

GHISLAINE MAXWELL



For the Period 12/1/13 to 12/31/13

Account Summary

Account

Number

Investment Account(s)

GHISLAINE MAXWELL

GHISLAINE MAXWELL

GHISLAINE MAXWELL - OAP IHD

GHISLAINE MAXWELL - TAP DYS

GHISLAINE MAXWELL - TAP ARFI

Total Value

[REDACTED]¹
[REDACTED]²
[REDACTED]
[REDACTED]
[REDACTED]

Beginning Net

Market Value

5,207,883.66

430.00

1,922,706.42

1,030,494.11

999,577.42

\$9,161,091.61

Ending Net

Market Value

5,259,275.95

430.00

1,967,979.33

1,036,516.92

1,003,477.16

\$9,267,679.36

This account summary is provided for informational purposes and includes assets at different entities.

(1) Assets held at JPMorgan Chase Bank, N.A., member Federal Deposit Insurance Corporation ("FDIC"), except for exchange-listed options, which are held at JPMorgan Clearing Corporation ("JPMCC"). The Asset Account Statement reflects brokerage transactions executed through J.P. Morgan Securities LLC ("JPMS"), see "Portfolio Activity Detail". Equity securities, fixed income securities, and listed options transactions are generally cleared through JPMCC, a wholly owned subsidiary of JPMS. Please see "Additional Information About Your Accounts" at the end of the Asset Account Statement.

(2) Assets held in Margin Account at JPMCC, member Financial Regulatory Authority ("FINRA") and Securities Insurance Protection Corporation ("SIPC"). The Margin Account Statement reflects brokerage transactions executed by JPMS, see "Portfolio Activity Detail". Such transactions are cleared and carried through JPMCC. Please see disclosures located at the end of this statement package for important information relating to each J.P.Morgan account(s).

Change
In Value
51,392.29
0.00
45,272.91
6,022.81
3,899.74
\$106,587.75

Start on

Page

4

35

39

53

72

Client News

2013 Year-End Tax 1099's

You will be able to view your 2013 Form 1099 online beginning February 21, 2014. Unless you have selected edelivery, you should expect to receive them via mail shortly thereafter. You may contact your J.P. Morgan Team with any questions.

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Consolidated Statement Page 1

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For the Period 12/1/13 to 12/31/13

Consolidated Summary

INVESTMENT ACCOUNTS

Asset Allocation

Equity

Alternative Assets

Cash & Fixed Income

Other

Market Value

Accruals

Market Value with Accruals

Beginning

Market Value

3,141,230.80

674,481.29

5,171,721.21

162,193.27

\$9,149,626.57

11,465.04

\$9,161,091.61

Ending

Market Value

3,236,068.58

691,675.62

5,164,464.07

162,555.11

\$9,254,763.38

12,915.98

\$9,267,679.36

Change

In Value

94,837.78

17,194.33

(7,257.14)

361.84

\$105,136.81

1,450.94

\$106,587.75

Equity

\$194,121.45

Estimated

114,390.26

14,936.58

64,794.61

Current

Annual Income Allocation

35%

7%

56%

2%

100%

Alternative
Assets
Asset Allocation
Other
Cash &
Fixed Income
Current
Portfolio Activity
Beginning Market Value
Net Contributions/Withdrawals
Income & Distributions
Change in Investment Value
Ending Market Value
Accruals
Market Value with Accruals
Period Value
9,149,626.57
(764.56)
18,040.92
87,860.45
\$9,254,763.38
12,915.98
\$9,267,679.36
Year-to-Date
Value
8,349,688.16
(325,069.71)
100,658.54
1,129,486.39
\$9,254,763.38
12,915.98
\$9,267,679.36

This Consolidated Summary shows all of your investments at J.P. Morgan other than investments we hold in trust for you. These investments may be held in custody or investment

management account at JPMorgan Chase Bank, N.A. (the "Bank") or in a brokerage or margin account at J.P. Morgan Clearing Corp. ("JPMCC").

Brokerage and margin accounts are non-discretionary and all investment decisions are made by the client. J.P. Morgan Securities LLC ("JPMS") does not provide advice on asset allocation or investment management

services, nor do its personnel take discretion over any client accounts. Such advice and services are provided exclusively by the Bank.

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For the Period 12/1/13 to 12/31/13
Consolidated Summary
INVESTMENT ACCOUNT(S) YEAR-TO-DATE
Portfolio Activity

GHISLAINE MAXWELL
GHISLAINE MAXWELL
GHISLAINE MAXWELL - OAP IHD
GHISLAINE MAXWELL - TAP DYS
GHISLAINE MAXWELL - TAP ARFI

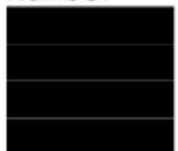
Total Value

Tax Summary

GHISLAINE MAXWELL
GHISLAINE MAXWELL - OAP IHD
GHISLAINE MAXWELL - TAP DYS
GHISLAINE MAXWELL - TAP ARFI

Total Value

Account
Number



Account
Number



Beginning
Market Value
8,349,258.16
430.00

0.00
0.00
0.00
\$8,349,688.16

Taxable
Income

(16,786.16)
55,724.05
34,700.57
21,124.92
\$94,763.38
Net Contributions/
Withdrawals
(4,048,720.08)
1,732,436.88
995,069.57
996,143.92
(\$325,069.71)

Tax-Exempt

Income

5,895.16

(27,112.21)

(7,726.32)

(4,262.78)

\$5,895.16

¹Unrealized Gain/Loss represents data from the time of account inception to the current statement period.

(\$39,101.31)

Other Income

& Receipts

Income &

Distributions

(10,891.00)

55,724.05

34,700.57

21,124.92

\$100,658.54

Change in

Investment Value

961,476.79

176,004.82

6,487.46

(14,482.68)

\$1,129,486.39

Realized Gain/Loss

Short-term

Long-term

(70,215.20)

4.44

4,702.44

137.97

(\$65,370.35)

Ending Market Value

with Accruals

5,259,275.95

430.00

1,967,979.33

1,036,516.92

1,003,477.16

\$9,267,679.36

Unrealized

Gain/Loss¹

101,703.45

203,112.59

9,511.34

(10,357.87)

\$303,969.51

CONTINUED

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JPMorgan Chase Bank, N.A.

GHISLAINE MAXWELL

[REDACTED], New York, NY 10017-2014

ACCT. [REDACTED]

For the Period 12/1/13 to 12/31/13

Asset Account

J.P. Morgan Team

James Buckley

Jason Bell

Janet Young

Gina Magliocco

Jason Grosse

Online access

Banker

Investment Specialist

Client Service Team

Client Service Team

Client Service Team

www.jpmorganonline.com

Please see disclosures located at the end of this statement package for important information relating to each J.P.Morgan account(s).

[REDACTED]
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Account Summary

Holdings

Equity

Alternative Assets

Cash & Fixed Income

Other Assets

Portfolio Activity

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GHISLAINE MAXWELL

Account Summary

Asset Allocation

Equity

Alternative Assets

Cash & Fixed Income

Other

Market Value

Accruals

Market Value with Accruals

Beginning

Market Value

1,084,470.72

59,695.64

3,896,207.83

162,193.27

\$5,202,567.46

5,316.20

\$5,207,883.66

Ending

Market Value

1,133,131.66

57,276.79

3,898,160.31

162,555.11

\$5,251,123.87

8,152.08

\$5,259,275.95

Current

Portfolio Activity

Beginning Market Value

Contributions

Withdrawals & Fees

Securities Transferred In

Securities Transferred Out

Net Contributions/Withdrawals

Income & Distributions

Change In Investment Value

Ending Market Value

Accruals

Market Value with Accruals

(217.83)

1,305,267.00

(1,304,893.11)

\$156.06

1,758.86

46,641.49

\$5,251,123.87

8,152.08

\$5,259,275.95

Period Value

5,202,567.46
Change
In Value
48,660.94
(2,418.85)
1,952.48
361.84
\$48,556.41
2,835.88
\$51,392.29
Year-to-Date
Value
8,349,258.16
849,480.50
(4,019,709.94)
15,927,543.47
(16,806,034.11)
(\$4,048,720.08)
(10,891.00)
961,476.79
\$5,251,123.87
8,152.08
\$5,259,275.95
Estimated
48,356.57
21,448.08
\$69,804.65
Current
Annual Income Allocation
23%
1%
73%
3%
100%
Equity
Other
Alternative
Assets
Cash &
Fixed Income
Asset Allocation
ACCT. [REDACTED]
For the Period 12/1/13 to 12/31/13
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GHISLAINE MAXWELL

Account Summary

Tax Summary

Domestic Dividends/Distributions

Foreign Dividends

Currency Gain/Loss

Interest Income

Taxable Income

Tax-Exempt Income

Bond Premium Amortization

Tax-Exempt Income

CONTINUED

Current

Period Value

603.19

915.82

239.85

\$1,758.86

Year-to-Date

Value

15,602.97

12,280.51

(112,795.80)

68,126.16

(\$16,786.16)

10,000.00

(4,104.84)

\$5,895.16

Unrealized Gain/Loss

To-Date Value

\$101,703.45

LT Realized Gain/Loss

Realized Gain/Loss

Current

Period Value

23.87

\$23.87

Year-to-Date

Value

(70,215.20)

(\$70,215.20)

ACCT. ██████████

For the Period 12/1/13 to 12/31/13

Note: * This summary is for informational purposes only and is not to be used for any financial or tax purposes. This summary does not include securities which are taxable at the federal level but are state exempt. This summary may not include all income derived from Municipal Securities; Please consult your tax advisor for tax implications.

¹ Other category combines all the remaining Tax Exempt Income generated by securities in the account which may not have a state affiliation.

Tax-Exempt Income
Summary by State*

MA

MI

Current

Period Value

Year-to-Date

Value

1,410.07

1,410.85

Tax-Exempt Income
Summary by State*

TX

Tax-Exempt Income

Current

Period Value

Year-to-Date

Value

3,074.24

\$5,895.16

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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/13 to 12/31/13
Account Summary
Cost Summary
Equity
Cash & Fixed Income
Other
Total

CONTINUED

Cost
1,034,682.24
3,879,674.23
92,027.29
\$5,006,383.76

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GHISLAINE MAXWELL

Equity Summary

Asset Categories

US Large Cap Equity

US Small/Mid Cap Equity

Non-US Equity

Preferred Stocks

Concentrated & Other Equity

Total Value

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Accrued Dividends

Yield

Beginning

Market Value

459,317.45

16,110.00

160.27

443,979.00

164,904.00

\$1,084,470.72

Ending

Market Value

511,226.25

14,220.00

169.41

442,270.00

165,246.00

\$1,133,131.66

Current

Period Value

1,133,131.66

1,034,682.24

98,449.42

48,356.57

3,090.09

4.25%

Preferred Stocks

Equity as a percentage of your portfolio - 23 %

Change

In Value

51,908.80

(1,890.00)

9.14

(1,709.00)

342.00

\$48,660.94

Current

Allocation

10%

1%

1%

8%

3%

23%

US Large Cap Equity

US Small/Mid Cap Equity

Non-US Equity

Concentrated & Other Equity

Asset Categories

ACCT. [REDACTED]

For the Period 12/1/13 to 12/31/13

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GHISLAINE MAXWELL

ACCT. [REDACTED]

For the Period 12/1/13 to 12/31/13

Equity Detail

Price

US Large Cap Equity

APACHE CORP

[REDACTED]

BANK OF AMERICA CORP

CITIGROUP INC NEW

GENERAL MOTORS CO

SCHLUMBERGER LTD

SPDR S&P 500 ETF TRUST

TWITTER INC

[REDACTED]

52.11

[REDACTED]

40.87

[REDACTED]

90.11

[REDACTED]

184.69

[REDACTED]

63.65

[REDACTED]

Total US Large Cap Equity

\$511,226.25

\$435,852.56

\$75,373.69

\$5,279.07

\$35.31

US Small/Mid Cap Equity

GERON CORP

374163-10-3 GERN

1.03%

2,000.000

127,300.00

90,320.00

36,980.00

1,495.000

276,111.55

250,407.85

25,703.70

113.000

10,182.43

9,524.04

658.39

141.25

35.31

5,009.74

1.39%

1.81%
1,373.000
56,114.51
50,000.00
6,114.51
358.000
18,655.38
14,048.52
4,606.86
14.32
0.08%
15.57
944.000
14,698.08
10,148.85
4,549.23
37.76
0.26%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Inc.
Accrued Div.
Yield
85.94
95.000
8,164.30
11,403.30
(3,239.00)
76.00
0.93%
4.74
3,000.000
14,220.00
18,129.32
(3,909.32)

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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/13 to 12/31/13
Price
Non-US Equity
RIO TINTO PLC
ISIN [REDACTED] SEDOL [REDACTED]
766994-90-9 BP
Preferred Stocks
CITIGROUP CAPITAL XIII
7 7/8% PFD
[REDACTED]
COUNTRYWIDE CAPITAL V
7% PFD
[REDACTED]
JPM CHASE CAPITAL XXIX
6.7% PFD
[REDACTED]
Total Preferred Stocks
25.32
2,500.000
63,300.00
\$442,270.00
62,500.00
\$422,578.96
800.00
\$19,691.04
4,187.50
1,046.88
\$31,227.50
\$1,046.88
Concentrated & Other Equity
JPMORGAN CHASE & CO
VAR RT PFD STK 04/30/2049
DTD 04/23/2008
[REDACTED]
6.62%
7.06%
25.35
4,200.000
106,470.00
98,778.96
7,691.04
7,350.00
6.90%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Inc.

Accrued Div.

