.24)
4,021.06
(35,701.03)
2,148.85
(\$40,726.36)
(3,547.37)
(\$44,273.73)
Year-to-Date
Value
8,349,258.16
849,480.50
(4,001,791.92)
10,471,888.55
(11,351,400.85)
(\$4,031,823.72)
(34,385.05)
847,096.04
\$5,130,145.43
9,129.34
\$5,139,274.77
Estimated
50,706.16
21,254.80
\$71,960.96
Current
Annual Income Allocation
21%
1%
75%
3%
100%
Cash &
Fixed Income
Equity
Other
Alternative
Assets
Asset Allocation
ACCT. [REDACTED]
For the Period 8/1/13 to 8/31/13
Account [REDACTED] Page 2 of 36
Consolidated Statement Page 5

GHISLAINE MAXWELL

Account Summary

Tax Summary

Domestic Dividends/Distributions

Foreign Dividends

Currency Gain/Loss

Interest Income

Taxable Income

Tax-Exempt Income

Bond Premium Amortization

Tax-Exempt Income

CONTINUED

Current

Period Value

22.58

(79,354.03)

3,867.04

(\$75,464.41)

2,500.00

(969.65)

\$1,530.35

Year-to-Date

Value

7,196.27

7,316.63

(107,940.83)

53,849.63

(\$39,578.30)

8,750.00

(3,556.75)

\$5,193.25

Unrealized Gain/Loss

To-Date Value

(\$8,719.14)

LT Realized Gain/Loss

Realized Gain/Loss

Current

Period Value

(12,597.62)

(\$12,597.62)

Year-to-Date

Value

(70,239.07)

(\$70,239.07)

ACCT. Q52167006

For the Period 8/1/13 to 8/31/13

Note: * This summary is for informational purposes only and is not to be

used for any financial or tax purposes. This summary does not include

securities which are taxable at the

federal level but are state exempt. This summary may not include all income

derived from Municipal Securities; Please consult your tax advisor for tax

implications.

¹ Other category combines all the remaining Tax Exempt Income generated by securities in the account which may not have a state affiliation.

Tax-Exempt Income
Summary by State*

MA

MI

Current

Period Value

Year-to-Date

Value

1,410.07

708.94

Tax-Exempt Income

Summary by State*

TX

Tax-Exempt Income

Current

Period Value

1,530.35

\$1,530.35

Year-to-Date

Value

3,074.24

\$5,193.25

Account [REDACTED] Page 3 of 36

Consolidated Statement Page 6

GHISLAINE MAXWELL ACCT. [REDACTED] 5

For the Period 8/1/13 to 8/31/13

Account Summary

Cost Summary

Equity

Cash & Fixed Income

Other

Total

CONTINUED

Cost

944,362.24

3,964,388.37

92,027.29

\$5,000,777.90

Account [REDACTED] Page 4 of 36

Consolidated Statement Page 7

GHISLAINE MAXWELL

Equity Summary

Asset Categories

US Large Cap Equity

US Small/Mid Cap Equity

Non-US Equity

Preferred Stocks

Concentrated & Other Equity

Total Value

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Accrued Dividends

Yield

Beginning

Market Value

301,484.01

3,930.00

134.40

444,970.00

216,549.50

\$967,067.91

Ending

Market Value

292,573.99

4,290.00

135.18

445,152.00

213,721.50

\$955,872.67

Current

Period Value

955,872.67

944,362.24

11,510.43

50,706.16

4,614.69

5.29%

US Large Cap Equity

Change

In Value

(8,910.02)

360.00

0.78

182.00

(2,828.00)

(\$11,195.24)

Current

Allocation

6%

1%

1%

9%

4%

21%

Preferred Stocks

US Small/Mid Cap Equity

Non-US Equity

Asset Categories

ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Concentrated & Other Equity

Equity as a percentage of your portfolio - 21 %

Account [REDACTED] Page 5 of 36

Consolidated Statement Page 8

GHISLAINE MAXWELL

ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Equity Detail

Price

US Large Cap Equity

APACHE CORP

037411-10-5 APA

BANK OF AMERICA CORP

CITIGROUP INC NEW

SCHLUMBERGER LTD

SPDR S&P 500 ETF TRUST

Total US Large Cap Equity

060505-10-4 BAC

48.33

172967-42-4 C

80.94

806857-10-8 SLB

163.65

78462F-10-3 SPY

\$292,573.99

\$295,532.56

(\$2,958.57)

\$5,253.66

\$35.31

US Small/Mid Cap Equity

GERON CORP

374163-10-3 GERN

Non-US Equity

RIO TINTO PLC

ISIN GB0007188757 SEDOL 0718875

766994-90-9 BP

1.80%

1,495.000

244,656.75

250,407.85

(5,751.10)

113.000

9,146.22

9,524.04

(377.82)

141.25

35.31

4,984.33

1.54%

2.04%

358.000

17,302.14

14,048.52

3,253.62

14.32

0.08%
14.12
944.000
13,329.28
10,148.85
3,180.43
37.76
0.28%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Inc.
Accrued Div.
Yield
85.68
95.000
8,139.60
11,403.30
(3,263.70)
76.00
0.93%
1.43
3,000.000
4,290.00
18,129.32
(13,839.32)
45.06
3.000
135.18
212.23
(77.05)
2.83

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Price

Preferred Stocks

CITIGROUP CAPITAL XIII

7 7/8% PFD

173080-20-1 C PN NA /BA1

COUNTRYWIDE CAPITAL V

7% PFD

222388-20-9 CFC PB

JPM CHASE CAPITAL XXIX

6.7% PFD

48125E-20-7 JPM PC

Total Preferred Stocks

26.00

2,500.000

65,000.00

\$445,152.00

62,500.00

\$422,578.96

2,500.00

\$22,573.04

4,187.50

\$31,227.50

6.44%

7.01%

25.06

4,200.000

105,252.00

98,778.96

6,473.04

7,350.00

6.98%

Quantity

Value

Adjusted Tax Cost

Original Cost

Unrealized

Gain/Loss

Est. Annual Inc.

Accrued Div.

Yield

27.49

10,000.000

274,900.00

261,300.00

13,600.00

19,690.00

7.16%

Concentrated & Other Equity

GENERAL MOTORS CO

48.66
CV PFD B
37045V-20-9 GM PB
JPMORGAN CHASE & CO
7.9% PFD STK APR 30 2049
DTD 04/23/2008
46625H-HA-1 BBB /BA1
Total Concentrated & Other Equity
\$213,721.50
\$207,909.17
\$5,812.33
\$14,225.00
\$4,576.55
6.61%
110.04
150,000.000
165,061.50
157,909.17
7,152.33
11,850.00
3,982.80
7.12%
1,000.000
48,660.00
50,000.00
(1,340.00)
2,375.00
593.75
4.88%

Account [REDACTED] Page 7 of 36
Consolidated Statement Page 10

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 8/1/13 to 8/31/13
Alternative Assets Summary
Beginning
Asset Categories
Hard Assets
Estimated Value
62,735.05
Alternative Assets Detail
Price
Hard Assets
ETFs METAL SECURITIES LTD
ISIN JE00B1VS3770 SEDOL B1VS377
131994-91-5
SPDR GOLD TRUST
Total Hard Assets
78463V-10-7 GLD
\$66,756.11
\$76,999.78
134.62
190.000
25,577.80
31,931.40
136.81
301.000
41,178.31
45,068.38
Quantity
Estimated
Value
Cost
Ending
Estimated Value
66,756.11
Change
In Value
4,021.06
Current
Allocation
1%
Account [REDACTED] Page 8 of 36
Consolidated Statement Page 11

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Cash & Fixed Income Summary

Beginning

Asset Categories

Cash

Non-USD Cash

Non-USD Short Term

US Fixed Income

Non-US Fixed Income

Total Value

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Accrued Interest

Yield

Market Value

2,530,554.08

136,317.56

553,083.93

620,974.57

151,221.61

\$3,992,151.75

Ending

Market Value

2,642,723.04

227,948.14

312,939.50

618,791.14

154,048.90

\$3,956,450.72

Current

Period Value

3,956,450.72

3,964,388.37

(7,937.65)

21,254.80

4,514.65

0.44%

US Fixed Income

Change

In Value

112,168.96

91,630.58

(240,144.43)

(2,183.43)

2,827.29

(\$35,701.03)

Current

Allocation

50%

4%

6%

12%

3%

75%

Non-US Fixed Income

Non-USD Short Term

Non-USD Cash

Cash

Asset Categories

Cash & Fixed Income as a percentage of your portfolio - 75 %

Account [REDACTED] Page 9 of 36

Consolidated Statement Page 12

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

SUMMARY BY MATURITY

1

Cash & Fixed Income

0-6 months

1-5 years¹

10+ years¹

Total Value

1

SUMMARY BY TYPE

Market

Value

3,434,037.22

309,039.50

213,374.00

\$3,956,450.72

% of Bond

Portfolio

88%

7%

5%

100%

The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

Cash & Fixed Income

Cash

NON USD Cash

Corporate Bonds

Municipal Bonds

International Bonds

Mutual Funds

Total Value

Market

Value

2,642,723.04

227,948.14

309,039.50

213,374.00

543,103.97

20,262.07

\$3,956,450.72

% of Bond

Portfolio

69%

5%

7%

5%

13%

1%

100%

Account [REDACTED] Page 10 of 36
Consolidated Statement Page 13

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 8/1/13 to 8/31/13
Cash & Fixed Income Summary
NET FX CONTRACTS EXPOSURE SUMMARY

Value
in Currency
INDIAN RUPEE
US DOLLAR
(6,505,608.22)
96,727.31

Note:
P indicates position adjusted for Pending Trade Activity.

1
Cash & Fixed Income Detail
Price
Cash
US DOLLAR
JPM NY MUNI MMKT FD - MORGAN
FUND 3
7-Day Annualized Yield: .01%

Total Cash
1.00
1.00
2,642,722.04
1.00
2,642,722.04
1.00
\$2,642,723.04
2,642,722.04
1.00
\$2,642,723.04
\$0.00
\$264.27
\$21.14
0.01%
264.27
21.14
0.01%¹
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Income
Accrued Interest
Yield

A - Bonds purchased at a premium show amortization.
This is the Annual Percentage Yield (APY) which is the rate earned if
balances remain on deposit for a full year with compounding, there is no
change in the interest rate and all interest

is left in the account.

Account ~~952167886~~ Page 11 of 36

Consolidated Statement Page 14

GHISLAINE MAXWELL

ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Price

Non-USD Cash

P

AUSTRALIAN DOLLAR

POUND STERLING

HONG KONG DOLLAR

INDIAN RUPEE

TURKISH LIRA NEW

PRINCIPAL CURRENCY

Total Non-USD Cash

0.89

1.55

0.13

0.02

0.49

100.00

8,617.80

950.00

6,418,600.00

239,000.00

89.06

13,330.87

122.51

97,148.48

117,257.22

\$227,948.14

103.25

13,536.35

122.44

95,433.65

124,045.53

\$233,241.22

(14.19)

(205.48)

0.07

1,714.83

(6,788.31)

(\$5,293.08)

\$0.00

0.00%

Quantity

Value

Adjusted Tax Cost

Original Cost

Unrealized

Gain/Loss

Est. Annual Income

Accrued Interest

Yield

Non-USD Short Term

JP MORGAN CHASE BANK - CAD

94.80

LONDON TIME DEPOSITS 0.5700%

DATED 08/28/2013 MATURITY 09/04/2013

HELD BY LONDON TREASURY SERVICES

Bearer

2536R9-9J-3 AD

JP MORGAN CHASE BANK - NOK

LONDON TIME DEPOSITS 0.9000%

DATED 08/28/2013 MATURITY 09/04/2013

HELD BY LONDON TREASURY SERVICES

Bearer

2536R9-9S-3 OK

16.32

1,242,857.10

202,799.55

209,787.88

(6,988.33)

1,825.19

20.27

0.91%

116,186.63

110,139.95

113,784.63

(3,644.68)

627.79

6.93

0.58%

Account [REDACTED] Page 12 of 36

Consolidated Statement Page 15

GHISLAINE MAXWELL

ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Price

Non-USD Short Term

P INTER AMERICAN DEVELOPMENT BANK- INR

MEDIUM TERM NOTE 3% MAR 25 2014

DTD 03/25/2010

HELD BY EUROCLEAR

ISIN XS0495010562 SEDOL B5114T3

U45818-9G-5 AAA /AAA NR

Total Non-USD Short Term

\$312,939.50

\$323,572.51

(\$10,633.01)

\$2,452.98

\$27.20

US Fixed Income

JPM MANAGED RESERVES FD - GBP-C-DIST

ISIN LU0539309053

051398-91-5 BP

ISHARES GBP CORPORATE BOND UCITS ETF

ISIN IE00B00FV011 SEDOL B00FV01

461278-91-3 BP

HSBC FINANCE CORP

MEDIUM TERM NOTE 2.9% OCT 15 2015

DTD 10/15/2010

40429X-YB-6 A /BAA

GENERAL ELEC CAP CORP

MEDIUM TERM FLOATING RATE NOTE

MAY 11 2016 DTD 05/11/2007

36962G-2V-5 AA+ /A1

BANK OF AMERICA CORP

MEDIUM TERM NOTE

3.6% NOV 15 2016

DTD 11/04/2010

06050W-DW-2 A- /BAA

Account Q52167006 Page 13 of 36

Consolidated Statement Page 16

104.38

150,000.00

156,562.50

150,000.00

6,562.50

5,400.00

1,590.00

2.18%

99.55

50,000.00

49,773.00

45,394.50

4,378.50
237.55
13.85
0.64%
192.97
102.70
105.00
100,000.00
20,262.07
102,704.00
19,023.08
100,000.00
1,238.99
183.71
2,704.00
2,900.00
1,095.50
1.60%
9,953.65
7.65
76,115.57
80,157.26
(4,041.69)
0.79%
1.45
0.00
10.45%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Income
Accrued Interest
Yield

