

GHISLAINE MAXWELL

MIAMI FL 33139-1043

For the Period 9/1/13 to 9/30/13

Account Summary

Account
Number

Investment Account(s)

GHISLAINE MAXWELL

GHISLAINE MAXWELL

GHISLAINE MAXWELL - OAP IHD

GHISLAINE MAXWELL - TAP DYS

GHISLAINE MAXWELL - TAP ARFI

Total Value

[REDACTED]¹
[REDACTED]²
[REDACTED]
[REDACTED]
[REDACTED]

Beginning Net

Market Value

5,139,274.77

430.00

1,734,264.94

997,197.48

987,444.55

\$8,858,611.74

Ending Net

Market Value

5,186,365.84

430.00

1,851,249.95

1,011,717.77

993,184.92

\$9,042,948.48

This account summary is provided for informational purposes and includes assets at different entities.

(1) Assets held at JPMorgan Chase Bank, N.A., member Federal Deposit Insurance Corporation ("FDIC"), except for exchange-listed options, which are held at JPMorgan Clearing Corporation ("JPMCC"). The Asset Account Statement reflects brokerage transactions executed through J.P. Morgan Securities LLC ("JPMS"), see "Portfolio Activity Detail". Equity securities, fixed income securities, and listed options transactions are generally cleared through JPMCC, a wholly owned subsidiary of JPMS. Please see "Additional Information About Your Accounts" at the end of the Asset Account Statement.

(2) Assets held in Margin Account at JPMCC, member Financial Regulatory Authority ("FINRA") and Securities Insurance Protection Corporation ("SIPC"). The Margin Account Statement reflects brokerage transactions executed by JPMS, see "Portfolio Activity Detail". Such transactions are cleared and carried through JPMCC. Please see disclosures located at the end of this statement package for important information relating to each J.P.Morgan account(s).

Change
In Value
47,091.07
0.00
116,985.01
14,520.29
5,740.37
\$184,336.74

Start on
Page

4
38
43
56
71

Client News

J.P. Morgan Securities LLC's (JPMS LLC) and
J.P. Morgan Clearing Corp.'s (JPMCC) Net Capital
and Net Capital Requirements

At June 30, 2013, JPMS LLC's net capital of \$13.8 billion
exceeded the minimum regulatory net capital
requirement of \$1.8 billion by \$12.0 billion. JPMCC's net
capital of \$6.7 billion was approximately 7.9% of
aggregate debit items and exceeded the minimum
regulatory net capital requirement of \$1.7 billion by \$5.0
billion.

Complete copies of JPMS LLC's and JPMCC's individual
unaudited Statement of Financial Condition may be
obtained, at no cost, by accessing:

<http://investor.shareholder.com/jpmorganchase/financialcondition.cfm>

Additionally,

you may call 1-866-576-1300 to request a
hard copy of the statement.

A misclassification occurred in your June and July
month-end statements, whereby some taxable
transactions were categorized as non-taxable and vice
versa. All transaction details are now properly
categorized in your account. Please note that this
misclassification did not impact the transaction values or
any holdings or market value(s) in your account(s). The
impacted summary sections have been adjusted and
display properly categorized values in the August
month-end statements. If you would like an updated
statement for June or July, please reach out to your
J.P. Morgan team.

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Consolidated Statement Page 1

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For the Period 9/1/13 to 9/30/13

Consolidated Summary

INVESTMENT ACCOUNTS

Asset Allocation

Equity

Alternative Assets

Cash & Fixed Income

Other

Market Value

Accruals

Market Value with Accruals

Beginning

Market Value

2,814,353.32

630,227.68

5,249,218.60

151,065.93

\$8,844,865.53

13,746.21

\$8,858,611.74

Ending

Market Value

2,949,585.43

632,005.08

5,283,906.66

158,652.35

\$9,024,149.52

18,798.96

\$9,042,948.48

Change

In Value

135,232.11

1,777.40

34,688.06

7,586.42

\$179,283.99

5,052.75

\$184,336.74

Estimated

115,497.91

12,725.90

68,779.19

Current

Annual Income Allocation

33%

7%

58%

2%

\$197,003.00

100%

Equity

Alternative
 Assets
 Asset Allocation
 Other
 Cash &
 Fixed Income
 Current
 Portfolio Activity
 Beginning Market Value
 Net Contributions/Withdrawals
 Income & Distributions
 Change in Investment Value
 Ending Market Value
 Accruals
 Market Value with Accruals
 Period Value
 8,844,865.53
 (348.62)
 12,446.37
 167,186.24
 \$9,024,149.52
 18,798.96
 \$9,042,948.48
 Year-to-Date
 Value
 8,349,688.16
 (298,017.14)
 47,277.09
 925,201.41
 \$9,024,149.52
 18,798.96
 \$9,042,948.48

This Consolidated Summary shows all of your investments at J.P. Morgan other than investments we hold in trust for you. These investments may be held in custody or investment

management account at JPMorgan Chase Bank, N.A. (the "Bank") or in a brokerage or margin account at J.P. Morgan Clearing Corp. ("JPMCC").

Brokerage and margin accounts are non-discretionary and all investment decisions are made by the client. J.P. Morgan Securities LLC ("JPMS") does not provide advice on asset allocation or investment management

services, nor do its personnel take discretion over any client accounts. Such advice and services are provided exclusively by the Bank.

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For the Period 9/1/13 to 9/30/13
Consolidated Summary
INVESTMENT ACCOUNT(S) YEAR-TO-DATE
Portfolio Activity

GHISLAINE MAXWELL
GHISLAINE MAXWELL
GHISLAINE MAXWELL - OAP IHD
GHISLAINE MAXWELL - TAP DYS
GHISLAINE MAXWELL - TAP ARFI

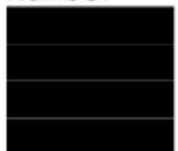
Total Value

Tax Summary

GHISLAINE MAXWELL
GHISLAINE MAXWELL - OAP IHD
GHISLAINE MAXWELL - TAP DYS
GHISLAINE MAXWELL - TAP ARFI

Total Value

Account
Number



Account
Number



Beginning
Market Value
8,349,258.16
430.00

0.00
0.00
0.00
\$8,349,688.16

Taxable
Income

(36,562.61)
41,923.62
21,390.50
13,058.33
\$39,809.84
Net Contributions/
Withdrawals
(4,031,823.72)
1,739,269.80
996,867.73
997,669.05
(\$298,017.14)

Tax-Exempt

Income

5,193.25

1,108.27

1,165.73

\$7,467.25

¹Unrealized Gain/Loss represents data from the time of account inception to the current statement period.

(20,468.38)

(3,978.62)

(2,552.55)

(\$26,999.55)

Other Income

& Receipts

Income &

Distributions

(31,369.36)

41,923.62

22,498.77

14,224.06

\$47,277.09

Change in

Investment Value

886,179.03

65,709.70

(7,712.36)

(18,974.96)

\$925,201.41

Realized Gain/Loss

Short-term

Long-term

(70,239.07)

4.44

30.78

(\$70,203.85)

Ending Market Value

with Accruals

5,186,365.84

430.00

1,851,249.95

1,011,717.77

993,184.92

\$9,042,948.48

Unrealized

Gain/Loss¹

27,617.89

86,173.64

(3,764.52)

(16,422.41)

\$93,604.60

CONTINUED

JPMorgan Chase Bank, N.A.

GHISLAINE MAXWELL

[REDACTED], New York, NY 10017-2014

ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

Asset Account

J.P. Morgan Team

James Buckley

Jason Bell

Janet Young

Gina Magliocco

Jason Grosse

Online access

Banker

Investment Specialist

Client Service Team

Client Service Team

Client Service Team

www.jpmorganonline.com

Please see disclosures located at the end of this statement package for important information relating to each J.P.Morgan account(s).

[REDACTED]

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Account Summary

Holdings

Equity

Alternative Assets

Cash & Fixed Income

Other Assets

Portfolio Activity

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GHISLAINE MAXWELL

Account Summary

Asset Allocation

Equity

Alternative Assets

Cash & Fixed Income

Other

Market Value

Accruals

Market Value with Accruals

Beginning

Market Value

955,872.67

66,756.11

3,956,450.72

151,065.93

\$5,130,145.43

9,129.34

\$5,139,274.77

Ending

Market Value

969,621.57

63,386.38

3,980,583.81

158,652.35

\$5,172,244.11

14,121.73

\$5,186,365.84

Current

Portfolio Activity

Beginning Market Value

Contributions

Withdrawals & Fees

Securities Transferred In

Securities Transferred Out

Net Contributions/Withdrawals

Income & Distributions

Change In Investment Value

Ending Market Value

Accruals

Market Value with Accruals

(198.71)

1,281,215.21

(1,281,016.50)

\$0.00

3,015.69

39,082.99

\$5,172,244.11

14,121.73

\$5,186,365.84

Period Value

5,130,145.43
Change
In Value
13,748.90
(3,369.73)
24,133.09
7,586.42
\$42,098.68
4,992.39
\$47,091.07
Year-to-Date
Value
8,349,258.16
849,480.50
(4,001,990.63)
11,753,103.76
(12,632,417.35)
(\$4,031,823.72)
(31,369.36)
886,179.03
\$5,172,244.11
14,121.73
\$5,186,365.84
Estimated
50,794.36
21,317.29
\$72,111.65
Current
Annual Income Allocation
21%
1%
75%
3%
100%
Cash &
Fixed Income
Equity
Other
Alternative
Assets
Asset Allocation
ACCT. [REDACTED]
For the Period 9/1/13 to 9/30/13
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GHISLAINE MAXWELL

Account Summary

Tax Summary

Domestic Dividends/Distributions

Foreign Dividends

Currency Gain/Loss

Interest Income

Taxable Income

Tax-Exempt Income

Bond Premium Amortization

Tax-Exempt Income

CONTINUED

Current

Period Value

603.19

874.00

24.99

1,513.51

\$3,015.69

Year-to-Date

Value

7,799.46

8,190.63

(107,915.84)

55,363.14

(\$36,562.61)

8,750.00

(3,556.75)

\$5,193.25

Unrealized Gain/Loss

To-Date Value

\$27,617.89

LT Realized Gain/Loss

Realized Gain/Loss

Current

Period Value

Year-to-Date

Value

(70,239.07)

(\$70,239.07)

ACCT. ██████████

For the Period 9/1/13 to 9/30/13

Note: * This summary is for informational purposes only and is not to be used for any financial or tax purposes. This summary does not include securities which are taxable at the federal level but are state exempt. This summary may not include all income derived from Municipal Securities; Please consult your tax advisor for tax implications.

¹ Other category combines all the remaining Tax Exempt Income generated by securities in the account which may not have a state affiliation.

Tax-Exempt Income

Summary by State*

MA

MI

Current

Period Value

Year-to-Date

Value

1,410.07

708.94

Tax-Exempt Income

Summary by State*

TX

Tax-Exempt Income

Current

Period Value

Year-to-Date

Value

3,074.24

\$5,193.25

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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

Account Summary

Cost Summary

Equity

Cash & Fixed Income

Other

Total

CONTINUED

Cost

944,362.24

3,967,055.93

92,027.29

\$5,003,445.46

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GHISLAINE MAXWELL

Equity Summary

Asset Categories

US Large Cap Equity

US Small/Mid Cap Equity

Non-US Equity

Preferred Stocks

Concentrated & Other Equity

Total Value

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Accrued Dividends

Yield

Beginning

Market Value

292,573.99

4,290.00

135.18

445,152.00

213,721.50

\$955,872.67

Ending

Market Value

299,641.71

10,050.00

146.86

444,174.00

215,609.00

\$969,621.57

Current

Period Value

969,621.57

944,362.24

25,259.33

50,794.36

7,305.33

5.22%

US Large Cap Equity

Change

In Value

7,067.72

5,760.00

11.68

(978.00)

1,887.50

\$13,748.90

Current

Allocation

6%

1%

1%

9%

4%

21%

Preferred Stocks

US Small/Mid Cap Equity

Non-US Equity

Asset Categories

ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

Concentrated & Other Equity

Equity as a percentage of your portfolio - 21 %

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GHISLAINE MAXWELL

ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

Equity Detail

Price

US Large Cap Equity

APACHE CORP

037411-10-5 APA

BANK OF AMERICA CORP

CITIGROUP INC NEW

SCHLUMBERGER LTD

SPDR S&P 500 ETF TRUST

Total US Large Cap Equity

060505-10-4 BAC

48.51

172967-42-4 C

88.36

806857-10-8 SLB

168.01

78462F-10-3 SPY

\$299,641.71

\$295,532.56

\$4,109.15

1,495.000

251,174.95

250,407.85

767.10

113.000

9,984.68

9,524.04

460.64

141.25

35.31

5,072.53

1,252.74

\$5,341.86

\$1,288.05

US Small/Mid Cap Equity

GERON CORP

374163-10-3 GERN

Non-US Equity

RIO TINTO PLC

ISIN GB0007188757 SEDOL 0718875

766994-90-9 BP

1.41%

2.02%

1.78%

358.000

17,366.58

14,048.52

3,318.06

14.32
0.08%
13.80
944.000
13,027.20
10,148.85
2,878.35
37.76
0.29%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Inc.
Accrued Div.
Yield
85.14
95.000
8,088.30
11,403.30
(3,315.00)
76.00
0.94%
3.35
3,000.000
10,050.00
18,129.32
(8,079.32)
48.95
3.000
146.86
212.23
(65.37)

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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

Price

Preferred Stocks

CITIGROUP CAPITAL XIII

7 7/8% PFD

173080-20-1 C PN NA /BA1

COUNTRYWIDE CAPITAL V

7% PFD

222388-20-9 CFC PB

JPM CHASE CAPITAL XXIX

6.7% PFD

48125E-20-7 JPM PC

Total Preferred Stocks

25.42

2,500.000

63,550.00

\$444,174.00

62,500.00

\$422,578.96

1,050.00

\$21,595.04

4,187.50

1,046.88

\$31,227.50

\$1,046.88

Concentrated & Other Equity

GENERAL MOTORS CO

CV PFD B

37045V-20-9 GM PB

JPMORGAN CHASE & CO

VAR RT PFD STK 04/30/2049

DTD 04/23/2008

46625H-HA-1 BBB /BA1

Total Concentrated & Other Equity

\$215,609.00

\$207,909.17

\$7,699.83

\$14,225.00

\$4,970.40

6.55%

110.31

150,000.000

165,459.00

157,909.17

7,549.83

11,850.00

4,970.40

7.10%

6.59%

7.03%

25.22
4,200.000
105,924.00
98,778.96
7,145.04
7,350.00
6.94%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Inc.
Accrued Div.
Yield
27.47
10,000.000
274,700.00
261,300.00
13,400.00
19,690.00
7.17%
50.15
1,000.000
50,150.00
50,000.00
150.00
2,375.00
4.74%