Yield

56.47

3.000

169.41

212.23

(42.82)

27.25

10,000.000

272,500.00

261,300.00

11,200.00

19,690.00

7.23%

110.16

150,000.000

165,246.00

157,909.17

7,336.83

11,850.00

2,007.90

7.11%

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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/13 to 12/31/13
Alternative Assets Summary
Beginning
Asset Categories
Hard Assets
Estimated Value
59,695.64
Alternative Assets Detail
Price
Hard Assets
ETFs METAL SECURITIES LTD
ISIN JE00B1VS3770 SEDOL B1VS377
131994-91-5
SPDR GOLD TRUST
Total Hard Assets
[REDACTED]
\$57,276.79
\$76,999.78
116.12
190.000
22,062.80
31,931.40
116.99
301.000
35,213.99
45,068.38
Quantity
Estimated
Value
Cost
Est. Annual Income
Accrued Income
Ending
Estimated Value
57,276.79
Change
In Value
(2,418.85)
Current
Allocation
1%
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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/13 to 12/31/13
Cash & Fixed Income Summary
Beginning
Asset Categories
Cash
Non-USD Cash
Non-USD Short Term
US Fixed Income
Non-US Fixed Income
Total Value
Market Value/Cost
Market Value
Tax Cost
Unrealized Gain/Loss
Estimated Annual Income
Accrued Interest
Yield
Market Value
2,789,302.30
0.00
313,596.00
629,279.37
164,030.16
\$3,896,207.83
Ending
Market Value
2,789,951.38
919.76
315,070.53
629,040.76
163,177.88
\$3,898,160.31
Current
Period Value
3,898,160.31
3,879,674.23
18,486.08
21,448.08
5,061.99
0.42%
Change
In Value
649.08
919.76
1,474.53
(238.61)
(852.28)
\$1,952.48
Current
Allocation

51%

1%

6%

12%

3%

73%

Non-US Fixed Income

Non-USD Cash

Non-USD Short Term

Cash

Asset Categories

US Fixed Income

Cash & Fixed Income as a percentage of your portfolio - 73 %

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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/13 to 12/31/13
SUMMARY BY MATURITY

1

Cash & Fixed Income

0-6 months

1-5 years¹

10+ years¹

Total Value

1

SUMMARY BY TYPE

Market

Value

3,372,283.31

310,500.50

215,376.50

\$3,898,160.31

% of Bond

Portfolio

88%

7%

5%

100%

The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

Note:

A - Bonds purchased at a premium show amortization.

1

Cash & Fixed Income Detail

Price

Cash

US DOLLAR

JPM NY MUNI MMKT FD - MORGAN

FUND 3

7-Day Annualized Yield: .01%

Total Cash

1.00

1.00

2,789,950.38

1.00

2,789,950.38

1.00

\$2,789,951.38

2,789,950.38

1.00

\$2,789,951.38

\$0.00

\$278.99

\$23.25

0.01%

278.99
23.25
0.01% ¹
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Income
Accrued Interest
Yield
Cash & Fixed Income
Cash
NON USD Cash
Corporate Bonds
Municipal Bonds
International Bonds
Mutual Funds
Total Value
Market
Value
2,789,951.38
919.76
310,500.50
215,376.50
559,808.17
21,604.00
\$3,898,160.31
% of Bond
Portfolio
72%
1%
7%
5%
14%
1%
100%

This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account.

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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/13 to 12/31/13
Price
Non-USD Cash
POUND STERLING
Non-USD Short Term
JP MORGAN CHASE BANK - CAD
LONDON TIME DEPOSITS 0.6200%
DATED 12/27/2013 MATURITY 01/06/2014
HELD BY LONDON TREASURY SERVICES
Bearer
2538E9-9D-6 AD
JP MORGAN CHASE BANK - NOK
LONDON TIME DEPOSITS 0.9500%
DATED 12/27/2013 MATURITY 01/07/2014
HELD BY LONDON TREASURY SERVICES
Bearer
2538E9-9P-9 OK
Total Non-USD Short Term
\$315,070.53
\$324,438.09
(\$9,367.56)
\$2,631.54
\$36.34
US Fixed Income
JPM MANAGED RESERVES FD - GBP-C-DIST
ISIN LU0539309053
051398-91-5 BP
ISHARES GBP CORPORATE BOND UCITS ETF
ISIN IE00B00FV011 SEDOL B00FV01
461278-91-3 BP
10,541.52
12/30/13
205.75
12/30/13
7.74
105.00
81,559.76
21,604.00
81,082.31
19,023.08
477.45
2,580.92
0.85%
16.48
1,246,698.26
205,493.50
210,424.89
(4,931.39)
1,952.18
26.92

0.96%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Income
Accrued Interest
Yield
1.66
555.33
919.76
915.82
3.94
94.12
116,425.59
109,577.03
114,013.20
(4,436.17)
679.36
9.42
0.63%

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GHISLAINE MAXWELL

ACCT. [REDACTED]

For the Period 12/1/13 to 12/31/13

Price

US Fixed Income

HSBC FINANCE CORP

MEDIUM TERM NOTE 2.9% OCT 15 2015

DTD 10/15/2010

40429X-YB-6 A /BAA

GENERAL ELEC CAP CORP

MEDIUM TERM FLOATING RATE NOTE

MAY 11 2016 DTD 05/11/2007

36962G-2V-5 AA+ /A1

BANK OF AMERICA CORP

MEDIUM TERM NOTE

3.6% NOV 15 2016

DTD 11/04/2010

06050W-DW-2 A- /BAA

A MI ST MUNI BOND AUTH

ST CLEAN WTR REVOLVING FD 5%

OCT 01 2026 DTD 11/02/2006

HELD BY DTC BOOK ENTRY ONLY

PAR CALL 10/01/2016 @ 100

59455T-PF-3 AAA /AAA

A BIRDVILLE TEXAS INDEPENDENT SCHOOL

DIST PERM SCH GTD FUND UNLIMITED TAX

5% FEB 15 2029 DTD 01/15/2007

HELD BY DTC BOOK ENTRY ONLY

PAR CALL 02/15/2017 @ 100

090874-FV-5 AAA /AAA

108.08

100,000.00

108,080.00

106,439.76

110,503.00

1,640.24

5,000.00

1,888.80

4.27%

109.75

50,000.00

54,874.50

53,163.85

55,424.00

1,710.65

2,500.00

625.00

4.01%

105.14

150,000.00

157,702.50

150,000.00

7,702.50

5,400.00

690.00

1.76%

99.66

50,000.00

49,831.00

45,394.50

4,436.50

237.55

33.65

0.62%

Quantity

Value

Adjusted Tax Cost

Original Cost

Unrealized

Gain/Loss

Est. Annual Income

Accrued Interest

Yield

102.97

100,000.00

102,967.00

100,000.00

2,967.00

2,900.00

612.20

1.22%

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GHISLAINE MAXWELL

ACCT. [REDACTED]

For the Period 12/1/13 to 12/31/13

Price

US Fixed Income

A MA ST HLTH & EDUCTNL FACS AUTH

REVENUE HARVARD UNIV 5% B

JUL 15 2032 DTD 08/03/2005

HELD BY DTC BOOK ENTRY ONLY

PAR CALL 07/15/2015 @ 100

57586C-FW-0 AAA /AAA

Total US Fixed Income

\$629,040.76

\$606,863.62

\$615,466.89

Non-US Fixed Income

BLUEBAY HIGH YIELD BD FD GBP HDG-DB

ISIN LU0222772518

G13289-92-4 BP

ISHARES GBP INDEX-LINKED GILTS

UCITS ETF

ISIN IE00B1FZSD53 SEDOL B1G52V0

46578W-92-2 BP

Total Non-US Fixed Income

\$163,177.88

\$157,505.32

\$5,672.56

\$0.00

0.00%

214.21

12/27/13

21.23

12/30/13

5,790.00

122,928.71

118,212.32

4,716.39

187.90

40,249.17

39,293.00

956.17

\$22,177.14

\$18,537.55

\$5,002.40

2.16%

104.84

50,000.00

52,422.00

51,760.12

54,040.00

661.88

2,500.00

1,152.75

4.61%

Quantity

Value

Adjusted Tax Cost

Original Cost

Unrealized

Gain/Loss

Est. Annual Income

Accrued Interest

Yield

Account [REDACTED] Page 13 of 31

Consolidated Statement Page 16

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/13 to 12/31/13

Other Summary
Asset Categories

Balanced
Beginning
Estimated Value
162,193.27

Ending
Estimated Value
162,555.11

Change
In Value
361.84

Current
Allocation
3%

Current
Market Value/Cost
Estimated Value

Tax Cost
Estimated Gain/Loss

Note:

**
Period Value
162,555.11
92,027.29
4,490.94
Unrealized Gain/Loss is shown as "N/A" in cases where we do not have appropriate cost information for all tax lots comprising the position. Please contact your J.P. Morgan team for additional information.

Other Detail

Price
Balanced
JPM INC OPP FD - GBP - A - DIST(HDG)

ISIN LU0323456201

287991-93-9 BP

150.35

12/30/13

450.272

67,699.99

1,663.11 **

N/A

Quantity

Value

Adjusted Cost

Original Cost

Unrealized

Gain/Loss

Accruals

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/13 to 12/31/13
Price
Balanced
PIMCO FUNDS GLOBAL INVESTORS SERIES
- GLOBAL INVESTMENT GRADE CREDIT
FUND
ISIN IE00B3BMD843 SEDOL B3BMD84
695421-91-7 BP
Total Balanced
\$162,555.11
\$92,027.29
\$4,490.94
21.75
12/30/13
4,361.513
94,855.12
90,364.18
4,490.94
Quantity
Value
Adjusted Cost
Original Cost
Unrealized
Gain/Loss
Accruals
Account [REDACTED] Page 15 of 31
Consolidated Statement Page 18

GHISLAINE MAXWELL ACCT. ██████████
 For the Period 12/1/13 to 12/31/13
 Portfolio Activity Summary - U S Dollar
 Beginning Cash Balance
 PRINCIPAL
 Current
 Transactions
 INFLOWS
 Income
 Contributions
 Foreign Exchange - Inflows
 Total Inflows
 OUTFLOWS **
 Total Outflows
 Withdrawals
 \$0.00
 TRADE ACTIVITY
 Settled Sales/Maturities/Redemptions
 Settled Securities Purchased
 Total Trade Activity
 Ending Cash Balance
 23.87
 \$23.87
 \$2,789,950.38
 17,214.47
 (340,727.85)
 (\$323,513.38)
 -\$0.00
 \$0.00
 \$0.00
 -\$625.21
 Period
 Value
 2,789,301.30
 625.21
 Year-To-Date
 Value*
 -79,713.89
 849,480.50
 323,460.34
 \$1,252,654.73
 (4,000,000.00)
 (\$4,000,000.00)
 \$0.00
 \$0.00
 INCOME
 Current
 Period
 Value
 0.00
 Year-To-Date

Value*

-\$0.00

\$0.00

Current

Securities

Transferred In/Out

Securities Transferred In

Securities Transferred Out

Period Value

53,176.29

(53,020.00)

Year-To-Date

Value*

53,176.29

(53,020.00)

Account [REDACTED] Page 16 of 31

Consolidated Statement Page 19

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/13 to 12/31/13
Portfolio Activity Summary - U S Dollar
Total Cost Adjustments

Current
Cost Adjustments
Amortization
Period Value

\$0.00

* Year to date information is calculated on a calendar year basis.

** Your account's standing instructions use a HIGH COST method for relieving
assets

from your position

Portfolio Activity Detail - U S Dollar

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

12/2

12/2

12/27

Interest Income

Div Domestic

Div Domestic

Total Inflows & Outflows

Description

DEPOSIT SWEEP INTEREST FOR 11/01/13 - 11/30/13

@ .01% RATE ON AVG COLLECTED BALANCE OF

\$2,728,923.61 AS OF 12/01/13

GENERAL MOTORS CO CV PFD B @ 0.59375 PER SHARE

(ID: 37045V-20-9)

BANK OF AMERICA CORP @ 0.01 PER SHARE

(ID: 060505-10-4)

1,000.000

944.000

0.594

0.01

593.75

9.44

\$625.21

Quantity

Cost

Per Unit

Amount

PRINCIPAL

Amount

22.02

INCOME

Amount

Year-To-Date

Value*

(4,104.84)

(\$4,104.84)

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Consolidated Statement Page 20

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/13 to 12/31/13
SECURITIES TRANSFERRED IN/OUT

Notes:

Settle Date Selection Method

Securities Transferred In

12/2

Description

NonTaxableExchange GENERAL MOTORS CO MANDATORY CONVERSION -
HOLDERS RECEIVE 1.37360 COMMON SHARES FOR EACH
SERIES-B PREFERRED SHARE OF GENERAL MOTORS
COMPANY, CUSIP: 37045V209, HELD.

(ID: 37045V-10-0)

Securities Transferred Out

12/2

Exchange

* Transaction Market Value is representative of the prior trading day's
market value. This is for informational purposes only and is not to be used
for any financial or tax
purposes. The Transaction Market Value shown is in USD.

Type

Quantity

Cost

1,373.000

50,000.00

Transaction

Market Value *

53,176.29

GENERAL MOTORS CO CV PFD B MANDATORY CONVERSION

- HOLDERS RECEIVE 1.37360 COMMON SHARES OF
GENERAL MOTORS COMPANY, CUSIP: 37045V100, FOR
EACH SERIES-B PREFERRED SHARE HELD.

(ID: 37045V-20-9)

(1,000.000)

50,000.00

(53,020.00)

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Consolidated Statement Page 21

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/13 to 12/31/13

TRADE ACTIVITY

Note:

Trade Date

Settle Date

12/24

12/24

L indicates Long Term Realized Gain/Loss

Type

Selection Method Description

Settled Sales/Maturities/Redemptions

Cash In Lieu

GENERAL MOTORS CO CASH IN LIEU OF FRACTIONAL

SHARES (ID: 37045V-10-0)

Quantity

0.600

Per Unit

Amount

39.783

Proceeds

23.87

Tax Cost

Realized

Gain/Loss

23.87 L

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Consolidated Statement Page 22

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/13 to 12/31/13
Portfolio Activity Summary - Canadian Dollar
PRINCIPAL
US Dollar Value
Current
Transactions
Beginning Cash Balance
INFLOWS
Total Inflows
Income
OUTFLOWS **
Total Outflows
Withdrawals
Ending Cash Balance
Period Value
-56.57
\$56.57
(56.57)
(\$56.57)
-Year-To-Date
Value*
-696.15
\$696.15
(696.15)
(\$696.15)
-Local
Value
Current
Period Value
0.00
60.13
60.13
(60.13)
(60.13)
0.00
INCOME
US Dollar Value
Current
Transactions
Beginning Cash Balance
Ending Cash Balance
Period Value
--Year-To-Date
Value*
--Local
Value
Current
Period Value
0.00
0.00

Year-To-Date
Value*
--Year-To-Date
Value*
-717.26
717.26
(717.26)
(717.26)
-Account

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Consolidated Statement Page 23

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/13 to 12/31/13
Portfolio Activity Summary - Canadian Dollar
Current

Securities Transferred In/Out

Securities Transferred In

Securities Transferred Out

Period Value

438,012.80

(437,956.23)

Year-To-Date

Value*

5,294,776.84

(5,748,957.98)

* Year to date information is calculated on a calendar year basis.

