

GHISLAINE MAXWELL  
1000 VENETIAN WAY APT 801  
MIAMI FL 33139-1043



For the Period 1/1/14 to 1/31/14

Account Summary

Account

Number

Investment Account(s)

GHISLAINE MAXWELL

GHISLAINE MAXWELL

GHISLAINE MAXWELL - OAP IHD

GHISLAINE MAXWELL - TAP DYS

GHISLAINE MAXWELL - TAP ARFI

Total Value



-

Beginning Net

Market Value

5,259,275.95

430.00

1,967,979.33

1,036,516.92

1,003,477.16

\$9,267,679.36

Ending Net

Market Value

5,246,357.70

430.00

1,851,554.52

1,036,361.25

1,004,799.02

\$9,139,502.49

This account summary is provided for informational purposes and includes assets at different entities.

(1) Assets held at JPMorgan Chase Bank, N.A., member Federal Deposit Insurance Corporation ("FDIC"), except for exchange-listed options, which are held at JPMorgan Clearing Corporation ("JPMCC"). The Asset Account Statement reflects brokerage transactions executed through J.P. Morgan Securities LLC ("JPMS"), see "Portfolio Activity Detail". Equity securities, fixed income securities, and listed options transactions are generally cleared through JPMCC, a wholly owned subsidiary of JPMS. Please see "Additional Information About Your Accounts" at the end of the Asset Account Statement.

(2) Assets held in Margin Account at JPMCC, member Financial Regulatory Authority ("FINRA") and Securities Insurance Protection Corporation ("SIPC"). The Margin Account Statement reflects brokerage transactions executed by JPMS, see "Portfolio Activity Detail". Such transactions are cleared and carried through JPMCC. Please see disclosures located at the end of this statement package for important information relating to each J.P.Morgan account(s).

Change  
In Value  
(12,918.25)  
0.00  
(116,424.81)  
(155.67)  
1,321.86  
(\$128,176.87)

Start on

Page

4

34

38

52

66

Client News

2013 Year-End Tax 1099's

You will be able to view your 2013 Form 1099 online beginning February 21, 2014. Unless you have selected edelivery, you should expect to receive them via mail shortly thereafter. You may contact your J.P. Morgan Team with any questions.

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Consolidated Statement Page 1

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For the Period 1/1/14 to 1/31/14

Consolidated Summary

INVESTMENT ACCOUNTS

Asset Allocation

Equity

Alternative Assets

Cash & Fixed Income

Other

Market Value

Accruals

Market Value with Accruals

Beginning

Market Value

3,236,068.58

691,675.62

5,164,464.07

162,555.11

\$9,254,763.38

12,915.98

\$9,267,679.36

Ending

Market Value

3,119,029.47

723,324.88

5,120,049.43

162,777.00

\$9,125,180.78

14,321.71

\$9,139,502.49

Change

In Value

(117,039.11)

31,649.26

(44,414.64)

221.89

(\$129,582.60)

1,405.73

(\$128,176.87)

Equity

\$192,469.84

Estimated

116,166.95

15,605.55

60,697.34

Current

Annual Income Allocation

34%

8%

56%

2%

100%

Alternative  
Assets  
Asset Allocation  
Other  
Cash &  
Fixed Income  
Current  
Portfolio Activity  
Beginning Market Value  
Net Contributions/Withdrawals  
Income & Distributions  
Change in Investment Value  
Ending Market Value

Accruals  
Market Value with Accruals

Period Value  
9,254,763.38  
(8,921.91)  
16,531.53  
(137,192.22)  
\$9,125,180.78  
14,321.71  
\$9,139,502.49

Year-to-Date  
Value  
9,254,763.38  
(8,921.91)  
16,531.53  
(137,192.22)  
\$9,125,180.78  
14,321.71  
\$9,139,502.49

This Consolidated Summary shows all of your investments at J.P. Morgan other than investments we hold in trust for you. These investments may be held in custody or investment

management account at JPMorgan Chase Bank, N.A. (the "Bank") or in a brokerage or margin account at J.P. Morgan Clearing Corp. ("JPMCC").