GHISLAINE MAXWELL

ACCT. ██████████

For the Period 8/1/13 to 8/31/13

Price

US Fixed Income

A MI ST MUNI BOND AUTH

ST CLEAN WTR REVOLVING FD 5%

OCT 01 2026 DTD 11/02/2006

HELD BY DTC BOOK ENTRY ONLY

PAR CALL 10/01/2016 @ 100

59455T-PF-3 AAA /AAA

A BIRDVILLE TEXAS INDEPENDENT SCHOOL

DIST PERM SCH GTD FUND UNLIMITED TAX

5% FEB 15 2029 DTD 01/15/2007

HELD BY DTC BOOK ENTRY ONLY

PAR CALL 02/15/2017 @ 100

090874-FV-5 AAA /AAA

A MA ST HLTH & EDUCNTL FACS AUTH

REVENUE HARVARD UNIV 5% B

JUL 15 2032 DTD 08/03/2005

HELD BY DTC BOOK ENTRY ONLY

PAR CALL 07/15/2015 @ 100

57586C-FW-0 AAA /AAA

Total US Fixed Income

\$618,791.14

\$607,346.28

\$614,541.84

Non-US Fixed Income

BLUEBAY HIGH YIELD BD FD GBP HDG-DB

ISIN LU0222772518

G13289-92-4 BP

195.23

187.90

36,684.12

39,293.00

(2,608.88)

\$11,444.86

\$18,537.55

\$4,466.31

2.38%

104.61

50,000.00

52,305.00

52,134.79

54,040.00

170.21

2,500.00

319.40

4.63%

107.26

100,000.00

107,258.00

107,100.80

110,503.00

157.20

5,000.00

222.20

4.35%

107.62

50,000.00

53,811.00

53,535.85

55,424.00

275.15

2,500.00

1,041.65

4.23%

Quantity

Value

Adjusted Tax Cost

Original Cost

Unrealized

Gain/Loss

Est. Annual Income

Accrued Interest

Yield

Account [REDACTED] 6 Page 14 of 36

Consolidated Statement Page 17

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 8/1/13 to 8/31/13
Price
Non-US Fixed Income
ISHARES GBP INDEX-LINKED GILTS
UCITS ETF
ISIN IE00B1FZSD53 SEDOL B1G52V0
46578W-92-2 BP
Total Non-US Fixed Income
\$154,048.90
\$157,505.32
(\$3,456.42)
\$0.00
0.00%
20.27
5,790.00
117,364.78
118,212.32
(847.54)
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Income
Accrued Interest
Yield
Market Value
Receivable
Trade Date
Foreign Exchange Contracts
INDIAN RUPEE
US DOLLAR
Currency
Settlement Date Counter Currency
Amount
Counter Amount
Contract
Rate
Current Market
Forward Rate
Market Value
Payable
Unrealized
Gain/Loss
Aug. 27 13
Aug. 30 13
INR
USD
(6,505,608.22)

96,727.31

67.257200

67.257200

96,727.31

96,727.31

Account [REDACTED] Page 15 of 36

Consolidated Statement Page 18

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Other Summary

Asset Categories

Balanced

Beginning

Estimated Value

148,917.08

Ending

Estimated Value

151,065.93

Change

In Value

2,148.85

Current

Allocation

3%

Current

Market Value/Cost

Estimated Value

Tax Cost

Estimated Gain/Loss

Note:

**

Period Value

151,065.93

92,027.29

(2,048.25)

Unrealized Gain/Loss is shown as "N/A" in cases where we do not have appropriate cost information for all tax lots comprising the position.

Please contact your J.P. Morgan team for additional

information.

Other Detail

Price

Balanced

JPM INC OPP FD - GBP - A - DIST(HDG)

ISIN LU0323456201

287991-93-9 BP

139.36

450.272

62,750.00

1,663.11 **

N/A

Quantity

Value

Adjusted Cost

Original Cost

Unrealized

Gain/Loss

Accruals

Account [REDACTED] Page 16 of 36

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 8/1/13 to 8/31/13
Price
Balanced
PIMCO FUNDS GLOBAL INVESTORS SERIES
- GLOBAL INVESTMENT GRADE CREDIT
FUND
ISIN IE00B3BMD843 SEDOL B3BMD84
695421-91-7 BP
Total Balanced
\$151,065.93
\$92,027.29
(\$2,048.25)
20.25
4,361.513
88,315.93
90,364.18
(2,048.25)
Quantity
Value
Adjusted Cost
Original Cost
Unrealized
Gain/Loss
Accruals
Account [REDACTED] 5 Page 17 of 36
Consolidated Statement Page 20

GHISLAINE MAXWELL ACCT. XXXXXXXXXX
 For the Period 8/1/13 to 8/31/13
 Portfolio Activity Summary - U S Dollar
 Beginning Cash Balance
 PRINCIPAL
 Current
 Transactions
 INFLOWS
 Income
 Contributions
 Foreign Exchange - Inflows
 Total Inflows
 OUTFLOWS **
 Total Outflows
 Withdrawals
 \$0.00
 TRADE ACTIVITY
 Settled Sales/Maturities/Redemptions
 Settled Securities Purchased
 Total Trade Activity
 Ending Cash Balance
 \$0.00
 \$2,642,722.04
 17,190.60
 (250,407.85)
 (\$233,217.25)
 -\$0.00
 \$0.00
 \$0.00
 -107,731.81
 \$112,168.96
 Period
 Value
 2,530,553.08
 4,437.15
 Year-To-Date
 Value*
 -57,917.95
 849,480.50
 107,731.81
 \$1,015,130.26
 (4,000,000.00)
 (\$4,000,000.00)
 \$0.00
 \$0.00
 INCOME
 Current
 Period
 Value
 0.00
 Year-To-Date

Value*

-\$0.00

\$0.00

Current

Cost

Adjustments

Amortization

Total Cost Adjustments

Period Value

(969.65)

(\$969.65)

Year-To-Date

Value*

(3,556.75)

(\$3,556.75)

Account [REDACTED] Page 18 of 36

Consolidated Statement Page 21

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Portfolio Activity Summary - U S Dollar

* Year to date information is calculated on a calendar year basis.

** Your account's standing instructions use a HIGH COST method for relieving assets

from your position

Portfolio Activity Detail - U S Dollar

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

8/1

8/1

8/12

8/15

Interest Income

Interest Income

Corporate Interest

Municipal Interest

Description

DEPOSIT SWEEP INTEREST FOR 07/01/13 - 07/31/13

@ .01% RATE ON AVG COLLECTED BALANCE OF

\$2,032,469.27 AS OF 08/01/13

COUNTRYWIDE CAPITAL V 7% PFD @ 0.4375 PER SHARE

(ID: 222388-20-9)

GENERAL ELEC CAP CORP MEDIUM TERM FLOATING RATE

NOTE MAY 11 2016 DTD 05/11/2007

(ID: 36962G-2V-5)

BIRDVILLE TEXAS INDEPENDENT SCHOOL DIST PERM

SCH GTD FUND UNLIMITED TAX 5% FEB 15 2029 DTD

01/15/2007 HELD BY DTC BOOK ENTRY ONLY PAR CALL

02/15/2017 @ 100 (ID: 090874-FV-5)

8/22

8/23

8/30

Div Domestic

Div Domestic

Spot FX

Total Inflows & Outflows

Account Q52167006 Page 19 of 36

APACHE CORP @ 0.20 PER SHARE (ID: 037411-10-5)

CITIGROUP INC NEW @ 0.01 PER SHARE

(ID: 172967-42-4)

LINKED CURRENCY TRANSACTION - SELL BUY USD SELL

IDR EXCHANGE RATE 361.0000000000 DEAL 08/27/13

VALUE 08/30/13 (ID: 0IDRPR-CV-1)

\$112,168.96

Consolidated Statement Page 22

(1,223,941,095.890)

(107,731.81)

107,731.81

95.000
358.000
0.20
0.01
19.00
3.58
100,000.000
0.025
2,500.00
4,200.000
50,000.000
0.438
0.001
1,837.50
60.05
Quantity
Cost
Per Unit
Amount
PRINCIPAL
Amount
17.02
INCOME
Amount

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

COST ADJUSTMENTS

Settle Date

8/15

Type

Amortization

Description

BIRDVILLE TEXAS INDEPENDENT SCHOOL DIST PERM
SCH GTD FUND UNLIMITED TAX 5% FEB 15 2029 DTD
01/15/2007 HELD BY DTC BOOK ENTRY ONLY PAR CALL
02/15/2017 @ 100 MUNICIPAL BOND PREMIUM
AMORTIZATIO (ID: 090874-FV-5)

Quantity

100,000.000

Cost Basis

Adjustments

(969.65)

Account [REDACTED] Page 20 of 36

Consolidated Statement Page 23

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 8/1/13 to 8/31/13
Portfolio Activity Summary - Canadian Dollar
PRINCIPAL
US Dollar Value
Current
Transactions
Beginning Cash Balance
INFLOWS
Total Inflows
Income
OUTFLOWS **
Total Outflows
Withdrawals
Ending Cash Balance
Period Value
-51.69
\$51.69
(51.69)
(\$51.69)
-Year-To-Date
Value*
-454.22
\$454.22
(454.22)
(\$454.22)
-Local
Value
Current
Period Value
0.00
53.75
53.75
(53.75)
(53.75)
0.00
INCOME
US Dollar Value
Current
Transactions
Beginning Cash Balance
Ending Cash Balance
Period Value
--Year-To-Date
Value*
--Local
Value
Current
Period Value
0.00
0.00

Year-To-Date
Value*
--Year-To-Date
Value*
-464.28
464.28
(464.28)
(464.28)
-Account

Page 21 of 36
Consolidated Statement Page 24

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 8/1/13 to 8/31/13
Portfolio Activity Summary - Canadian Dollar
Current

Securities Transferred In/Out

Securities Transferred In

Securities Transferred Out

Period Value

446,709.57

(446,657.90)

Year-To-Date

Value*

3,402,784.59

(3,857,194.32)

* Year to date information is calculated on a calendar year basis.

** Your account's standing instructions use a HIGH COST method for relieving
assets

from your position

Portfolio Activity Detail - Canadian Dollar

INFLOWS & OUTFLOWS

Per Unit

Type

Settle Date Selection Method

8/7

Foreign Interest

Description

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.6200% DATED 07/31/2013 MATURITY 08/07/2013

HELD BY LONDON TREASURY SERVICES

(ID: 2536F9-9X-5)

8/7

8/14

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT CANADIAN DOLLAR

(ID: 0CADPR-AA-6)

Foreign Interest

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.6200% DATED 08/07/2013 MATURITY 08/14/2013

HELD BY LONDON TREASURY SERVICES

(ID: 2536J9-9A-1)

8/14

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT CANADIAN DOLLAR

(ID: 0CADPR-AA-6)

(14.000)

(13.54)

(14.00)

Account Q52167006 Page 22 of 36

Consolidated Statement Page 25

(14.000)

116,146.880

(13.50)

(14.00)

13.54
14.00
Quantity
116,132.880
Amount USD
Local Value
PRINCIPAL
Amount USD
Local Value
13.50
14.00
INCOME
Amount USD
Local Value
Currency
Gain/Loss USD

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

INFLOWS & OUTFLOWS

Per Unit

Type

Settle Date Selection Method

8/21

Foreign Interest

Description

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.5700% DATED 08/14/2013 MATURITY 08/21/2013

HELD BY LONDON TREASURY SERVICES

(ID: 2536L9-9D-2)

8/21

8/28

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT CANADIAN DOLLAR

(ID: 0CADPR-AA-6)

Foreign Interest

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.5700% DATED 08/21/2013 MATURITY 08/28/2013

HELD BY LONDON TREASURY SERVICES

(ID: 2536N9-9G-3)

8/28

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT CANADIAN DOLLAR

(ID: 0CADPR-AA-6)

Total Inflows & Outflows

SECURITIES TRANSFERRED IN/OUT

Notes:

Settle Date Selection Method

Securities Transferred In

8/7

Receipt of Assets

Description

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.6200% DATED 08/07/2013 MATURITY 08/14/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2536J9-9A-1)

(12.880)

(12.26)

(12.88)

\$0.00

(12.870)

116,173.750

(12.39)

(12.87)

12.26

12.88

Quantity

116,160.880

Amount USD

Local Value

PRINCIPAL

Amount USD

Local Value

12.39

12.87

INCOME

Amount USD

Local Value

Currency

Gain/Loss USD

* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax

purposes. The Transaction Market Value shown is in USD.

Type

Quantity

Cost

116,146.880

113,746.44

Transaction

Market Value *

111,959.59

Account [REDACTED] Page 23 of 36

Consolidated Statement Page 26

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Notes:

* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Settle Date Selection Method

Securities Transferred In

8/14

Receipt of Assets

Description

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS
0.5700% DATED 08/14/2013 MATURITY 08/21/2013
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 2536L9-9D-2)

8/21

Receipt of Assets

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS
0.5700% DATED 08/21/2013 MATURITY 08/28/2013
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 2536N9-9G-3)

8/28

Receipt of Assets

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS
0.5700% DATED 08/28/2013 MATURITY 09/04/2013
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 2536R9-9J-3)

Total Securities Transferred In

Securities Transferred Out

8/7

Free Delivery

\$446,709.57

116,186.630

113,784.63

110,596.00

116,173.750

113,772.37

111,850.72

Quantity

Cost

116,160.880

113,759.98

Transaction

Market Value *

112,303.26

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS
0.6200% DATED 07/31/2013 MATURITY 08/07/2013
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 2536F9-9X-5)

8/14

Free Delivery

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.6200% DATED 08/07/2013 MATURITY 08/14/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2536J9-9A-1)

(116,146.880)

113,746.44

(112,289.73)

(116,132.880)

113,732.94

(111,946.10)

Account [REDACTED] Page 24 of 36

Consolidated Statement Page 27

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Notes:

* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Settle Date Selection Method

Securities Transferred Out

8/21

Free Delivery

Description

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.5700% DATED 08/14/2013 MATURITY 08/21/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2536L9-9D-2)

8/28

Free Delivery

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.5700% DATED 08/21/2013 MATURITY 08/28/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2536N9-9G-3)

Total Securities Transferred Out

(\$446,657.90)

(116,173.750)

113,772.37

(110,583.74)

Quantity

Cost

(116,160.880)

113,759.98

Transaction

Market Value *

(111,838.33)

Account [REDACTED] Page 25 of 36

Consolidated Statement Page 28

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 8/1/13 to 8/31/13
Portfolio Activity Summary - Indian Rupee
PRINCIPAL
US Dollar Value
Current
Transactions
Beginning Cash Balance
Ending Cash Balance
Period Value
--Year-To-Date
Value
--INCOME
US
Dollar Value
Current
Transactions
Beginning Cash Balance
Ending Cash Balance
Period Value
--Year-To-Date
Value
--Local
Value
Current
Period Value
0.00
0.00
Year-To-Date
Value
--Local
Value
Current
Period Value
0.00
0.00
Year-To-Date
Value
--Account
[REDACTED]