** Your account's standing instructions use a HIGH COST method for relieving
assets

from your position

Portfolio Activity Detail - Canadian Dollar

INFLOWS & OUTFLOWS

Per Unit

Type

Settle Date Selection Method

12/4

Foreign Interest

Description

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.6200% DATED 11/27/2013 MATURITY 12/04/2013

HELD BY LONDON TREASURY SERVICES

(ID: 2537V9-9F-4)

12/4

12/11

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT CANADIAN DOLLAR

(ID: 0CADPR-AA-6)

Foreign Interest

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.6200% DATED 12/04/2013 MATURITY 12/11/2013

HELD BY LONDON TREASURY SERVICES

(ID: 2537X9-9M-7)

12/11

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT CANADIAN DOLLAR

(ID: 0CADPR-AA-6)

(14.030)

(13.21)

(14.03)

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(14.030)

116,379.490

(13.17)

(14.03)

13.21
14.03
Quantity
116,365.460
Amount USD
Local Value
PRINCIPAL
Amount USD
Local Value
13.17
14.03
INCOME
Amount USD
Local Value
Currency
Gain/Loss USD

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/13 to 12/31/13
INFLOWS & OUTFLOWS
Per Unit

Type
Settle Date Selection Method
12/18

Foreign Interest
Description
JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS
0.6200% DATED 12/11/2013 MATURITY 12/18/2013
HELD BY LONDON TREASURY SERVICES
(ID: 2537Z9-9R-4)

12/18
12/27
Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT CANADIAN DOLLAR
(ID: 0CADPR-AA-6)

Foreign Interest
JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS
0.6200% DATED 12/18/2013 MATURITY 12/27/2013
HELD BY LONDON TREASURY SERVICES
(ID: 2538B9-9Q-0)

12/27
Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT CANADIAN DOLLAR
(ID: 0CADPR-AA-6)

Total Inflows & Outflows
SECURITIES TRANSFERRED IN/OUT

Notes:
Settle Date Selection Method
Securities Transferred In

12/4
Receipt of Assets
Description
JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS
0.6200% DATED 12/04/2013 MATURITY 12/11/2013
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 2537X9-9M-7)

(18.040)
(16.96)
(18.04)
\$0.00
(14.030)
116,407.550
(13.23)
(14.03)
16.96
18.04

Quantity
116,393.520
Amount USD
Local Value

PRINCIPAL

Amount USD

Local Value

13.23

14.03

INCOME

Amount USD

Local Value

Currency

Gain/Loss USD

* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax

purposes. The Transaction Market Value shown is in USD.

Type

Quantity

Cost

116,379.490

113,969.80

Transaction

Market Value *

109,230.36

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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/13 to 12/31/13

Notes:

* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Settle Date Selection Method

Securities Transferred In

12/11

Receipt of Assets

Description

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.6200% DATED 12/11/2013 MATURITY 12/18/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2537Z9-9R-4)

12/18

Receipt of Assets

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.6200% DATED 12/18/2013 MATURITY 12/27/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2538B9-9Q-0)

12/27

Receipt of Assets

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.6200% DATED 12/27/2013 MATURITY 01/06/2014

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2538E9-9D-6)

Total Securities Transferred In

Securities Transferred Out

12/4

Free Delivery

\$438,012.80

116,425.590

114,013.20

109,427.69

116,407.550

113,996.24

109,761.49

Quantity

Cost

116,393.520

113,983.01

Transaction

Market Value *

109,593.26

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.6200% DATED 11/27/2013 MATURITY 12/04/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2537V9-9F-4)

12/11

Free Delivery

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.6200% DATED 12/04/2013 MATURITY 12/11/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2537X9-9M-7)

(116,379.490)

113,969.80

(109,580.05)

(116,365.460)

113,956.63

(109,217.19)

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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/13 to 12/31/13

Notes:

* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Settle Date Selection Method

Securities Transferred Out

12/18

Free Delivery

Description

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.6200% DATED 12/11/2013 MATURITY 12/18/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2537Z9-9R-4)

12/27

Free Delivery

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.6200% DATED 12/18/2013 MATURITY 12/27/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2538B9-9Q-0)

Total Securities Transferred Out

(\$437,956.23)

(116,407.550)

113,996.24

(109,410.73)

Quantity

Cost

(116,393.520)

113,983.01

Transaction

Market Value *

(109,748.26)

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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/13 to 12/31/13
Portfolio Activity Summary - Norwegian Krone
PRINCIPAL
US Dollar Value
Current
Transactions
Beginning Cash Balance
INFLOWS
Total Inflows
Income
OUTFLOWS **
Total Outflows
Withdrawals
Ending Cash Balance
Period Value
-161.26
\$161.26
(161.26)
(\$161.26)
-Year-To-Date
Value*
-1,953.36
\$1,953.36
(1,953.36)
(\$1,953.36)
-Local
Value
Current
Period Value
0.00
986.48
986.48
(986.48)
(986.48)
0.00
INCOME
US Dollar Value
Current
Transactions
Beginning Cash Balance
Ending Cash Balance
Period Value
--Year-To-Date
Value*
--Local
Value
Current
Period Value
0.00
0.00

Year-To-Date
Value*
--Year-To-Date
Value*
-11,462.29
11,462.29
(11,462.29)
(11,462.29)
-Account

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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/13 to 12/31/13
Portfolio Activity Summary - Norwegian Krone
Current

Securities Transferred In/Out

Securities Transferred In

Securities Transferred Out

Period Value

814,077.91

(813,916.88)

Year-To-Date

Value*

10,579,590.34

(11,004,056.13)

* Year to date information is calculated on a calendar year basis.

** Your account's standing instructions use a HIGH COST method for relieving
assets

from your position

Portfolio Activity Detail - Norwegian Krone

INFLOWS & OUTFLOWS

Per Unit

Type

Settle Date Selection Method

12/4

Foreign Interest

Description

HELD BY LONDON TREASURY SERVICES

(ID: 2537V9-9P-2)

12/4

12/11

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT NORWEGIAN KRONE

(ID: 0NOKPR-AA-1)

Foreign Interest

HELD BY LONDON TREASURY SERVICES

(ID: 2537X9-9X-3)

12/11

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT NORWEGIAN KRONE

(ID: 0NOKPR-AA-1)

(230.150)

(37.67)

(230.15)

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(230.110)

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS 1,245,941.890

0.9500% DATED 12/04/2013 MATURITY 12/11/2013

(37.74)

(230.11)

37.67

230.15

Quantity

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS 1,245,711.780

0.9500% DATED 11/27/2013 MATURITY 12/04/2013

Amount USD

Local Value

PRINCIPAL

Amount USD

Local Value

37.74

230.11

INCOME

Amount USD

Local Value

Currency

Gain/Loss USD

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/13 to 12/31/13
INFLOWS & OUTFLOWS
Per Unit

Type
Settle Date Selection Method
12/18

Foreign Interest
Description
HELD BY LONDON TREASURY SERVICES
(ID: 2537Z9-9Y-9)
12/18
12/27

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT NORWEGIAN KRONE
(ID: 0NOKPR-AA-1)
Foreign Interest
HELD BY LONDON TREASURY SERVICES
(ID: 2538B9-9X-5)
12/27

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT NORWEGIAN KRONE
(ID: 0NOKPR-AA-1)

Total Inflows & Outflows
SECURITIES TRANSFERRED IN/OUT

Notes:
Settle Date Selection Method
Securities Transferred In
12/4

Receipt of Assets
Description
JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS
0.9500% DATED 12/04/2013 MATURITY 12/11/2013
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 2537X9-9X-3)

(296.020)
(48.34)
(296.02)
\$0.00
(230.200)
JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS 1,246,402.240
0.9500% DATED 12/18/2013 MATURITY 12/27/2013
(37.51)
(230.20)
48.34
296.02

Quantity
JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS 1,246,172.040
0.9500% DATED 12/11/2013 MATURITY 12/18/2013

Amount USD
Local Value
PRINCIPAL
Amount USD

Local Value

37.51

230.20

INCOME

Amount USD

Local Value

Currency

Gain/Loss USD

* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Quantity

Cost

1,245,941.890

210,301.37

Transaction

Market Value *

204,341.53

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Consolidated Statement Page 30

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/13 to 12/31/13

Notes:

* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Settle Date Selection Method

Securities Transferred In

12/11

Receipt of Assets

Description

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS
0.9500% DATED 12/11/2013 MATURITY 12/18/2013
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 2537Z9-9Y-9)

12/18

Receipt of Assets

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS
0.9500% DATED 12/18/2013 MATURITY 12/27/2013
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 2538B9-9X-5)

12/27

Receipt of Assets

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS
0.9500% DATED 12/27/2013 MATURITY 01/07/2014
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 2538E9-9P-9)

Total Securities Transferred In

Securities Transferred Out

12/4

Free Delivery

\$814,077.91

1,246,698.260

210,424.89

202,693.74

1,246,402.240

210,376.55

203,074.82

Quantity

Cost

1,246,172.040

210,339.04

Transaction

Market Value *

203,967.82

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS
0.9500% DATED 11/27/2013 MATURITY 12/04/2013
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD
(ID: 2537V9-9P-2)

12/11

Free Delivery

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

0.9500% DATED 12/04/2013 MATURITY 12/11/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2537X9-9X-3)

(1,245,941.890)

210,301.37

(203,930.15)

(1,245,711.780)

210,263.63

(204,303.80)

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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/13 to 12/31/13

Notes:

* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Settle Date Selection Method

Securities Transferred Out

12/18

Free Delivery

Description

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

0.9500% DATED 12/11/2013 MATURITY 12/18/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2537Z9-9Y-9)

12/27

Free Delivery

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

0.9500% DATED 12/18/2013 MATURITY 12/27/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2538B9-9X-5)

Total Securities Transferred Out

(\$813,916.88)

(1,246,402.240)

210,376.55

(202,645.61)

Quantity

Cost

(1,246,172.040)

210,339.04

Transaction

Market Value *

(203,037.32)

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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/13 to 12/31/13
Portfolio Activity Summary - Pound Sterling
PRINCIPAL
US Dollar Value
Current
Transactions
Income
INFLOWS
Total Inflows
OUTFLOWS **
Withdrawals
Tax Payments
Total Outflows
TRADE ACTIVITY
Total Trade Activity
Settled Securities Purchased
\$0.00
Ending Cash Balance
-\$0.00
Period
Value
Beginning Cash Balance
-915.82
\$915.82
Year-To-Date
Value*
-10,516.01
\$10,516.01
(17,039.08)
(21.35)
(\$17,060.43)
(2,588.16)
(\$2,588.16)
-0.00
Local
Value
Current
Period Value
0.00
555.33
555.33
Year-To-Date
Value*
-6,713.83
6,713.83
(10,547.90)
(13.37)
(10,561.27)
0.00
555.33

(1,666.50)

(1,666.50)

-Account

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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/13 to 12/31/13
Portfolio Activity Summary - Pound Sterling
INCOME

US Dollar Value

Current

Transactions

Beginning Cash Balance

Ending Cash Balance

Period Value

--Year-To-Date

Value*

--Local

Value

Current

Period Value

0.00

0.00

* Year to date information is calculated on a calendar year basis.

** Your account's standing instructions use a HIGH COST method for relieving
assets

from your position

Portfolio Activity Detail - Pound Sterling

INFLOWS & OUTFLOWS

Per Unit

Type

Settle Date Selection Method

12/20

12/31

Foreign Dividend

Foreign Dividend

Description

ISHARES GBP CORPORATE BOND UCITS ETF ISIN

IE00B00FV011 SEDOL B00FV01 @ 1.1301 PER SHARE

AS OF 12/18/13 (ID: 461278-91-3)

PIMCO FUNDS GLOBAL INVESTORS SERIES - GLOBAL

INVESTMENT GRADE CREDIT FUND ISIN IE00B3BMD843

SEDOL B3BMD84 @ 0.100119 PER SHARE CONV. @

"CONV RATE" (ID: 695421-91-7)

Total Inflows & Outflows

\$915.82

\$0.00

4,361.513

0.165

0.10

721.64

436.67

Quantity

105.000

Amount USD

Local Value

1.849

1.13

PRINCIPAL

Amount USD

Local Value

194.18

118.66

INCOME

Amount USD

Local Value

Currency

Gain/Loss USD

Year-To-Date

Value*

--Account

██████████ Page 31 of 31

Consolidated Statement Page 34

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GHISLAINE MAXWELL

Account Summary

MARGIN

Cash

Asset Allocation

Cash & Fixed Income

Market Value

Market Value

0.00

\$0.00

Margin

Market Value

430.00

\$430.00

Current

Portfolio Activity

Beginning Market Value

Ending Market Value

Period Value

430.00

\$430.00

Short

Market Value

0.00

\$0.00

Year-to-Date

Value

430.00

\$430.00

Total

430.00

\$430.00

Estimated

\$0.00

Current

Market Value Annual Income Allocation

100%

100%

ACCT. [REDACTED]

For the Period 12/1/13 to 12/31/13

Account [REDACTED] Page 2 of 4

Consolidated Statement Page 36

GHISLAINE MAXWELL

ACCT. [REDACTED]

For the Period 12/1/13 to 12/31/13

Cash & Fixed Income Detail

Quantity

Price

Cash

US DOLLAR

1.00

430.00

Margin

430.00

430.00

Account

Value

Adjusted Tax Cost

Original Cost

Unrealized

Gain/Loss

Est. Annual Income

Accrued Interest

Yield

Account [REDACTED] Page 3 of 4

Consolidated Statement Page 37

GHISLAINE MAXWELL
Portfolio Activity Summary
PRINCIPAL
Current
Transactions

Portfolio Activity Detail

Beginning Cash Balance

Ending Cash Balance

Period Value

430.00

\$430.00

Year-To-Date

Value

--INCOME

Current

Period

Value

0.00

\$0.00

Year-To-Date

Value

--ACCT.

██████████

For the Period 12/1/13 to 12/31/13

No Activity This Period

Account ██████████ Page 4 of 4

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JPMorgan Chase Bank, N.A.
GHISLAINE MAXWELL - OAP IHD
[REDACTED], New York, NY 10017-2014
ACCT. [REDACTED]

For the Period 12/1/13 to 12/31/13

Investment Management Account

J.P. Morgan Team

James Buckley

Jason Bell

Janet Young

Gina Magliocco

Jason Grosse

Online access

Banker

Investment Specialist

Client Service Team

Client Service Team

Client Service Team

www.jpmorganonline.com

Please see disclosures located at the end of this statement package for important information relating to each J.P.Morgan account(s).