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Consolidated Statement Page 10

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

Alternative Assets Summary

Beginning

Asset Categories

Hard Assets

Estimated Value

66,756.11

Alternative Assets Detail

Price

Hard Assets

ETFS METAL SECURITIES LTD

ISIN JE00B1VS3770 SEDOL B1VS377

131994-91-5

SPDR GOLD TRUST

Total Hard Assets

78463V-10-7 GLD

\$63,386.38

\$76,999.78

128.18

190.000

24,354.20

31,931.40

129.68

301.000

39,032.18

45,068.38

Quantity

Estimated

Value

Cost

Ending

Estimated Value

63,386.38

Change

In Value

(3,369.73)

Current

Allocation

1%

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Consolidated Statement Page 11

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

Cash & Fixed Income Summary

Beginning

Asset Categories

Cash

Non-USD Cash

Non-USD Short Term

US Fixed Income

Non-US Fixed Income

Total Value

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Accrued Interest

Yield

Market Value

2,642,723.04

227,948.14

312,939.50

618,791.14

154,048.90

\$3,956,450.72

Ending

Market Value

2,740,074.68

132,277.29

319,883.17

626,655.93

161,692.74

\$3,980,583.81

Current

Period Value

3,980,583.81

3,967,055.93

13,527.88

21,317.29

6,816.40

0.43%

US Fixed Income

Change

In Value

97,351.64

(95,670.85)

6,943.67

7,864.79

7,643.84

\$24,133.09

Current

Allocation

51%

3%

6%

12%

3%

75%

Non-USD Short Term

Non-US Fixed Income

Non-USD Cash

Cash

Asset Categories

Cash & Fixed Income as a percentage of your portfolio - 75 %

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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

SUMMARY BY MATURITY

1

Cash & Fixed Income

0-6 months

1-5 years¹

10+ years¹

Total Value

1

SUMMARY BY TYPE

Market

Value

3,455,098.81

309,603.00

215,882.00

\$3,980,583.81

% of Bond

Portfolio

88%

7%

5%

100%

The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

Note:

P indicates position adjusted for Pending Trade Activity.

1

Cash & Fixed Income Detail

Price

Cash

US DOLLAR

JPM NY MUNI MMKT FD - MORGAN

FUND 3

7-Day Annualized Yield: .01%

Total Cash

1.00

1.00

2,740,073.68

1.00

2,740,073.68

1.00

\$2,740,074.68

2,740,073.68

1.00

\$2,740,074.68

\$0.00

\$274.00

\$22.20

0.01%

274.00
22.20
0.01% ¹
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Income
Accrued Interest
Yield
Cash & Fixed Income
Cash
NON USD Cash
Corporate Bonds
Municipal Bonds
International Bonds
Mutual Funds
Total Value
Market
Value
2,740,074.68
132,277.29
309,603.00
215,882.00
561,339.05
21,407.79
\$3,980,583.81

A - Bonds purchased at a premium show amortization.

This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account.

% of Bond
Portfolio

70%
3%
7%
5%
14%
1%
100%

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GHISLAINE MAXWELL

ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

Price

Non-USD Cash

P

AUSTRALIAN DOLLAR

POUND STERLING

HONG KONG DOLLAR

TURKISH LIRA NEW

PRINCIPAL CURRENCY

Total Non-USD Cash

0.94

1.62

0.13

0.49

100.00

8,590.32

950.00

239,000.00

93.50

13,911.16

122.50

118,150.13

\$132,277.29

103.25

13,510.29

122.44

124,045.53

\$137,781.51

(9.75)

400.87

0.06

(5,895.40)

(\$5,504.22)

\$0.00

0.00%

Quantity

Value

Adjusted Tax Cost

Original Cost

Unrealized

Gain/Loss

Est. Annual Income

Accrued Interest

Yield

Non-USD Short Term

JP MORGAN CHASE BANK - CAD

97.29

LONDON TIME DEPOSITS 0.5700%

DATED 09/25/2013 MATURITY 10/02/2013

HELD BY LONDON TREASURY SERVICES
Bearer
2537A9-9S-9 AD
JP MORGAN CHASE BANK - NOK
LONDON TIME DEPOSITS 0.9000%
DATED 09/25/2013 MATURITY 10/02/2013
HELD BY LONDON TREASURY SERVICES

Bearer
2537B9-9B-5 OK
Total Non-USD Short Term
\$319,883.17
\$323,771.22
(\$3,888.05)
\$2,505.74
\$41.75
0.91%
16.63
1,243,727.33
206,796.74
209,933.62
(3,136.88)
1,861.16
31.01
1.08%
116,241.54
113,086.43
113,837.60
(751.17)
644.58
10.74
0.61%

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GHISLAINE MAXWELL

ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

Price

US Fixed Income

P JPM MANAGED RESERVES FD - GBP-C-DIST

ISIN LU0539309053

051398-91-5 BP

ISHARES GBP CORPORATE BOND UCITS ETF

ISIN IE00B00FV011 SEDOL B00FV01

461278-91-3 BP

HSBC FINANCE CORP

MEDIUM TERM NOTE 2.9% OCT 15 2015

DTD 10/15/2010

40429X-YB-6 A /BAA

GENERAL ELEC CAP CORP

MEDIUM TERM FLOATING RATE NOTE

MAY 11 2016 DTD 05/11/2007

36962G-2V-5 AA+ /A1

BANK OF AMERICA CORP

MEDIUM TERM NOTE

3.6% NOV 15 2016

DTD 11/04/2010

06050W-DW-2 A- /BAA

A MI ST MUNI BOND AUTH

ST CLEAN WTR REVOLVING FD 5%

OCT 01 2026 DTD 11/02/2006

HELD BY DTC BOOK ENTRY ONLY

PAR CALL 10/01/2016 @ 100

59455T-PF-3 AAA /AAA

110.11

50,000.00

55,056.00

53,444.51

55,424.00

1,611.49

2,500.00

1,250.00

4.00%

104.70

150,000.00

157,047.00

150,000.00

7,047.00

5,400.00

2,040.00

2.04%

99.54

50,000.00

49,772.00

45,394.50

4,377.50

237.55

33.65

0.65%

102.78

100,000.00

102,784.00

100,000.00

2,784.00

2,900.00

1,337.20

1.51%

10,309.31

203.88

7.74

105.00

79,763.14

21,407.79

81,082.31

19,023.08

(1,319.17)

925.05

2,384.71

Quantity

Value

Adjusted Tax Cost

Original Cost

Unrealized

Gain/Loss

Est. Annual Income

Accrued Interest

Yield

Account [REDACTED] Page 12 of 34

Consolidated Statement Page 15

GHISLAINE MAXWELL

ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

Price

US Fixed Income

A BIRDVILLE TEXAS INDEPENDENT SCHOOL

DIST PERM SCH GTD FUND UNLIMITED TAX

5% FEB 15 2029 DTD 01/15/2007

HELD BY DTC BOOK ENTRY ONLY

PAR CALL 02/15/2017 @ 100

090874-FV-5 AAA /AAA

A MA ST HLTH & EDUCTNL FACS AUTH

REVENUE HARVARD UNIV 5% B

JUL 15 2032 DTD 08/03/2005

HELD BY DTC BOOK ENTRY ONLY

PAR CALL 07/15/2015 @ 100

57586C-FW-0 AAA /AAA

Total US Fixed Income

\$626,655.93

\$607,923.20

\$615,466.89

Non-US Fixed Income

BLUEBAY HIGH YIELD BD FD GBP HDG-DB

ISIN LU0222772518

G13289-92-4 BP

ISHARES GBP INDEX-LINKED GILTS

UCITS ETF

ISIN IE00B1FZSD53 SEDOL B1G52V0

46578W-92-2 BP

Total Non-US Fixed Income

\$161,692.74

\$157,505.32

\$4,187.42

\$0.00

0.00%

21.32

5,790.00

123,450.58

118,212.32

5,238.26

203.53

187.90

38,242.16

39,293.00

(1,050.84)

\$18,732.73

\$18,537.55

\$6,752.45

2.28%

104.64

50,000.00

52,321.00

52,041.90

54,040.00

279.10

2,500.00

527.75

4.63%

108.51

100,000.00

108,505.00

106,936.90

110,503.00

1,568.10

5,000.00

638.80

4.24%

Quantity

Value

Adjusted Tax Cost

Original Cost

Unrealized

Gain/Loss

Est. Annual Income

Accrued Interest

Yield

Account [REDACTED] Page 13 of 34

Consolidated Statement Page 16

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

Other Summary

Asset Categories

Balanced

Beginning

Estimated Value

151,065.93

Ending

Estimated Value

158,652.35

Change

In Value

7,586.42

Current

Allocation

3%

Current

Market Value/Cost

Estimated Value

Tax Cost

Estimated Gain/Loss

Note:

**

Period Value

158,652.35

92,027.29

2,444.08

Unrealized Gain/Loss is shown as "N/A" in cases where we do not have appropriate cost information for all tax lots comprising the position.

Please contact your J.P. Morgan team for additional

information.

Other Detail

Price

Balanced

JPM INC OPP FD - GBP - A - DIST(HDG)

ISIN LU0323456201

287991-93-9 BP

146.23

450.272

65,844.09

1,663.11 **

N/A

Quantity

Value

Adjusted Cost

Original Cost

Unrealized

Gain/Loss

Accruals

Account [REDACTED] Page 14 of 34

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 9/1/13 to 9/30/13
Price
Balanced
PIMCO FUNDS GLOBAL INVESTORS SERIES
- GLOBAL INVESTMENT GRADE CREDIT
FUND
ISIN IE00B3BMD843 SEDOL B3BMD84
695421-91-7 BP
Total Balanced
\$158,652.35
\$92,027.29
\$2,444.08
21.28
4,361.513
92,808.26
90,364.18
2,444.08
Quantity
Value
Adjusted Cost
Original Cost
Unrealized
Gain/Loss
Accruals
Account [REDACTED] Page 15 of 34
Consolidated Statement Page 18

GHISLAINE MAXWELL ACCT. ██████████
 For the Period 9/1/13 to 9/30/13
 Portfolio Activity Summary - U S Dollar
 Beginning Cash Balance
 PRINCIPAL
 Current
 Transactions
 INFLOWS
 Income
 Contributions
 Foreign Exchange - Inflows
 Total Inflows
 OUTFLOWS **
 Total Outflows
 Withdrawals
 \$0.00
 TRADE ACTIVITY
 Settled Sales/Maturities/Redemptions
 Settled Securities Purchased
 Total Trade Activity
 Ending Cash Balance
 \$0.00
 \$2,740,073.68
 17,190.60
 (250,407.85)
 (\$233,217.25)
 -\$0.00
 \$0.00
 \$0.00
 -96,727.31
 \$97,351.64
 Period
 Value
 2,642,722.04
 624.33
 Year-To-Date
 Value*
 -58,542.28
 849,480.50
 204,459.12
 \$1,112,481.90
 (4,000,000.00)
 (\$4,000,000.00)
 \$0.00
 \$0.00
 INCOME
 Current
 Period
 Value
 0.00
 Year-To-Date

Value*

-\$0.00

\$0.00

Current

Cost

Adjustments

Amortization

Total Cost Adjustments

Period Value

\$0.00

Year-To-Date

Value*

(3,556.75)

(\$3,556.75)

Account [REDACTED] Page 16 of 34

Consolidated Statement Page 19

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

Portfolio Activity Summary - U S Dollar

* Year to date information is calculated on a calendar year basis.

** Your account's standing instructions use a HIGH COST method for relieving assets

from your position

Portfolio Activity Detail - U S Dollar

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

9/3

9/3

9/4

9/27

Interest Income

Div Domestic

Spot FX

Div Domestic

Total Inflows & Outflows

Description

DEPOSIT SWEEP INTEREST FOR 08/01/13 - 08/31/13

@ .01% RATE ON AVG COLLECTED BALANCE OF

\$2,540,774.91 AS OF 09/01/13

GENERAL MOTORS CO CV PFD B @ 0.59375 PER SHARE

(ID: 37045V-20-9)

LINKED CURRENCY TRANSACTION - SELL BUY USD SELL

INR EXCHANGE RATE 67.257200000 DEAL 08/27/13

VALUE 08/30/13 (ID: 0INRPR-CV-0)

BANK OF AMERICA CORP @ 0.01 PER SHARE

(ID: 060505-10-4)

944.000

0.01

9.44

\$97,351.64

1,000.000

(6,505,608.220)

(96,727.31)

0.594

593.75

96,727.31

Quantity

Cost

Per Unit

Amount

PRINCIPAL

Amount

21.14

INCOME

Amount

Account [REDACTED] Page 17 of 34

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 9/1/13 to 9/30/13
Portfolio Activity Summary - Canadian Dollar
PRINCIPAL

US Dollar Value

Current

Transactions

Beginning Cash Balance

INFLOWS

Total Inflows

Income

OUTFLOWS **

Total Outflows

Withdrawals

Ending Cash Balance

Period Value

-52.97

\$52.97

(52.97)

(\$52.97)

-Year-To-Date

Value*

-507.19

\$507.19

(507.19)

(\$507.19)

-Local

Value

Current

Period Value

0.00

54.91

54.91

(54.91)

(54.91)

0.00

INCOME

US Dollar Value

Current

Transactions

Beginning Cash Balance

Ending Cash Balance

Period Value

--Year-To-Date

Value*

--Local

Value

Current

Period Value

0.00

0.00

Year-To-Date
Value*
--Year-To-Date
Value*
-519.19
519.19
(519.19)
(519.19)
-Account

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Consolidated Statement Page 21

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 9/1/13 to 9/30/13
Portfolio Activity Summary - Canadian Dollar
Current

Securities Transferred In/Out

Securities Transferred In

Securities Transferred Out

Period Value

448,260.65

(448,207.68)

Year-To-Date

Value*

3,851,045.24

(4,305,402.00)

* Year to date information is calculated on a calendar year basis.