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Portfolio Activity Detail - Indian Rupee

TRADE ACTIVITY - Indian Rupee

Note:

L indicates Long Term Realized Gain/Loss

Trade Date

Type

Est. Settle Date Selection Method Description

Pending Sales, Maturities, Redemptions

Sale

8/27

8/30

INTER AMERICAN DEVELOPMENT BANK- INR MEDIUM

TERM NOTE 3% MAR 25 2014 DTD 03/25/2010 HELD BY

EUROCLEAR ISIN XS0495010562 SEDOL B5114T3

(ID: U45818-9G-5)

Quantity

(6,700,000.000)

F indicates Foreign Exchange Gain/Loss

Per Unit

Amount USD

Local Value

1.452

95.80

Proceeds USD

Local Value

95,433.65

6,418,600.00

Tax Cost USD

Realized

Local Value Gain/Loss USD

(143,232.17)

(6,465,500.00)

(697.32) L

(47,101.20) F

Account [REDACTED] Page 27 of 36

Consolidated Statement Page 30

GHISLAINE MAXWELL ACCT [REDACTED]
 For the Period 8/1/13 to 8/31/13
 Portfolio Activity Summary - Indonesian Rupia
 PRINCIPAL
 US Dollar Value
 Current
 Transactions
 Beginning Cash Balance
 INFLOWS
 Total Inflows
 Income
 OUTFLOWS **
 Total Outflows
 Foreign Exchange - Outflows
 TRADE ACTIVITY
 Total Trade Activity
 Period Value
 -1,772.83
 \$1,772.83
 (107,731.81)
 (\$107,731.81)
 Settled
 Sales/Maturities/Redemptions
 Ending Cash Balance
 105,958.98
 \$105,958.98
 -Year-To-Date
 Value*
 -1,772.83
 \$1,772.83
 (107,731.81)
 (\$107,731.81)
 105,958.98
 \$105,958.98
 -Local
 Value
 Current
 Period Value
 0.00
 20,141,095.89
 20,141,095.89
 (1,223,941,095.89)
 (1,223,941,095.89)
 1,203,800,000.00
 1,203,800,000.00
 0.00
 INCOME
 US Dollar Value
 Current
 Transactions
 Beginning Cash Balance

Ending Cash Balance

Period Value

--Year-To-Date

Value*

--Local

Value

Current

Period Value

0.00

0.00

Year-To-Date

Value*

--Year-To-Date

Value*

-20,141,095.89

20,141,095.89

(1,223,941,095.89

)

(1,223,941,095.89)

1,203,800,000.00

1,203,800,000.00

-Account

██████████ Page 28 of 36

Consolidated Statement Page 31

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Portfolio Activity Summary - Indonesian Rupia

* Year to date information is calculated on a calendar year basis.

** Your account's standing instructions use a HIGH COST method for relieving assets

from your position

Portfolio Activity Detail - Indonesian Rupia

INFLOWS & OUTFLOWS

Per Unit

Type

Settle Date Selection Method

8/30

Accrued Int Recd

Description

INTER AMERICAN DEVEL BK - IDR MEDIUM TERM NOTE

6.50% JUN 04 2014 DTD 06/04/2010 HELD BY

EUROCLEAR ISIN XS0513770957 SEDOL B4YWHC0

(ID: 0IDRPR-CV-1)

8/30

Spot FX

Total Inflows & Outflows

LINKED CURRENCY TRANSACTION - SELL BUY USD SELL

IDR EXCHANGE RATE 361.0000000000 DEAL 08/27/13

VALUE 08/30/13 (ID: 0IDRPR-CV-1)

(\$105,958.98)

\$0.00

107,731.810

(107,731.81)

(1,223,941,095.89)

Quantity

20,141,095.890

1.00

Amount USD

Local Value

PRINCIPAL

Amount USD

Local Value

1,772.83

20,141,095.89

INCOME

Amount USD

Local Value

Currency

Gain/Loss USD

Account [REDACTED] Page 29 of 36

Consolidated Statement Page 32

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

TRADE ACTIVITY - Indonesian Rupia

L indicates Long Term Realized Gain/Loss

Note:

Trade Date

Settle Date

8/27

8/30

Type

Selection Method Description

Settled Sales/Maturities/Redemptions

Sale

High Cost

Quantity

INTER AMERICAN DEVEL BK - IDR MEDIUM TERM NOTE(1,300,000,000.000)

6.50% JUN 04 2014 DTD 06/04/2010 HELD BY

EUROCLEAR ISIN XS0513770957 SEDOL B4YWHC0

(ID: 45818W-9K-4)

C indicates Currency Gain/Loss

Per Unit

Amount USD

Local Value

0.008

Proceeds USD

Local Value

105,958.98

Tax Cost USD

Realized

Local Value Gain/Loss USD

(150,112.11)

92.60 1,203,800,000.00 (1,339,000,000.00)

(11,900.30) L

(32,252.83) C

Account [REDACTED] Page 30 of 36

Consolidated Statement Page 33

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 8/1/13 to 8/31/13
Portfolio Activity Summary - Norwegian Krone
PRINCIPAL
US Dollar Value

Current
Transactions
Beginning Cash Balance

INFLOWS
Total Inflows
Income

OUTFLOWS **
Total Outflows
Withdrawals

Ending Cash Balance
Period Value
-127.95
\$127.95
(127.95)
(\$127.95)

-Year-To-Date
Value*
-1,316.35
\$1,316.35
(1,316.35)
(\$1,316.35)

-Local
Value
Current
Period Value
0.00
760.95
760.95
(760.95)
(760.95)

INCOME
US Dollar Value
Current
Transactions
Beginning Cash Balance
Ending Cash Balance
Period Value

--Year-To-Date
Value*
--Local
Value
Current
Period Value
0.00
0.00

Year-To-Date
Value*
--Year-To-Date
Value*
-7,621.13
7,621.13
(7,621.13)
(7,621.13)
-Account

██████████ Page 31 of 36
Consolidated Statement Page 34

GHISLAINE MAXWELL ACCT. ██████████
For the Period 8/1/13 to 8/31/13
Portfolio Activity Summary - Norwegian Krone
Current

Securities Transferred In/Out

Securities Transferred In

Securities Transferred Out

Period Value

835,742.52

(835,614.58)

Year-To-Date

Value*

7,069,103.96

(7,494,206.53)

* Year to date information is calculated on a calendar year basis.

** Your account's standing instructions use a HIGH COST method for relieving
assets

from your position

Portfolio Activity Detail - Norwegian Krone

INFLOWS & OUTFLOWS

Per Unit

Type

Settle Date Selection Method

8/7

Foreign Interest

Description

HELD BY LONDON TREASURY SERVICES

(ID: 2536G9-9M-8)

8/7

8/14

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT NORWEGIAN KRONE

(ID: 0NOKPR-AA-1)

Foreign Interest

HELD BY LONDON TREASURY SERVICES

(ID: 2536J9-9N-3)

8/14

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT NORWEGIAN KRONE

(ID: 0NOKPR-AA-1)

(217.400)

(36.81)

(217.40)

Account Q52167006 Page 32 of 36

Consolidated Statement Page 35

(217.370)

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS 1,242,313.520

0.9000% DATED 08/07/2013 MATURITY 08/14/2013

(36.72)

(217.37)

36.81

217.40

Quantity

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS 1,242,096.150

0.9000% DATED 07/31/2013 MATURITY 08/07/2013

Amount USD

Local Value

PRINCIPAL

Amount USD

Local Value

36.72

217.37

INCOME

Amount USD

Local Value

Currency

Gain/Loss USD

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

INFLOWS & OUTFLOWS

Per Unit

Type

Settle Date Selection Method

8/21

Foreign Interest

Description

HELD BY LONDON TREASURY SERVICES

(ID: 2536L9-9S-9)

8/21

8/28

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT NORWEGIAN KRONE

(ID: 0NOKPR-AA-1)

Foreign Interest

HELD BY LONDON TREASURY SERVICES

(ID: 2536N9-9T-5)

8/28

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT NORWEGIAN KRONE

(ID: 0NOKPR-AA-1)

Total Inflows & Outflows

SECURITIES TRANSFERRED IN/OUT

Notes:

Settle Date Selection Method

Securities Transferred In

8/7

Receipt of Assets

Description

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

0.9000% DATED 08/07/2013 MATURITY 08/14/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2536J9-9N-3)

(217.460)

(36.13)

(217.46)

\$0.00

(108.720)

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS 1,242,639.640

0.9000% DATED 08/21/2013 MATURITY 08/28/2013

(18.29)

(108.72)

36.13

217.46

Quantity

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS 1,242,530.920

0.4500% DATED 08/14/2013 MATURITY 08/21/2013

Amount USD

Local Value

PRINCIPAL

Amount USD

Local Value

18.29

108.72

INCOME

Amount USD

Local Value

Currency

Gain/Loss USD

* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Quantity

Cost

1,242,313.520

209,696.65

Transaction

Market Value *

209,862.66

Account [REDACTED] Page 33 of 36

Consolidated Statement Page 36

GHISLAINE MAXWELL ACCT [REDACTED]

For the Period 8/1/13 to 8/31/13

Notes:

* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Settle Date Selection Method

Securities Transferred In

8/14

Receipt of Assets

Description

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS
0.4500% DATED 08/14/2013 MATURITY 08/21/2013
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 2536L9-9S-9)

8/21

Receipt of Assets

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS
0.9000% DATED 08/21/2013 MATURITY 08/28/2013
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 2536N9-9T-5)

8/28

Receipt of Assets

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS
0.9000% DATED 08/28/2013 MATURITY 09/04/2013
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 2536R9-9S-3)

Total Securities Transferred In

Securities Transferred Out

8/7

Free Delivery

\$835,742.52

1,242,857.100

209,787.88

206,497.54

1,242,639.640

209,751.75

209,013.85

Quantity

Cost

1,242,530.920

209,733.46

Transaction

Market Value *

210,368.47

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS
0.9000% DATED 07/31/2013 MATURITY 08/07/2013
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 2536G9-9M-8)

8/14

Free Delivery

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

0.9000% DATED 08/07/2013 MATURITY 08/14/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2536J9-9N-3)

(1,242,313.520)

209,696.65

(210,331.67)

(1,242,096.150)

209,659.93

(209,825.94)

Account [REDACTED] Page 34 of 36

Consolidated Statement Page 37

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Notes:

* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Settle Date Selection Method

Securities Transferred Out

8/21

Free Delivery

Description

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

0.4500% DATED 08/14/2013 MATURITY 08/21/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2536L9-9S-9)

8/28

Free Delivery

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

0.9000% DATED 08/21/2013 MATURITY 08/28/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2536N9-9T-5)

Total Securities Transferred Out

(\$835,614.58)

(1,242,639.640)

209,751.75

(206,461.41)

Quantity

Cost

(1,242,530.920)

209,733.46

Transaction

Market Value *

(208,995.56)

Account [REDACTED] Page 35 of 36

Consolidated Statement Page 38

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 8/1/13 to 8/31/13
Settled Foreign Exchange Contracts
Currency

Trade Date

Settle Date Counter Currency

Trade Related

INDONESIAN RUPIA

U S DOLLAR

Aug. 27 13

Aug. 30 13

USD

IDR (1,223,941,095.89) 11361.000000

107,731.81

11361.000000

107,731.81

(107,731.81)

Amount

Counter Amount Contract Rate Revaluation Rate

Contracted Base

Amount USD

Revalued

Amount USD

Currency G/L

Account [REDACTED] Page 36 of 36

Consolidated Statement Page 39

J.P. Morgan Securities LLC
GHISLAINE MAXWELL
383 Madison Avenue, New York, NY 10179
ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Margin Account

J.P. Morgan Team

James Buckley

Jason Bell

Janet Young

Gina Magliocco

Jason Grosse

Online access

Banker

Investment Specialist

Client Service Team

Client Service Team

Client Service Team

www.jpmorganonline.com

Transactions cleared and carried through J.P. Morgan Clearing Corp. - Three
Chase Metrotech Center, Brooklyn, NY 11245-0001,
(347) 643-2578

Please see disclosures located at the end of this statement package for
important information relating to each J.P.Morgan account(s).

212/464-1536

212/464-2084

800/634-1318

Table of Contents

Account Summary

Holdings

Cash & Fixed Income

Portfolio Activity

3

5

Page

2

Account [REDACTED] Page 1 of 5

Consolidated Statement Page 40

GHISLAINE MAXWELL

Account Summary

MARGIN

Cash

Asset Allocation

Cash & Fixed Income

Market Value

Market Value

0.00

\$0.00

Margin

Market Value

430.00

\$430.00

Current

Portfolio Activity

Beginning Market Value

Ending Market Value

Cost Summary

Cash & Fixed Income

Total

Period Value

430.00

\$430.00

Short

Market Value

0.00

\$0.00

Year-to-Date

Value

430.00

\$430.00

430.00

Cost

\$430.00

Investment Objectives

Speculation Permitted

Capital Appreciation

Total

430.00

\$430.00

Estimated

\$0.00

Current

Market Value Annual Income Allocation

100%

100%

ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Yes

Yes

GHISLAINE MAXWELL

Cash & Fixed Income Summary

Beginning

Asset Categories

Cash

Market Value

430.00

ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Ending

Market Value

430.00

Change

In Value

0.00

Current

Allocation

100%

Current

Market Value/Cost

Market Value

Tax Cost

SUMMARY BY MATURITY

1

Cash & Fixed Income

0-6 months

1

Period Value

430.00

430.00

SUMMARY BY TYPE

Market

Value

430.00

% of Bond

Portfolio

100%

The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

Cash & Fixed Income

Cash

Market

Value

430.00

% of Bond

Portfolio

100%

Account [REDACTED] Page 3 of 5

Consolidated Statement Page 42

GHISLAINE MAXWELL

ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Cash & Fixed Income Detail

Quantity

Price

Cash

US DOLLAR

1.00

430.00

Margin

430.00

430.00

Account

Value

Adjusted Tax Cost

Original Cost

Unrealized

Gain/Loss

Est. Annual Income

Accrued Interest

Yield

Account [REDACTED] Page 4 of 5

Consolidated Statement Page 43

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Portfolio Activity Summary

PRINCIPAL

Current

Transactions

Portfolio Activity Detail

Beginning Cash Balance

Ending Cash Balance

Period Value

430.00

\$430.00

Year-To-Date

Value

--INCOME

Current

Period

Value

0.00

\$0.00

Year-To-Date

Value

--No

Activity This Period

Account [REDACTED] Page 5 of 5

Consolidated Statement Page 44

THIS PAGE INTENTIONALLY LEFT BLANK

JPMorgan Chase Bank, N.A.
GHISLAINE MAXWELL - OAP IHD
270 Park Avenue, New York, NY 10017-2014
ACCT [REDACTED]

For the Period 8/1/13 to 8/31/13

Investment Management Account

J.P. Morgan Team

James Buckley

Jason Bell

Janet Young

Gina Magliocco

Jason Grosse

Online access

Banker

Investment Specialist

Client Service Team

Client Service Team

Client Service Team

www.jpmorganonline.com

Please see disclosures located at the end of this statement package for important information relating to each J.P.Morgan account(s).