[REDACTED]
[REDACTED]
[REDACTED]
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Holdings

Equity

Portfolio Activity

6

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GHISLAINE MAXWELL - OAP IHD

ACCT. [REDACTED]

For the Period 12/1/13 to 12/31/13

Account Profile

Banker:

Investment Guidelines

Investment Objective:

JAMES BUCKLEY

Investment Officer:

JASON BELL

Date Opened: 01/25/2013

This account data is based on our most recent records of your account. If you disagree with any of the information stated here, please contact your account representative as soon as possible.

J.P. Morgan Private Bank Team

OAP International High Dividend

Asset Category

Non-US Equity

Total Equity

Normal

100.00

100.00

Min

0.00

Max

100.00

Strategic Ranges, Normal Asset Allocation and the preferences expressed in this document act as general

guidelines for portfolio managers; portfolio holdings may fall outside of ranges and allocations from time to time as

described in the Investment Management Agreement.

Investment Restrictions:

SEE BELOW

Security Restrictions

Item Category Restricted Item

Custom

Tax Status

Tax Identification Number:

Tax Domicile:

High Federal Tax Bracket:

[REDACTED]

FLORIDA

Client's account is subject to the highest tax bracket

Country of Residency:

UNITED STATES

All Securities

Restriction Type

Buy/Sell

Restriction

Do Not Buy or Sell Any Shares

Account [REDACTED] Page 2 of 14

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 12/1/13 to 12/31/13

IRS Circular 230 Disclosure

JPMorgan Chase & Co. and its affiliates do not provide tax advice.

Accordingly, any discussion of U.S. tax matters contained herein (including any attachments) is not intended or written to be used, and cannot be used, in connection with the promotion, marketing or recommendation by anyone unaffiliated with JPMorgan Chase & Co. of any of the matters addressed herein or for the purpose of avoiding U.S. tax-related penalties.

Account Details

Remarks

NONE

Authorized Signers:

CAROLYN ROY, GHISLAINE MAXWELL, SCHAFFER CULLEN CAPITAL MANAGEMENT

Account [REDACTED] Page 3 of 14

Consolidated Statement Page 41

GHISLAINE MAXWELL - OAP IHD

Account Summary

Asset Allocation

Equity

Market Value

Accruals

Market Value with Accruals

Beginning

Market Value

1,916,873.36

\$1,916,873.36

5,833.06

\$1,922,706.42

Ending

Market Value

1,964,165.75

\$1,964,165.75

3,813.58

\$1,967,979.33

Current

Portfolio Activity

Beginning Market Value

Contributions

Withdrawals & Fees

Net Contributions/Withdrawals

Income & Distributions

Change In Investment Value

Ending Market Value

Accruals

Market Value with Accruals

(920.62)

(\$920.62)

6,028.43

42,184.58

\$1,964,165.75

3,813.58

\$1,967,979.33

Period Value

1,916,873.36

Change

In Value

47,292.39

\$47,292.39

(2,019.48)

\$45,272.91

Year-to-Date

Value

0.00

1,750,000.00

(17,563.12)

\$1,732,436.88

55,724.05
176,004.82
\$1,964,165.75
3,813.58
\$1,967,979.33

Estimated
63,196.52
\$63,196.52

Current
Annual Income Allocation

100%

100%

ACCT. [REDACTED]

For the Period 12/1/13 to 12/31/13

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GHISLAINE MAXWELL - OAP IHD

Account Summary

Tax Summary

Foreign Dividends

Interest Income

Taxable Income

CONTINUED

Current

Period Value

6,027.34

1.09

\$6,028.43

Year-to-Date

Value

55,717.60

6.45

\$55,724.05

ST Realized Gain/Loss

LT Realized Gain/Loss

Realized Gain/Loss

Current

Period Value

Year-to-Date

Value

(27,112.21)

4.44

(\$27,107.77)

ACCT. [REDACTED]

For the Period 12/1/13 to 12/31/13

Unrealized Gain/Loss

To-Date Value

\$203,112.59

Cost Summary

Equity

Total

1,761,053.16

Cost

\$1,761,053.16

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GHISLAINE MAXWELL - OAP IHD

Equity Summary

Asset Categories

EAFE Equity

Beginning

Market Value

1,916,873.36

Ending

Market Value

1,964,165.75

Change

In Value

47,292.39

Current

Allocation

100%

ACCT. [REDACTED]

For the Period 12/1/13 to 12/31/13

Current

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Accrued Dividends

Yield

Period Value

1,964,165.75

1,761,053.16

203,112.59

63,196.52

3,813.58

3.21%

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GHISLAINE MAXWELL - OAP IHD

ACCT. [REDACTED]

For the Period 12/1/13 to 12/31/13

Note:

1

This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account.

Equity Detail

Price

EAFE Equity

ABB LTD

SPONS ADR

000375-20-4 ABB

ALSTOM

ADR

021244-20-7 ALSM Y

ASTRAZENECA PLC

SPONS ADR

046353-10-8 AZN

BAE SYSTEMS PLC

SPONS ADR

05523R-10-7 BAES Y

BAYER A G

SPONS ADR

072730-30-2 BAYR Y

BHP LTD

SPONS ADR

088606-10-8 BHP

BOC HONG KONG HOLDINGS LTD

SPONS ADR

096813-20-9 BHKL Y

64.52

555.000

35,808.60

36,821.52

(1,012.92)

1,748.80

4.88%

68.20

435.000

29,667.00

32,227.68

(2,560.68)

1,009.20

3.40%

29.29

142.00

1,735.000

325.000

50,818.15
46,150.00
39,277.38
32,896.77
11,540.77
13,253.23
2,075.06
586.62
4.08%
1.27%
3.60
59.37
12,070.000
365.000
43,452.00
21,670.05
44,210.81
18,351.75
(758.81)
3,318.30
869.04
1,022.00
2.00%
4.72%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Inc.
Accrued Div.
Yield
26.56
2,200.000
58,432.00
47,460.92
10,971.08
1,548.80
2.65%

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 12/1/13 to 12/31/13

Price

EAFE Equity

BRITISH AMERICAN TOBACCO PLC

SPONS ADR

110448-10-7 BTI

DEUTSCHE TELEKOM AG

SPONS ADR

251566-10-5 DTEG Y

DEUTSCHE POST AG

SPONS ADR

25157Y-20-2 DPSG Y

GDF SUEZ

SPONS ADR

36160B-10-5 GDFZ Y

GLAXOSMITHKLINE PLC

SPONS ADR

37733W-10-5 GSK

HSBC HOLDINGS PLC

SPONS ADR

404280-40-6 HSBC

IMPERIAL TOBACCO PLC

ADR

453142-10-1 ITYB Y

KIRIN HOLDINGS COMPANY LTD

SPONS ADR

497350-30-6 KNBW Y

LUKOIL OAO SPONS ADR

MTN GROUP LTD

677862-10-4 LUKO Y

21.00

SPONS ADR

62474M-10-8 MTNO Y

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2,625.000

55,125.00

49,970.21

5,154.79

1,929.37

3.50%

63.12

1,035.000

65,329.20

64,776.50

552.70

3,783.96

5.79%

14.40

3,010.000

43,344.00
48,510.86
(5,166.86)
833.77
786.39
1.92%
77.78
515.000
40,056.70
36,270.85
3,785.85
1,895.20
4.73%
55.13
1,145.000
63,123.85
62,810.83
313.02
2,748.00
4.35%
53.39
1,015.000
54,190.85
48,412.36
5,778.49
2,445.13
562.81
4.51%
23.67
1,980.000
46,866.60
42,100.10
4,766.50
2,684.88
5.73%
17.26
36.70
4,270.000
2,015.000
73,700.20
73,950.50
58,928.15
47,293.82
14,772.05
26,656.68
3,825.92
1,787.30
5.19%
2.42%
107.42
590.000

63,377.80
62,046.30
1,331.50
2,552.34
4.03%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Inc.
Accrued Div.
Yield

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 12/1/13 to 12/31/13

Price

EAFE Equity

MUENCHENER RUECKVERSICHERUNGS -
GESELLSCHAFT

ADR

626188-10-6 MURG Y

NESTLE S A

SPONS ADR REPSTG REG SH

641069-40-6 NSRG Y

NIPPON TEL & TEL CORP

ADR

654624-10-5 NTT

NOVARTIS A G

ADR

66987V-10-9 NVS

RECKITT BENCKISER GROUP PLC

SPONSORED ADR

756255-20-4 RBGL Y

ROCHE HOLDINGS LTD

SPONS ADR

771195-10-4 RHHB Y

ROYAL DUTCH SHELL PLC

ADR

780259-10-7 RDS B

SANOFI

80105N-10-5 SNY

SIEMENS A G

SPONS ADR

826197-50-1 SI

75.11

53.63

138.51

400.000

1,195.000

500.000

30,044.00

64,087.85

69,255.00

27,957.37

60,068.51

52,917.17

2,086.63

4,019.34

16,337.83

1,440.00

1,499.72

1,498.00

4.79%

2.34%

2.16%
70.20
700.000
49,140.00
39,379.81
9,760.19
1,136.80
2.31%
16.09
3,540.000
56,958.60
48,749.99
8,208.61
1,454.94
2.55%
27.04
80.38
2,210.000
975.000
59,758.40
78,370.50
58,374.87
68,101.01
1,383.53
10,269.49
1,613.30
2,005.57
2.70%
2.56%
73.59
1,055.000
77,637.45
73,413.83
4,223.62
1,915.88
2.47%
22.24
2,610.000
58,041.18
48,665.02
9,376.16
1,618.20
2.79%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Inc.
Accrued Div.

Yield
Account [REDACTED] Page 9 of 14
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GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 12/1/13 to 12/31/13

Price

EAFE Equity

SINGAPORE TELECOMMUNICATIONS LTD

ADR

82929R-30-4 SGAP Y

SMITHS GROUP PLC

SPONSORED ADR

83238P-20-3 SMGZ Y

STATOIL ASA

SPONS ADR

85771P-10-2 STO

TAIWAN SEMICONDUCTOR MANUFACTURING

CO LTD

SPONS ADR

874039-10-0 TSM

TELEKOMUNIKASI IND

SPONS ADR

715684-10-6 TLK

TESCO PLC

SPONS ADR

881575-30-2 TSCD Y

TOTAL SA

SPONS ADR

89151E-10-9 TOT

UNILEVER N V

904784-70-9 UN

UNITED OVERSEAS BANK LTD

SPONS ADR

911271-30-2 UOVE Y

US DOLLAR

33.62

1.00

1,250.000

55,076.170

42,025.00

55,076.17

39,130.24

55,076.17

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2,894.76

1,186.25

16.52

1.25

2.82%

0.03%

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40.23

1,630.000

65,574.90

66,467.39
(892.49)
16.84
61.27
1,520.000
1,070.000
25,596.80
65,558.90
25,456.73
54,046.70
140.07
11,512.20
980.40
2,809.82
545.52
1,933.18
3.83%
4.29%
2.95%
35.85
690.000
24,736.50
27,215.25
(2,478.75)
841.11
3.40%
17.44
830.000
14,475.20
14,793.04
(317.84)
332.83
2.30%
24.13
1,450.000
34,988.50
35,974.62
(986.12)
1,245.55
3.56%
29.10
25.20
1,765.000
1,685.000
51,361.50
42,462.00
51,172.92
33,034.06
188.58
9,427.94
2,266.26

980.00
1,027.85
4.41%
2.42%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Inc.
Accrued Div.
Yield

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 12/1/13 to 12/31/13

Price

EAFE Equity

VODAFONE GROUP PLC

SPONS ADR

92857W-20-9 VOD

ZURICH INSURANCE GROUP-ADR

Total EAFE Equity

989825-10-4 ZURV Y

\$1,964,165.75

\$1,761,053.16

\$203,112.59

\$63,196.52

\$3,813.58

3.22%

29.17

2,025.000

59,069.25

55,630.28

3,438.97

39.31

1,905.000

74,885.55

53,061.37

21,824.18

3,028.95

937.61

4.04%

Quantity

Value

Adjusted Tax Cost

Original Cost

Unrealized

Gain/Loss

Est. Annual Inc.

Accrued Div.

Yield

Account [REDACTED] Page 11 of 14

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GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 12/1/13 to 12/31/13

Portfolio Activity Summary

Beginning Cash Balance

Transactions

INFLOWS

Income

Contributions

Total Inflows

OUTFLOWS **

Withdrawals

Fees & Commissions

Tax Payments

Total Outflows

TRADE ACTIVITY

Settled Sales/Maturities/Redemptions

Settled Securities Purchased

Total Trade Activity

Ending Cash Balance

\$0.00

\$55,076.17

* Year to date information is calculated on a calendar year basis.