Brokerage and margin accounts are non-discretionary and all investment decisions are made by the client. J.P. Morgan Securities LLC ("JPMS") does not provide advice on asset allocation or investment management

services, nor do its personnel take discretion over any client accounts. Such advice and services are provided exclusively by the Bank.

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For the Period 1/1/14 to 1/31/14  
Consolidated Summary  
INVESTMENT ACCOUNT(S) YEAR-TO-DATE  
Portfolio Activity

GHISLAINE MAXWELL  
GHISLAINE MAXWELL  
GHISLAINE MAXWELL - OAP IHD  
GHISLAINE MAXWELL - TAP DYS  
GHISLAINE MAXWELL - TAP ARFI

Total Value

Tax Summary

GHISLAINE MAXWELL  
GHISLAINE MAXWELL - OAP IHD  
GHISLAINE MAXWELL - TAP DYS  
GHISLAINE MAXWELL - TAP ARFI

Total Value

Account

Number



Account

Number



Beginning  
Market Value  
5,251,123.87  
430.00  
1,964,165.75  
1,036,257.60  
1,002,786.16  
\$9,254,763.38

Taxable

Income

8,416.25  
2,430.74  
2,563.29  
2,428.61  
\$15,838.89  
(5,855.42)  
(1,872.22)  
(1,194.27)  
(\$8,921.91)

Tax-Exempt

Income

692.64

9,150.32

400.50

\$692.64

<sup>1</sup>Unrealized Gain/Loss represents data from the time of account inception to the current statement period.

(1,968.26)

\$7,582.56

Other Income

& Receipts

2,430.74

2,563.29

2,428.61

\$16,531.53

(112,802.15)

(746.72)

422.86

(\$137,192.22)

Realized Gain/Loss

Short-term

Long-term

Net Contributions/

Withdrawals

Income &

Distributions

9,108.89

Change in

Investment Value

(24,066.21)

Ending Market Value

with Accruals

5,246,357.70

430.00

1,851,554.52

1,036,361.25

1,004,799.02

\$9,139,502.49

Unrealized

Gain/Loss<sup>1</sup>

77,686.30

81,160.12

8,364.12

(7,966.75)

\$159,243.79

CONTINUED

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JPMorgan Chase Bank, N.A.  
GHISLAINE MAXWELL  
270 Park Avenue, New York, NY 10017-2014  
ACCT. Q52167006  
For the Period 1/1/14 to 1/31/14

Asset Account  
J.P. Morgan Team  
James Buckley  
Jason Bell  
Janet Young  
Gina Magliocco  
Jason Grosse  
Online access  
Banker

Investment Specialist  
Client Service Team  
Client Service Team  
Client Service Team  
[www.jpmorganonline.com](http://www.jpmorganonline.com)

Please see disclosures located at the end of this statement package for important information relating to each J.P.Morgan account(s).

212/464-1536

212/464-2084

800/634-1318

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Account Summary

Holdings

Equity

Alternative Assets

Cash & Fixed Income

Other Assets

Portfolio Activity

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GHISLAINE MAXWELL

Account Summary

Asset Allocation

Equity

Alternative Assets

Cash & Fixed Income

Other

Market Value

Accruals

Market Value with Accruals

Beginning

Market Value

1,133,131.66

57,276.79

3,898,160.31

162,555.11

\$5,251,123.87

8,152.08

\$5,259,275.95

Ending

Market Value

1,117,026.41

59,229.07

3,897,134.07

162,777.00

\$5,236,166.55

10,191.15

\$5,246,357.70

Current

Portfolio Activity

Beginning Market Value

Withdrawals & Fees

Securities Transferred In

Securities Transferred Out

Net Contributions/Withdrawals

Income & Distributions

Change In Investment Value

Ending Market Value

Accruals

Market Value with Accruals

Period Value

5,251,123.87

(229.45)

1,237,066.20

(1,236,836.75)

\$0.00

9,108.89

(24,066.21)