212/464-1536

212/464-2084

800/634-1318

Table of Contents

Account Summary

Page

2

Holdings

Equity

Portfolio Activity

4

10

Account [REDACTED] Page 1 of 15

Consolidated Statement Page 45

GHISLAINE MAXWELL - OAP IHD

Account Summary

Asset Allocation

Equity

Market Value

Accruals

Market Value with Accruals

Beginning

Market Value

1,779,758.70

\$1,779,758.70

3,867.54

\$1,783,626.24

Ending

Market Value

1,730,444.30

\$1,730,444.30

3,820.64

\$1,734,264.94

Current

Portfolio Activity

Beginning Market Value

Contributions

Withdrawals & Fees

Net Contributions/Withdrawals

Income & Distributions

Change In Investment Value

Ending Market Value

Accruals

Market Value with Accruals

(291.74)

(\$291.74)

5,395.07

(54,417.73)

\$1,730,444.30

3,820.64

\$1,734,264.94

Period Value

1,779,758.70

Change

In Value

(49,314.40)

(\$49,314.40)

(46.90)

(\$49,361.30)

Year-to-Date

Value

0.00

1,750,000.00

(10,381.58)

\$1,739,618.42

38,342.65
(47,516.77)
\$1,730,444.30
3,820.64
\$1,734,264.94
Estimated
60,175.87
\$60,175.87
Current
Annual Income Allocation -
100%
100% [REDACTED]
ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13
Account [REDACTED] Page 2 of 15
Consolidated Statement Page 46

GHISLAINE MAXWELL - OAP IHD

Account Summary

Tax Summary

Foreign Dividends

Interest Income

Taxable Income

CONTINUED

Current

Period Value

5,394.26

0.81

\$5,395.07

Year-to-Date

Value

38,338.43

4.22

\$38,342.65

ST Realized Gain/Loss

LT Realized Gain/Loss

Realized Gain/Loss

Current

Period Value

(16,902.76)

(\$16,902.76)

Year-to-Date

Value

(22,811.77)

4.44

(\$22,807.33)

ACCT. V49920008

For the Period 8/1/13 to 8/31/13

Unrealized Gain/Loss

To-Date Value

(\$24,709.44)

Cost Summary

Equity

Total

1,755,153.74

Cost

\$1,755,153.74

Account [REDACTED] Page 3 of 15

Consolidated Statement Page 47

GHISLAINE MAXWELL - OAP IHD

Equity Summary

Asset Categories

EAFE Equity

Beginning

Market Value

1,779,758.70

Ending

Market Value

1,730,444.30

Change

In Value

(49,314.40)

Current

Allocation

100%

ACCT. V49920008

For the Period 8/1/13 to 8/31/13

Current

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Accrued Dividends

Yield

Period Value

1,730,444.30

1,755,153.74

(24,709.44)

60,175.87

3,820.64

3.47%

Account [REDACTED] Page 4 of 15

Consolidated Statement Page 48

GHISLAINE MAXWELL - OAP IHD

ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Note:

1

This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account.

Equity Detail

Price

EAFE Equity

ABB LTD

SPONS ADR

000375-20-4 ABB

ALSTOM

ADR

021244-20-7 ALSM Y

ASTRAZENECA PLC

SPONS ADR

046353-10-8 AZN

BAE SYSTEMS PLC

SPONS ADR

05523R-10-7 BAES Y

BAYER A G

SPONS ADR

072730-30-2 BAYR Y

BHP LTD

SPONS ADR

088606-10-8 BHP

BOC HONG KONG HOLDINGS LTD

SPONS ADR

096813-20-9 BHKL Y

63.06

555.000

34,998.86

36,821.52

(1,822.66)

1,748.80

5.00%

63.28

435.000

27,526.80

32,227.68

(4,700.88)

1,009.20

3.67%

26.92

110.82

1,735.000

325.000

46,709.67
36,014.88
39,277.38
32,896.77
7,432.29
3,118.11
2,026.48
586.62
4.34%
1.63%
3.51
49.21
12,070.000
365.000
42,377.77
17,961.65
44,210.81
18,351.75
(1,833.04)
(390.10)
869.04
1,022.00
295.65
2.05%
5.69%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Inc.
Accrued Div.
Yield
21.41
2,200.000
47,102.00
47,460.92
(358.92)
1,548.80
3.29%

GHISLAINE MAXWELL - OAP IHD ACCT.

For the Period 8/1/13 to 8/31/13

Price

EAFE Equity

BRITISH AMERICAN TOBACCO PLC

SPONS ADR

110448-10-7 BTI

DEUTSCHE POST AG

SPONS ADR

25157Y-20-2 DPSG Y

GDF SUEZ

SPONS ADR

36160B-10-5 GDFZ Y

GLAXOSMITHKLINE PLC

SPONS ADR

37733W-10-5 GSK

HSBC HOLDINGS PLC

SPONS ADR

404280-40-6 HBC

IMPERIAL TOBACCO PLC

ADR

453142-10-1 ITYB Y

ISRAEL CHEMICALS LTD

ADR

465036-20-0 ISCH Y

KIRIN HOLDINGS COMPANY LTD

SPONS ADR

497350-30-6 KNBW Y

LUKOIL OAO SPONS ADR

MTN GROUP LTD

677862-10-4 LUKO Y

18.34

SPONS ADR

62474M-10-8 MTNO Y

Account V49920008 Page 6 of 15

Consolidated Statement Page 50

2,625.000

48,150.38

49,970.21

(1,819.83)

1,916.25

3.98%

57.79

1,035.000

59,810.58

64,776.50

(4,965.92)

2,431.21

4.06%

13.76

3,010.000

41,414.59
48,510.86
(7,096.27)
800.66
334.19
1.93%
6.97
1,650.000
11,495.55
20,449.05
(8,953.50)
858.00
174.11
7.46%
65.96
515.000
33,969.40
36,270.85
(2,301.45)
1,739.15
5.12%
52.42
1,145.000
60,020.90
62,810.83
(2,789.93)
2,690.75
4.48%
50.89
1,015.000
51,653.35
48,412.36
3,240.99
2,407.58
505.36
4.66%
28.83
21.63
2,015.000
1,980.000
58,082.38
42,817.50
47,293.82
42,100.10
10,788.56
717.40
1,787.30
2,665.08
3.08%
6.22%
101.57

590.000
59,926.30
62,046.30
(2,120.00)
2,498.06
721.68
4.17%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Inc.
Accrued Div.
Yield

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Price

EAFE Equity

MUENCHENER RUECKVERSICHERUNGS -

GESELLSCHAFT

ADR

626188-10-6 MURG Y

NESTLE S A

SPONS ADR REPSTG REG SH

641069-40-6 NSRG Y

NIPPON TEL & TEL CORP

ADR

654624-10-5 NTT

NOVARTIS A G

ADR

66987V-10-9 NVS

RECKITT BENCKISER GROUP PLC

SPONSORED ADR

756255-20-4 RBGL Y

ROCHE HOLDINGS LTD

SPONS ADR

771195-10-4 RHHB Y

ROYAL DUTCH SHELL PLC

ADR

780259-10-7 RDS B

SANOFI

80105N-10-5 SNY

SIEMENS A G

SPONS ADR

826197-50-1 SI

67.15

47.78

106.16

400.000

1,195.000

500.000

26,860.00

57,097.10

53,080.00

27,957.37

60,068.51

52,917.17

(1,097.37)

(2,971.41)

162.83

1,440.00

328.05

1,499.72

1,471.00

5.36%

2.63%
2.77%
13.57
62.23
3,540.000
700.000
48,023.64
43,562.40
48,749.99
39,379.81
(726.35)
4,182.59
1,423.08
542.60
1,136.80
2.96%
2.61%
25.38
72.98
2,210.000
975.000
56,089.80
71,155.50
58,374.87
68,101.01
(2,285.07)
3,054.49
1,818.83
2,005.57
3.24%
2.82%
65.48
1,055.000
69,077.18
73,413.83
(4,336.65)
1,915.88
2.77%
18.18
2,835.000
51,551.64
53,062.46
(1,510.82)
1,757.70
3.41%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss

Est. Annual Inc.

Accrued Div.

Yield

Account [REDACTED] Page 7 of 15

Consolidated Statement Page 51

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Price

EAFE Equity

SINGAPORE TELECOMMUNICATIONS LTD

ADR

82929R-30-4 SGAP Y

SMITHS GROUP PLC

SPONSORED ADR

83238P-20-3 SMGZ Y

STATOIL ASA

SPONS ADR

85771P-10-2 STO

TAIWAN SEMICONDUCTOR MANUFACTURING

CO LTD

SPONS ADR

874039-10-0 TSM

TELEKOMUNIKASI IND

SPONS ADR

715684-10-6 TLK

TESCO PLC

SPONS ADR

881575-30-2 TSCD Y

TOTAL SA

SPONS ADR

89151E-10-9 TOT

UNILEVER N V

904784-70-9 UN

UNITED OVERSEAS BANK LTD

SPONS ADR

911271-30-2 UOVE Y

US DOLLAR

37.63

31.16

1.00

1,630.000

1,590.000

43,691.470

61,336.90

49,539.63

43,691.47

66,467.39

50,517.66

43,691.47

Account [REDACTED] Page 8 of 15

(5,130.49)

(978.03)

1,861.46

417.45

1,512.09

501.06

4.36
0.49
3.03%
3.05%
0.01%
Consolidated Statement Page 52
17.01
55.31
2,225.000
1,070.000
37,849.48
59,181.70
37,696.35
54,046.70
153.13
5,135.00
1,430.67
2,806.61
3.78%
4.74%
37.06
690.000
25,571.40
27,215.25
(1,643.85)
841.11
3.29%
16.56
830.000
13,744.80
14,793.04
(1,048.24)
332.83
2.42%
21.95
1,450.000
31,827.50
35,974.62
(4,147.12)
1,245.55
3.91%
27.49
19.83
1,765.000
1,685.000
48,523.38
33,415.24
51,172.92
33,034.06
(2,649.54)
381.18

2,285.67
997.52
4.71%
2.99%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Inc.
Accrued Div.
Yield

GHISLAINE MAXWELL - OAP IHD ACCT
For the Period 8/1/13 to 8/31/13

Price

EAFE Equity

VODAFONE GROUP PLC

SPONS ADR

92857W-20-9 VOD

ZURICH INSURANCE GROUP-ADR

Total EAFE Equity

989825-10-4 ZURV Y

\$1,730,444.30

\$1,755,153.74

(\$24,709.44)

\$60,175.87

\$3,820.64

3.48%

24.84

2,025.000

50,298.98

55,630.28

(5,331.30)

32.35

2,440.000

78,934.00

69,001.27

9,932.73

3,784.44

4.79%

Quantity

Value

Adjusted Tax Cost

Original Cost

Unrealized

Gain/Loss

Est. Annual Inc.

Accrued Div.

Yield

Account XXXXXXXXXX Page 9 of 15

Consolidated Statement Page 53

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13 [REDACTED]

Portfolio Activity Summary

Beginning Cash Balance

Transactions

INFLOWS

Income

Contributions

Total Inflows

OUTFLOWS **

Withdrawals

Fees & Commissions

Tax Payments

Total Outflows

TRADE ACTIVITY

Settled Sales/Maturities/Redemptions

Settled Securities Purchased

Total Trade Activity

Ending Cash Balance

92,930.14

(85,661.17)

\$7,268.97

\$43,691.47

* Year to date information is calculated on a calendar year basis.