** Your account's standing instructions use a HIGH COST method for relieving
assets

from your position

Portfolio Activity Detail - Canadian Dollar

INFLOWS & OUTFLOWS

Per Unit

Type

Settle Date Selection Method

9/4

Foreign Interest

Description

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.5700% DATED 08/28/2013 MATURITY 09/04/2013

HELD BY LONDON TREASURY SERVICES

(ID: 2536R9-9J-3)

9/4

9/11

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT CANADIAN DOLLAR

(ID: 0CADPR-AA-6)

Foreign Interest

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.5700% DATED 09/04/2013 MATURITY 09/11/2013

HELD BY LONDON TREASURY SERVICES

(ID: 2536T9-9H-5)

9/11

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT CANADIAN DOLLAR

(ID: 0CADPR-AA-6)

(12.880)

(12.44)

(12.88)

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(12.880)

116,199.510

(12.22)

(12.88)

12.44
12.88
Quantity
116,186.630
Amount USD
Local Value
PRINCIPAL
Amount USD
Local Value
12.22
12.88
INCOME
Amount USD
Local Value
Currency
Gain/Loss USD

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

INFLOWS & OUTFLOWS

Per Unit

Type

Settle Date Selection Method

9/18

Foreign Interest

Description

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.6700% DATED 09/11/2013 MATURITY 09/18/2013

HELD BY LONDON TREASURY SERVICES

(ID: 2536V9-9R-0)

9/18

9/25

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT CANADIAN DOLLAR

(ID: 0CADPR-AA-6)

Foreign Interest

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.6200% DATED 09/18/2013 MATURITY 09/25/2013

HELD BY LONDON TREASURY SERVICES

(ID: 2536X9-9U-1)

9/25

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT CANADIAN DOLLAR

(ID: 0CADPR-AA-6)

Total Inflows & Outflows

SECURITIES TRANSFERRED IN/OUT

Notes:

Settle Date Selection Method

Securities Transferred In

9/4

Receipt of Assets

Description

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.5700% DATED 09/04/2013 MATURITY 09/11/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2536T9-9H-5)

(14.010)

(13.60)

(14.01)

\$0.00

(15.140)

116,227.530

(14.71)

(15.14)

13.60

14.01

Quantity

116,212.390

Amount USD

Local Value

PRINCIPAL

Amount USD

Local Value

14.71

15.14

INCOME

Amount USD

Local Value

Currency

Gain/Loss USD

* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax

purposes. The Transaction Market Value shown is in USD.

Type

Quantity

Cost

116,199.510

113,796.85

Transaction

Market Value *

110,240.98

Account [REDACTED] Page 20 of 34

Consolidated Statement Page 23

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

Notes:

* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Settle Date Selection Method

Securities Transferred In

9/11

Receipt of Assets

Description

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.6700% DATED 09/11/2013 MATURITY 09/18/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2536V9-9R-0)

9/18

Receipt of Assets

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.6200% DATED 09/18/2013 MATURITY 09/25/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2536X9-9U-1)

9/25

Receipt of Assets

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.5700% DATED 09/25/2013 MATURITY 10/02/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2537A9-9S-9)

Total Securities Transferred In

Securities Transferred Out

9/4

Free Delivery

\$448,260.65

116,241.540

113,837.60

112,861.34

116,227.530

113,824.00

112,935.46

Quantity

Cost

116,212.390

113,809.29

Transaction

Market Value *

112,222.87

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.5700% DATED 08/28/2013 MATURITY 09/04/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2536R9-9J-3)

9/11

Free Delivery

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.5700% DATED 09/04/2013 MATURITY 09/11/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2536T9-9H-5)

(116,199.510)

113,796.85

(112,210.43)

(116,186.630)

113,784.63

(110,228.76)

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Consolidated Statement Page 24

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

Notes:

* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Settle Date Selection Method

Securities Transferred Out

9/18

Free Delivery

Description

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.6700% DATED 09/11/2013 MATURITY 09/18/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2536V9-9R-0)

9/25

Free Delivery

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.6200% DATED 09/18/2013 MATURITY 09/25/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2536X9-9U-1)

Total Securities Transferred Out

(\$448,207.68)

(116,227.530)

113,824.00

(112,847.74)

Quantity

Cost

(116,212.390)

113,809.29

Transaction

Market Value *

(112,920.75)

Account [REDACTED] Page 22 of 34

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GHISLAINE MAXWELL ACCT. XXXXXXXXXX
 For the Period 9/1/13 to 9/30/13
 Portfolio Activity Summary - Indian Rupee
 PRINCIPAL
 US Dollar Value
 Current
 Transactions
 Beginning Cash Balance
 INFLOWS
 Total Inflows
 Income
 OUTFLOWS **
 Total Outflows
 Foreign Exchange - Outflows
 TRADE ACTIVITY
 Total Trade Activity
 Period Value
 -1,293.66
 \$1,293.66
 (96,727.31)
 (\$96,727.31)
 Settled
 Sales/Maturities/Redemptions
 Ending Cash Balance
 95,433.65
 \$95,433.65
 -Year-To-Date
 Value*
 -1,293.66
 \$1,293.66
 (96,727.31)
 (\$96,727.31)
 95,433.65
 \$95,433.65
 -Local
 Value
 Current
 Period Value
 0.00
 87,008.22
 87,008.22
 (6,505,608.22)
 (6,505,608.22)
 6,418,600.00
 6,418,600.00
 0.00
 INCOME
 US Dollar Value
 Current
 Transactions
 Beginning Cash Balance

Ending Cash Balance

Period Value

--Year-To-Date

Value*

--Local

Value

Current

Period Value

0.00

0.00

Year-To-Date

Value*

--Year-To-Date

Value*

-87,008.22

87,008.22

(6,505,608.22)

(6,505,608.22)

6,418,600.00

6,418,600.00

-Account

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Consolidated Statement Page 26

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

Portfolio Activity Summary - Indian Rupee

* Year to date information is calculated on a calendar year basis.

** Your account's standing instructions use a HIGH COST method for relieving assets

from your position

Portfolio Activity Detail - Indian Rupee

INFLOWS & OUTFLOWS

Per Unit

Type

Settle Date Selection Method

9/4

Accrued Int Recd

Description

INTER AMERICAN DEVELOPMENT BANK- INR MEDIUM

TERM NOTE 3% MAR 25 2014 DTD 03/25/2010 HELD BY

EUROCLEAR ISIN XS0495010562 SEDOL B5114T3

(ID: 0INRPR-CV-0)

9/4

Spot FX

Total Inflows & Outflows

LINKED CURRENCY TRANSACTION - SELL BUY USD SELL

INR EXCHANGE RATE 67.257200000 DEAL 08/27/13

VALUE 08/30/13 (ID: 0INRPR-CV-0)

(\$95,433.65)

\$0.00

96,727.310

(96,727.31)

(6,505,608.22)

Quantity

87,008.220

Amount USD

Local Value

0.015

1.00

PRINCIPAL

Amount USD

Local Value

1,293.66

87,008.22

INCOME

Amount USD

Local Value

Currency

Gain/Loss USD

Account [REDACTED] Page 24 of 34

Consolidated Statement Page 27

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

TRADE ACTIVITY - Indian Rupee

Note:

Trade Date

Settle Date

8/27

9/4

Type

Selection Method Description

Settled Sales/Maturities/Redemptions

Sale

High Cost

INTER AMERICAN DEVELOPMENT BANK- INR MEDIUM

TERM NOTE 3% MAR 25 2014 DTD 03/25/2010 HELD BY

EUROCLEAR ISIN XS0495010562 SEDOL B5114T3

(ID: U45818-9G-5)

Quantity

(6,700,000.000)

L indicates Long Term Realized Gain/Loss

C indicates Currency Gain/Loss

* Settled transaction was initiated in prior statement period and settled in current statement period

Per Unit

Amount USD

Local Value

1.424

95.80

Proceeds USD

Local Value

95,433.65

6,418,600.00

Tax Cost USD

Realized

Local Value Gain/Loss USD

(143,232.17)

(6,465,500.00)

(697.32) L *

(47,101.20) C

Account [REDACTED] Page 25 of 34

Consolidated Statement Page 28

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 9/1/13 to 9/30/13
Portfolio Activity Summary - Norwegian Krone
PRINCIPAL

US Dollar Value

Current

Transactions

Beginning Cash Balance

INFLOWS

Total Inflows

Income

OUTFLOWS **

Total Outflows

Withdrawals

Ending Cash Balance

Period Value

-145.74

\$145.74

(145.74)

(\$145.74)

-Year-To-Date

Value*

-1,462.09

\$1,462.09

(1,462.09)

(\$1,462.09)

-Local

Value

Current

Period Value

0.00

870.23

870.23

(870.23)

(870.23)

0.00

INCOME

US Dollar Value

Current

Transactions

Beginning Cash Balance

Ending Cash Balance

Period Value

--Year-To-Date

Value*

--Local

Value

Current

Period Value

0.00

0.00

Year-To-Date
Value*
--Year-To-Date
Value*
-8,491.36
8,491.36
(8,491.36)
(8,491.36)
-Account

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Consolidated Statement Page 29

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 9/1/13 to 9/30/13
Portfolio Activity Summary - Norwegian Krone
Current

Securities Transferred In/Out

Securities Transferred In

Securities Transferred Out

Period Value

832,954.56

(832,808.82)

Year-To-Date

Value*

7,902,058.52

(8,327,015.35)

* Year to date information is calculated on a calendar year basis.

** Your account's standing instructions use a HIGH COST method for relieving
assets

from your position

Portfolio Activity Detail - Norwegian Krone

INFLOWS & OUTFLOWS

Per Unit

Type

Settle Date Selection Method

9/4

Foreign Interest

Description

HELD BY LONDON TREASURY SERVICES

(ID: 2536R9-9S-3)

9/4

9/11

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT NORWEGIAN KRONE

(ID: 0NOKPR-AA-1)

Foreign Interest

HELD BY LONDON TREASURY SERVICES

(ID: 2536T9-9T-9)

9/11

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT NORWEGIAN KRONE

(ID: 0NOKPR-AA-1)

(217.540)

(36.69)

(217.54)

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(217.500)

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS 1,243,074.600

0.9000% DATED 09/04/2013 MATURITY 09/11/2013

(35.76)

(217.50)

36.69

217.54

Quantity

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS 1,242,857.100

0.9000% DATED 08/28/2013 MATURITY 09/04/2013

Amount USD

Local Value

PRINCIPAL

Amount USD

Local Value

35.76

217.50

INCOME

Amount USD

Local Value

Currency

Gain/Loss USD

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

INFLOWS & OUTFLOWS

Per Unit

Type

Settle Date Selection Method

9/18

Foreign Interest

Description

HELD BY LONDON TREASURY SERVICES

(ID: 2536W9-9B-4)

9/18

9/25

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT NORWEGIAN KRONE

(ID: 0NOKPR-AA-1)

Foreign Interest

HELD BY LONDON TREASURY SERVICES

(ID: 2536Y9-9H-9)

9/25

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT NORWEGIAN KRONE

(ID: 0NOKPR-AA-1)

Total Inflows & Outflows

SECURITIES TRANSFERRED IN/OUT

Notes:

Settle Date Selection Method

Securities Transferred In

9/4

Receipt of Assets

Description

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

0.9000% DATED 09/04/2013 MATURITY 09/11/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2536T9-9T-9)

(217.610)

(36.42)

(217.61)

\$0.00

(217.580)

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS 1,243,509.720

0.9000% DATED 09/18/2013 MATURITY 09/25/2013

(36.87)

(217.58)

36.42

217.61

Quantity

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS 1,243,292.140

0.9000% DATED 09/11/2013 MATURITY 09/18/2013

Amount USD

Local Value

PRINCIPAL

Amount USD

Local Value

36.87

217.58

INCOME

Amount USD

Local Value

Currency

Gain/Loss USD

* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Quantity

Cost

1,243,074.600

209,823.64

Transaction

Market Value *

204,369.02

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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

Notes:

* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Settle Date Selection Method

Securities Transferred In

9/11

Receipt of Assets

Description

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS
0.9000% DATED 09/11/2013 MATURITY 09/18/2013
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 2536W9-9B-4)

9/18

Receipt of Assets

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS
0.9000% DATED 09/18/2013 MATURITY 09/25/2013
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 2536Y9-9H-9)

9/25

Receipt of Assets

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS
0.9000% DATED 09/25/2013 MATURITY 10/02/2013
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 2537B9-9B-5)

Total Securities Transferred In

Securities Transferred Out

9/4

Free Delivery

\$832,954.56

1,243,727.330

209,933.62

208,174.36

1,243,509.720

209,897.20

210,721.49

Quantity

Cost

1,243,292.140

209,860.33

Transaction

Market Value *

209,689.69

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS
0.9000% DATED 08/28/2013 MATURITY 09/04/2013
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 2536R9-9S-3)

9/11

Free Delivery

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

0.9000% DATED 09/04/2013 MATURITY 09/11/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2536T9-9T-9)

(1,243,074.600)

209,823.64

(209,653.00)

(1,242,857.100)

209,787.88

(204,333.26)

Account [REDACTED] Page 29 of 34

Consolidated Statement Page 32

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

Notes:

* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Settle Date Selection Method

Securities Transferred Out

9/18

Free Delivery

Description

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

0.9000% DATED 09/11/2013 MATURITY 09/18/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2536W9-9B-4)

9/25

Free Delivery

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

0.9000% DATED 09/18/2013 MATURITY 09/25/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2536Y9-9H-9)

Total Securities Transferred Out

(\$832,808.82)

(1,243,509.720)

209,897.20

(208,137.94)

Quantity

Cost

(1,243,292.140)

209,860.33

Transaction

Market Value *

(210,684.62)

Account [REDACTED] Page 30 of 34

Consolidated Statement Page 33

GHISLAINE MAXWELL ACCT. XXXXXXXXXX
 For the Period 9/1/13 to 9/30/13
 Portfolio Activity Summary - Pound Sterling
 PRINCIPAL
 US Dollar Value
 Current
 Transactions
 Beginning Cash Balance
 INFLOWS
 Total Inflows
 Income
 OUTFLOWS **
 Total Outflows
 Tax Payments
 \$0.00
 TRADE ACTIVITY
 Total Trade Activity
 Settled Securities Purchased
 \$0.00
 Ending Cash Balance
 -Period
 Value
 -874.00
 \$874.00
 Year-To-Date
 Value*
 -6,461.44
 \$6,461.44
 (21.35)
 (\$21.35)
 (1,663.11)
 (\$1,663.11)
 -0.00
 Local
 Value
 Current
 Period Value
 8,617.80
 543.75
 543.75
 Year-To-Date
 Value*
 -4,200.92
 4,200.92
 (13.37)
 (13.37)
 0.00
 9,161.55
 INCOME
 US
 Dollar Value

Current
Transactions
Beginning Cash Balance
Ending Cash Balance
Period Value
--Year-To-Date
Value*
--Local
Value
Current
Period Value
0.00
0.00
Year-To-Date
Value*
-- (1,095.27)
(1,095.27)
-Account

██████████ Page 31 of 34
Consolidated Statement Page 34

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

Portfolio Activity Summary - Pound Sterling

* Year to date information is calculated on a calendar year basis.