** Your account's standing instructions use a HIGH COST method for relieving assets

from your position

199,318.58

(1,932,403.34)

(\$1,733,084.76)

-Current

Period

Value

49,968.36

6,028.43

\$6,028.43

(100.41)

(820.21)

(\$920.62)

Year-To-Date

Value*

-55,724.05

1,750,000.00

\$1,805,724.05

(1,028.37)

(11,346.81)

(5,187.94)

(\$17,563.12)

Current

Cost

Adjustments

Cost Adjustments

Total Cost Adjustments

Period Value

\$0.00

Year-To-Date

Value*

2,151.19

\$2,151.19

Account [REDACTED] Page 12 of 14

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GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 12/1/13 to 12/31/13

Portfolio Activity Detail

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

12/2

12/9

12/9

12/11

12/11

12/12

12/12

12/12

12/12

12/16

12/16

12/16

Interest Income

Foreign Dividend

Expenses

Foreign Dividend

FGN Tax Withheld

Foreign Dividend

Expenses

FGN Tax Withheld

Foreign Dividend

Foreign Dividend

Expenses

FGN Tax Withheld

Description

DEPOSIT SWEEP INTEREST FOR 11/01/13 - 11/30/13

@ .03% RATE ON AVG COLLECTED BALANCE OF

\$44,097.99 AS OF 12/01/13

BAE SYSTEMS PLC SPONS ADR @ 0.522976 PER SHARE

(ID: 05523R-10-7)

BAE SYSTEMS PLC SPONS ADR REPRESENTS @ .020

DEPOSITORY FEE (ID: 05523R-10-7)

UNILEVER N V @ 0.3678 PER SHARE

(ID: 904784-70-9)

UNILEVER N V TAX WITHHELD NETHERLANDS 15.00%

(ID: 904784-70-9)

GDF SUEZ SPONS ADR @ 1.126476 PER SHARE

(ID: 36160B-10-5)

GDF SUEZ SPONS ADR REPRESENTS @ .015 DEPOSITORY

FEE (ID: 36160B-10-5)

GDF SUEZ SPONS ADR TAX WITHHELD FRANCE 30.00%

(ID: 36160B-10-5)

HSBC HOLDINGS PLC SPONS ADR @ 0.50 PER SHARE AS

OF 12/11/13 (ID: 404280-40-6)

NIPPON TEL & TEL CORP ADR @ 0.387146 PER SHARE

(ID: 654624-10-5)
NIPPON TEL & TEL CORP ADR REPRESENTS @ .004
DEPOSITORY FEE (ID: 654624-10-5)
NIPPON TEL & TEL CORP ADR TAX WITHHELD JAPAN
7.10% (ID: 654624-10-5)

1,735.000
1,735.000
1,630.000
1,630.000
1,980.000
1,980.000
1,980.000
1,145.000
2,210.000
2,210.000
2,210.000

Account [REDACTED] Page 13 of 14

0.50
0.387
1.126
0.368
0.523
907.36
(34.70)
599.51
(89.93)
2,230.42
(29.70)
(669.13)
572.50
855.59
(10.17)
(61.15)

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Quantity
Cost
Per Unit
Amount
Amount
1.09

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 12/1/13 to 12/31/13

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

12/23

12/27

12/31

12/31

Foreign Dividend

Foreign Dividend

Foreign Dividend

Expenses

Total Inflows & Outflows

Description

ROYAL DUTCH SHELL PLC ADR @ 0.90 PER SHARE

(ID: 780259-10-7)

COMPANHIA ENERGETICA DE MINAS GERAIS SPONS ADR

REP NON VOTING PFD GROSS RATE 0.176488

(ID: 204409-60-1)

TESCO PLC SPONS ADR @ 0.2269 PER SHARE

(ID: 881575-30-2)

TESCO PLC SPONS ADR REPRESENTS @ .017

DEPOSITORY FEE (ID: 881575-30-2)

1,520.000

1,520.000

0.227

344.89

(25.84)

\$5,107.81

Quantity

Cost

Per Unit

Amount

400.000

890.000

0.90

0.176

Amount

360.00

157.07

Account [REDACTED] Page 14 of 14

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JPMorgan Chase Bank, N.A.
GHISLAINE MAXWELL - TAP DYS
[REDACTED], New York, NY 10017-2014

ACCT. [REDACTED]
For the Period 12/1/13 to 12/31/13

Investment Management Account

J.P. Morgan Team

James Buckley

Jason Bell

Janet Young

Gina Magliocco

Jason Grosse

Online access

Banker

Investment Specialist

Client Service Team

Client Service Team

Client Service Team

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Please see disclosures located at the end of this statement package for important information relating to each J.P.Morgan account(s).

[REDACTED]

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Account Summary

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Holdings

Equity

Alternative Assets

Cash & Fixed Income

Portfolio Activity

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GHISLAINE MAXWELL - TAP DYS

ACCT. [REDACTED]

For the Period 12/1/13 to 12/31/13

Account Profile

Banker:

Investment Guidelines

Investment Objective:

JAMES BUCKLEY

Investment Officer:

JASON BELL

Date Opened: 01/25/2013

This account data is based on our most recent records of your account. If you disagree with any of the information stated here, please contact your account representative as soon as possible.

J.P. Morgan Private Bank Team

TAP Dynamic Yield Strategy

TAP Dynamic Yield Strategy

Asset Category

US Fixed Income

Total Fixed Income & Cash

US Large Cap Equity

Total Equity

Hard Assets

Total Alternative Assets

Normal

33.00

33.00

34.00

34.00

33.00

33.00

Min

0.00

0.00

0.00

Max

100.00

100.00

100.00

Strategic Ranges, Normal Asset Allocation and the preferences expressed in this document act as general

guidelines for portfolio managers; portfolio holdings may fall outside of ranges and allocations from time to time as

described in the Investment Management Agreement.

Investment Restrictions:

NONE

Security Restrictions

NONE

Tax Status

Tax Identification Number:

Tax Domicile:

High Federal Tax Bracket:

[REDACTED]

FLORIDA

Client's account is subject to the highest tax bracket

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Country of Residency:

UNITED STATES

GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 12/1/13 to 12/31/13

IRS Circular 230 Disclosure

JPMorgan Chase & Co. and its affiliates do not provide tax advice.

Accordingly, any discussion of U.S. tax matters contained herein (including any attachments) is not intended or written to be used, and cannot be used, in connection with the promotion, marketing or recommendation by anyone unaffiliated with JPMorgan Chase & Co. of any of the matters addressed herein or for the purpose of avoiding U.S. tax-related penalties.

Account Details

Remarks

NONE

Authorized Signers:

GHISLAINE MAXWELL

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GHISLAINE MAXWELL - TAP DYS

Account Summary

Asset Allocation

Equity

Alternative Assets

Cash & Fixed Income

Market Value

Accruals

Market Value with Accruals

Beginning

Market Value

139,886.72

210,693.40

679,852.97

\$1,030,433.09

61.02

\$1,030,494.11

Ending

Market Value

138,771.17

231,638.51

665,847.92

\$1,036,257.60

259.32

\$1,036,516.92

Current

Portfolio Activity

Beginning Market Value

Contributions

Withdrawals & Fees

Net Contributions/Withdrawals

Income & Distributions

Change In Investment Value

Ending Market Value

Accruals

Market Value with Accruals

\$0.00

7,039.71

(1,215.20)

\$1,036,257.60

259.32

\$1,036,516.92

Period Value

1,030,433.09

Change

In Value

(1,115.55)

20,945.11

(14,005.05)

\$5,824.51

198.30

\$6,022.81
Year-to-Date
Value
0.00
1,000,000.00
(4,930.43)
\$995,069.57
34,700.57
6,487.46
\$1,036,257.60
259.32
\$1,036,516.92
Alternative
Assets
Estimated
2,837.17
6,123.22
28,165.03
Current
Annual Income Allocation
13%
22%
65%
\$37,125.42
100%
Equity
Cash &
Fixed Income
Asset Allocation
ACCT. [REDACTED]
For the Period 12/1/13 to 12/31/13
Account [REDACTED] Page 4 of 19
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GHISLAINE MAXWELL - TAP DYS

Account Summary

Tax Summary

Domestic Dividends/Distributions

Interest Income

Taxable Income

CONTINUED

Current

Period Value

7,039.01

0.70

\$7,039.71

Year-to-Date

Value

34,696.59

3.98

\$34,700.57

LT Capital Gain Distributions

ST Realized Gain/Loss

Realized Gain/Loss

Current

Period Value

4,671.66

(447.05)

\$4,224.61

Year-to-Date

Value

4,702.44

(7,726.32)

(\$3,023.88)

ACCT. [REDACTED]

For the Period 12/1/13 to 12/31/13

Unrealized Gain/Loss

To-Date Value

\$9,511.34

Cost Summary

Equity

Cash & Fixed Income

Total

675,979.25

Cost

125,672.00

\$801,651.25

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GHISLAINE MAXWELL - TAP DYS

Equity Summary

Asset Categories

US Large Cap Equity

European Large Cap Equity

Asia ex-Japan Equity

Total Value

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Accrued Dividends

Yield

Beginning

Market Value

74,870.86

22,669.83

42,346.03

\$139,886.72

Ending

Market Value

74,242.48

23,396.31

41,132.38

\$138,771.17

Current

Period Value

138,771.17

125,672.00

13,099.17

2,837.17

4.67

2.04%

Equity as a percentage of your portfolio - 13 %

Asia ex-Japan Equity

Change

In Value

(628.38)

726.48

(1,213.65)

(\$1,115.55)

Current

Allocation

7%

2%

4%

13%

US Large Cap Equity

European Large Cap Equity

Asset Categories

ACCT. [REDACTED]
For the Period 12/1/13 to 12/31/13
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GHISLAINE MAXWELL - TAP DYS
 ACCT. ██████████
 For the Period 12/1/13 to 12/31/13
 Equity Detail
 Price
 US Large Cap Equity
 CLEARBRIDGE EQUITY INC FD-I
 CAP & INCOME I
 52469H-59-4 SOPY X
 SIT DIVIDEND GROWTH FUND-I
 Total US Large Cap Equity
 82980D-70-7 SDVG X
 \$74,242.48
 \$66,070.00
 \$8,172.48
 \$1,116.43
 1.50%
 17.66
 2,958.635
 52,249.49
 46,294.00
 5,955.49
 742.61
 1.42%
 Quantity
 Value
 Adjusted Tax Cost
 Original Cost
 Unrealized
 Gain/Loss
 Est. Annual Inc.
 Accrued Div.
 Yield
 18.65
 1,179.249
 21,992.99
 19,776.00
 2,216.99
 373.82
 1.70%
 European Large Cap Equity
 JPM INTREPID EUROPEAN FD - INSTL
 26.73
 FUND 1300
 4812A0-68-0 JFEI X
 Asia ex-Japan Equity
 MATTHEWS ASIA DIVIDEND-INS
 577130-75-0 MIPI X
 875.283
 23,396.31
 20,316.00

3,080.31

74.39

4.67

0.32%

15.59

2,638.382

41,132.38

39,286.00

1,846.38

1,646.35

4.00%

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GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 12/1/13 to 12/31/13

Alternative Assets Summary

Beginning

Asset Categories

Hedge Funds

Real Estate & Infrastructure

Total Value

Estimated Value

154,244.08

56,449.32

\$210,693.40

Ending

Estimated Value

174,732.12

56,906.39

\$231,638.51

Change

In Value

20,488.04

457.07

\$20,945.11

Current

Allocation

17%

5%

22%

Asset Categories

Real Estate & Infrastructure

Hedge Funds

Alternative Assets Detail

Alternative Assets as a percentage of your portfolio - 22 %

Price

Hedge Funds

COLUMBIA FD SER TRT - COLUMBIA

CONVERTIBLE SECURITIES FD - Z

19765H-72-7 NCIA X

EATON VANCE FLOATING-RATE

ADVANTAGE I

277923-63-7 EIFA X

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11.20

7,524.150

84,270.48

84,120.00

18.20

1,147.177

20,878.62

20,523.00

Quantity

Estimated
Value
Cost

GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 12/1/13 to 12/31/13

Price

Hedge Funds

GATEWAY FUND-Y

HSBC FDS

Total Hedge Funds

28.99

367829-88-4 GTEY X

9.85

TOTAL RETURN I

40428X-15-6 HTRI X

\$174,732.12

\$174,388.01

3,858.567

38,006.88

39,704.65

1,089.208

31,576.14

30,040.36

Quantity

Estimated

Value

Cost

Quantity/Original

Commitment Amount

Real Estate & Infrastructure

NUVEEN GLOBAL INFRASTRUCT-I

670690-51-0 FGIY X

Cost/Net Capital Called

Since Inception

Net Distributions

Since Inception

Estimated

Value

Est. Annual Inc.

Accrued Div.

Yield

2,025.30

20,091.00

20,961.88

384.80

1.84%

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GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 12/1/13 to 12/31/13

Quantity/Original

Commitment Amount

Real Estate & Infrastructure

TORTOISE MLP & PIPELINE-INS

Total Real Estate & Infrastructure

56166Y-40-4 TORI X

\$50,707.00

\$0.00

\$56,906.39

\$384.80

Amounts shown above under "Estimated Value" for private equity funds are estimates based on the latest fund values received from each underlying fund, which value may be as of a date (underlying fund value date) prior to the period covered by this statement. The values provided by the underlying fund have been adjusted for any cash flows between your account and such fund that have occurred subsequent to the underlying fund value date to derive the "Estimated Value". Therefore, such "Estimated Value" may not reflect the value of your interest shown on any fund's actual books and records as of the date of this statement. For additional information, please contact your J.P. Morgan representative.

For private equity funds, Estimated Values are based on estimates provided by the underlying funds that are generally presented on a US GAAP basis, which records investments at fair value, or "marked-to-market". Most of these underlying funds also present their audited financial statements on a US GAAP basis (i.e., "marked-to-market"). However, some of these underlying funds present their audited financial statements using the Income Tax Basis of Accounting, which records investments "at cost" based on the accrual basis of accounting for Federal income taxes. Where the underlying fund provides periodic estimates on a "marked-to-market" basis but reflects investments "at cost" in its audited financial statements, the marked-to-market Estimated Value shown herein for a private equity fund may be materially different from the value reflected on such fund's audited financial statements (which are also based on the audited financial statements of the underlying fund).

2,296.77

30,616.00

35,944.51

Cost/Net Capital Called

Since Inception

Net Distributions

Since Inception

Estimated

Value

Est. Annual Inc.

Accrued Div.

Yield
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GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 12/1/13 to 12/31/13

Cash & Fixed Income Summary

Beginning

Asset Categories

Cash

US Fixed Income

Total Value

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Accrued Interest

Yield

SUMMARY BY MATURITY

1

Cash & Fixed Income

0-6 months

1

Market Value

35,560.74

644,292.23

\$679,852.97

Ending

Market Value

36,993.11

628,854.81

\$665,847.92

Current

Period Value

665,847.92

675,979.25

(10,131.33)

28,165.03

254.65

4.22%

Cash & Fixed Income as a percentage of your portfolio - 65 %

SUMMARY BY TYPE

Market

Value

665,847.92

% of Bond

Portfolio

100%

The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

Cash & Fixed Income

Cash

International Bonds

Mutual Funds
Other
Total Value
Market
Value
36,993.11
31,123.88
539,848.64
57,882.29
\$665,847.92
% of Bond
Portfolio
5%
4%
83%
8%
100%
US Fixed Income
Change
In Value
1,432.37
(15,437.42)
(\$14,005.05)
Current
Allocation
4%
61%
65%
Cash
Asset Categories

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GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 12/1/13 to 12/31/13

Note:

1

This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account.