** Your account's standing instructions use a HIGH COST method for relieving assets

from your position

139,205.59

(1,873,475.19)

(\$1,734,269.60)

-Current

Period

Value

31,319.17

5,395.07

\$5,395.07

(92.90)

(198.84)

(\$291.74)

Year-To-Date

Value*

-38,342.65

1,750,000.00

\$1,788,342.65

(688.31)

(6,121.75)

(3,571.52)

(\$10,381.58)

Current

Cost

Adjustments

Cost Adjustments
Total Cost Adjustments
Period Value
498.20
\$498.20
Year-To-Date
Value*
2,151.19
\$2,151.19

Account XXXXXXXXXX Page 10 of 15
Consolidated Statement Page 54

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Portfolio Activity Detail

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

8/1

8/9

8/9

8/22

8/22

8/22

8/22

8/23

8/30

8/30

Interest Income

Foreign Dividend

Expenses

Foreign Dividend

Expenses

FGN Tax Withheld

FGN Tax Withheld

Foreign Dividend

Foreign Dividend

Expenses

Total Inflows & Outflows

Description

DEPOSIT SWEEP INTEREST FOR 07/01/13 - 07/31/13

@ .01% RATE ON AVG COLLECTED BALANCE OF

\$104,273.52 AS OF 08/01/13

VODAFONE GROUP PLC SPONS ADR @ 1.062635 PER

SHARE AS OF 08/07/13 (ID: 92857W-20-9)

VODAFONE GROUP PLC SPONS ADR REPRESENTS @ .020

DEPOSITORY FEE AS OF 08/07/13 (ID: 92857W-20-9)

LUKOIL OAO SPONS ADR GROSS RATE 1.506759 AS OF

08/20/13 (ID: 677862-10-4)

LUKOIL OAO SPONS ADR REPRESENTS @ .020

DEPOSITORY FEE AS OF 08/20/13 (ID: 677862-10-4)

LUKOIL OAO SPONS ADR TAX WITHHELD RUSSIA 15.00%

AS OF 08/20/13 (ID: 677862-10-4)

SANOFI ADDITIONAL RATE CHANGE FOR DIVIDEND

PAYABLE 06/05/2013 AS OF 07/29/13

(ID: 80105N-10-5)

IMPERIAL TOBACCO PLC ADR @ 1.098944 PER SHARE

(ID: 453142-10-1)

SINGAPORE TELECOMMUNICATIONS LTD ADR @ 0.780213

PER SHARE (ID: 82929R-30-4)

SINGAPORE TELECOMMUNICATIONS LTD ADR REPRESENTS

@ .020 DEPOSITORY FEE (ID: 82929R-30-4)

515.000

1,765.000
1,765.000
1.099
0.78
565.96
1,377.08
(35.30)
\$5,103.33
2,000.000
2,000.000
880.000
880.000
880.000
995.000
1.507
1.063
2,125.27
(40.00)
1,325.95
(17.60)
(198.89)
0.05
Quantity
Cost
Per Unit
Amount
Amount
0.81
Account [REDACTED] Page 11 of 15
Consolidated Statement Page 55

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

TRADE ACTIVITY

Note:

S

*

Trade Date

Settle Date

7/31

8/5

8/6

8/9

8/6

8/9

8/7

8/12

8/7

8/12

8/8

8/13

8/16

8/21

8/16

8/21

indicates Short Term Realized Gain/Loss

Settled transaction was initiated in prior statement period and settled in current statement period

Type

Selection Method Description

Settled Sales/Maturities/Redemptions

Sale

High Cost

PETROCHINA CO LTD ADR @ 117.5488 28,799.46

BROKERAGE 9.80 TAX &/OR SEC .51 ESI SECURITIES

COMPANY (ID: 71646E-10-0)

ISRAEL CHEMICALS LTD ADR @ 7.7855 6,734.46

BROKERAGE 17.30 TAX &/OR SEC .12 ESI SECURITIES

COMPANY (ID: 465036-20-0)
ROYAL DUTCH SHELL PLC ADR @ 66.9621 6,696.21
BROKERAGE 4.00 TAX &/OR SEC .12 ESI SECURITIES
COMPANY WASH SALE ADJUSTMENT 08/09/13
(ID: 780259-10-7)
ISRAEL CHEMICALS LTD ADR @ 7.8068 5,386.69
BROKERAGE 13.80 TAX &/OR SEC .10 ESI SECURITIES
COMPANY (ID: 465036-20-0)
ROYAL DUTCH SHELL PLC ADR @ 67.1803 5,038.52
BROKERAGE 3.00 TAX &/OR SEC .09 ESI SECURITIES
COMPANY (ID: 780259-10-7)
ISRAEL CHEMICALS LTD ADR @ 7.9179 3,840.18
BROKERAGE 9.70 TAX &/OR SEC .07 ESI SECURITIES
COMPANY (ID: 465036-20-0)
BOC HONG KONG HOLDINGS LTD SPONS ADR @ 63.8153
14,358.44 BROKERAGE 9.00 TAX &/OR SEC .25 ESI
SECURITIES COMPANY (ID: 096813-20-9)
TELEKOMUNIKASI IND SPONS ADR @ 43.9722 659.58
BROKERAGE 0.60 TAX &/OR SEC .02 ESI SECURITIES
COMPANY (ID: 715684-10-6)
(15.000)
43.931
658.96
(732.90)
(73.94) S
(225.000)
63.774
14,349.19
(15,646.48)
(1,297.29) S
(485.000)
7.898
3,830.41
(6,513.55)
(2,683.14) S
(75.000)
67.139
5,035.43
(5,780.03)
(744.60) S
(690.000)
7.787
5,372.79
(9,266.70)
(3,893.91) S
(100.000)
66.921
6,692.09
(6,960.35)
(268.26) S
(865.000)

7.765
6,717.04
(11,631.86)
(4,914.82) S
Quantity
(245.000)
Per Unit
Amount
117.507
Proceeds
28,789.15
Tax Cost
(33,346.03)
Realized
Gain/Loss
(4,556.88) S*

Account [REDACTED] Page 12 of 15
Consolidated Statement Page 56

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Trade Date

Settle Date

8/16

8/21

8/19

8/22

8/20

8/23

8/20

8/23

8/20

8/23

8/21

8/26

8/21

8/26

8/22

8/27

Type

Selection Method Description

Settled Sales/Maturities/Redemptions

Sale

High Cost

Quantity

TAIWAN SEMICONDUCTOR MANUFACTURING CO LTD SPONS (135.000)

ADR @ 16.0205 2,162.77 BROKERAGE 4.05 TAX &/OR

SEC .04 ESI SECURITIES COMPANY (ID: 874039-10-0)

ISRAEL CHEMICALS LTD ADR @ 7.7977 194.94

BROKERAGE 0.75 TAX &/OR SEC .01 ESI SECURITIES

COMPANY (ID: 465036-20-0)

ISRAEL CHEMICALS LTD ADR @ 7.626 152.52

BROKERAGE 0.60 TAX &/OR SEC .01 ESI SECURITIES

COMPANY (ID: 465036-20-0)

ROCHE HOLDINGS LTD SPONS ADR @ 65.5582 5,900.24

BROKERAGE 3.60 TAX &/OR SEC .11 ESI SECURITIES

COMPANY (ID: 771195-10-4)
ROYAL DUTCH SHELL PLC ADR @ 66.492 332.46
BROKERAGE 0.20 TAX &/OR SEC .01 ESI SECURITIES
COMPANY (ID: 780259-10-7)
ISRAEL CHEMICALS LTD ADR @ 7.4761 112.14
BROKERAGE 0.45 TAX &/OR SEC .01 ESI SECURITIES
COMPANY (ID: 465036-20-0)
ROCHE HOLDINGS LTD SPONS ADR @ 64.6613 3,233.07
BROKERAGE 2.00 TAX &/OR SEC .06 ESI SECURITIES
COMPANY (ID: 771195-10-4)
TELEKOMUNIKASI IND SPONS ADR @ 34.8482 9,409.01
TAX &/OR SEC .17 ESI SECURITIES COMPANY
(ID: 715684-10-6)

Total Settled Sales/Maturities/Redemptions

\$92,930.14
(\$114,389.78)
(\$21,459.64) S
(270.000)
34.848
9,408.84
(11,977.12)
(2,568.28) S
(50.000)
64.62
3,231.01
(3,066.01)
165.00 S
(15.000)
7.445
111.68
(201.45)
(89.77) S
(5.000)
66.45
332.25
(372.93)
(40.68) S
(90.000)
65.517
5,896.53
(5,801.32)
95.21 S
(20.000)
7.596
151.91
(268.60)
(116.69) S
(25.000)
7.767
194.18
(335.75)

(141.57) S

Per Unit

Amount

15.99

Proceeds

2,158.68

Tax Cost

(2,488.70)

Realized

Gain/Loss

(330.02) S

Account [REDACTED] Page 13 of 15

Consolidated Statement Page 57

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Trade Date

Settle Date

Type

Settled Securities Purchased

8/5

Purchase

8/8

8/6

8/9

8/6

8/9

8/16

8/21

8/19

8/22

8/20

8/23

8/21

8/26

8/21

8/26

8/22

8/27

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Description

KIRIN HOLDINGS COMPANY LTD SPONS ADR @ 15.6259
6,250.36 BROKERAGE 12.00 ESI SECURITIES COMPANY
(ID: 497350-30-6)

KIRIN HOLDINGS COMPANY LTD SPONS ADR @ 15.8585
6,898.45 BROKERAGE 13.05 ESI SECURITIES COMPANY
(ID: 497350-30-6)

NIPPON TEL & TEL CORP ADR @ 26.2692 13,397.29
BROKERAGE 20.40 ESI SECURITIES COMPANY
(ID: 654624-10-5)

ALSTOM ADR @ 3.6516 9,037.71 BROKERAGE 24.75
TAX &/OR SEC 18.08 ESI SECURITIES COMPANY
(ID: 021244-20-7)

ALSTOM ADR @ 3.6577 11,229.14 BROKERAGE 30.70
TAX &/OR SEC 22.46 ESI SECURITIES COMPANY
(ID: 021244-20-7)

ALSTOM ADR @ 3.6169 8,770.98 BROKERAGE 24.25
TAX &/OR SEC 17.55 ESI SECURITIES COMPANY

(ID: 021244-20-7)
ALSTOM ADR @ 3.6391 10,862.71 BROKERAGE 29.85
TAX &/OR SEC 21.73 ESI SECURITIES COMPANY
(ID: 021244-20-7)
GDF SUEZ SPONS ADR @ 22.1161 6,303.09 BROKERAGE
11.40 TAX &/OR SEC 12.61 ESI SECURITIES COMPANY
(ID: 36160B-10-5)
ALSTOM ADR @ 3.6829 2,209.74 BROKERAGE 6.00 TAX
&/OR SEC 4.42 ESI SECURITIES COMPANY
(ID: 021244-20-7)
600.000
3.70
(2,220.16)
285.000
22.20
(6,327.10)
2,985.000
3.656
(10,914.29)
2,425.000
3.634
(8,812.78)
3,070.000
3.675
(11,282.30)
2,475.000
3.669
(9,080.54)
510.000
26.309
(13,417.69)
435.000
15.889
(6,911.50)
Quantity
400.000
Per Unit
Amount
15.656
Market Cost
(6,262.36)

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Trade Date

Settle Date

Type

Settled Securities Purchased

8/22

Purchase

8/27

8/23

8/28

8/23

8/28

Purchase

Purchase

Total Settled Securities Purchased

COST ADJUSTMENTS

Settle Date

8/12

Type

Wash Sale Adj

Description

ROYAL DUTCH SHELL PLC ADR TRADE DATE OF SALE :

08/06/13 (ID: 780259-10-7)

Quantity

480.000

Cost Basis

Adjustments

498.20

Description

GDF SUEZ SPONS ADR @ 22.4237 3,139.32 BROKERAGE

5.60 TAX &/OR SEC 6.28 ESI SECURITIES COMPANY

(ID: 36160B-10-5)

ALSTOM ADR @ 3.6734 1,891.80 BROKERAGE 5.15 TAX

&/OR SEC 3.79 ESI SECURITIES COMPANY

(ID: 021244-20-7)

GDF SUEZ SPONS ADR @ 22.3341 5,360.18 BROKERAGE

9.60 TAX &/OR SEC 10.73 ESI SECURITIES COMPANY

(ID: 36160B-10-5)

(\$85,661.17)

240.000

22.419

(5,380.51)

515.000

3.691

(1,900.74)

Quantity

140.000

Per Unit

Amount

22.509

Market Cost

(3,151.20)

Account [REDACTED] Page 15 of 15
Consolidated Statement Page 59

THIS PAGE INTENTIONALLY LEFT BLANK

JPMorgan Chase Bank, N.A.
GHISLAINE MAXWELL - TAP DYS
270 Park Avenue, New York, NY 10017-2014
ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Investment Management Account

J.P. Morgan Team

James Buckley

Jason Bell

Janet Young

Gina Magliocco

Jason Grosse

Online access

Banker

Investment Specialist

Client Service Team

Client Service Team

Client Service Team

www.jpmorganonline.com

Please see disclosures located at the end of this statement package for important information relating to each J.P.Morgan account(s).

212/464-1536

212/464-2084

800/634-1318

Table of Contents

Account Summary

Page

2

Holdings

Equity

Alternative Assets

Cash & Fixed Income

Portfolio Activity

4

6

9

12

Account [REDACTED] Page 1 of 15

Consolidated Statement Page 60

GHISLAINE MAXWELL - TAP DYS

Account Summary

Asset Allocation

Equity

Alternative Assets

Cash & Fixed Income

Market Value

Accruals

Market Value with Accruals

Beginning

Market Value

111,777.59

208,796.78

686,788.79

\$1,007,363.16

273.13

\$1,007,636.29

Ending

Market Value

128,036.35

205,421.91

663,527.77

\$996,986.03

211.45

\$997,197.48

Current

Portfolio Activity

Beginning Market Value

Contributions

Withdrawals & Fees

Net Contributions/Withdrawals

Income & Distributions

Change In Investment Value

Ending Market Value

Accruals

Market Value with Accruals

\$0.00

2,608.83

(12,985.96)

\$996,986.03

211.45

\$997,197.48

Period Value

1,007,363.16

Change

In Value

16,258.76

(3,374.87)

(23,261.02)

(\$10,377.13)

(61.68)

(\$10,438.81)
Year-to-Date
Value
0.00
1,000,000.00
(3,132.27)
\$996,867.73
18,569.89
(18,451.59)
\$996,986.03
211.45
\$997,197.48
Alternative
Assets
Estimated
3,134.18
5,896.70
29,560.82
Current
Annual Income Allocation
13%
20%
67%
\$38,591.70
100%
Equity
Cash &
Fixed Income
Asset Allocation
ACCT. [REDACTED]
For the Period 8/1/13 to 8/31/13
Account [REDACTED] Page 2 of 15
Consolidated Statement Page 61

GHISLAINE MAXWELL - TAP DYS

Account Summary

Tax Summary

Domestic Dividends/Distributions

Interest Income

Taxable Income

Tax-Exempt Income

Tax-Exempt Income

CONTINUED

Current

Period Value

2,608.52

0.31

\$2,608.83

Year-to-Date

Value

17,459.28

2.34

\$17,461.62

1,108.27

\$1,108.27

Unrealized Gain/Loss

To-Date Value

(\$14,472.97)

ST Realized Gain/Loss

Realized Gain/Loss

Current

Period Value

(386.41)

(\$386.41)

Year-to-Date

Value

(3,978.62)

(\$3,978.62)

ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Cost Summary

Equity

Cash & Fixed Income

Total

681,214.99

Cost

125,672.00

\$806,886.99

Account [REDACTED] Page 3 of 15

Consolidated Statement Page 62

GHISLAINE MAXWELL - TAP DYS

Equity Summary

Asset Categories

US Large Cap Equity

European Large Cap Equity

Asia ex-Japan Equity

Total Value

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Yield

Beginning

Market Value

70,803.52

0.00

40,974.07

\$111,777.59

Ending

Market Value

68,752.37

19,523.56

39,760.42

\$128,036.35

Current

Period Value

128,036.35

125,672.00

2,364.35

3,134.18

2.44%

Asia ex-Japan Equity

Change

In Value

(2,051.15)