** Your account's standing instructions use a HIGH COST method for relieving assets

from your position

Portfolio Activity Detail - Pound Sterling

INFLOWS & OUTFLOWS

Per Unit

Type

Settle Date Selection Method

9/18

9/30

Foreign Dividend

Foreign Dividend

Description

ISHARES GBP CORPORATE BOND UCITS ETF ISIN
IE00B00FV011 SEDOL B00FV01 @ 1.133 PER SHARE
(ID: 461278-91-3)

PIMCO FUNDS GLOBAL INVESTORS SERIES - GLOBAL
INVESTMENT GRADE CREDIT FUND ISIN IE00B3BMD843
SEDOL B3BMD84 @ 0.097392 PER SHARE CONV. @
"CONV RATE" (ID: 695421-91-7)

Total Inflows & Outflows

\$874.00

\$0.00

4,361.513

0.157

0.097

684.81

424.78

Quantity

105.000

Amount USD

Local Value

1.802

1.133

PRINCIPAL

Amount USD

Local Value

189.19

118.97

INCOME

Amount USD

Local Value

Currency

Gain/Loss USD

Account [REDACTED] Page 32 of 34

Consolidated Statement Page 35

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 9/1/13 to 9/30/13
TRADE ACTIVITY - Pound Sterling
F indicates Foreign Exchange Gain/Loss

Note:

Per Unit
Trade Date
Est. Settle Date Type
Pending Securities Purchased
9/30
Purchase
9/30
Description
JPM MANAGED RESERVES FD - GBP-C-DIST ISIN
LU0539309053 (ID: 051398-91-5)
Quantity
0.090
Amount USD
Local Value
10,309.31
6,366.13
Market
Cost USD
Local Value
(925.05)
(571.23)
Currency
Gain/Loss USD
24.99

Account [REDACTED] Page 33 of 34
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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 9/1/13 to 9/30/13
Settled Foreign Exchange Contracts
Currency

Trade Date

Settle Date Counter Currency

Trade Related

INDIAN RUPEE

U S DOLLAR

Aug. 27 13

Sep. 4 13

INR

USD

Amount

Counter Amount Contract Rate Revaluation Rate

(6,505,608.22)

96,727.31

67.257200

67.257200

Contracted Base

Amount USD

96,727.31

Revalued

Amount USD

(96,727.31)

Currency G/L

Account [REDACTED] Page 34 of 34

Consolidated Statement Page 37

J.P. Morgan Securities LLC

GHISLAINE MAXWELL

[REDACTED], New York, NY 10179

ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

Margin Account

J.P. Morgan Team

James Buckley

Jason Bell

Janet Young

Gina Magliocco

Jason Grosse

Online access

Banker

Investment Specialist

Client Service Team

Client Service Team

Client Service Team

www.jpmorganonline.com

Transactions cleared and carried through J.P. Morgan Clearing Corp. - Three Chase Metrotech Center, Brooklyn, NY 11245-0001, (347) 643-2578

Please see disclosures located at the end of this statement package for important information relating to each J.P.Morgan account(s).

[REDACTED]
[REDACTED]
[REDACTED]
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Account Summary

Holdings

Cash & Fixed Income

Portfolio Activity

3

5

Page

2

Account [REDACTED] Page 1 of 5

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GHISLAINE MAXWELL

Account Summary

MARGIN

Cash

Asset Allocation

Cash & Fixed Income

Market Value

Market Value

0.00

\$0.00

Margin

Market Value

430.00

\$430.00

Current

Portfolio Activity

Beginning Market Value

Ending Market Value

Cost Summary

Cash & Fixed Income

Total

Period Value

430.00

\$430.00

Short

Market Value

0.00

\$0.00

Year-to-Date

Value

430.00

\$430.00

430.00

Cost

\$430.00

Investment Objectives

Speculation Permitted

Capital Appreciation

Total

430.00

\$430.00

Estimated

\$0.00

Current

Market Value Annual Income Allocation

100%

100%

ACCT. XXXXXXXXXX

For the Period 9/1/13 to 9/30/13

Yes

Yes

GHISLAINE MAXWELL

Cash & Fixed Income Summary

Beginning

Asset Categories

Cash

Market Value

430.00

ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

Ending

Market Value

430.00

Change

In Value

0.00

Current

Allocation

100%

Current

Market Value/Cost

Market Value

Tax Cost

SUMMARY BY MATURITY

1

Cash & Fixed Income

0-6 months

1

Period Value

430.00

430.00

SUMMARY BY TYPE

Market

Value

430.00

% of Bond

Portfolio

100%

The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

Cash & Fixed Income

Cash

Market

Value

430.00

% of Bond

Portfolio

100%

Account [REDACTED] Page 3 of 5

Consolidated Statement Page 40

GHISLAINE MAXWELL

ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

Cash & Fixed Income Detail

Quantity

Price

Cash

US DOLLAR

1.00

430.00

Margin

430.00

430.00

Account

Value

Adjusted Tax Cost

Original Cost

Unrealized

Gain/Loss

Est. Annual Income

Accrued Interest

Yield

Account [REDACTED] Page 4 of 5

Consolidated Statement Page 41

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

Portfolio Activity Summary

PRINCIPAL

Current

Transactions

Portfolio Activity Detail

Beginning Cash Balance

Ending Cash Balance

Period Value

430.00

\$430.00

Year-To-Date

Value

--INCOME

Current

Period

Value

0.00

\$0.00

Year-To-Date

Value

--No

Activity This Period

Account [REDACTED] Page 5 of 5

Consolidated Statement Page 42

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JPMorgan Chase Bank, N.A.
GHISLAINE MAXWELL - OAP IHD
[REDACTED], New York, NY 10017-2014
ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

Investment Management Account

J.P. Morgan Team

James Buckley

Jason Bell

Janet Young

Gina Magliocco

Jason Grosse

Online access

Banker

Investment Specialist

Client Service Team

Client Service Team

Client Service Team

www.jpmorganonline.com

Please see disclosures located at the end of this statement package for important information relating to each J.P.Morgan account(s).

[REDACTED]
[REDACTED]
[REDACTED]
Table of Contents

Account Summary

Page

2

Holdings

Equity

Portfolio Activity

4

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Account [REDACTED] Page 1 of 13

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GHISLAINE MAXWELL - OAP IHD

Account Summary

Asset Allocation

Equity

Market Value

Accruals

Market Value with Accruals

Beginning

Market Value

1,730,444.30

\$1,730,444.30

3,820.64

\$1,734,264.94

Ending

Market Value

1,846,903.12

\$1,846,903.12

4,346.83

\$1,851,249.95

Current

Portfolio Activity

Beginning Market Value

Contributions

Withdrawals & Fees

Net Contributions/Withdrawals

Income & Distributions

Change In Investment Value

Ending Market Value

Accruals

Market Value with Accruals

(348.62)

(\$348.62)

3,580.97

113,226.47

\$1,846,903.12

4,346.83

\$1,851,249.95

Period Value

1,730,444.30

Change

In Value

116,458.82

\$116,458.82

526.19

\$116,985.01

Year-to-Date

Value

0.00

1,750,000.00

(10,730.20)

\$1,739,269.80

41,923.62
65,709.70
\$1,846,903.12
4,346.83
\$1,851,249.95

Estimated
61,598.39
\$61,598.39

Current
Annual Income Allocation

100%

100%

ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

Account [REDACTED] Page 2 of 13

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GHISLAINE MAXWELL - OAP IHD

Account Summary

Tax Summary

Foreign Dividends

Interest Income

Taxable Income

CONTINUED

Current

Period Value

3,580.48

0.49

\$3,580.97

Year-to-Date

Value

41,918.91

4.71

\$41,923.62

ST Realized Gain/Loss

LT Realized Gain/Loss

Realized Gain/Loss

Current

Period Value

2,343.39

\$2,343.39

Year-to-Date

Value

(20,468.38)

4.44

(\$20,463.94)

ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

Unrealized Gain/Loss

To-Date Value

\$86,173.64

Cost Summary

Equity

Total

1,760,729.48

Cost

\$1,760,729.48

Account [REDACTED] Page 3 of 13

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GHISLAINE MAXWELL - OAP IHD

Equity Summary

Asset Categories

EAFE Equity

Beginning

Market Value

1,730,444.30

Ending

Market Value

1,846,903.12

Change

In Value

116,458.82

Current

Allocation

100%

ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

Current

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Accrued Dividends

Yield

Period Value

1,846,903.12

1,760,729.48

86,173.64

61,598.39

4,346.83

3.33%

Account [REDACTED] Page 4 of 13

Consolidated Statement Page 46

GHISLAINE MAXWELL - OAP IHD

ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

Note:

1

This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account.

Equity Detail

Price

EAFE Equity

ABB LTD

SPONS ADR

000375-20-4 ABB

ALSTOM

ADR

021244-20-7 ALSM Y

ASTRAZENECA PLC

SPONS ADR

046353-10-8 AZN

BAE SYSTEMS PLC

SPONS ADR

05523R-10-7 BAES Y

BAYER A G

SPONS ADR

072730-30-2 BAYR Y

BHP LTD

SPONS ADR

088606-10-8 BHP

BOC HONG KONG HOLDINGS LTD

SPONS ADR

096813-20-9 BHKL Y

64.22

555.000

35,639.33

36,821.52

(1,182.19)

1,748.80

780.06

4.91%

66.50

435.000

28,927.50

32,227.68

(3,300.18)

1,009.20

3.49%

29.43

117.99

1,735.000

325.000
51,067.99
38,345.13
39,277.38
32,896.77
11,790.61
5,448.36
2,026.48
586.62
3.97%
1.53%
3.56
51.93
12,070.000
365.000
43,017.48
18,954.45
44,210.81
18,351.75
(1,193.33)
602.70
869.04
1,022.00
2.02%
5.39%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Inc.
Accrued Div.
Yield
23.59
2,200.000
51,898.00
47,460.92
4,437.08
1,548.80
2.98%

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

Price

EAFE Equity

BRITISH AMERICAN TOBACCO PLC

SPONS ADR

110448-10-7 BTI

DEUTSCHE TELEKOM AG

SPONS ADR

251566-10-5 DTEG Y

DEUTSCHE POST AG

SPONS ADR

25157Y-20-2 DPSG Y

GDF SUEZ

SPONS ADR

36160B-10-5 GDFZ Y

GLAXOSMITHKLINE PLC

SPONS ADR

37733W-10-5 GSK

HSBC HOLDINGS PLC

SPONS ADR

404280-40-6 HBC

IMPERIAL TOBACCO PLC

ADR

453142-10-1 ITYB Y

ISRAEL CHEMICALS LTD

ADR

465036-20-0 ISCH Y

KIRIN HOLDINGS COMPANY LTD

SPONS ADR

497350-30-6 KNBW Y

LUKOIL OAO SPONS ADR

677862-10-4 LUKO Y

63.45

1,035.000

65,665.58

64,776.50

Account [REDACTED] Page 6 of 13

889.08

2,431.21

3.70%

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14.56

3,010.000

43,834.63

48,510.86

(4,676.23)

824.74

1.88%

8.44

1,650.000

13,917.75
20,449.05
(6,531.30)
879.45
253.86
6.32%
74.07
515.000
38,147.08
36,270.85
1,876.23
1,739.15
4.56%
54.26
1,145.000
62,127.70
62,810.83
(683.13)
2,690.75
4.33%
50.17
1,015.000
50,922.55
48,412.36
2,510.19
2,407.58
505.36
4.73%
25.14
1,980.000
49,771.26
42,100.10
7,671.16
2,665.08
5.35%
14.50
33.21
3,415.000
2,015.000
49,531.16
66,908.08
45,691.47
47,293.82
3,839.69
19,614.26
3,059.84
1,787.30
6.18%
2.67%
105.15
590.000

62,038.50
62,046.30
(7.80)
2,498.06
859.21
4.03%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Inc.
Accrued Div.
Yield

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

Price

EAFE Equity

MTN GROUP LTD

SPONS ADR

62474M-10-8 MTNO Y

MUENCHENER RUECKVERSICHERUNGS -

GESELLSCHAFT

ADR

626188-10-6 MURG Y

NESTLE S A

SPONS ADR REPSTG REG SH

641069-40-6 NSRG Y

NIPPON TEL & TEL CORP

ADR

654624-10-5 NTT

NOVARTIS A G

ADR

66987V-10-9 NVS

RECKITT BENCKISER GROUP PLC

SPONSORED ADR

756255-20-4 RBGL Y

ROCHE HOLDINGS LTD

SPONS ADR

771195-10-4 RHHB Y

ROYAL DUTCH SHELL PLC

ADR

780259-10-7 RDS B

SANOFI

80105N-10-5 SNY

68.85

50.63

400.000

1,195.000

27,540.00

60,502.85

27,957.37

60,068.51

(417.37)