Cash & Fixed Income Detail

Price

Cash

US DOLLAR

1.00

36,993.11

36,993.11

36,993.11

11.09

0.96

US Fixed Income

AVENUE MUT FDS TR

CRED STRA INST

05358F-30-1

COHEN & STEERS PREFERRED SECURITIES

AND INCOME FUND INC

19248X-30-7

METROPOLITAN WEST FDS

TOTAL RET CL I

592905-50-9

HARBOR HIGH YIELD BOND-INST

HARBOR CONVERTIBLE SEC-INST

DOUBLELINE TOTAL RET BD-I

411511-55-3

10.92

411512-73-4

10.78

258620-10-3

12,498.16

134,730.11

142,229.00

(7,498.89)

6,961.47

5.17%

2,833.31

30,939.79

29,272.00

1,667.79

589.32

1.90%

10.81

3,596.08

38,873.64

40,419.95
(1,546.31)
2,251.14
5.79%
10.55
7,192.34
75,879.23
77,030.00
(1,150.77)
2,488.55
3.28%
12.88
4,493.97
57,882.29
60,624.00
(2,741.71)
3,828.85
6.61%
0.03% ¹
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Income
Accrued Interest
Yield
11.38
2,734.96
31,123.88
30,896.00
227.88
1,411.24
4.53%

GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 12/1/13 to 12/31/13

Price

US Fixed Income

JPM STRAT INCOME OPPORT FD - SEL

FUND 3844

4812A4-35-1

JPM MULTI SECTOR INCOME FD - SEL

FUND 2130

48121A-29-0

RIDGEWORTH SEIX FLOATING-I

TRP INST FLOATING RATE-F

BLACKROCK HIGH YIELD BOND

Total US Fixed Income

76628T-67-8

10.28

77958B-10-5

8.21

091929-63-8

\$628,854.81

\$638,986.14

(\$10,131.33)

\$28,153.94

\$253.69

4.48%

7,292.31

59,869.88

59,201.48

668.40

3,646.15

6.09%

5,394.06

55,450.94

55,397.00

53.94

2,303.26

4.15%

11.89

10.31

9.06

3,015.90

4,784.86

6,502.66

35,859.05

49,331.95

58,914.05

36,040.00

49,092.71

58,784.00

(180.95)

239.24

130.05

931.91

81.43

1,277.55

172.26

2,464.50

2.60%

2.59%

4.18%

Quantity

Value

Adjusted Tax Cost

Original Cost

Unrealized

Gain/Loss

Est. Annual Income

Accrued Interest

Yield

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GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 12/1/13 to 12/31/13

Portfolio Activity Summary

Beginning Cash Balance

Transactions

INFLOWS

Income

Contributions

Total Inflows

OUTFLOWS **

Total Outflows

Fees & Commissions

\$0.00

TRADE ACTIVITY

Settled Sales/Maturities/Redemptions

Settled Securities Purchased

Total Trade Activity

Ending Cash Balance

14,915.66

(20,523.00)

(\$5,607.34)

\$36,993.11

* Year to date information is calculated on a calendar year basis.

** Your account's standing instructions use a HIGH COST method for relieving assets

from your position

245,011.97

(1,237,789.00)

(\$992,777.03)

-Current

Period

Value

35,560.74

7,039.71

\$7,039.71

Year-To-Date

Value*

-34,700.57

1,000,000.00

\$1,034,700.57

(4,930.43)

(\$4,930.43)

Account

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GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 12/1/13 to 12/31/13

Portfolio Activity Detail

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

12/2

12/2

12/2

12/2

12/2

12/2

12/3

12/3

12/3

Interest Income

Div Domestic

Description

DEPOSIT SWEEP INTEREST FOR 11/01/13 - 11/30/13

@ .03% RATE ON AVG COLLECTED BALANCE OF

\$29,939.94 AS OF 12/01/13

JPM STRAT INCOME OPPORT FD - SEL FUND 3844 @

0.02 PER SHARE (ID: 4812A4-35-1)

BLACKROCK HIGH YIELD BOND 11/29/13 INCOME

DIVIDEND @ 0.039 PER SHARE AS OF 11/29/13

(ID: 091929-63-8)

EATON VANCE FLOATING-RATE ADVANTAGE I 11/29/13

INCOME DIVIDEND @ 0.043 PER SHARE AS OF

11/29/13 (ID: 277923-63-7)

HSBC FDS TOTAL RETURN I 12/02/13 INCOME

DIVIDEND @ 0.010 PER SHARE (ID: 40428X-15-6)

METROPOLITAN WEST FDS TOTAL RET CL I 11/29/13

INCOME DIVIDEND @ 0.003 PER SHARE AS OF

11/29/13 (ID: 592905-50-9)

COHEN & STEERS PREFERRED SECURITIES AND INCOME

FUND INC 11/29/13 INCOME DIVIDEND @ 0.071 PER

SHARE AS OF 11/29/13 (ID: 19248X-30-7)

DOUBLELINE TOTAL RET BD-I 11/29/13 INCOME

DIVIDEND @ 0.046 PER SHARE AS OF 11/29/13

(ID: 258620-10-3)

RIDGEWORTH SEIX FLOATING-I 12/02/13 INCOME

DIVIDEND @ 0.030 PER SHARE AS OF 12/02/13

(ID: 76628T-67-8)

6,502.655

0.03
198.18
12,498.155
0.046
575.67
4,493.967
0.071
319.07
3,858.567
7,192.344
0.01
0.024
40.11
171.75
7,524.150
0.043
322.40
3,015.900
7,292.312
0.02
0.041
60.32
301.45
Quantity
Cost
Per Unit
Amount
Amount
0.70

GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 12/1/13 to 12/31/13

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

12/3

12/13

12/16

12/17

12/19

12/19

12/19

12/19

12/19

12/20

Div Domestic

Div Domestic

Div Domestic

STCapitalGain Dist

STCapitalGain Dist

STCapitalGain Dist

Div Domestic

Div Domestic

Div Domestic

Div Domestic

Description

TRP INST FLOATING RATE-F 11/29/13 INCOME
DIVIDEND @ 0.036 PER SHARE AS OF 11/29/13
(ID: 77958B-10-5)

MATTHEWS ASIA DIVIDEND-INS 12/12/13 INCOME
DIVIDEND @ 0.222 PER SHARE AS OF 12/12/13
(ID: 577130-75-0)

COHEN & STEERS PREFERRED SECURITIES AND INCOME
FUND INC 12/12/13 INCOME DIVIDEND @ 0.071 PER
SHARE AS OF 12/12/13 (ID: 19248X-30-7)

NUVEEN GLOBAL INFRASTRUCT-I 12/16/13 SHORT TERM
CAPITAL GAINS @ 0.288 PER SHARE AS OF 12/16/13
(ID: 670690-51-0)

HARBOR HIGH YIELD BOND-INST 12/17/13 SHORT TERM
CAPITAL GAINS @ 0.016 PER SHARE AS OF 12/17/13
(ID: 411511-55-3)

SIT DIVIDEND GROWTH FUND-I 12/18/13 SHORT TERM
CAPITAL GAINS @ 0.058 PER SHARE AS OF 12/18/13
(ID: 82980D-70-7)

HARBOR HIGH YIELD BOND-INST 12/17/13 INCOME
DIVIDEND @ 0.210 PER SHARE AS OF 12/17/13
(ID: 411511-55-3)

HARBOR CONVERTIBLE SEC-INST 12/17/13 INCOME
DIVIDEND @ 0.098 PER SHARE AS OF 12/17/13
(ID: 411512-73-4)

SIT DIVIDEND GROWTH FUND-I 12/18/13 INCOME

DIVIDEND @ 0.069 PER SHARE AS OF 12/18/13

(ID: 82980D-70-7)

GATEWAY FUND-Y 12/19/13 INCOME DIVIDEND @ 0.099

PER SHARE AS OF 12/19/13 (ID: 367829-88-4)

1,089.208

0.099

108.16

2,958.635

0.069

205.57

2,833.314

0.098

278.83

3,596.081

0.266

955.56

2,958.635

0.058

171.51

3,596.081

0.02

73.21

2,025.302

0.288

583.69

4,493.967

0.071

319.07

2,638.382

0.222

584.43

Quantity

Cost

Per Unit

Amount

5,394.060

0.036

Amount

194.53

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GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 12/1/13 to 12/31/13

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

12/20

12/23

12/23

12/23

12/24

12/24

12/30

12/31

12/31

Div Domestic

Div Domestic

Description

RIDGEWORTH SEIX FLOATING-I 12/19/13 INCOME

DIVIDEND AS OF 12/19/13 (ID: 76628T-67-8)

JPM INTREPID EUROPEAN FD - INSTL FUND 1300 @

0.08558 PER SHARE (ID: 4812A0-68-0)

STCapitalGain Dist BLACKROCK HIGH YIELD BOND 12/20/13 SHORT TERM

CAPITAL GAINS @ 0.009 PER SHARE AS OF 12/20/13

(ID: 091929-63-8)

Div Domestic

AVENUE MUT FDS TR CRED STRA INST 12/23/13

INCOME DIVIDEND @ 0.100 PER SHARE

(ID: 05358F-30-1)

STCapitalGain Dist HSBC FDS TOTAL RETURN I 12/24/13 SHORT TERM

CAPITAL GAINS @ 0.042 PER SHARE

(ID: 40428X-15-6)

Div Domestic

Div Domestic

STCapitalGain Dist

Div Domestic

Total Inflows & Outflows

HSBC FDS TOTAL RETURN I 12/24/13 INCOME

DIVIDEND @ 0.118 PER SHARE (ID: 40428X-15-6)

CLEARBRIDGE EQUITY INC FD-I CAP & INCOME I

12/26/13 INCOME DIVIDEND @ 0.058 PER SHARE AS

OF 12/26/13 (ID: 52469H-59-4)

TORTOISE MLP & PIPELINE-INS 12/27/13 SHORT TERM

CAPITAL GAINS @ 0.048 PER SHARE AS OF 12/27/13

(ID: 56166Y-40-4)

TORTOISE MLP & PIPELINE-INS 12/27/13 INCOME

DIVIDEND @ 0.160 PER SHARE AS OF 12/27/13

(ID: 56166Y-40-4)

\$7,039.71

2,296.774

0.16

367.47

2,296.774
0.048
110.29
3,858.567
1,179.249
0.118
0.058
455.76
68.13
3,858.567
0.042
162.33
2,734.963
0.10
273.50
Quantity
Cost
Per Unit
Amount
6,502.655
875.283
7,292.312
0.086
0.009
Amount
0.18
74.91
62.93

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GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 12/1/13 to 12/31/13

TRADE ACTIVITY

Note:

Trade Date

Settle Date

12/16

12/16

12/17

12/17

12/18

12/19

12/19

12/19

12/19

12/19

12/19

12/19

12/23

12/23

12/24

12/24

S indicates Short Term Realized Gain/Loss

Type

Selection Method Description

Settled Sales/Maturities/Redemptions

LT Capital Gain

Distribution

LT Capital Gain

Distribution

Sale

High Cost

LT Capital Gain

Distribution

LT Capital Gain

Distribution

LT Capital Gain

Distribution

LT Capital Gain

Distribution

HARBOR HIGH YIELD BOND-INST 12/17/13 LONG TERM

CAPITAL GAINS @ 0.251 PER SHARE AS OF 12/17/13

(ID: 411511-55-3)

HARBOR CONVERTIBLE SEC-INST 12/17/13 LONG TERM

CAPITAL GAINS @ 0.107 PER SHARE AS OF 12/17/13

(ID: 411512-73-4)

SIT DIVIDEND GROWTH FUND-I 12/18/13 LONG TERM

CAPITAL GAINS @ 0.573 PER SHARE AS OF 12/18/13

(ID: 82980D-70-7)

BLACKROCK HIGH YIELD BOND 12/20/13 LONG TERM

CAPITAL GAINS @ 0.102 PER SHARE AS OF 12/20/13

(ID: 091929-63-8)

LT Capital Gain HSBC FDS TOTAL RETURN I 12/24/13 LONG TERM
Distribution

CAPITAL GAINS @ 0.002 PER SHARE

(ID: 40428X-15-6)

3,858.567

0.002

7.39

7,292.312

0.102

742.29

2,958.635

0.573

1,695.82

2,833.314

0.107

304.10

3,596.081

0.318

1,141.81

METROPOLITAN WEST FDS TOTAL RET CL I 12/13/13

LONG TERM CAPITAL GAINS @ 0.065 PER SHARE AS OF
12/13/13 (ID: 592905-50-9)

NUVEEN GLOBAL INFRASTRUCT-I 12/16/13 LONG TERM
CAPITAL GAINS @ 0.045 PER SHARE AS OF 12/16/13

(ID: 670690-51-0)

HARBOR HIGH YIELD BOND-INST (ID: 411511-55-3)

(951.161)

10.77

10,244.00

(10,691.05)

(447.05) S

2,025.302

0.045

90.94

Quantity

7,192.344

Per Unit

Amount

0.065

Proceeds

464.27

Tax Cost

Realized

Gain/Loss

Account [REDACTED] Page 18 of 19

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GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 12/1/13 to 12/31/13

Trade Date

Settle Date

12/31

12/31

Type

Selection Method Description

Settled Sales/Maturities/Redemptions

LT Capital Gain

Distribution

TORTOISE MLP & PIPELINE-INS 12/27/13 LONG TERM

CAPITAL GAINS @ 0.098 PER SHARE AS OF 12/27/13

(ID: 56166Y-40-4)

Total Settled Sales/Maturities/Redemptions

\$14,915.66

(\$10,691.05)

(\$447.05) S

Quantity

2,296.774

Per Unit

Amount

0.098

Proceeds

225.04

Tax Cost

Realized

Gain/Loss

Trade Date

Settle Date

Type

Description

Settled Securities Purchased

12/19

Purchase

12/20

COLUMBIA FD SER TRT - COLUMBIA CONVERTIBLE

SECURITIES FD - Z (ID: 19765H-72-7)

Quantity

1,147.177

Per Unit

Amount

17.89

Market Cost

(20,523.00)

Account [REDACTED] Page 19 of 19

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JPMorgan Chase Bank, N.A.
GHISLAINE MAXWELL - TAP ARFI
[REDACTED], New York, NY 10017-2014
ACCT. [REDACTED]

For the Period 12/1/13 to 12/31/13

Investment Management Account

J.P. Morgan Team

James Buckley

Jason Bell

Janet Young

Gina Magliocco

Jason Grosse

Online access

Banker

Investment Specialist

Client Service Team

Client Service Team

Client Service Team

www.jpmorganonline.com

Please see disclosures located at the end of this statement package for important information relating to each J.P.Morgan account(s).

[REDACTED]

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Holdings

Alternative Assets

Cash & Fixed Income

Portfolio Activity

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GHISLAINE MAXWELL - TAP ARFI

ACCT. [REDACTED]

For the Period 12/1/13 to 12/31/13

Account Profile

Banker:

Investment Guidelines

Investment Objective:

JAMES BUCKLEY

Investment Officer:

JASON BELL

Date Opened: 01/25/2013

This account data is based on our most recent records of your account. If you disagree with any of the information stated here, please contact your account representative as soon as possible.