19,523.56

(1,213.65)

\$16,258.76

Current

Allocation

7%

2%

4%

13%

US Large Cap Equity

European Large Cap Equity

Asset Categories

ACCT. ██████████

For the Period 8/1/13 to 8/31/13

Equity as a percentage of your portfolio - 13 %

GHISLAINE MAXWELL - TAP DYS
 ACCT. ██████████
 For the Period 8/1/13 to 8/31/13
 Equity Detail
 Price
 US Large Cap Equity
 CLEARBRIDGE EQUITY INC FD-I
 CAP & INCOME I
 52469H-59-4 SOPY X
 SIT DIVIDEND GROWTH FUND-I
 Total US Large Cap Equity
 82980D-70-7 SDVG X
 \$68,752.37
 \$66,070.00
 \$2,682.37
 \$1,196.15
 1.74%
 16.47
 2,958.635
 48,728.72
 46,294.00
 2,434.72
 775.16
 1.59%
 Quantity
 Value
 Adjusted Tax Cost
 Original Cost
 Unrealized
 Gain/Loss
 Est. Annual Inc.
 Accrued Div.
 Yield
 16.98
 1,179.249
 20,023.65
 19,776.00
 247.65
 420.99
 2.10%
 European Large Cap Equity
 JPM INTREPID EUR FD - SEL
 22.06
 FUND 1232
 4812A0-67-2 JFES X
 Asia ex-Japan Equity
 MATTHEWS ASIA DIVIDEND-INS
 577130-75-0 MIPI X
 885.021
 19,523.56
 20,316.00

(792.44)

233.64

1.20%

15.07

2,638.382

39,760.42

39,286.00

474.42

1,704.39

4.29%

Account [REDACTED] Page 5 of 15

Consolidated Statement Page 64

GHISLAINE MAXWELL - TAP DYS ACCT. V [REDACTED]

For the Period 8/1/13 to 8/31/13

Alternative Assets Summary

Beginning

Asset Categories

Hedge Funds

Real Estate & Infrastructure

Total Value

Estimated Value

153,529.25

55,267.53

\$208,796.78

Ending

Estimated Value

151,917.03

53,504.88

\$205,421.91

Change

In Value

(1,612.22)

(1,762.65)

(\$3,374.87)

Current

Allocation

15%

5%

20%

Asset Categories

Real Estate & Infrastructure

Hedge Funds

Alternative Assets Detail

Alternative Assets as a percentage of your portfolio - 20 %

Price

Hedge Funds

EATON VANCE FLOATING-RATE

ADVANTAGE I

277923-63-7 EIFA X

GATEWAY FUND-Y

367829-88-4 GTEY X

27.91

1,089.208

30,399.80

30,040.36

11.14

7,524.150

83,819.03

84,120.00

Quantity

Estimated

Value

Cost

.

▪

GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Price

Hedge Funds

HSBC FDS

TOTAL RETURN I

40428X-15-6 HTRI X

Total Hedge Funds

\$151,917.03

\$153,865.01

Quantity

Estimated

Value

Cost

9.77

3,858.567

37,698.20

39,704.65

Quantity/Original

Commitment Amount

Real Estate & Infrastructure

NUVEEN GLOBAL INFRASTRUCT-I

670690-51-0 FGIY X

Cost/Net Capital Called

Since Inception

Net Distributions

Since Inception

Estimated

Value

Est. Annual Inc.

Accrued Div.

Yield

2,025.30

20,091.00

20,293.53

435.43

2.15%

Account [REDACTED] Page 7 of 15

Consolidated Statement Page 66

GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Quantity/Original

Commitment Amount

Real Estate & Infrastructure

TORTOISE MLP & PIPELINE-INS

Total Real Estate & Infrastructure

56166Y-40-4 TORI X

\$50,707.00

\$0.00

\$53,504.88

\$639.84

Amounts shown above under "Estimated Value" for private equity funds are estimates based on the latest fund values received from each underlying fund, which value may be as of a date (underlying fund value date) prior to the period covered by this statement. The values provided by the underlying fund have been adjusted for any cash flows between your account and such fund that have occurred subsequent to the underlying fund value date to derive the "Estimated Value". Therefore, such "Estimated Value" may not reflect the value of your interest shown on any fund's actual books and records as of the date of this statement. For additional information, please contact your J.P. Morgan representative.

For private equity funds, Estimated Values are based on estimates provided by the underlying funds that are generally presented on a US GAAP basis, which records investments at fair value, or "marked-to-market". Most of these underlying funds also present their audited financial statements on a US GAAP basis (i.e., "marked-to-market"). However, some of these underlying funds present their audited financial statements using the Income Tax Basis of Accounting, which records investments "at cost" based on the accrual basis of accounting for Federal income taxes. Where the underlying fund provides periodic estimates on a "marked-to-market" basis but reflects investments "at cost" in its audited financial statements, the marked-to-market Estimated Value shown herein for a private equity fund may be materially different from the value reflected on such fund's audited financial statements (which are also based on the audited financial statements of the underlying fund).

2,296.77

30,616.00

33,211.35

204.41

0.62%

Cost/Net Capital Called

Since Inception

Net Distributions

Since Inception

Estimated

Value

Est. Annual Inc.

Accrued Div.

Yield

Account XXXXXXXXXX Page 8 of 15

Consolidated Statement Page 67

GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Cash & Fixed Income Summary

Beginning

Asset Categories

Cash

US Fixed Income

Non-US Fixed Income

Total Value

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Accrued Interest

Yield

SUMMARY BY MATURITY

1

Cash & Fixed Income

0-6 months

1

Market Value

50,231.56

608,385.77

28,171.46

\$686,788.79

Ending

Market Value

42,381.39

593,519.06

27,627.32

\$663,527.77

Current

Period Value

663,527.77

681,214.99

(17,687.22)

29,560.82

211.45

4.45%

Cash & Fixed Income as a percentage of your portfolio - 67 %

SUMMARY BY TYPE

Market

Value

663,527.77

% of Bond

Portfolio

100%

The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

Cash & Fixed Income

Cash

Mutual Funds

Other

Total Value

Market

Value

42,381.39

563,533.72

57,612.66

\$663,527.77

% of Bond

Portfolio

6%

86%

8%

100%

US Fixed Income

Change

In Value

(7,850.17)

(14,866.71)

(544.14)

(\$23,261.02)

Current

Allocation

4%

60%

3%

67%

Non-US Fixed Income

Cash

Asset Categories

Account [REDACTED] Page 9 of 15

Consolidated Statement Page 68

-

GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Note:

1

This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account.

Cash & Fixed Income Detail

Price

Cash

US DOLLAR

1.00

42,381.39

42,381.39

42,381.39

4.23

0.31

US Fixed Income

HARBOR HIGH YIELD BOND-INST

HARBOR CONVERTIBLE SEC-INST

COHEN & STEERS PREFERRED SECURITIES

411511-55-3

10.63

411512-73-4

12.82

AND INCOME FUND INC

19248X-30-7

DOUBLELINE TOTAL RET BD-I

JPM STR INC OPP FD

258620-10-3

11.83

FUND 3844

4812A4-35-1

JPM TR I MLT SC INCOME FD - SEL

FUND 2130

48121A-29-0

PRUDENTIAL TOTAL RETURN BD-Z

74440B-40-5

10.06

13.85

4,784.86

3,895.47

48,135.73

53,952.20

49,092.71

56,795.89

(956.98)

(2,843.69)

3,015.90

35,678.10

36,040.00
(361.90)
1,088.73
72.38
1,373.25
138.76
2,010.06
3.05%
2.85%
3.73%
10.88
12,498.16
135,979.93
142,229.00
(6,249.07)
7,261.42
5.34%
4,493.97
57,612.66
60,624.00
(3,011.34)
3,828.85
6.65%
2,833.31
30,118.13
29,272.00
846.13
643.16
2.14%
10.95
4,547.24
49,792.30
51,111.00
(1,318.70)
2,901.14
5.83%
0.01% ¹
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Income
Accrued Interest
Yield

-

GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Price

US Fixed Income

RIDGEWORTH SEIX FLOATING-I

TRP INST FLOATING RATE-F

BLACKROCK HIGH YIELD BOND

Total US Fixed Income

76628T-67-8

10.23

77958B-10-5

8.06

091929-63-8

\$593,519.06

\$608,485.60

(\$14,966.54)

\$28,510.37

\$211.14

Non-US Fixed Income

PIMCO EMERGING MARKETS CORPORATE

BOND FUND

72201W-85-7

11.17

2,473.35

27,627.32

30,348.00

(2,720.68)

1,046.22

3.79%

4.80%

8,504.33

68,544.88

69,140.00

(595.12)

4,320.19

6.30%

5,394.06

55,181.23

55,397.00

(215.77)

2,443.50

4.43%

9.00

6,502.66

58,523.90

58,784.00

(260.10)

2,640.07

4.51%

Quantity

Value

Adjusted Tax Cost

Original Cost

Unrealized

Gain/Loss

Est. Annual Income

Accrued Interest

Yield

Account XXXXXXXXXX Page 11 of 15

Consolidated Statement Page 70

GHISLAINE MAXWELL - TAP DYS ACCT [REDACTED]

For the Period 8/1/13 to 8/31/13

Portfolio Activity Summary

Beginning Cash Balance

Transactions

INFLOWS

Income

Contributions

Total Inflows

OUTFLOWS **

Total Outflows

Fees & Commissions

\$0.00

TRADE ACTIVITY

Settled Sales/Maturities/Redemptions

Settled Securities Purchased

Total Trade Activity

Ending Cash Balance

26,813.77

(20,316.00)

\$6,497.77

\$42,381.39

* Year to date information is calculated on a calendar year basis.

** Your account's standing instructions use a HIGH COST method for relieving assets

from your position

136,283.77

(1,109,340.00)

(\$973,056.23)

-Current

Period

Value

33,274.79

2,608.83

\$2,608.83

Year-To-Date

Value*

-18,569.89

1,000,000.00

\$1,018,569.89

(3,132.27)

(\$3,132.27)

Account

[REDACTED] Page 12 of 15

Consolidated Statement Page 71

GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Portfolio Activity Detail

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

8/1

8/1

8/1

8/1

8/1

8/1

8/1

8/1

8/2

Interest Income

Div Domestic

Description

DEPOSIT SWEEP INTEREST FOR 07/01/13 - 07/31/13
@ .01% RATE ON AVG COLLECTED BALANCE OF
\$33,901.81 AS OF 08/01/13

JPM STR INC OPP FD FUND 3844 @ 0.027 PER SHARE
(ID: 4812A4-35-1)

JPM TR I MLT SC INCOME FD - SEL FUND 2130 @
0.04 PER SHARE (ID: 48121A-29-0)

BLACKROCK HIGH YIELD BOND 07/31/13 INCOME
DIVIDEND @ 0.043 PER SHARE AS OF 07/31/13
(ID: 091929-63-8)

EATON VANCE FLOATING-RATE ADVANTAGE I 07/31/13
INCOME DIVIDEND @ 0.042 PER SHARE AS OF
07/31/13 (ID: 277923-63-7)

HSBC FDS TOTAL RETURN I 08/01/13 INCOME
DIVIDEND @ 0.005 PER SHARE (ID: 40428X-15-6)

PIMCO EMERGING LOCAL BOND-P 07/31/13 INCOME
DIVIDEND @ 0.037 PER SHARE AS OF 07/31/13
(ID: 72201M-39-6)

PRUDENTIAL TOTAL RETURN BD-Z 07/31/13 INCOME
DIVIDEND @ 0.044 PER SHARE AS OF 07/31/13
(ID: 74440B-40-5)

COHEN & STEERS PREFERRED SECURITIES AND INCOME
FUND INC 07/31/13 INCOME DIVIDEND @ 0.071 PER
SHARE AS OF 07/31/13 (ID: 19248X-30-7)

4,493.967

0.071

319.07
4,598.032
0.044
201.65
3,858.567
1,737.374
0.005
0.037
19.29
65.04
7,524.150
0.042
317.26
3,015.900
4,784.864
8,504.327
0.027
0.04
0.043
81.43
191.39
367.69
Quantity
Cost
Per Unit
Amount
Amount
0.31

GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

8/2

8/2

8/2

8/2

Div Domestic

Div Domestic

Div Domestic

Div Domestic

Total Inflows & Outflows

TRADE ACTIVITY

Note:

S

*

Trade Date

Settle Date

7/31

8/1

8/2

8/5

Description

DOUBLELINE TOTAL RET BD-I 07/31/13 INCOME
DIVIDEND @ 0.046 PER SHARE AS OF 07/31/13
(ID: 258620-10-3)

PIMCO EMERGING MARKETS CORPORATE BOND FUND
07/31/13 INCOME DIVIDEND @ 0.032 PER SHARE AS
OF 07/31/13 (ID: 72201W-85-7)

RIDGEWORTH SEIX FLOATING-I 08/01/13 INCOME
DIVIDEND @ 0.030 PER SHARE AS OF 08/01/13
(ID: 76628T-67-8)

TRP INST FLOATING RATE-F 07/31/13 INCOME
DIVIDEND @ 0.037 PER SHARE AS OF 07/31/13
(ID: 77958B-10-5)

\$2,608.83

5,394.060

0.037

197.44

6,502.655

0.03

197.21

2,473.350

0.032

78.87

Quantity

Cost

Per Unit

Amount

12,498.155

0.046

Amount

572.18

indicates Short Term Realized Gain/Loss

Settled transaction was initiated in prior statement period and settled in current statement period

Type

Selection Method Description

Settled Sales/Maturities/Redemptions

Sale

High Cost

Sale

High Cost

Total Settled Sales/Maturities/Redemptions

\$26,813.77

(\$29,424.01)

(\$2,610.24) S

PIMCO EMERGING LOCAL BOND-P (ID: 72201M-39-6)

PRUDENTIAL TOTAL RETURN BD-Z (ID: 74440B-40-5)

Quantity

(1,737.374)

(702.566)

Per Unit

Amount

9.76

14.03

Proceeds

16,956.77

9,857.00

Tax Cost

(19,180.60)

(10,243.41)

Realized

Gain/Loss

(2,223.83) S*

(386.41) S

Account [REDACTED] Page 14 of 15

Consolidated Statement Page 73

GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Trade Date

Settle Date

Type

Settled Securities Purchased

8/1

Purchase

8/2

8/6

8/7

Purchase

Total Settled Securities Purchased

Description

JPM INTREPID EUR FD - SEL FUND 1232 JP MORGAN
CHASE BANK AS SHAREHOLDER SERVICING AGENT @
22.88 (ID: 4812A0-67-2)

JPM INTREPID EUR FD - SEL FUND 1232 JP MORGAN
CHASE BANK AS SHAREHOLDER SERVICING AGENT @
23.03 (ID: 4812A0-67-2)

(\$20,316.00)

444.724

23.03

(10,242.00)

Quantity

440.297

Per Unit

Amount

22.88

Market Cost

(10,074.00)

Account [REDACTED] Page 15 of 15
Consolidated Statement Page 74

THIS PAGE INTENTIONALLY LEFT BLANK

JPMorgan Chase Bank, N.A.
GHISLAINE MAXWELL - TAP ARFI
270 Park Avenue, New York, NY 10017-2014
ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Investment Management Account

J.P. Morgan Team

James Buckley

Jason Bell

Janet Young

Gina Magliocco

Jason Grosse

Online access

Banker

Investment Specialist

Client Service Team

Client Service Team

Client Service Team

www.jpmorganonline.com

Please see disclosures located at the end of this statement package for important information relating to each J.P.Morgan account(s).