434.34

1,440.00

1,499.72

5.23%

2.48%

14.64

67.43

3,540.000

700.000

51,822.06

47,202.40

48,749.99
39,379.81
3,072.07
7,822.59
1,454.94
571.89
1,136.80
2.81%
2.41%
26.06
76.71
2,210.000
975.000
57,592.60
74,792.25
58,374.87
68,101.01
(782.27)
6,691.24
1,818.83
839.88
2,005.57
3.16%
2.68%
69.95
1,055.000
73,795.14
73,413.83
381.31
1,915.88
2.60%
19.55
2,610.000
51,035.94
48,665.02
2,370.92
1,618.20
3.17%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Inc.
Accrued Div.
Yield
19.48
2,625.000
51,124.50
49,970.21

1,154.29

1,929.37

3.77%

Account [REDACTED] Page 7 of 13

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GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

Price

EAFE Equity

SIEMENS A G

SPONS ADR

826197-50-1 SI

SINGAPORE TELECOMMUNICATIONS LTD

ADR

82929R-30-4 SGAP Y

SMITHS GROUP PLC

SPONSORED ADR

83238P-20-3 SMGZ Y

STATOIL ASA

SPONS ADR

85771P-10-2 STO

TAIWAN SEMICONDUCTOR MANUFACTURING

CO LTD

SPONS ADR

874039-10-0 TSM

TELEKOMUNIKASI IND

SPONS ADR

715684-10-6 TLK

TESCO PLC

SPONS ADR

881575-30-2 TSCD Y

TOTAL SA

SPONS ADR

89151E-10-9 TOT

UNILEVER N V

904784-70-9 UN

37.72

1,630.000

61,483.60

66,467.39

(4,983.79)

17.44

57.92

1,520.000

1,070.000

26,510.32

61,974.40

25,456.73

54,046.70

1,053.59

7,927.70

977.36

2,806.61

536.23

1,861.46

3.69%

4.53%
3.03%
36.31
690.000
25,053.90
27,215.25
(2,161.35)
841.11
3.36%
16.96
830.000
14,076.80
14,793.04
(716.24)
332.83
2.36%
22.68
1,450.000
32,886.00
35,974.62
(3,088.62)
1,245.55
3.79%
29.73
22.66
1,765.000
1,685.000
52,468.16
38,175.36
51,172.92
33,034.06
1,295.24
5,141.30
2,285.67
1,017.74
4.36%
2.67%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Inc.
Accrued Div.
Yield
120.51
500.000
60,255.00
52,917.17
7,337.83

1,471.00

2.44%

Account [REDACTED] Page 8 of 13

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GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

Price

EAFE Equity

UNITED OVERSEAS BANK LTD

SPONS ADR

911271-30-2 UOVE Y

US DOLLAR

VODAFONE GROUP PLC

SPONS ADR

92857W-20-9 VOD

ZURICH INSURANCE GROUP-ADR

Total EAFE Equity

989825-10-4 ZURV Y

\$1,846,903.12

\$1,760,729.48

\$86,173.64

\$61,598.39

\$4,346.83

3.34%

25.76

2,025.000

52,155.90

55,630.28

(3,474.38)

32.95

1.00

35.18

1,250.000

47,540.120

1,905.000

41,183.75

47,540.12

67,017.90

39,130.24

47,540.12

53,061.37

13,956.53

2,053.51

1,186.25

4.75

0.34

2,954.65

2.88%

0.01%

4.41%

Quantity

Value

Adjusted Tax Cost

Original Cost

Unrealized

Gain/Loss
Est. Annual Inc.
Accrued Div.
Yield
Account [REDACTED] Page 9 of 13
Consolidated Statement Page 51

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

Portfolio Activity Summary

Beginning Cash Balance

Transactions

INFLOWS

Income

Contributions

Total Inflows

OUTFLOWS **

Withdrawals

Fees & Commissions

Tax Payments

Total Outflows

TRADE ACTIVITY

Settled Sales/Maturities/Redemptions

Settled Securities Purchased

Total Trade Activity

Ending Cash Balance

46,307.77

(45,691.47)

\$616.30

\$47,540.12

* Year to date information is calculated on a calendar year basis.

** Your account's standing instructions use a HIGH COST method for relieving assets

from your position

185,513.36

(1,919,166.66)

(\$1,733,653.30)

-Current

Period

Value

43,691.47

3,580.97

\$3,580.97

(93.20)

(255.42)

(\$348.62)

Year-To-Date

Value*

-41,923.62

1,750,000.00

\$1,791,923.62

(781.51)

(6,121.75)

(3,826.94)

(\$10,730.20)

Current

Cost

Adjustments

Cost Adjustments
Total Cost Adjustments
Period Value
\$0.00
Year-To-Date
Value*
2,151.19
\$2,151.19

Account [REDACTED] Page 10 of 13
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GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

Portfolio Activity Detail

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

9/3

9/11

9/11

9/12

9/12

9/12

9/16

9/16

9/19

9/19

9/19

9/25

Interest Income

Foreign Dividend

FGN Tax Withheld

Foreign Dividend

Expenses

FGN Tax Withheld

Foreign Dividend

Foreign Dividend

Foreign Dividend

Expenses

FGN Tax Withheld

Foreign Dividend

Description

DEPOSIT SWEEP INTEREST FOR 08/01/13 - 08/31/13

@ .01% RATE ON AVG COLLECTED BALANCE OF

\$53,444.64 AS OF 09/01/13

UNILEVER N V @ 0.354471 PER SHARE

(ID: 904784-70-9)

UNILEVER N V TAX WITHHELD NETHERLANDS 15.00%

(ID: 904784-70-9)

KIRIN HOLDINGS COMPANY LTD SPONS ADR @ 0.180072

PER SHARE (ID: 497350-30-6)

KIRIN HOLDINGS COMPANY LTD SPONS ADR REPRESENTS

@ .022 DEPOSITORY FEE (ID: 497350-30-6)

KIRIN HOLDINGS COMPANY LTD SPONS ADR TAX

WITHHELD JAPAN 7.10% (ID: 497350-30-6)

ASTRAZENECA PLC SPONS ADR @ 0.90 PER SHARE

(ID: 046353-10-8)

UNITED OVERSEAS BANK LTD SPONS ADR @ 0.312573

PER SHARE (ID: 911271-30-2)

MTN GROUP LTD SPONS ADR @ 0.368104 PER SHARE

(ID: 62474M-10-8)

MTN GROUP LTD SPONS ADR REPRESENTS @ .020

DEPOSITORY FEE (ID: 62474M-10-8)
MTN GROUP LTD SPONS ADR TAX WITHHELD SOUTH
AFRICA 15.00% (ID: 62474M-10-8)
BHP LTD SPONS ADR @ 1.18 PER SHARE
(ID: 088606-10-8)

1,630.000
1,630.000
1,850.000
1,850.000
1,850.000
365.000
1,590.000
2,625.000
2,625.000
2,625.000
435.000
1.18
0.90
0.313
0.368
0.18
0.354
577.79
(86.67)
333.13
(40.70)
(23.81)
328.50
496.99
966.27
(52.50)
(144.94)
513.30
Quantity
Cost
Per Unit
Amount
Amount
0.49

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

9/26

Foreign Dividend

Total Inflows & Outflows

TRADE ACTIVITY

Note:

Trade Date

Settle Date

9/16

9/19

9/16

9/19

9/16

9/19

9/16

9/19

9/17

9/20

9/17

9/20

Description

ROYAL DUTCH SHELL PLC ADR @ 0.90 PER SHARE

(ID: 780259-10-7)

Quantity

Cost

Per Unit

Amount

405.000

0.90

Amount

364.50

\$3,232.35

S indicates Short Term Realized Gain/Loss

Type

Selection Method Description

Settled Sales/Maturities/Redemptions

Sale

High Cost

MUENCHENER RUECKVERSICHERUNGS - GESELLSCHAFT
ADR @ 19.3997 2,133.97 BROKERAGE 3.30 TAX &/OR
SEC .04 ESI SECURITIES COMPANY (ID: 626188-10-6)
TESCO PLC SPONS ADR @ 17.757 6,214.95 BROKERAGE
10.50 TAX &/OR SEC .11 ESI SECURITIES COMPANY
(ID: 881575-30-2)
UNITED OVERSEAS BANK LTD SPONS ADR @ 33.5252
6,705.04 BROKERAGE 8.00 TAX &/OR SEC .12 ESI
SECURITIES COMPANY (ID: 911271-30-2)
VODAFONE GROUP PLC SPONS ADR @ 33.8772
18,124.30 BROKERAGE 21.40 TAX &/OR SEC .32 ESI
SECURITIES COMPANY (ID: 92857W-20-9)
MUENCHENER RUECKVERSICHERUNGS - GESELLSCHAFT
ADR @ 19.4489 2,236.62 BROKERAGE 3.45 TAX &/OR
SEC .04 ESI SECURITIES COMPANY (ID: 626188-10-6)
TESCO PLC SPONS ADR @ 17.7355 6,296.10
BROKERAGE 10.65 TAX &/OR SEC .11 ESI SECURITIES
COMPANY (ID: 881575-30-2)

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(355.000)

17.705
6,285.34
(6,109.55)
175.79 S
(115.000)
19.419
2,233.13
(2,247.58)
(14.45) S
(535.000)
33.837
18,102.58
(15,939.90)
2,162.68 S
(200.000)
33.485
6,696.92
(6,698.48)
(1.56) S
(350.000)
17.727
6,204.34
(6,130.07)
74.27 S
Quantity
(110.000)
Per Unit
Amount
19.369

Proceeds
2,130.63
Tax Cost
(2,149.86)
Realized
Gain/Loss
(19.23) S

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]
For the Period 9/1/13 to 9/30/13
Trade Date
Settle Date
9/17
9/20
Type
Selection Method Description
Settled Sales/Maturities/Redemptions
Sale
High Cost
UNITED OVERSEAS BANK LTD SPONS ADR @ 33.2894
4,660.52 BROKERAGE 5.60 TAX &/OR SEC .09 ESI
SECURITIES COMPANY (ID: 911271-30-2)
Total Settled Sales/Maturities/Redemptions
\$46,307.77
(\$43,964.38)
\$2,343.39 S
Quantity
(140.000)
Per Unit
Amount
33.249
Proceeds
4,654.83
Tax Cost
(4,688.94)
Realized
Gain/Loss
(34.11) S
Trade Date
Settle Date
Type
Description
Settled Securities Purchased
9/16
Purchase
9/19
9/17
9/20
9/18
9/23
Purchase
Purchase
Total Settled Securities Purchased
DEUTSCHE TELEKOM AG SPONS ADR @ 13.3578
17,031.20 BROKERAGE 38.25 ESI SECURITIES
COMPANY (ID: 251566-10-5)
DEUTSCHE TELEKOM AG SPONS ADR @ 13.329
15,328.35 BROKERAGE 34.50 ESI SECURITIES
COMPANY (ID: 251566-10-5)

DEUTSCHE TELEKOM AG SPONS ADR @ 13.3631
13,229.47 BROKERAGE 29.70 ESI SECURITIES
COMPANY (ID: 251566-10-5)
(\$45,691.47)
990.000
13.393
(13,259.17)
1,150.000
13.359
(15,362.85)
Quantity
1,275.000
Per Unit
Amount
13.388
Market Cost
(17,069.45)
Account [REDACTED] Page 13 of 13
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JPMorgan Chase Bank, N.A.
GHISLAINE MAXWELL - TAP DYS
[REDACTED], New York, NY 10017-2014
ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

Investment Management Account

J.P. Morgan Team

James Buckley

Jason Bell

Janet Young

Gina Magliocco

Jason Grosse

Online access

Banker

Investment Specialist

Client Service Team

Client Service Team

Client Service Team

www.jpmorganonline.com

Please see disclosures located at the end of this statement package for important information relating to each J.P.Morgan account(s).

[REDACTED]

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Holdings

Equity

Alternative Assets

Cash & Fixed Income

Portfolio Activity

4

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GHISLAINE MAXWELL - TAP DYS

Account Summary

Asset Allocation

Equity

Alternative Assets

Cash & Fixed Income

Market Value

Accruals

Market Value with Accruals

Beginning

Market Value

128,036.35

205,421.91

663,527.77

\$996,986.03

211.45

\$997,197.48

Ending

Market Value

133,060.74

208,241.14

670,352.26

\$1,011,654.14

63.63

\$1,011,717.77

Current

Portfolio Activity

Beginning Market Value

Contributions

Withdrawals & Fees

Net Contributions/Withdrawals

Income & Distributions

Change In Investment Value

Ending Market Value

Accruals

Market Value with Accruals

\$0.00

3,928.88

10,739.23

\$1,011,654.14

63.63

\$1,011,717.77

Period Value

996,986.03

Change

In Value

5,024.39

2,819.23

6,824.49

\$14,668.11

(147.82)

\$14,520.29
Year-to-Date
Value
0.00
1,000,000.00
(3,132.27)
\$996,867.73
22,498.77
(7,712.36)
\$1,011,654.14
63.63
\$1,011,717.77
Alternative
Assets
Estimated
3,105.16
5,771.61
29,287.88
Current
Annual Income Allocation
13%
20%
67%
\$38,164.65
100%
Equity
Cash &
Fixed Income
Asset Allocation
ACCT. [REDACTED]
For the Period 9/1/13 to 9/30/13
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GHISLAINE MAXWELL - TAP DYS

Account Summary

Tax Summary

Domestic Dividends/Distributions

Interest Income

Taxable Income

Tax-Exempt Income

Tax-Exempt Income

CONTINUED

Current

Period Value

3,928.57

0.31

\$3,928.88

Year-to-Date

Value

21,387.85

2.65

\$21,390.50

1,108.27

\$1,108.27

Unrealized Gain/Loss

LT Capital Gain Distributions

ST Realized Gain/Loss

Realized Gain/Loss

Current

Period Value

30.78

\$30.78

Year-to-Date

Value

30.78

(3,978.62)

(\$3,947.84)

ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

To-Date Value

(\$3,764.52)