J.P. Morgan Private Bank Team

TAP Absolute Return Fixed Income

TAP Absolute Return Fixed Income

Asset Category

Cash & Short Term

US Fixed Income

Total Fixed Income & Cash

Normal

1.00

99.00

100.00

0.00

100.00

Strategic Ranges, Normal Asset Allocation and the preferences expressed in this document act as general

guidelines for portfolio managers; portfolio holdings may fall outside of ranges and allocations from time to time as

described in the Investment Management Agreement.

Investment Restrictions:

NONE

Security Restrictions

NONE

Tax Status

Tax Identification Number:

Tax Domicile:

High Federal Tax Bracket:

[REDACTED]

FLORIDA

Client's account is subject to the highest tax bracket

Country of Residency:

UNITED STATES

Min

Max

Account [REDACTED] Page 2 of 11

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GHISLAINE MAXWELL - TAP ARFI ACCT. [REDACTED]

For the Period 12/1/13 to 12/31/13

IRS Circular 230 Disclosure

JPMorgan Chase & Co. and its affiliates do not provide tax advice.

Accordingly, any discussion of U.S. tax matters contained herein (including any attachments) is not intended or written to be used, and cannot be used, in connection with the promotion, marketing or recommendation by anyone unaffiliated with JPMorgan Chase & Co. of any of the matters addressed herein or for the purpose of avoiding U.S. tax-related penalties.

Account Details

Remarks

NONE

Authorized Signers:

GHISLAINE MAXWELL

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GHISLAINE MAXWELL - TAP ARFI

Account Summary

Asset Allocation

Alternative Assets

Cash & Fixed Income

Market Value

Accruals

Market Value with Accruals

Beginning

Market Value

404,092.25

595,230.41

\$999,322.66

254.76

\$999,577.42

Ending

Market Value

402,760.32

600,025.84

\$1,002,786.16

691.00

\$1,003,477.16

Current

Portfolio Activity

Beginning Market Value

Contributions

Withdrawals & Fees

Net Contributions/Withdrawals

Income & Distributions

Change In Investment Value

Ending Market Value

Accruals

Market Value with Accruals

\$0.00

3,213.92

249.58

\$1,002,786.16

691.00

\$1,003,477.16

Period Value

999,322.66

Change

In Value

(1,331.93)

4,795.43

\$3,463.50

436.24

\$3,899.74

Year-to-Date

Value

0.00

1,000,000.00
(3,856.08)
\$996,143.92
21,124.92
(14,482.68)
\$1,002,786.16
691.00
\$1,003,477.16
Alternative
Assets
Estimated
8,813.36
15,181.50
Current
Annual Income Allocation
40%
60%
\$23,994.86
100%
Cash &
Fixed Income
Asset Allocation
ACCT. [REDACTED]
For the Period 12/1/13 to 12/31/13
Account [REDACTED] Page 4 of 11
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GHISLAINE MAXWELL - TAP ARFI
Account Summary
Tax Summary
Domestic Dividends/Distributions
Interest Income
Taxable Income
CONTINUED
Current
Period Value
3,212.72
1.20
\$3,213.92
Year-to-Date
Value
21,119.77
5.15
\$21,124.92
LT Capital Gain Distributions
ST Realized Gain/Loss
Realized Gain/Loss
Current
Period Value
137.97
\$137.97
Year-to-Date
Value
137.97
(4,262.78)
(\$4,124.81)
ACCT. [REDACTED]
For the Period 12/1/13 to 12/31/13
Unrealized Gain/Loss
To-Date Value
(\$10,357.87)
Cost Summary
Cash & Fixed Income
602,272.41
Cost
Total
\$602,272.41
Account [REDACTED] Page 5 of 11
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GHISLAINE MAXWELL - TAP ARFI

Alternative Assets Summary

Beginning

Asset Categories

Hedge Funds

Estimated Value

404,092.25

Alternative Assets Detail

Price

Hedge Funds

GOLDMAN SACHS TR

STRG INCM INST

38145C-64-6 GSZI X

HSBC FDS

TOTAL RETURN I

40428X-15-6 HTRI X

PIMCO UNCONSTRAINED BOND-P

PRUDENTIAL INVT PORTFOLIOS 9

72201M-45-3 PUCP X

9.85

PRU ABRTN FD Z

74441J-82-9 PADZ X

Total Hedge Funds

\$402,760.32

\$410,871.62

9,610.855

94,666.92

96,036.62

11.09

14,308.333

158,679.41

164,832.00

9.85

5,780.066

56,933.65

59,171.00

10.66

8,675.454

92,480.34

90,832.00

Quantity

Estimated

Value

Cost

ACCT. XXXXXXXXXX

For the Period 12/1/13 to 12/31/13

Ending

Estimated Value

402,760.32

Change

In Value

(1,331.93)

Current

Allocation

40%

Account [REDACTED] Page 6 of 11

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GHISLAINE MAXWELL - TAP ARFI

Cash & Fixed Income Summary

Beginning

Asset Categories

Cash

US Fixed Income

Total Value

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Accrued Interest

Yield

SUMMARY BY MATURITY

1

Cash & Fixed Income

0-6 months

1

Market Value

44,538.92

550,691.49

\$595,230.41

ACCT. [REDACTED]

For the Period 12/1/13 to 12/31/13

Ending

Market Value

47,890.81

552,135.03

\$600,025.84

Current

Period Value

600,025.84

602,272.41

(2,246.57)

15,181.50

691.00

2.53%

Cash & Fixed Income as a percentage of your portfolio - 60 %

SUMMARY BY TYPE

Market

Value

600,025.84

% of Bond

Portfolio

100%

The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

Cash & Fixed Income

Cash

Mutual Funds
Total Value
Market
Value
47,890.81
552,135.03
\$600,025.84
% of Bond
Portfolio
7%
93%
100%
US Fixed Income
Change
In Value
3,351.89
1,443.54
\$4,795.43
Current
Allocation
5%
55%
60%
Cash
Asset Categories

Account [REDACTED] Page 7 of 11
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GHISLAINE MAXWELL - TAP ARFI ACCT. [REDACTED]

For the Period 12/1/13 to 12/31/13

Note:

1

This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account.

Cash & Fixed Income Detail

Price

Cash

US DOLLAR

1.00

47,890.81

47,890.81

47,890.81

14.36

1.24

US Fixed Income

DOUBLELINE TOTAL RET BD-I

EATON VANCE FLOATING RATE-I

JPM STRAT INCOME OPPORT FD - SEL

258620-10-3

9.19

277911-49-1

11.89

FUND 3844

4812A4-35-1

JPM MULTI SECTOR INCOME FD - SEL

FUND 2130

48121A-29-0

RBC FDS TR

BLUBAY ABRTR I

74926P-34-0

Total US Fixed Income

\$552,135.03

\$554,381.60

(\$2,246.57)

\$15,167.14

\$689.76

2.75%

10.31

10.40

9,651.54

14,793.79

99,507.40

153,855.38

99,024.83

150,434.00

482.57

3,421.38

12,677.82
150,739.33
151,500.00
(760.67)
3,917.44
342.30
2,576.96
347.46
1,656.90
2.60%
2.59%
1.08%
5,463.94
50,213.64
50,159.00
54.64
1,961.55
3.91%
10.78
9,074.15
97,819.28
103,263.77
(5,444.49)
5,054.29
5.17%
0.03% ¹
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Income
Accrued Interest
Yield

GHISLAINE MAXWELL - TAP ARFI ACCT. [REDACTED]

For the Period 12/1/13 to 12/31/13

Portfolio Activity Summary

Beginning Cash Balance

Transactions

INFLOWS

Income

Contributions

Total Inflows

OUTFLOWS **

Total Outflows

Fees & Commissions

\$0.00

TRADE ACTIVITY

Settled Sales/Maturities/Redemptions

Settled Securities Purchased

Total Trade Activity

Ending Cash Balance

137.97

\$137.97

\$47,890.81

* Year to date information is calculated on a calendar year basis.

** Your account's standing instructions use a HIGH COST method for relieving assets

from your position

151,923.97

(1,121,302.00)

(\$969,378.03)

-Current

Period

Value

44,538.92

3,213.92

\$3,213.92

Year-To-Date

Value*

-21,124.92

1,000,000.00

\$1,021,124.92

(3,856.08)

(\$3,856.08)

Account

[REDACTED] Page 9 of 11

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GHISLAINE MAXWELL - TAP ARFI ACCT. [REDACTED]

For the Period 12/1/13 to 12/31/13

Portfolio Activity Detail

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

12/2

12/2

12/2

12/2

12/2

12/3

12/3

12/3

12/3

Interest Income

Div Domestic

Description

DEPOSIT SWEEP INTEREST FOR 11/01/13 - 11/30/13

@ .03% RATE ON AVG COLLECTED BALANCE OF

\$44,533.24 AS OF 12/01/13

JPM STRAT INCOME OPPORT FD - SEL FUND 3844 @

0.02 PER SHARE (ID: 4812A4-35-1)

EATON VANCE FLOATING RATE-I 11/29/13 INCOME

DIVIDEND @ 0.028 PER SHARE AS OF 11/29/13

(ID: 277911-49-1)

HSBC FDS TOTAL RETURN I 12/02/13 INCOME

DIVIDEND @ 0.010 PER SHARE (ID: 40428X-15-6)

PRUDENTIAL INVT PORTFOLIOS 9 PRU ABRTRN FD Z

11/29/13 INCOME DIVIDEND @ 0.029 PER SHARE AS

OF 11/29/13 (ID: 74441J-82-9)

DOUBLELINE TOTAL RET BD-I 11/29/13 INCOME

DIVIDEND @ 0.046 PER SHARE AS OF 11/29/13

(ID: 258620-10-3)

GOLDMAN SACHS TR STRG INCM INST 11/29/13 INCOME

DIVIDEND @ 0.028 PER SHARE AS OF 11/29/13

(ID: 38145C-64-6)

PIMCO UNCONSTRAINED BOND-P 11/29/13 INCOME

DIVIDEND @ 0.008 PER SHARE AS OF 11/29/13

(ID: 72201M-45-3)

RBC FDS TR BLUBAY ABRTR I 11/29/13 INCOME

DIVIDEND @ 0.020 PER SHARE AS OF 11/29/13

(ID: 74926P-34-0)

14,793.787

0.02
290.39
14,308.333
0.008
111.16
8,675.454
0.028
240.71
9,074.145
0.046
417.96
5,780.066
9,610.855
0.01
0.029
60.08
274.09
12,677.824
5,463.943
0.02
0.028
253.56
154.27
Quantity
Cost
Per Unit
Amount
Amount
1.20

Account [REDACTED] Page 10 of 11
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GHISLAINE MAXWELL - TAP ARFI ACCT. [REDACTED]

For the Period 12/1/13 to 12/31/13

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

12/13

12/24

12/24

Description

STCapitalGain Dist PIMCO UNCONSTRAINED BOND-P 12/11/13 SHORT TERM

CAPITAL GAINS @ 0.034 PER SHARE AS OF 12/11/13

(ID: 72201M-45-3)

STCapitalGain Dist HSBC FDS TOTAL RETURN I 12/24/13 SHORT TERM

CAPITAL GAINS @ 0.042 PER SHARE

(ID: 40428X-15-6)

Div Domestic

Total Inflows & Outflows

TRADE ACTIVITY

Trade Date

Type

Settle Date

12/13

12/13

12/24

12/24

Selection Method Description

Settled Sales/Maturities/Redemptions

LT Capital Gain

Distribution

PIMCO UNCONSTRAINED BOND-P 12/11/13 LONG TERM

CAPITAL GAINS @ 0.009 PER SHARE AS OF 12/11/13

(ID: 72201M-45-3)

LT Capital Gain HSBC FDS TOTAL RETURN I 12/24/13 LONG TERM

Distribution

CAPITAL GAINS @ 0.002 PER SHARE

(ID: 40428X-15-6)

Total Settled Sales/Maturities/Redemptions

\$137.97

\$0.00

\$0.00

5,780.066

0.002

11.06

Quantity

14,308.333

HSBC FDS TOTAL RETURN I 12/24/13 INCOME

DIVIDEND @ 0.118 PER SHARE (ID: 40428X-15-6)

5,780.066

0.118

682.72

\$3,213.92

5,780.066

0.042

243.16

Quantity

Cost

Per Unit

Amount

14,308.333

0.034

Amount

484.62

Per Unit

Amount

0.009

Proceeds

Tax Cost

126.91

Realized

Gain/Loss

Account [REDACTED] Page 11 of 11

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For the Period 12/1/13 to 12/31/13

For your convenience we have combined statement(s) for activity you conduct through J.P. Morgan in one package. Below are important disclosures relating to these different accounts.

These statements may relate to various account types. Some of the disclosures are applicable to all of your accounts. For ease of reference the disclosures applicable to a particular type of account have been grouped together by descriptive headers.

IMPORTANT GENERAL INFORMATION APPLICABLE TO ALL OF YOUR ACCOUNT(S)

Important Information about Pricing ,Valuations, Estimated Annual Income, and Estimated Yield

Market value information (including without limitation, prices, exchange rates, accrued income and bond ratings) furnished herein, some of which has been provided by pricing sources that J.P. Morgan believes to be reliable, is not guaranteed for accuracy but provided for informational purposes and is furnished for the exclusive use of the client. The current price is the value of the financial asset share, unit or contract as priced at the close of the market on the last day of the statement period or the last available price. All values provided for structured yield deposits (for example, JPMorgan London Time Deposits) reflect the original deposit amount only. The value for Real Estate, Mineral Interests and Miscellaneous Assets may not reflect the most current value of the asset.

Important information regarding Auction Rate Securities (ARS). ARS are debt or preferred securities with an interest or dividend rate reset periodically in an auction. Although there may be daily, weekly and monthly resets, there is no guarantee that there will be liquidity. If there are not enough bids at an auction to redeem the securities available for sale, the result may be a failed auction. In the event of a failed auction, there is no assurance that a secondary market will develop or that the security will trade at par or any other price reflected on statements and online. Accordingly, investors should not rely on pricing information appearing in their statements or online with respect to ARS. When J.P. Morgan is unable to obtain a price from an internal or outside source for a particular ARS, the price column on your statement will indicate "unpriced".

Valuations of over-the-counter derivative transactions, including certain derivatives-related deposit products, have been prepared on a mid-market basis. These valuations are sourced from the various issuers of the securities or they are sourced from a third party valuation provider. J.P. Morgan expressly disclaims any responsibility for (1) the accuracy of the models or estimates used in deriving the valuations, (2) any errors or omissions in computing or disseminating the valuations, and (3) any uses to which the valuations are put. Valuations are provided for information purposes only and are intended solely for your own use. Please refer to the trade confirmation for details of each transaction.