212/464-1536

212/464-2084

800/634-1318

Table of Contents

Account Summary

Page

2

Holdings

Alternative Assets

Cash & Fixed Income

Portfolio Activity

4

5

7

Account [REDACTED] Page 1 of 9

Consolidated Statement Page 75

GHISLAINE MAXWELL - TAP ARFI

Account Summary

Asset Allocation

Alternative Assets

Cash & Fixed Income

Market Value

Accruals

Market Value with Accruals

Beginning

Market Value

361,919.48

628,641.15

\$990,560.63

728.81

\$991,289.44

Ending

Market Value

358,049.66

628,810.11

\$986,859.77

584.78

\$987,444.55

Current

Portfolio Activity

Beginning Market Value

Contributions

Withdrawals & Fees

Net Contributions/Withdrawals

Income & Distributions

Change In Investment Value

Ending Market Value

Accruals

Market Value with Accruals

\$0.00

1,921.70

(5,622.56)

\$986,859.77

584.78

\$987,444.55

Period Value

990,560.63

Change

In Value

(3,869.82)

168.96

(\$3,700.86)

(144.03)

(\$3,844.89)

Year-to-Date

Value

0.00

1,000,000.00
(2,330.95)
\$997,669.05
12,303.23
(23,112.51)
\$986,859.77
584.78
\$987,444.55
Alternative
Assets
Estimated
6,445.98
18,254.04
Current
Annual Income Allocation
36%
64%
\$24,700.02
100%
Cash &
Fixed Income
Asset Allocation
ACCT [REDACTED]
For the Period 8/1/13 to 8/31/13
Account V49938000 Page 2 of 9
Consolidated Statement Page 76

GHISLAINE MAXWELL - TAP ARFI

Account Summary

Tax Summary

Domestic Dividends/Distributions

Interest Income

Taxable Income

Tax-Exempt Income

Tax-Exempt Income

CONTINUED

Current

Period Value

1,921.25

0.45

\$1,921.70

Year-to-Date

Value

11,135.03

2.47

\$11,137.50

1,165.73

\$1,165.73

Unrealized Gain/Loss

To-Date Value

(\$21,208.67)

ST Realized Gain/Loss

Realized Gain/Loss

Current

Period Value

Year-to-Date

Value

(1,903.84)

(\$1,903.84)

ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Cost Summary

Cash & Fixed Income

639,386.11

Cost

Total

\$639,386.11

Account [REDACTED] Page 3 of 9

Consolidated Statement Page 77

GHISLAINE MAXWELL - TAP ARFI
Alternative Assets Summary
Beginning
Asset Categories
Hedge Funds
Estimated Value
361,919.48
Alternative Assets Detail
Price
Hedge Funds
GOLDMAN SACHS TR
STRG INCM INST
38145C-64-6 GSZI X
HSBC FDS
TOTAL RETURN I
40428X-15-6 HTRI X
PIMCO UNCONSTRAINED BOND-P
PRUDENTIAL INVT PORTFOLIOS 9
72201M-45-3 PUCP X
9.74
PRU ABRTN FD Z
74441J-82-9 PADZ X
Total Hedge Funds
\$358,049.66
\$368,682.33
7,400.957
72,085.32
74,379.62
11.17
16,110.304
179,952.10
185,590.71
9.77
5,780.066
56,471.24
59,171.00
10.47
4,731.710
49,541.00
49,541.00
Quantity
Estimated
Value
Cost
ACCT. [REDACTED]
For the Period 8/1/13 to 8/31/13
Ending
Estimated Value
358,049.66
Change
In Value

(3,869.82)

Current

Allocation

36%

Account [REDACTED] Page 4 of 9

Consolidated Statement Page 78

GHISLAINE MAXWELL - TAP ARFI

Cash & Fixed Income Summary

Beginning

Asset Categories

Cash

US Fixed Income

Total Value

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Accrued Interest

Yield

SUMMARY BY MATURITY

1

Cash & Fixed Income

0-6 months

1

Market Value

55,864.58

572,776.57

\$628,641.15

ACCT

For the Period 8/1/13 to 8/31/13

Ending

Market Value

57,786.28

571,023.83

\$628,810.11

Current

Period Value

628,810.11

639,386.11

(10,576.00)

18,254.04

584.78

2.90%

Cash & Fixed Income as a percentage of your portfolio - 64 %

SUMMARY BY TYPE

Market

Value

628,810.11

% of Bond

Portfolio

100%

The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

Cash & Fixed Income

Cash

Mutual Funds
Total Value
Market
Value
57,786.28
571,023.83
\$628,810.11
% of Bond
Portfolio
9%
91%
100%
US Fixed Income
Change
In Value
1,921.70
(1,752.74)
\$168.96
Current
Allocation
6%
58%
64%
Cash

Asset Categories

Account [REDACTED] Page 5 of 9
Consolidated Statement Page 79

GHISLAINE MAXWELL - TAP ARFI ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Note:

1

This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account.

Cash & Fixed Income Detail

Price

Cash

US DOLLAR

1.00

57,786.28

57,786.28

57,786.28

5.77

0.62

US Fixed Income

DOUBLELINE TOTAL RET BD-I

EATON VANCE FLOATING RATE-I

JPM STR INC OPP FD

258620-10-3

9.15

277911-49-1

11.83

FUND 3844

4812A4-35-1

JPM TR I MLT SC INCOME FD - SEL

FUND 2130

48121A-29-0

RBC FDS TR

BLUBAY ABRTR I

74926P-34-0

Total US Fixed Income

\$571,023.83

\$581,599.83

(\$10,576.00)

\$18,248.27

\$584.16

3.20%

10.06

10.14

9,651.54

12,911.80

97,094.51

130,925.64

99,024.83

131,313.00

(1,930.32)

(387.36)

12,677.82
149,978.66
151,500.00
(1,521.34)
4,576.69
304.27
2,769.99
279.89
1,149.15
3.05%
2.85%
0.88%
5,463.94
49,995.08
50,159.00
(163.92)
2,114.54
4.23%
10.88
13,146.13
143,029.94
149,603.00
(6,573.06)
7,637.90
5.34%
0.01%¹
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Income
Accrued Interest
Yield

GHISLAINE MAXWELL - TAP ARFI ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Portfolio Activity Summary

Beginning Cash Balance

Transactions

INFLOWS

Income

Contributions

Total Inflows

OUTFLOWS **

Total Outflows

Fees & Commissions

\$0.00

TRADE ACTIVITY

Settled Sales/Maturities/Redemptions

Settled Securities Purchased

Total Trade Activity

Ending Cash Balance

\$0.00

\$57,786.28

* Year to date information is calculated on a calendar year basis.

** Your account's standing instructions use a HIGH COST method for relieving assets

from your position

87,047.00

(1,039,233.00)

(\$952,186.00)

-Current

Period

Value

55,864.58

1,921.70

\$1,921.70

Year-To-Date

Value*

-12,303.23

1,000,000.00

\$1,012,303.23

(2,330.95)

(\$2,330.95)

Account

[REDACTED] Page 7 of 9

Consolidated Statement Page 81

GHISLAINE MAXWELL - TAP ARFI ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

Portfolio Activity Detail

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

8/1

8/1

8/1

8/1

8/1

8/1

8/2

8/2

8/2

Interest Income

Div Domestic

Description

DEPOSIT SWEEP INTEREST FOR 07/01/13 - 07/31/13
@ .01% RATE ON AVG COLLECTED BALANCE OF
\$47,795.03 AS OF 08/01/13

JPM STR INC OPP FD FUND 3844 @ 0.027 PER SHARE
(ID: 4812A4-35-1)

JPM TR I MLT SC INCOME FD - SEL FUND 2130 @
0.04 PER SHARE (ID: 48121A-29-0)

EATON VANCE FLOATING RATE-I 07/31/13 INCOME
DIVIDEND @ 0.035 PER SHARE AS OF 07/31/13
(ID: 277911-49-1)

HSBC FDS TOTAL RETURN I 08/01/13 INCOME
DIVIDEND @ 0.005 PER SHARE (ID: 40428X-15-6)

PRUDENTIAL INVT PORTFOLIOS 9 PRU ABRTN FD Z
07/31/13 INCOME DIVIDEND @ 0.024 PER SHARE AS
OF 07/31/13 (ID: 74441J-82-9)

DOUBLELINE TOTAL RET BD-I 07/31/13 INCOME
DIVIDEND @ 0.046 PER SHARE AS OF 07/31/13
(ID: 258620-10-3)

GOLDMAN SACHS TR STRG INCM INST 07/31/13 INCOME
DIVIDEND @ 0.001 PER SHARE AS OF 07/31/13
(ID: 38145C-64-6)

PIMCO UNCONSTRAINED BOND-P 07/31/13 INCOME
DIVIDEND @ 0.006 PER SHARE AS OF 07/31/13
(ID: 72201M-45-3)

16,110.304

0.006

89.52
4,731.710
0.015
70.43
13,146.134
0.046
601.84
5,780.066
7,400.957
0.005
0.025
28.90
182.67
12,677.824
9,651.542
5,463.943
0.027
0.04
0.03
342.30
386.06
161.59
Quantity
Cost
Per Unit
Amount
Amount
0.45

Account [REDACTED] Page 8 of 9
Consolidated Statement Page 82

GHISLAINE MAXWELL - TAP ARFI ACCT. [REDACTED]

For the Period 8/1/13 to 8/31/13

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

8/2

Div Domest

Total Inflows & Outflows

Description

RBC FDS TR BLUBAY ABRTR I 07/31/13 INCOME

DIVIDEND @ 0.004 PER SHARE AS OF 07/31/13

(ID: 74926P-34-0)

\$1,921.70

Quantity

Cost

Per Unit

Amount

12,911.799

0.004

Amount

57.94

Account [REDACTED] Page 9 of 9

Consolidated Statement Page 83

THIS PAGE INTENTIONALLY LEFT BLANK

For the Period 8/1/13 to 8/31/13

For your convenience we have combined statement(s) for activity you conduct through J.P. Morgan in one package. Below are important disclosures relating to these different accounts.

These statements may relate to various account types. Some of the disclosures are applicable to all of your accounts. For ease of reference the disclosures applicable to a particular type of account have been grouped together by descriptive headers.

IMPORTANT GENERAL INFORMATION APPLICABLE TO ALL OF YOUR ACCOUNT(S)

Important Information about Pricing, Valuations, Estimated Annual Income, and Estimated Yield

Market value information (including without limitation, prices, exchange rates, accrued income and bond ratings) furnished herein, some of which has been provided by pricing sources that J.P. Morgan believes to be reliable, is not guaranteed for accuracy but provided for informational purposes and is furnished for the exclusive use of the client. The current price is the value of the financial asset share, unit or contract as priced at the close of the market on the last day of the statement period or the last available price. All values provided for structured yield deposits (for example, JPMorgan London Time Deposits) reflect the original deposit amount only. The value for Real Estate, Mineral Interests and Miscellaneous Assets may not reflect the most current value of the asset.

Important information regarding Auction Rate Securities (ARS). ARS are debt or preferred securities with an interest or dividend rate reset periodically in an auction. Although there may be daily, weekly and monthly resets, there is no guarantee that there will be liquidity. If there are not enough bids at an auction to redeem the securities available for sale, the result may be a failed auction. In the event of a failed auction, there is no assurance that a secondary market will develop or that the security will trade at par or any other price reflected on statements and online. Accordingly, investors should not rely on pricing information appearing in their statements or online with respect to ARS. When J.P. Morgan is unable to obtain a price from an internal or outside source for a particular ARS, the price column on your statement will indicate "unpriced".

Valuations of over-the-counter derivative transactions, including certain derivatives-related deposit products, have been prepared on a mid-market basis. These valuations are sourced from the various issuers of the securities or they are sourced from a third party valuation provider. J.P. Morgan expressly disclaims any responsibility for (1) the accuracy of the models or estimates used in deriving the valuations, (2) any errors or omissions in computing or disseminating the valuations, and (3) any uses to which the valuations are put. Valuations are provided for information purposes only and are intended solely for your own use. Please refer to the trade confirmation for details of each transaction.

Certain assets, including but not limited to, pooled and private investments, non-publicly traded and infrequently traded securities, derivatives, partnership interests and tangible assets are generally illiquid, the value of such asset may have been provided to us by third

parties who may or may not be independent of the issuer or manager. Such information is reflected as of the last date provided to us, and is not independently verified.

In cases where we are unable to obtain a current market value from an internal or outside source for a particular security, the price column on your statement will indicate "unpriced". Although such securities may have value, please note that the value of a security indicated as "unpriced" will not be included in your overall current market value as reflected on the statement.

J.P. Morgan makes no representation, warranty or guarantee, express or implied, that any quoted value represents the actual terms at which securities could be bought or sold or new transactions could be entered into, or the actual terms on which existing transactions or securities could be liquidated. Such values may only be indicative.

When we are unable to obtain a current value from an internal or outside source for a particular security, the price column on your statement will indicate "unpriced".

If a partial call is made with respect to an issue of securities included in your Accounts we will allocate the call by a method we deem fair and equitable.