Cost Summary

Equity

Cash & Fixed Income

Total

685,174.65

Cost

125,672.00

\$810,846.65

Account [REDACTED] Page 3 of 15

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GHISLAINE MAXWELL - TAP DYS

Equity Summary

Asset Categories

US Large Cap Equity

European Large Cap Equity

Asia ex-Japan Equity

Total Value

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Yield

Beginning

Market Value

68,752.37

19,523.56

39,760.42

\$128,036.35

Ending

Market Value

70,425.52

21,001.55

41,633.67

\$133,060.74

Current

Period Value

133,060.74

125,672.00

7,388.74

3,105.16

2.33%

Asia ex-Japan Equity

Change

In Value

1,673.15

1,477.99

1,873.25

\$5,024.39

Current

Allocation

7%

2%

4%

13%

US Large Cap Equity

European Large Cap Equity

Asset Categories

ACCT. XXXXXXXXXX

For the Period 9/1/13 to 9/30/13

Equity as a percentage of your portfolio - 13 %

GHISLAINE MAXWELL - TAP DYS
 ACCT. ██████████
 For the Period 9/1/13 to 9/30/13
 Equity Detail
 Price
 US Large Cap Equity
 CLEARBRIDGE EQUITY INC FD-I
 CAP & INCOME I
 52469H-59-4 SOPY X
 SIT DIVIDEND GROWTH FUND-I
 Total US Large Cap Equity
 82980D-70-7 SDVG X
 \$70,425.52
 \$66,070.00
 \$4,355.52
 \$1,196.15
 1.70%
 16.90
 2,958.635
 50,000.93
 46,294.00
 3,706.93
 775.16
 1.55%
 Quantity
 Value
 Adjusted Tax Cost
 Original Cost
 Unrealized
 Gain/Loss
 Est. Annual Inc.
 Accrued Div.
 Yield
 17.32
 1,179.249
 20,424.59
 19,776.00
 648.59
 420.99
 2.06%
 European Large Cap Equity
 JPM INTREPID EUR FD - SEL
 23.73
 FUND 1232
 4812A0-67-2 JFES X
 Asia ex-Japan Equity
 MATTHEWS ASIA DIVIDEND-INS
 577130-75-0 MIPI X
 885.021
 21,001.55
 20,316.00

685.55

233.64

1.11%

15.78

2,638.382

41,633.67

39,286.00

2,347.67

1,675.37

4.02%

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GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

Alternative Assets Summary

Beginning

Asset Categories

Hedge Funds

Real Estate & Infrastructure

Total Value

Estimated Value

151,917.03

53,504.88

\$205,421.91

Ending

Estimated Value

152,996.58

55,244.56

\$208,241.14

Change

In Value

1,079.55

1,739.68

\$2,819.23

Current

Allocation

15%

5%

20%

Asset Categories

Real Estate & Infrastructure

Hedge Funds

Alternative Assets Detail

Alternative Assets as a percentage of your portfolio - 20 %

Price

Hedge Funds

EATON VANCE FLOATING-RATE

ADVANTAGE I

277923-63-7 EIFA X

GATEWAY FUND-Y

367829-88-4 GTEY X

28.12

1,089.208

30,628.53

30,040.36

11.13

7,524.150

83,743.79

84,120.00

Quantity

Estimated

Value

Cost

GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

Price

Hedge Funds

HSBC FDS

TOTAL RETURN I

40428X-15-6 HTRI X

Total Hedge Funds

\$152,996.58

\$153,865.01

Quantity

Estimated

Value

Cost

10.01

3,858.567

38,624.26

39,704.65

Quantity/Original

Commitment Amount

Real Estate & Infrastructure

NUVEEN GLOBAL INFRASTRUCT-I

670690-51-0 FGIY X

Cost/Net Capital Called

Since Inception

Net Distributions

Since Inception

Estimated

Value

Est. Annual Inc.

Accrued Div.

Yield

2,025.30

20,091.00

21,022.63

435.43

2.07%

Account [REDACTED] Page 7 of 15

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GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

Quantity/Original

Commitment Amount

Real Estate & Infrastructure

TORTOISE MLP & PIPELINE-INS

Total Real Estate & Infrastructure

56166Y-40-4 TORI X

\$50,707.00

\$0.00

\$55,244.56

\$639.84

Amounts shown above under "Estimated Value" for private equity funds are estimates based on the latest fund values received from each underlying fund, which value may be as of a date (underlying fund value date) prior to the period covered by this statement. The values provided by the underlying fund have been adjusted for any cash flows between your account and such fund that have occurred subsequent to the underlying fund value date to derive the "Estimated Value". Therefore, such "Estimated Value" may not reflect the value of your interest shown on any fund's actual books and records as of the date of this statement. For additional information, please contact your J.P. Morgan representative.

For private equity funds, Estimated Values are based on estimates provided by the underlying funds that are generally presented on a US GAAP basis, which records investments at fair value, or "marked-to-market". Most of these underlying funds also present their audited financial statements on a US GAAP basis (i.e., "marked-to-market"). However, some of these underlying funds present their audited financial statements using the Income Tax Basis of Accounting, which records investments "at cost" based on the accrual basis of accounting for Federal income taxes. Where the underlying fund provides periodic estimates on a "marked-to-market" basis but reflects investments "at cost" in its audited financial statements, the marked-to-market Estimated Value shown herein for a private equity fund may be materially different from the value reflected on such fund's audited financial statements (which are also based on the audited financial statements of the underlying fund).

2,296.77

30,616.00

34,221.93

204.41

0.60%

Cost/Net Capital Called

Since Inception

Net Distributions

Since Inception

Estimated

Value

Est. Annual Inc.

Accrued Div.

Yield

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GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

Cash & Fixed Income Summary

Beginning

Asset Categories

Cash

US Fixed Income

Non-US Fixed Income

Total Value

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Accrued Interest

Yield

SUMMARY BY MATURITY

1

Cash & Fixed Income

0-6 months

1

Market Value

42,381.39

593,519.06

27,627.32

\$663,527.77

Ending

Market Value

46,341.05

595,839.75

28,171.46

\$670,352.26

Current

Period Value

670,352.26

685,174.65

(14,822.39)

29,287.88

63.63

4.36%

Cash & Fixed Income as a percentage of your portfolio - 67 %

SUMMARY BY TYPE

Market

Value

670,352.26

% of Bond

Portfolio

100%

The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

Cash & Fixed Income

Cash

Mutual Funds

Other

Total Value

Market

Value

46,341.05

566,533.37

57,477.84

\$670,352.26

% of Bond

Portfolio

6%

86%

8%

100%

US Fixed Income

Change

In Value

3,959.66

2,320.69

544.14

\$6,824.49

Current

Allocation

5%

59%

3%

67%

Non-US Fixed Income

Cash

Asset Categories

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GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

Note:

1

This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account.

Cash & Fixed Income Detail

Price

Cash

US DOLLAR

1.00

46,341.05

46,341.05

46,341.05

4.63

0.30

US Fixed Income

JPM TR I MLT SC INCOME FD - SEL

FUND 2130

48121A-29-0

COHEN & STEERS PREFERRED SECURITIES

AND INCOME FUND INC

19248X-30-7

HARBOR HIGH YIELD BOND-INST

HARBOR CONVERTIBLE SEC-INST

DOUBLELINE TOTAL RET BD-I

JPM STR INC OPP FD

411511-55-3

10.73

411512-73-4

10.96

258620-10-3

11.84

FUND 3844

4812A4-35-1

PRUDENTIAL TOTAL RETURN BD-Z

74440B-40-5

14.00

3,895.47

54,536.52

56,795.89

(2,259.37)

3,015.90

35,708.26

36,040.00

(331.74)

1,052.54

63.33

2,006.16

2.95%
3.68%
12,498.16
136,979.78
142,229.00
(5,249.22)
7,111.45
5.19%
2,833.31
30,401.46
29,272.00
1,129.46
634.66
2.09%
10.92
4,547.24
49,655.88
51,111.00
(1,455.12)
2,914.78
5.87%
12.79
4,493.97
57,477.84
60,624.00
(3,146.16)
3,828.85
6.66%
10.07
4,784.86
48,183.58
49,092.71
(909.13)
1,401.96
2.91%
0.01% ¹

Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Income
Accrued Interest
Yield

GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

Price

US Fixed Income

RIDGEWORTH SEIX FLOATING-I

TRP INST FLOATING RATE-F

BLACKROCK HIGH YIELD BOND

Total US Fixed Income

76628T-67-8

10.22

77958B-10-5

8.15

091929-63-8

\$595,839.75

\$608,485.60

(\$12,645.85)

\$28,249.39

\$63.33

Non-US Fixed Income

PIMCO EMERGING MARKETS CORPORATE

BOND FUND

72201W-85-7

11.39

2,473.35

28,171.46

30,348.00

(2,176.54)

1,033.86

3.67%

4.74%

8,504.33

69,310.27

69,140.00

170.27

4,311.69

6.22%

5,394.06

55,127.29

55,397.00

(269.71)

2,405.75

4.36%

8.99

6,502.66

58,458.87

58,784.00

(325.13)

2,581.55

4.42%

Quantity

Value

Adjusted Tax Cost

Original Cost

Unrealized

Gain/Loss

Est. Annual Income

Accrued Interest

Yield

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GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

Portfolio Activity Summary

Beginning Cash Balance

Transactions

INFLOWS

Income

Contributions

Total Inflows

OUTFLOWS **

Total Outflows

Fees & Commissions

\$0.00

TRADE ACTIVITY

Settled Sales/Maturities/Redemptions

Settled Securities Purchased

Total Trade Activity

Ending Cash Balance

30.78

\$30.78

\$46,341.05

* Year to date information is calculated on a calendar year basis.

** Your account's standing instructions use a HIGH COST method for relieving assets

from your position

136,314.55

(1,109,340.00)

(\$973,025.45)

-Current

Period

Value

42,381.39

3,928.88

\$3,928.88

Year-To-Date

Value*

-22,498.77

1,000,000.00

\$1,022,498.77

(3,132.27)

(\$3,132.27)

Account

[REDACTED] Page 12 of 15

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GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

Portfolio Activity Detail

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

9/3

9/3

9/3

9/3

9/3

9/3

9/3

9/4

9/4

Interest Income

Div Domestic

Description

DEPOSIT SWEEP INTEREST FOR 08/01/13 - 08/31/13
@ .01% RATE ON AVG COLLECTED BALANCE OF
\$44,370.09 AS OF 09/01/13

JPM STR INC OPP FD FUND 3844 @ 0.024 PER SHARE
(ID: 4812A4-35-1)

JPM TR I MLT SC INCOME FD - SEL FUND 2130 @
0.029 PER SHARE (ID: 48121A-29-0)

BLACKROCK HIGH YIELD BOND 08/30/13 INCOME
DIVIDEND @ 0.043 PER SHARE AS OF 08/30/13
(ID: 091929-63-8)

EATON VANCE FLOATING-RATE ADVANTAGE I 08/30/13
INCOME DIVIDEND @ 0.044 PER SHARE AS OF
08/30/13 (ID: 277923-63-7)

HSBC FDS TOTAL RETURN I 09/03/13 INCOME
DIVIDEND @ 0.005 PER SHARE (ID: 40428X-15-6)

PRUDENTIAL TOTAL RETURN BD-Z 08/30/13 INCOME
DIVIDEND @ 0.049 PER SHARE AS OF 08/30/13
(ID: 74440B-40-5)

COHEN & STEERS PREFERRED SECURITIES AND INCOME
FUND INC 08/30/13 INCOME DIVIDEND @ 0.071 PER
SHARE AS OF 08/30/13 (ID: 19248X-30-7)

DOUBLELINE TOTAL RET BD-I 08/30/13 INCOME
DIVIDEND @ 0.046 PER SHARE AS OF 08/30/13
(ID: 258620-10-3)

12,498.155

0.046

569.55
4,493.967
0.071
319.07
3,858.567
3,895.466
0.005
0.05
19.29
194.03
7,524.150
0.044
328.01
3,015.900
4,784.864
8,504.327
0.024
0.029
0.043
72.38
138.76
364.84
Quantity
Cost
Per Unit
Amount
Amount
0.31

GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

9/4

9/4

9/4

9/18

9/18

9/20

9/26

9/30

9/30

Div Domestic

Div Domestic

Div Domestic

STCapitalGain Dist

Div Domestic

Div Domestic

Div Domestic

Div Domestic

Div Domestic

Total Inflows & Outflows

Description

PIMCO EMERGING MARKETS CORPORATE BOND FUND
08/30/13 INCOME DIVIDEND @ 0.035 PER SHARE AS
OF 08/30/13 (ID: 72201W-85-7)

RIDGEWORTH SEIX FLOATING-I 09/03/13 INCOME
DIVIDEND @ 0.030 PER SHARE AS OF 09/03/13
(ID: 76628T-67-8)

TRP INST FLOATING RATE-F 08/30/13 INCOME
DIVIDEND @ 0.038 PER SHARE AS OF 08/30/13
(ID: 77958B-10-5)

NUVEEN GLOBAL INFRASTRUCT-I 09/17/13 SHORT TERM
CAPITAL GAINS @ 0.086 PER SHARE AS OF 09/17/13
(ID: 670690-51-0)

NUVEEN GLOBAL INFRASTRUCT-I 09/17/13 INCOME
DIVIDEND @ 0.014 PER SHARE AS OF 09/17/13
(ID: 670690-51-0)

MATTHEWS ASIA DIVIDEND-INS 09/19/13 INCOME
DIVIDEND @ 0.124 PER SHARE AS OF 09/19/13
(ID: 577130-75-0)

GATEWAY FUND-Y 09/25/13 INCOME DIVIDEND @ 0.122
PER SHARE AS OF 09/25/13 (ID: 367829-88-4)

HARBOR HIGH YIELD BOND-INST 09/26/13 INCOME
DIVIDEND @ 0.144 PER SHARE AS OF 09/26/13
(ID: 411511-55-3)

HARBOR CONVERTIBLE SEC-INST 09/26/13 INCOME
DIVIDEND @ 0.043 PER SHARE AS OF 09/26/13
(ID: 411512-73-4)

\$3,928.88
2,833.314
0.043
120.50
1,089.208
4,547.242
0.122
0.144
132.88
654.89
2,638.382
0.124
326.42
2,025.302
0.014
27.75
2,025.302
0.086
174.78
5,394.060
0.038
206.28
6,502.655
0.03
193.78
Quantity
Cost
Per Unit
Amount
2,473.350
0.035
Amount
85.36

Account [REDACTED] Page 14 of 15
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GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

TRADE ACTIVITY

Trade Date

Type

Settle Date

9/18

9/18

Selection Method Description

Settled Sales/Maturities/Redemptions

LT Capital Gain

Distribution

NUVEEN GLOBAL INFRASTRUCT-I 09/17/13 LONG TERM

CAPITAL GAINS @ 0.015 PER SHARE AS OF 09/17/13

(ID: 670690-51-0)

Quantity

2,025.302

Per Unit

Amount

0.015

Proceeds

Tax Cost

30.78

Realized

Gain/Loss

Account [REDACTED] Page 15 of 15

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JPMorgan Chase Bank, N.A.
GHISLAINE MAXWELL - TAP ARFI
[REDACTED], New York, NY 10017-2014
ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

Investment Management Account

J.P. Morgan Team

James Buckley

Jason Bell

Janet Young

Gina Magliocco

Jason Grosse

Online access

Banker

Investment Specialist

Client Service Team

Client Service Team

Client Service Team

www.jpmorganonline.com

Please see disclosures located at the end of this statement package for important information relating to each J.P.Morgan account(s).