Certain assets, including but not limited to, pooled and private investments, non-publicly traded and infrequently traded securities, derivatives, partnership interests and tangible assets are generally illiquid, the value of such asset may have been provided to us by third

parties who may or may not be independent of the issuer or manager. Such information is reflected as of the last date provided to us, and is not independently verified.

In cases where we are unable to obtain a current market value from an internal or outside source for a particular security, the price column on your statement will indicate "unpriced". Although such securities may have value, please note that the value of a security indicated as "unpriced" will not be included in your overall current market value as reflected on the statement.

J.P. Morgan makes no representation, warranty or guarantee, express or implied, that any quoted value represents the actual terms at which securities could be bought or sold or new transactions could be entered into, or the actual terms on which existing transactions or securities could be liquidated. Such values may only be indicative.

When we are unable to obtain a current value from an internal or outside source for a particular security, the price column on your statement will indicate "unpriced".

If a partial call is made with respect to an issue of securities included in your Accounts we will allocate the call by a method we deem fair and equitable.

To the extent applicable, please note the following regarding estimated annual income (EAI) and estimated yield (EY): EAI and EY for certain types of securities could include a return of principal or capital gains in which case the EAI and EY would be overstated. EAI and EY are estimates and the actual income and yield might be lower or higher than the estimated amounts. EY reflects only the income generated by an investment. It does not reflect changes in its price, which may fluctuate.

Offshore Deposits - London and Nassau

Disclosures Page 1 of 5

For the Period 12/1/13 to 12/31/13

Deposits in Foreign Branches are not insured by the FDIC or any other Agency of the Federal Government; amounts in such foreign accounts do not have the benefit of any domestic preference applicable to U.S Banks; certain Foreign accounts are considered reportable to the Internal Revenue Service on a Report of Foreign Bank and Financial Accounts (TD F 90-22.1).

Bank products and services are offered through JPMorgan Chase Bank, N.A. ("JPMCB") and its banking affiliates. Securities are offered by J.P.Morgan Securities LLC ("JPMS") and, to the extent noted below, cleared through J.P. Morgan Clearing Corp. ("JPMCC"). Neither JPMS, nor JPMCC is a bank and are each separate legal entities from its bank or thrift affiliates.

Investment Products: Not FDIC Insured

-No Bank Guarantee -May Lose Value

Fund manager disclosure information available upon request

If you have an investment account that is managed by an SEC-Registered Investment Advisor, J.P. Morgan will provide a copy of the advisor's Form ADV II or brochure upon written request.

These statements are not official documents for income tax reporting purposes and should not be relied upon for such purposes, including determination of income, cost basis, amortization or accretion, or gain/loss. Such information, which may be inaccurate, incomplete or subject to updating, should be confirmed with your records and your tax advisor.

Please take the steps indicated below if you think statement(s) are incorrect or contact your J.P.Morgan team if you require additional information about a transaction on your statement(s).

**IMPORTANT ADDITIONAL INFORMATION APPLICABLE ONLY TO YOUR ASSET ACCOUNT(S)
(LINKED TO JPMS)**

Your Asset Account consists of a bank account that custodies assets linked to a brokerage account through which securities transactions are executed. As a result, the Asset Account statement(s) reflect brokerage transactions executed through JPMS but (except for exchange listed options) held in custody at JPMCB. Securities purchased or sold through JPMS in U.S. markets (other than mutual funds) are cleared through an affiliate of JPMS, in non-U.S. markets securities are cleared through JPMS. Positions in exchange-listed options are held by JPMCC. For your convenience, however, positions in exchange-listed options are presented in Asset Account statement(s) together with other assets held in such account(s). All pertinent information about your settled and pending purchases and sales effected through your JPMS account during the period covered by these statement(s), is summarized in the "Trade Activity" portion of the statement(s).

In Case of Other Errors or Questions About Your Asset Account Statement(s)
Please review your statement(s) and promptly report any inaccuracy or discrepancy including possible unauthorized trading activity, unrecorded dividend payments, unaccounted cash positions,

improper payments or transfers in writing to both the introducing broker, JPMS and the clearing firm, JPMCC at the addresses shown on your statement(s). Any oral communication should be re-confirmed in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA). If you have any questions please contact your J.P.Morgan team.

In your written communication, please provide the following information: (1) your name and account number; (2) the dollar amount of the suspected error; and (3) a description of the error and explanation, if you can, why you believe there is an error. If you need more information, you must describe the item you are unsure about. We must receive your written communication no later than 30 days after the statement on which the error or problem appeared is sent or made available. If you do not so notify us, you agree that the statement activity and account balance(s) are correct.

JPMCC and JPMS are members of the Securities Investor Protection Corp ("SIPC"), a not-for-profit membership corporation funded by broker-dealers registered with the Securities and Exchange Commission. Securities and cash held for a customer at JPMCC are protected by SIPC up to \$500,000 per customer, which includes up to \$250,000 of protection for cash. SIPC does not protect against losses from fluctuations in the value of the securities. Assets held in custody by JPMCB are not subject to SIPC. You may obtain information about SIPC, including the SIPC Brochure, on their website, at "www.sipc.org" or by contacting them at (202) 371-8300.

In Case of Errors or Questions About Your Electronic Transfers.

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For the Period 12/1/13 to 12/31/13

Contact your J.P. Morgan Team at one of the telephone numbers on the front of your statements or write us at J.P. Morgan, 500 Stanton Christiana Road, 1/OPS3, Newark, DE 19713-2107 as soon as you can, if you think your statement is wrong or if you need more information about a transfer on the statement. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared. (1) Tell us your name and account number. (2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information. (3) Tell us the dollar amount of the suspected error. We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

In Case of Errors or Questions About Non-Electronic Transfers (Checks or Deposits)

Contact JPMorgan Chase Bank, N.A. ("JPMCB") Member FDIC immediately if a statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing as soon as possible after your statement was made available to you. For more complete details, see the applicable account agreements and appendices that govern your account.

Deposit products and services are offered by JPMCB Member FDIC.

You must promptly advise your J.P.Morgan representative of material changes in your investment objectives or financial situation or if you wish to modify the management of your account. Unless you inform otherwise, your J.P.Morgan representative will consider the information currently in its files to be complete and accurate.

IMPORTANT ADDITIONAL INFORMATION APPLICABLE ONLY TO YOUR INVESTMENT MANAGEMENT ACCOUNT(S) WHICH REFLECT ASSETS HELD AT JPMORGAN CHASE BANK, N.A.

In case of other errors or questions about other account statement(s) Please review your account statement(s) and promptly report any inaccuracy or discrepancy including possible unauthorized trading activity, unrecorded dividend payments, unaccounted cash positions, improper payments or transfers in writing to JPMCB at the addresses shown on your statement(s). Any oral communication should be re-confirmed in writing to further protect your rights. If you have any questions please contact your J.P. Morgan team.

In your written communication, please provide the following information: (1) your name and account number; (2) the dollar amount of the suspected error; and (3) a description of the error and explanation, if you can, why you believe there is an error. If you need more information, you must describe the item you are unsure about. We must receive your written communication no later than 30 days after the statement on which the error or problem appeared is sent or made available. If you do not so notify us, you agree that the statement activity and account balance(s) are correct.

You must promptly advise your J.P.Morgan representative of material changes in your investment objectives or financial situation or if you wish to modify the management of your account. Unless you inform otherwise, your J.P.Morgan representative will consider the information currently in its files to be complete and accurate.

With reference to JPMS and JPMCC: A financial statement of this organization is available to you for personal inspection at its offices, or a copy will be mailed to you upon written request.

You should have received (or have made available to you) separate confirmations for each securities transaction. All transactions are subject to the terms and conditions stated on the reverse side of such confirmations and are subject to the constitution, by-laws, customs and interpretations of the marketplace where executed and governed by and construed in accordance with the laws of the State of New York and all applicable federal laws and regulations. Further information with respect to commissions and other charges related to the execution of transactions, including options transactions, has been included in confirmations that were previously furnished or have made available to you. Upon written request, JPMS will promptly supply you with the latest information.

IMPORTANT ADDITIONAL INFORMATION APPLICABLE ONLY TO YOUR MARGIN ACCOUNT(S)

All positions in your Margin Account Portfolio(s) are held in custody at J.P. Morgan Clearing Corp. ("JPMCC"), Three Chase Metrotech Center, Brooklyn, NY 11245-001, (347) 643-2578.

If you have a margin account as permitted by law we may use certain securities in such account for, among other things, settling short sales and lending the securities for short sales, and as a result may receive compensation in connection therewith.

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Please keep the following in mind when using a statement to track your brokerage activity in a margin account: The statement combines your general margin account with the special memorandum account required by Section 220.6 Regulation T. As required by Regulation T, a permanent record of your separate account is available upon your request. Free credit balances in your margin account(s) are not segregated and may be used in the operation of JPMCCs business, subject to the limitations of SEC Rule 15c3-3. Unless otherwise noted, JPMCC or its agents and depositories will hold your securities. Upon your demand, JPMCC will pay to you the amount of your free credit balance, and will deliver to you fully-paid securities held on your behalf.

Interest will be charged on any debit balance; the method of calculating interest is described in a letter sent to all margin customers.

You must promptly advise your J.P.Morgan representative of material changes in your investment objectives or financial situation or if you wish to modify the management of your account. Unless you inform otherwise, your J.P.Morgan representative will consider the information currently in its files to be complete and accurate.

PRODUCT RELATED DISCLOSURE: IMPORTANT INFORMATION ABOUT ALTERNATIVE INVESTMENTS: FOOTNOTES 1 - 4

Private Equity, Hedge Funds, Exchange Funds, Real Estate and Other Alternative Assets

1. Direct private equity investments and pooled private investments (e.g., interests in limited partnerships and limited liability companies) are generally illiquid securities. Values are estimates only and are not warranted for accuracy or completeness. Values do not represent the actual terms at which transactions or securities could be bought or sold or new transactions could be entered into or the actual terms on which existing transactions could be liquidated as of the date of this statement.

Direct private equity investments are generally valued at cost, unless there is an active secondary trading market in the securities, in which case, direct private equity investments are valued using market

prices as of the close of the last business day for this statement period.

Pooled private equity investments are valued according to the General Partner's or Manager's stated methodology. Please refer

to the limited partnership agreement, limited liability company agreement or other operative documentation for each investment for the specific valuation methodology used by the General Partner or

Manager for that investment or for your Investment Management or Trust account contact your J.P. Morgan team. J.P. Morgan expressly disclaims any responsibility for (1) the accuracy of the

information and the methodology used by the General Partner or Manager in deriving valuations, (2) any errors or omissions in compiling or disseminating the valuations, and (3) any use to which the valuations are put. Valuations are provided for information purposes only and are intended solely for your own use.

Hedge funds generally calculate the price (the "Net Asset Value" or "NAV"), 10-15 business days following the last business day of the month. For that reason, hedge fund NAVs shown will generally be

the NAV of the month preceding this statement period. In general, the NAV is stated net of management and incentive fees. The NAV is calculated according to the General Partner's or Manager's stated methodology. Please refer to the limited partnership agreement, limited liability company agreement or other operative documentation for each hedge fund for the specific methodology used by the General Partner or Manager for that hedge fund or for your Investment Management or Trust account contact your J.P. Morgan team. J.P. Morgan expressly disclaims any responsibility for (1) the accuracy of the information and the methodology used by the General Partner or Manager in deriving valuations, (2) any errors or omissions in compiling or disseminating the valuations, and (3) any use to which the valuations are put. Valuations are provided for information purposes only and are intended solely for your own use.

These statements do not provide tax reporting on private investments. Please continue to rely upon the General Partner or Manager of the investment vehicle for this information or for your Investment Management or Trust account contact your J.P. Morgan team.

2. The 'Capital Called Since Inception USD' and 'Cash/Security distributions Since Inception USD' columns are updated monthly to reflect activity (capital calls and distributions), if any.

3. Hedge funds generally allow subscriptions on a monthly or quarterly basis. An interest in a hedge fund or hedge fund of funds is generally as of the first business day of the subscription period. Due to early funding requirements by the applicable fund, your account may be debited for the subscription amount prior to the subscription date. Please refer to the applicable hedge fund's operative documentation for further details or for your Investment Management or Trust account contact your J.P. Morgan team.

Hedge fund redemptions are generally on a monthly, quarterly or annual basis but can sometimes be subject to a multi-year lockup before a redemption is permitted. For redemptions, there is generally a notification period that can be a long interval before the actual redemption date. Redemption proceeds are generally paid 15 calendar days after the final NAV is issued, but can be subject to a holdback of a portion of the proceeds until an annual audit of the Fund has been completed. Please refer to the applicable hedge fund's operative documentation for further details or for your Investment Management or Trust account contact your J.P. Morgan team.

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Global Access Portfolios share certain characteristics with hedge funds. In particular, an investor who withdraws from a Global Access Portfolio will not receive the withdrawal proceeds in their entirety until 30-60 days after completion of the Portfolio's audit, which may be 18 months or longer after the redemption date. Interest might not be paid on any proceeds pending distribution. These restrictions apply even if the investor's account at JPMorgan is closed. The Confidential Private Placement Memorandum and applicable Supplements contain other important information about the Global Access Portfolios and are available upon request or for your Investment Management or Trust account contact your J.P. Morgan team.

4. Alternative assets may include publicly available mutual funds that utilize non-traditional investment management strategies, for example, strategies commonly employed by hedge funds. Mutual funds generally calculate the price (the "Net Asset Value" or "NAV") on a daily basis and mutual fund NAVs shown on your statement generally will be the NAV as of the close of the last business day for this statement period. Please refer to the applicable mutual fund prospectus for further details. If the applicable mutual fund is a JPMorgan Fund, please refer to disclosures on this statement concerning JPMorgan Funds for other important information.

PRODUCT RELATED DISCLOSURE: THE JPMORGAN FUNDS OR THIRD PARTIES

Shares of the funds referenced above are not bank deposits and are not guaranteed by any bank, government entity, or the FDIC. Return and share price will fluctuate and redemption value may be more or less than original cost. While the money market funds seek to maintain a stable net asset value of \$1.00 per share, there is no assurance that they will continue to do so. The estimated annual income and dividend yield figures for mutual funds represent the funds' most recent income dividend annualized.

Prospectuses and other fund information for JPMorgan funds may be obtained by calling your J.P. Morgan team or JPMorgan Distribution Services, Inc. at (800) 480-4111. You also may view and

order materials online for JPMorgan funds at www.jpmorganfunds.com.

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