To the extent applicable, please note the following regarding estimated annual income (EAI) and estimated yield (EY): EAI and EY for certain types of securities could include a return of principal or capital gains in which case the EAI and EY would be overstated. EAI and EY are estimates and the actual income and yield might be lower or higher than the estimated amounts. EY reflects only the income generated by an investment. It does not reflect changes in its price, which may fluctuate.

Offshore Deposits - London and Nassau

Disclosures Page 1 of 5

For the Period 8/1/13 to 8/31/13

Deposits in Foreign Branches are not insured by the FDIC or any other Agency of the Federal Government; amounts in such foreign accounts do not have the benefit of any domestic preference applicable to U.S Banks; certain Foreign accounts are considered reportable to the Internal Revenue Service on a Report of Foreign Bank and Financial Accounts (TD F 90-22.1).

Bank products and services are offered through JPMorgan Chase Bank, N.A. ("JPMCB") and its banking affiliates. Securities are offered by J.P.Morgan Securities LLC ("JPMS") and, to the extent noted below, cleared through J.P. Morgan Clearing Corp. ("JPMCC"). Neither JPMS, nor JPMCC is a bank and are each separate legal entities from its bank or thrift affiliates.

Investment Products: Not FDIC Insured

-No Bank Guarantee -May Lose Value

Fund manager disclosure information available upon request

If you have an investment account that is managed by an SEC-Registered Investment Advisor, J.P. Morgan will provide a copy of the advisor's Form ADV II or brochure upon written request.

These statements are not official documents for income tax reporting purposes and should not be relied upon for such purposes, including determination of income, cost basis, amortization or accretion, or gain/loss. Such information, which may be inaccurate, incomplete or subject to updating, should be confirmed with your records and your tax advisor.

Please take the steps indicated below if you think statement(s) are incorrect or contact your J.P.Morgan team if you require additional information about a transaction on your statement(s).

**IMPORTANT ADDITIONAL INFORMATION APPLICABLE ONLY TO YOUR ASSET ACCOUNT(S)
(LINKED TO JPMS)**

Your Asset Account consists of a bank account that custodies assets linked to a brokerage account through which securities transactions are executed. As a result, the Asset Account statement(s) reflect brokerage transactions executed through JPMS but (except for exchange listed options) held in custody at JPMCB. Securities purchased or sold through JPMS in U.S. markets (other than mutual funds) are cleared through an affiliate of JPMS, in non-U.S. markets securities are cleared through JPMS. Positions in exchange-listed options are held by JPMCC. For your convenience, however, positions in exchange-listed options are presented in Asset Account statement(s) together with other assets held in such account(s). All pertinent information about your settled and pending purchases and sales effected through your JPMS account during the period covered by these statement(s), is summarized in the "Trade Activity" portion of the statement(s).

In Case of Other Errors or Questions About Your Asset Account Statement(s)
Please review your statement(s) and promptly report any inaccuracy or discrepancy including possible unauthorized trading activity, unrecorded dividend payments, unaccounted cash positions,

improper payments or transfers in writing to both the introducing broker, JPMS and the clearing firm, JPMCC at the addresses shown on your statement(s). Any oral communication should be re-confirmed in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA). If you have any questions please contact your J.P.Morgan team.

In your written communication, please provide the following information: (1) your name and account number; (2) the dollar amount of the suspected error; and (3) a description of the error and explanation, if you can, why you believe there is an error. If you need more information, you must describe the item you are unsure about. We must receive your written communication no later than 30 days after the statement on which the error or problem appeared is sent or made available. If you do not so notify us, you agree that the statement activity and account balance(s) are correct.

JPMCC and JPMS are members of the Securities Investor Protection Corp ("SIPC"), a not-for-profit membership corporation funded by broker-dealers registered with the Securities and Exchange Commission. Securities and cash held for a customer at JPMCC are protected by SIPC up to \$500,000 per customer, which includes up to \$250,000 of protection for cash. SIPC does not protect against losses from fluctuations in the value of the securities. Assets held in custody by JPMCB are not subject to SIPC. You may obtain information about SIPC, including the SIPC Brochure, on their website, at "www.sipc.org" or by contacting them at (202) 371-8300.

In Case of Errors or Questions About Your Electronic Transfers.

Disclosures Page 2 of 5

For the Period 8/1/13 to 8/31/13

Contact your J.P. Morgan Team at one of the telephone numbers on the front of your statements or write us at J.P. Morgan, 500 Stanton Christiana Road, 1/OPS3, Newark, DE 19713-2107 as soon as you can, if you think your statement is wrong or if you need more information about a transfer on the statement. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared. (1) Tell us your name and account number. (2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information. (3) Tell us the dollar amount of the suspected error. We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

In Case of Errors or Questions About Non-Electronic Transfers (Checks or Deposits)

Contact JPMorgan Chase Bank, N.A. ("JPMCB") Member FDIC immediately if a statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing as soon as possible after your statement was made available to you. For more complete details, see the applicable account agreements and appendices that govern your account.

Deposit products and services are offered by JPMCB Member FDIC.

You must promptly advise your J.P.Morgan representative of material changes in your investment objectives or financial situation or if you wish to modify the management of your account. Unless you inform otherwise, your J.P.Morgan representative will consider the information currently in its files to be complete and accurate.

IMPORTANT ADDITIONAL INFORMATION APPLICABLE ONLY TO YOUR INVESTMENT MANAGEMENT ACCOUNT(S) WHICH REFLECT ASSETS HELD AT JPMORGAN CHASE BANK, N.A.

In case of other errors or questions about other account statement(s) Please review your account statement(s) and promptly report any inaccuracy or discrepancy including possible unauthorized trading activity, unrecorded dividend payments, unaccounted cash positions, improper payments or transfers in writing to JPMCB at the addresses shown on your statement(s). Any oral communication should be re-confirmed in writing to further protect your rights. If you have any questions please contact your J.P. Morgan team.

In your written communication, please provide the following information: (1) your name and account number; (2) the dollar amount of the suspected error; and (3) a description of the error and explanation, if you can, why you believe there is an error. If you need more information, you must describe the item you are unsure about. We must receive your written communication no later than 30 days after the statement on which the error or problem appeared is sent or made available. If you do not so notify us, you agree that the statement activity and account balance(s) are correct.

You must promptly advise your J.P.Morgan representative of material changes in your investment objectives or financial situation or if you wish to modify the management of your account. Unless you inform otherwise, your J.P.Morgan representative will consider the information currently in its files to be complete and accurate.

With reference to JPMS and JPMCC: A financial statement of this organization is available to you for personal inspection at its offices, or a copy will be mailed to you upon written request.

You should have received (or have made available to you) separate confirmations for each securities transaction. All transactions are subject to the terms and conditions stated on the reverse side of such confirmations and are subject to the constitution, by-laws, customs and interpretations of the marketplace where executed and governed by and construed in accordance with the laws of the State of New York and all applicable federal laws and regulations. Further information with respect to commissions and other charges related to the execution of transactions, including options transactions, has been included in confirmations that were previously furnished or have made available to you. Upon written request, JPMS will promptly supply you with the latest information.

IMPORTANT ADDITIONAL INFORMATION APPLICABLE ONLY TO YOUR MARGIN ACCOUNT(S)

All positions in your Margin Account Portfolio(s) are held in custody at J.P. Morgan Clearing Corp. ("JPMCC"), Three Chase Metrotech Center, Brooklyn, NY 11245-001, (347) 643-2578.

If you have a margin account as permitted by law we may use certain securities in such account for, among other things, settling short sales and lending the securities for short sales, and as a result may receive compensation in connection therewith.

Disclosures Page 3 of 5

For the Period 8/1/13 to 8/31/13

Please keep the following in mind when using a statement to track your brokerage activity in a margin account: The statement combines your general margin account with the special memorandum account required by Section 220.6 Regulation T. As required by Regulation T, a permanent record of your separate account is available upon your request. Free credit balances in your margin account(s) are not segregated and may be used in the operation of JPMCCs business, subject to the limitations of SEC Rule 15c3-3. Unless otherwise noted, JPMCC or its agents and depositories will hold your securities. Upon your demand, JPMCC will pay to you the amount of your free credit balance, and will deliver to you fully-paid securities held on your behalf.

Interest will be charged on any debit balance; the method of calculating interest is described in a letter sent to all margin customers.

You must promptly advise your J.P.Morgan representative of material changes in your investment objectives or financial situation or if you wish to modify the management of your account. Unless you inform otherwise, your J.P.Morgan representative will consider the information currently in its files to be complete and accurate.

PRODUCT RELATED DISCLOSURE: IMPORTANT INFORMATION ABOUT ALTERNATIVE INVESTMENTS: FOOTNOTES 1 - 4

Private Equity, Hedge Funds, Exchange Funds, Real Estate and Other Alternative Assets

1. Direct private equity investments and pooled private investments (e.g., interests in limited partnerships and limited liability companies) are generally illiquid securities. Values are estimates only and are not warranted for accuracy or completeness. Values do not represent the actual terms at which transactions or securities could be bought or sold or new transactions could be entered into or the actual terms on which existing transactions could be liquidated as of the date of this statement.

Direct private equity investments are generally valued at cost, unless there is an active secondary trading market in the securities, in which case, direct private equity investments are valued using market

prices as of the close of the last business day for this statement period.

Pooled private equity investments are valued according to the General Partner's or Manager's stated methodology. Please refer

to the limited partnership agreement, limited liability company agreement or other operative documentation for each investment for the specific valuation methodology used by the General Partner or

Manager for that investment or for your Investment Management or Trust account contact your J.P. Morgan team. J.P. Morgan expressly disclaims any responsibility for (1) the accuracy of the

information and the methodology used by the General Partner or Manager in deriving valuations, (2) any errors or omissions in compiling or disseminating the valuations, and (3) any use to which the valuations are put. Valuations are provided for information purposes only and are intended solely for your own use.

Hedge funds generally calculate the price (the "Net Asset Value" or "NAV"), 10-15 business days following the last business day of the month. For that reason, hedge fund NAVs shown will generally be

the NAV of the month preceding this statement period. In general, the NAV is stated net of management and incentive fees. The NAV is calculated according to the General Partner's or Manager's stated methodology. Please refer to the limited partnership agreement, limited liability company agreement or other operative documentation for each hedge fund for the specific methodology used by the General Partner or Manager for that hedge fund or for your Investment Management or Trust account contact your J.P. Morgan team. J.P. Morgan expressly disclaims any responsibility for (1) the accuracy of the information and the methodology used by the General Partner or Manager in deriving valuations, (2) any errors or omissions in compiling or disseminating the valuations, and (3) any use to which the valuations are put. Valuations are provided for information purposes only and are intended solely for your own use.

These statements do not provide tax reporting on private investments. Please continue to rely upon the General Partner or Manager of the investment vehicle for this information or for your Investment Management or Trust account contact your J.P. Morgan team.

2. The 'Capital Called Since Inception USD' and 'Cash/Security distributions Since Inception USD' columns are updated monthly to reflect activity (capital calls and distributions), if any.

3. Hedge funds generally allow subscriptions on a monthly or quarterly basis. An interest in a hedge fund or hedge fund of funds is generally as of the first business day of the subscription period. Due to early funding requirements by the applicable fund, your account may be debited for the subscription amount prior to the subscription date. Please refer to the applicable hedge fund's operative documentation for further details or for your Investment Management or Trust account contact your J.P. Morgan team.

Hedge fund redemptions are generally on a monthly, quarterly or annual basis but can sometimes be subject to a multi-year lockup before a redemption is permitted. For redemptions, there is generally a notification period that can be a long interval before the actual redemption date. Redemption proceeds are generally paid 15 calendar days after the final NAV is issued, but can be subject to a holdback of a portion of the proceeds until an annual audit of the Fund has been completed. Please refer to the applicable hedge fund's operative documentation for further details or for your Investment Management or Trust account contact your J.P. Morgan team.

Disclosures Page 4 of 5

For the Period 8/1/13 to 8/31/13

Global Access Portfolios share certain characteristics with hedge funds. In particular, an investor who withdraws from a Global Access Portfolio will not receive the withdrawal proceeds in their entirety until 30-60 days after completion of the Portfolio's audit, which may be 18 months or longer after the redemption date. Interest might not be paid on any proceeds pending distribution. These restrictions apply even if the investor's account at JPMorgan is closed. The Confidential Private Placement Memorandum and applicable Supplements contain other important information about the Global Access Portfolios and are available upon request or for your Investment Management or Trust account contact your J.P. Morgan team.

4. Alternative assets may include publicly available mutual funds that utilize non-traditional investment management strategies, for example, strategies commonly employed by hedge funds. Mutual funds generally calculate the price (the "Net Asset Value" or "NAV") on a daily basis and mutual fund NAVs shown on your statement generally will be the NAV as of the close of the last business day for this statement period. Please refer to the applicable mutual fund prospectus for further details. If the applicable mutual fund is a JPMorgan Fund, please refer to disclosures on this statement concerning JPMorgan Funds for other important information.

PRODUCT RELATED DISCLOSURE: THE JPMORGAN FUNDS OR THIRD PARTIES

Shares of the funds referenced above are not bank deposits and are not guaranteed by any bank, government entity, or the FDIC. Return and share price will fluctuate and redemption value may be more or less than original cost. While the money market funds seek to maintain a stable net asset value of \$1.00 per share, there is no assurance that they will continue to do so. The estimated annual income and dividend yield figures for mutual funds represent the funds' most recent income dividend annualized.

Prospectuses and other fund information for JPMorgan funds may be obtained by calling your J.P. Morgan team or JPMorgan Distribution Services, Inc. at (800) 480-4111. You also may view and

order materials online for JPMorgan funds at www.jpmorganfunds.com.

J.P. Morgan affiliates may receive compensation from the JPMorgan funds for providing investment advisory services to the funds. J.P. Morgan affiliates may also provide administrative, custodial, sales, distribution, shareholder or other services to the JPMorgan Funds or funds established, sponsored, advised, or managed by third parties, and J.P. Morgan affiliates may be compensated for such

services as allowed by applicable law. The distributor of the JPMorgan Funds is JPMorgan Distribution Services, Inc., which is an affiliate of JPMCB.

Assets may be reflected herein even though they may be held by a third party unaffiliated with J.P. Morgan. In such cases, unless J.P. Morgan otherwise agrees, J.P. Morgan has no responsibility for the verification, valuation, safekeeping or management of those assets.

Disclosures Page 5 of 5