[REDACTED]

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Holdings

Alternative Assets

Cash & Fixed Income

Portfolio Activity

4

5

7

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GHISLAINE MAXWELL - TAP ARFI

Account Summary

Asset Allocation

Alternative Assets

Cash & Fixed Income

Market Value

Accruals

Market Value with Accruals

Beginning

Market Value

358,049.66

628,810.11

\$986,859.77

584.78

\$987,444.55

Ending

Market Value

360,377.56

632,540.59

\$992,918.15

266.77

\$993,184.92

Current

Portfolio Activity

Beginning Market Value

Contributions

Withdrawals & Fees

Net Contributions/Withdrawals

Income & Distributions

Change In Investment Value

Ending Market Value

Accruals

Market Value with Accruals

\$0.00

1,920.83

4,137.55

\$992,918.15

266.77

\$993,184.92

Period Value

986,859.77

Change

In Value

2,327.90

3,730.48

\$6,058.38

(318.01)

\$5,740.37

Year-to-Date

Value

0.00

1,000,000.00
(2,330.95)
\$997,669.05
14,224.06
(18,974.96)
\$992,918.15
266.77
\$993,184.92
Alternative
Assets
Estimated
6,954.29
18,174.02
Current
Annual Income Allocation
36%
64%
\$25,128.31
100%
Cash &
Fixed Income
Asset Allocation
ACCT. [REDACTED]
For the Period 9/1/13 to 9/30/13
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GHISLAINE MAXWELL - TAP ARFI
Account Summary
Tax Summary
Domestic Dividends/Distributions
Interest Income
Taxable Income
Tax-Exempt Income
Tax-Exempt Income
CONTINUED
Current
Period Value
1,920.21
0.62
\$1,920.83
Year-to-Date
Value
13,055.24
3.09
\$13,058.33
1,165.73
\$1,165.73
Unrealized Gain/Loss
To-Date Value
(\$16,422.41)
ST Realized Gain/Loss
Realized Gain/Loss
Current
Period Value
(648.71)
(\$648.71)
Year-to-Date
Value
(2,552.55)
(\$2,552.55)
ACCT. [REDACTED]
For the Period 9/1/13 to 9/30/13
Cost Summary
Cash & Fixed Income
641,785.94
Cost
Total
\$641,785.94
Account [REDACTED] Page 3 of 9
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GHISLAINE MAXWELL - TAP ARFI
Alternative Assets Summary
Beginning
Asset Categories
Hedge Funds
Estimated Value
358,049.66
Alternative Assets Detail
Price
Hedge Funds
GOLDMAN SACHS TR
STRG INCM INST
38145C-64-6 GSZI X
HSBC FDS
TOTAL RETURN I
40428X-15-6 HTRI X
PIMCO UNCONSTRAINED BOND-P
PRUDENTIAL INVT PORTFOLIOS 9
72201M-45-3 PUCP X
9.79
PRU ABRTN FD Z
74441J-82-9 PADZ X
Total Hedge Funds
\$360,377.56
\$367,554.62
7,400.957
72,455.37
74,379.62
11.24
14,308.333
160,825.66
164,832.00
10.01
5,780.066
57,858.46
59,171.00
10.48
6,606.686
69,238.07
69,172.00
Quantity
Estimated
Value
Cost
ACCT. [REDACTED]
For the Period 9/1/13 to 9/30/13
Ending
Estimated Value
360,377.56
Change
In Value

2,327.90

Current

Allocation

36%

Account [REDACTED] Page 4 of 9

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GHISLAINE MAXWELL - TAP ARFI

Cash & Fixed Income Summary

Beginning

Asset Categories

Cash

US Fixed Income

Total Value

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Accrued Interest

Yield

SUMMARY BY MATURITY

1

Cash & Fixed Income

0-6 months

1

Market Value

57,786.28

571,023.83

\$628,810.11

ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

Ending

Market Value

41,065.11

591,475.48

\$632,540.59

Current

Period Value

632,540.59

641,785.94

(9,245.35)

18,174.02

266.77

2.87%

Cash & Fixed Income as a percentage of your portfolio - 64 %

SUMMARY BY TYPE

Market

Value

632,540.59

% of Bond

Portfolio

100%

The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

Cash & Fixed Income

Cash

Mutual Funds
Total Value
Market
Value
41,065.11
591,475.48
\$632,540.59
% of Bond
Portfolio
6%
94%
100%
US Fixed Income
Change
In Value
(16,721.17)
20,451.65
\$3,730.48
Current
Allocation
4%
60%
64%
Cash
Asset Categories

Account [REDACTED] Page 5 of 9
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GHISLAINE MAXWELL - TAP ARFI ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

Note:

1

This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account.

Cash & Fixed Income Detail

Price

Cash

US DOLLAR

1.00

41,065.11

41,065.11

41,065.11

4.10

0.54

US Fixed Income

JPM TR I MLT SC INCOME FD - SEL

FUND 2130

48121A-29-0

DOUBLELINE TOTAL RET BD-I

EATON VANCE FLOATING RATE-I

JPM STR INC OPP FD

258620-10-3

9.14

277911-49-1

11.84

FUND 3844

4812A4-35-1

RBC FDS TR

BLUBAY ABRTR I

74926P-34-0

Total US Fixed Income

\$591,475.48

\$600,720.83

(\$9,245.35)

\$18,169.92

\$266.23

3.07%

10.15

14,793.79

150,156.94

150,434.00

(277.06)

12,677.82

150,105.44

151,500.00

(1,394.56)

4,424.56

266.23
1,361.02
2.95%
0.91%
5,463.94
49,940.44
50,159.00
(218.56)
2,076.29
4.16%
10.96
13,146.13
144,081.63
149,603.00
(5,521.37)
7,480.15
5.19%
10.07
9,651.54
97,191.03
99,024.83
(1,833.80)
2,827.90
2.91%
0.01% ¹
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Income
Accrued Interest
Yield

GHISLAINE MAXWELL - TAP ARFI ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

Portfolio Activity Summary

Beginning Cash Balance

Transactions

INFLOWS

Income

Contributions

Total Inflows

OUTFLOWS **

Total Outflows

Fees & Commissions

\$0.00

TRADE ACTIVITY

Settled Sales/Maturities/Redemptions

Settled Securities Purchased

Total Trade Activity

Ending Cash Balance

20,110.00

(38,752.00)

(\$18,642.00)

\$41,065.11

* Year to date information is calculated on a calendar year basis.

** Your account's standing instructions use a HIGH COST method for relieving assets

from your position

107,157.00

(1,077,985.00)

(\$970,828.00)

-Current

Period

Value

57,786.28

1,920.83

\$1,920.83

Year-To-Date

Value*

-14,224.06

1,000,000.00

\$1,014,224.06

(2,330.95)

(\$2,330.95)

Account

[REDACTED] Page 7 of 9

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GHISLAINE MAXWELL - TAP ARFI ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

Portfolio Activity Detail

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

9/3

9/3

9/3

9/3

9/3

9/3

9/4

9/4

9/4

Interest Income

Div Domestic

Description

DEPOSIT SWEEP INTEREST FOR 08/01/13 - 08/31/13
@ .01% RATE ON AVG COLLECTED BALANCE OF
\$57,785.34 AS OF 09/01/13

JPM STR INC OPP FD FUND 3844 @ 0.024 PER SHARE
(ID: 4812A4-35-1)

JPM TR I MLT SC INCOME FD - SEL FUND 2130 @
0.029 PER SHARE (ID: 48121A-29-0)

EATON VANCE FLOATING RATE-I 08/30/13 INCOME
DIVIDEND @ 0.029 PER SHARE AS OF 08/30/13
(ID: 277911-49-1)

HSBC FDS TOTAL RETURN I 09/03/13 INCOME
DIVIDEND @ 0.005 PER SHARE (ID: 40428X-15-6)

PRUDENTIAL INVT PORTFOLIOS 9 PRU ABRTN FD Z
08/30/13 INCOME DIVIDEND @ 0.030 PER SHARE AS
OF 08/30/13 (ID: 74441J-82-9)

DOUBLELINE TOTAL RET BD-I 08/30/13 INCOME
DIVIDEND @ 0.046 PER SHARE AS OF 08/30/13
(ID: 258620-10-3)

GOLDMAN SACHS TR STRG INCM INST 08/30/13 INCOME
DIVIDEND @ 0.009 PER SHARE AS OF 08/30/13
(ID: 38145C-64-6)

PIMCO UNCONSTRAINED BOND-P 08/30/13 INCOME
DIVIDEND @ 0.006 PER SHARE AS OF 08/30/13
(ID: 72201M-45-3)

14,308.333

0.007

102.03
4,731.710
0.021
100.27
13,146.134
0.046
599.07
5,780.066
7,400.957
0.005
0.03
28.90
218.46
12,677.824
9,651.542
5,463.943
0.024
0.029
0.029
304.27
279.89
159.14
Quantity
Cost
Per Unit
Amount
Amount
0.62

GHISLAINE MAXWELL - TAP ARFI ACCT. [REDACTED]

For the Period 9/1/13 to 9/30/13

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

9/4

Div Domest

Total Inflows & Outflows

TRADE ACTIVITY

Note:

Trade Date

Settle Date

9/3

9/4

Description

RBC FDS TR BLUBAY ABRTR I 08/30/13 INCOME

DIVIDEND @ 0.010 PER SHARE AS OF 08/30/13

(ID: 74926P-34-0)

\$1,920.83

Quantity

Cost

Per Unit

Amount

12,911.799

0.01

Amount

128.18

S indicates Short Term Realized Gain/Loss

Type

Selection Method Description

Settled Sales/Maturities/Redemptions

Sale

High Cost

PIMCO UNCONSTRAINED BOND-P (ID: 72201M-45-3)

Quantity

(1,801.971)

Per Unit

Amount

11.16

Proceeds

20,110.00

Tax Cost

(20,758.71)

Realized

Gain/Loss

(648.71) S

Trade Date

Settle Date

Type

Description

Settled Securities Purchased

9/3
Purchase
9/4
9/24
9/25
Purchase
Total Settled Securities Purchased
GOLDMAN SACHS TR STRG INCM INST
(ID: 38145C-64-6)
RBC FDS TR BLUBAY ABRTR I (ID: 74926P-34-0)
Quantity
1,874.976
1,881.988
Per Unit
Amount
10.47
10.16
Market Cost
(19,631.00)
(19,121.00)
(\$38,752.00)
Account [REDACTED] Page 9 of 9
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For the Period 9/1/13 to 9/30/13

For your convenience we have combined statement(s) for activity you conduct through J.P. Morgan in one package. Below are important disclosures relating to these different accounts.

These statements may relate to various account types. Some of the disclosures are applicable to all of your accounts. For ease of reference the disclosures applicable to a particular type of account have been grouped together by descriptive headers.

IMPORTANT GENERAL INFORMATION APPLICABLE TO ALL OF YOUR ACCOUNT(S)

Important Information about Pricing ,Valuations, Estimated Annual Income, and Estimated Yield

Market value information (including without limitation, prices, exchange rates, accrued income and bond ratings) furnished herein, some of which has been provided by pricing sources that J.P. Morgan believes to be reliable, is not guaranteed for accuracy but provided for informational purposes and is furnished for the exclusive use of the client. The current price is the value of the financial asset share, unit or contract as priced at the close of the market on the last day of the statement period or the last available price. All values provided for structured yield deposits (for example, JPMorgan London Time Deposits) reflect the original deposit amount only. The value for Real Estate, Mineral Interests and Miscellaneous Assets may not reflect the most current value of the asset.

Important information regarding Auction Rate Securities (ARS). ARS are debt or preferred securities with an interest or dividend rate reset periodically in an auction. Although there may be daily, weekly and monthly resets, there is no guarantee that there will be liquidity. If there are not enough bids at an auction to redeem the securities available for sale, the result may be a failed auction. In the event of a failed auction, there is no assurance that a secondary market will develop or that the security will trade at par or any other price reflected on statements and online. Accordingly, investors should not rely on pricing information appearing in their statements or online with respect to ARS. When J.P. Morgan is unable to obtain a price from an internal or outside source for a particular ARS, the price column on your statement will indicate "unpriced".

Valuations of over-the-counter derivative transactions, including certain derivatives-related deposit products, have been prepared on a mid-market basis. These valuations are sourced from the various issuers of the securities or they are sourced from a third party valuation provider. J.P. Morgan expressly disclaims any responsibility for (1) the accuracy of the models or estimates used in deriving the valuations, (2) any errors or omissions in computing or disseminating the valuations, and (3) any uses to which the valuations are put. Valuations are provided for information purposes only and are intended solely for your own use. Please refer to the trade confirmation for details of each transaction.

Certain assets, including but not limited to, pooled and private investments, non-publicly traded and infrequently traded securities, derivatives, partnership interests and tangible assets are generally illiquid, the value of such asset may have been provided to us by third

parties who may or may not be independent of the issuer or manager. Such information is reflected as of the last date provided to us, and is not independently verified.

In cases where we are unable to obtain a current market value from an internal or outside source for a particular security, the price column on your statement will indicate "unpriced". Although such securities may have value, please note that the value of a security indicated as "unpriced" will not be included in your overall current market value as reflected on the statement.

J.P. Morgan makes no representation, warranty or guarantee, express or implied, that any quoted value represents the actual terms at which securities could be bought or sold or new transactions could be entered into, or the actual terms on which existing transactions or securities could be liquidated. Such values may only be indicative.

When we are unable to obtain a current value from an internal or outside source for a particular security, the price column on your statement will indicate "unpriced".

If a partial call is made with respect to an issue of securities included in your Accounts we will allocate the call by a method we deem fair and equitable.

To the extent applicable, please note the following regarding estimated annual income (EAI) and estimated yield (EY): EAI and EY for certain types of securities could include a return of principal or capital gains in which case the EAI and EY would be overstated. EAI and EY are estimates and the actual income and yield might be lower or higher than the estimated amounts. EY reflects only the income generated by an investment. It does not reflect changes in its price, which may fluctuate.

Offshore Deposits - London and Nassau

Disclosures Page 1 of 5

For the Period 9/1/13 to 9/30/13

Deposits in Foreign Branches are not insured by the FDIC or any other Agency of the Federal Government; amounts in such foreign accounts do not have the benefit of any domestic preference applicable to U.S. Banks; certain Foreign accounts are considered reportable to the Internal Revenue Service on a Report of Foreign Bank and Financial Accounts (TD F 90-22.1).

Bank products and services are offered through JPMorgan Chase Bank, N.A. ("JPMCB") and its banking affiliates. Securities are offered by J.P.Morgan Securities LLC ("JPMS") and, to the extent noted below, cleared through J.P. Morgan Clearing Corp. ("JPMCC"). Neither JPMS, nor JPMCC is a bank and are each separate legal entities from its bank or thrift affiliates.

Investment Products: Not FDIC Insured

-No Bank Guarantee -May Lose Value

Fund manager disclosure information available upon request

If you have an investment account that is managed by an SEC-Registered Investment Advisor, J.P. Morgan will provide a copy of the advisor's Form ADV II or brochure upon written request.

These statements are not official documents for income tax reporting purposes and should not be relied upon for such purposes, including determination of income, cost basis, amortization or accretion, or gain/loss. Such information, which may be inaccurate, incomplete or subject to updating, should be confirmed with your records and your tax advisor.

Please take the steps indicated below if you think statement(s) are incorrect or contact your J.P.Morgan team if you require additional information about a transaction on your statement(s).

**IMPORTANT ADDITIONAL INFORMATION APPLICABLE ONLY TO YOUR ASSET ACCOUNT(S)
(LINKED TO JPMS)**

Your Asset Account consists of a bank account that custodies assets linked to a brokerage account through which securities transactions are executed. As a result, the Asset Account statement(s) reflect brokerage transactions executed through JPMS but (except for exchange listed options) held in custody at JPMCB. Securities purchased or sold through JPMS in U.S. markets (other than mutual funds) are cleared through an affiliate of JPMS, in non-U.S. markets securities are cleared through JPMS. Positions in exchange-listed options are held by JPMCC. For your convenience, however, positions in exchange-listed options are presented in Asset Account statement(s) together with other assets held in such account(s). All pertinent information about your settled and pending purchases and sales effected through your JPMS account during the period covered by these statement(s), is summarized in the "Trade Activity" portion of the statement(s).

In Case of Other Errors or Questions About Your Asset Account Statement(s)
Please review your statement(s) and promptly report any inaccuracy or discrepancy including possible unauthorized trading activity, unrecorded dividend payments, unaccounted cash positions,

improper payments or transfers in writing to both the introducing broker, JPMS and the clearing firm, JPMCC at the addresses shown on your statement(s). Any oral communication should be re-confirmed in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA). If you have any questions please contact your J.P.Morgan team.

In your written communication, please provide the following information: (1) your name and account number; (2) the dollar amount of the suspected error; and (3) a description of the error and explanation, if you can, why you believe there is an error. If you need more information, you must describe the item you are unsure about. We must receive your written communication no later than 30 days after the statement on which the error or problem appeared is sent or made available. If you do not so notify us, you agree that the statement activity and account balance(s) are correct.

JPMCC and JPMS are members of the Securities Investor Protection Corp ("SIPC"), a not-for-profit membership corporation funded by broker-dealers registered with the Securities and Exchange Commission. Securities and cash held for a customer at JPMCC are protected by SIPC up to \$500,000 per customer, which includes up to \$250,000 of protection for cash. SIPC does not protect against losses from fluctuations in the value of the securities. Assets held in custody by JPMCB are not subject to SIPC. You may obtain information about SIPC, including the SIPC Brochure, on their website, at "www.sipc.org" or by contacting them at (202) 371-8300.

In Case of Errors or Questions About Your Electronic Transfers.

Disclosures Page 2 of 5

For the Period 9/1/13 to 9/30/13

Contact your J.P. Morgan Team at one of the telephone numbers on the front of your statements or write us at J.P. Morgan, 500 Stanton Christiana Road, 1/OPS3, Newark, DE 19713-2107 as soon as you can, if you think your statement is wrong or if you need more information about a transfer on the statement. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared. (1) Tell us your name and account number. (2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information. (3) Tell us the dollar amount of the suspected error. We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

In Case of Errors or Questions About Non-Electronic Transfers (Checks or Deposits)

Contact JPMorgan Chase Bank, N.A. ("JPMCB") Member FDIC immediately if a statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing as soon as possible after your statement was made available to you. For more complete details, see the applicable account agreements and appendices that govern your account.

Deposit products and services are offered by JPMCB Member FDIC.

You must promptly advise your J.P.Morgan representative of material changes in your investment objectives or financial situation or if you wish to modify the management of your account. Unless you inform otherwise, your J.P.Morgan representative will consider the information currently in its files to be complete and accurate.

IMPORTANT ADDITIONAL INFORMATION APPLICABLE ONLY TO YOUR INVESTMENT MANAGEMENT ACCOUNT(S) WHICH REFLECT ASSETS HELD AT JPMORGAN CHASE BANK, N.A.

In case of other errors or questions about other account statement(s) Please review your account statement(s) and promptly report any inaccuracy or discrepancy including possible unauthorized trading activity, unrecorded dividend payments, unaccounted cash positions, improper payments or transfers in writing to JPMCB at the addresses shown on your statement(s). Any oral communication should be re-confirmed in writing to further protect your rights. If you have any questions please contact your J.P. Morgan team.

In your written communication, please provide the following information: (1) your name and account number; (2) the dollar amount of the suspected error; and (3) a description of the error and explanation, if you can, why you believe there is an error. If you need more information, you must describe the item you are unsure about. We must receive your written communication no later than 30 days after the statement on which the error or problem appeared is sent or made available. If you do not so notify us, you agree that the statement activity and account balance(s) are correct.

You must promptly advise your J.P.Morgan representative of material changes in your investment objectives or financial situation or if you wish to modify the management of your account. Unless you inform otherwise, your J.P.Morgan representative will consider the information currently in its files to be complete and accurate.

With reference to JPMS and JPMCC: A financial statement of this organization is available to you for personal inspection at its offices, or a copy will be mailed to you upon written request.

You should have received (or have made available to you) separate confirmations for each securities transaction. All transactions are subject to the terms and conditions stated on the reverse side of such confirmations and are subject to the constitution, by-laws, customs and interpretations of the marketplace where executed and governed by and construed in accordance with the laws of the State of New York and all applicable federal laws and regulations. Further information with respect to commissions and other charges related to the execution of transactions, including options transactions, has been included in confirmations that were previously furnished or have made available to you. Upon written request, JPMS will promptly supply you with the latest information.

IMPORTANT ADDITIONAL INFORMATION APPLICABLE ONLY TO YOUR MARGIN ACCOUNT(S)
All positions in your Margin Account Portfolio(s) are held in custody at J.P. Morgan Clearing Corp. ("JPMCC"), Three Chase Metrotech Center, Brooklyn, NY 11245-001, (347) 643-2578.

If you have a margin account as permitted by law we may use certain securities in such account for, among other things, settling short sales and lending the securities for short sales, and as a result may receive compensation in connection therewith.

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For the Period 9/1/13 to 9/30/13

Please keep the following in mind when using a statement to track your brokerage activity in a margin account: The statement combines your general margin account with the special memorandum account required by Section 220.6 Regulation T. As required by Regulation T, a permanent record of your separate account is available upon your request. Free credit balances in your margin account(s) are not segregated and may be used in the operation of JPMCCs business, subject to the limitations of SEC Rule 15c3-3. Unless otherwise noted, JPMCC or its agents and depositories will hold your securities. Upon your demand, JPMCC will pay to you the amount of your free credit balance, and will deliver to you fully-paid securities held on your behalf.

Interest will be charged on any debit balance; the method of calculating interest is described in a letter sent to all margin customers.

You must promptly advise your J.P.Morgan representative of material changes in your investment objectives or financial situation or if you wish to modify the management of your account. Unless you inform otherwise, your J.P.Morgan representative will consider the information currently in its files to be complete and accurate.

PRODUCT RELATED DISCLOSURE: IMPORTANT INFORMATION ABOUT ALTERNATIVE INVESTMENTS: FOOTNOTES 1 - 4

Private Equity, Hedge Funds, Exchange Funds, Real Estate and Other Alternative Assets

1. Direct private equity investments and pooled private investments (e.g., interests in limited partnerships and limited liability companies) are generally illiquid securities. Values are estimates only and are not warranted for accuracy or completeness. Values do not represent the actual terms at which transactions or securities could be bought or sold or new transactions could be entered into or the actual terms on which existing transactions could be liquidated as of the date of this statement.

Direct private equity investments are generally valued at cost, unless there is an active secondary trading market in the securities, in which case, direct private equity investments are valued using market

prices as of the close of the last business day for this statement period.

Pooled private equity investments are valued according to the General Partner's or Manager's stated methodology. Please refer

to the limited partnership agreement, limited liability company agreement or other operative documentation for each investment for the specific valuation methodology used by the General Partner or

Manager for that investment or for your Investment Management or Trust account contact your J.P. Morgan team. J.P. Morgan expressly disclaims any responsibility for (1) the accuracy of the

information and the methodology used by the General Partner or Manager in deriving valuations, (2) any errors or omissions in compiling or disseminating the valuations, and (3) any use to which the valuations are put. Valuations are provided for information purposes only and are intended solely for your own use.

Hedge funds generally calculate the price (the "Net Asset Value" or "NAV"), 10-15 business days following the last business day of the month. For that reason, hedge fund NAVs shown will generally be

the NAV of the month preceding this statement period. In general, the NAV is stated net of management and incentive fees. The NAV is calculated according to the General Partner's or Manager's stated methodology. Please refer to the limited partnership agreement, limited liability company agreement or other operative documentation for each hedge fund for the specific methodology used by the General Partner or Manager for that hedge fund or for your Investment Management or Trust account contact your J.P. Morgan team. J.P. Morgan expressly disclaims any responsibility for (1) the accuracy of the information and the methodology used by the General Partner or Manager in deriving valuations, (2) any errors or omissions in compiling or disseminating the valuations, and (3) any use to which the valuations are put. Valuations are provided for information purposes only and are intended solely for your own use.

These statements do not provide tax reporting on private investments. Please continue to rely upon the General Partner or Manager of the investment vehicle for this information or for your Investment Management or Trust account contact your J.P. Morgan team.

2. The 'Capital Called Since Inception USD' and 'Cash/Security distributions Since Inception USD' columns are updated monthly to reflect activity (capital calls and distributions), if any.

3. Hedge funds generally allow subscriptions on a monthly or quarterly basis. An interest in a hedge fund or hedge fund of funds is generally as of the first business day of the subscription period. Due to early funding requirements by the applicable fund, your account may be debited for the subscription amount prior to the subscription date. Please refer to the applicable hedge fund's operative documentation for further details or for your Investment Management or Trust account contact your J.P. Morgan team.

Hedge fund redemptions are generally on a monthly, quarterly or annual basis but can sometimes be subject to a multi-year lockup before a redemption is permitted. For redemptions, there is generally a notification period that can be a long interval before the actual redemption date. Redemption proceeds are generally paid 15 calendar days after the final NAV is issued, but can be subject to a holdback of a portion of the proceeds until an annual audit of the Fund has been completed. Please refer to the applicable hedge fund's operative documentation for further details or for your Investment Management or Trust account contact your J.P. Morgan team.

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For the Period 9/1/13 to 9/30/13

Global Access Portfolios share certain characteristics with hedge funds. In particular, an investor who withdraws from a Global Access Portfolio will not receive the withdrawal proceeds in their entirety until 30-60 days after completion of the Portfolio's audit, which may be 18 months or longer after the redemption date. Interest might not be paid on any proceeds pending distribution. These restrictions apply even if the investor's account at JPMorgan is closed. The Confidential Private Placement Memorandum and applicable Supplements contain other important information about the Global Access Portfolios and are available upon request or for your Investment Management or Trust account contact your J.P. Morgan team.

4. Alternative assets may include publicly available mutual funds that utilize non-traditional investment management strategies, for example, strategies commonly employed by hedge funds. Mutual funds generally calculate the price (the "Net Asset Value" or "NAV") on a daily basis and mutual fund NAVs shown on your statement generally will be the NAV as of the close of the last business day for this statement period. Please refer to the applicable mutual fund prospectus for further details. If the applicable mutual fund is a JPMorgan Fund, please refer to disclosures on this statement concerning JPMorgan Funds for other important information.

PRODUCT RELATED DISCLOSURE: THE JPMORGAN FUNDS OR THIRD PARTIES

Shares of the funds referenced above are not bank deposits and are not guaranteed by any bank, government entity, or the FDIC. Return and share price will fluctuate and redemption value may be more or less than original cost. While the money market funds seek to maintain a stable net asset value of \$1.00 per share, there is no assurance that they will continue to do so. The estimated annual income and dividend yield figures for mutual funds represent the funds' most recent income dividend annualized.

Prospectuses and other fund information for JPMorgan funds may be obtained by calling your J.P. Morgan team or JPMorgan Distribution Services, Inc. at [REDACTED]. You also may view and

order materials online for JPMorgan funds at www.jpmorganfunds.com.

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