\$5,236,166.55

10,191.15

\$5,246,357.70

Change  
In Value  
(16,105.25)  
1,952.28  
(1,026.24)  
221.89  
(\$14,957.32)  
2,039.07  
(\$12,918.25)  
Year-to-Date  
Value  
5,251,123.87  
(229.45)  
1,237,066.20  
(1,236,836.75)  
\$0.00  
9,108.89  
(24,066.21)  
\$5,236,166.55  
10,191.15  
\$5,246,357.70  
Estimated  
50,043.72  
21,151.37  
\$71,195.09  
Current  
Annual Income Allocation  
22%  
1%  
74%  
3%  
100%  
Cash &  
Fixed Income  
Equity  
Other  
Alternative  
Assets  
Asset Allocation  
ACCT. [REDACTED]  
For the Period 1/1/14 to 1/31/14  
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GHISLAINE MAXWELL

Account Summary

Tax Summary

Domestic Dividends/Distributions

Foreign Dividends

Interest Income

Taxable Income

Tax-Exempt Income

Bond Premium Amortization

Tax-Exempt Income

CONTINUED

Current

Period Value

1,465.47

729.33

6,221.45

\$8,416.25

1,250.00

(557.36)

\$692.64

Year-to-Date

Value

1,465.47

729.33

6,221.45

\$8,416.25

1,250.00

(557.36)

\$692.64

Unrealized Gain/Loss

ACCT. Q52167006

For the Period 1/1/14 to 1/31/14

To-Date Value

\$77,686.30

Note: \* This summary is for informational purposes only and is not to be used for any financial or tax purposes. This summary does not include securities which are taxable at the federal level but are state exempt. This summary may not include all income derived from Municipal Securities; Please consult your tax advisor for tax implications.

<sup>1</sup> Other category combines all the remaining Tax Exempt Income generated by securities in the account which may not have a state affiliation.

Tax-Exempt Income

Summary by State\*

MA

Current

Period Value

692.64

Year-to-Date

Value

692.64

Tax-Exempt Income  
Summary by State\*  
Tax-Exempt Income  
Current

Period Value

\$692.64

Year-to-Date

Value

\$692.64

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GHISLAINE MAXWELL ACCT [REDACTED]

For the Period 1/1/14 to 1/31/14

Account Summary

Cost Summary

Equity

Cash & Fixed Income

Other

Total

CONTINUED

Cost

1,034,682.24

3,888,990.50

92,027.29

\$5,015,700.03

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GHISLAINE MAXWELL

Equity Summary

Asset Categories

US Large Cap Equity

US Small/Mid Cap Equity

Non-US Equity

Preferred Stocks

Concentrated & Other Equity

Total Value

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Accrued Dividends

Yield

Beginning

Market Value

511,226.25

14,220.00

169.41

442,270.00

165,246.00

\$1,133,131.66

Ending

Market Value

495,228.99

15,060.00

159.92

440,937.00

165,640.50

\$1,117,026.41

Current

Period Value

1,117,026.41

1,034,682.24

82,344.17

50,043.72

4,855.43

4.47%

Preferred Stocks

Equity as a percentage of your portfolio - 22 %

Change

In Value

(15,997.26)

840.00

(9.49)

(1,333.00)

394.50

(\$16,105.25)

Current

Allocation

9%

1%

1%

8%

3%

22%

US Large Cap Equity

US Small/Mid Cap Equity

Non-US Equity

Concentrated & Other Equity

Asset Categories

ACCT. Q52167006

For the Period 1/1/14 to 1/31/14

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GHISLAINE MAXWELL

ACCT. ██████████

For the Period 1/1/14 to 1/31/14

Equity Detail

Price

US Large Cap Equity

APACHE CORP

037411-10-5 APA

BANK OF AMERICA CORP

CITIGROUP INC NEW

GENERAL MOTORS CO

SCHLUMBERGER LTD

SPDR S&P 500 ETF TRUST

TWITTER INC

060505-10-4 BAC

47.43

172967-42-4 C

36.08

37045V-10-0 GM

87.57

806857-10-8 SLB

178.18

78462F-10-3 SPY

64.50

90184L-10-2 TWTR

Total US Large Cap Equity

\$495,228.99

\$435,852.56

\$59,376.43

\$6,966.22

\$22.58

US Small/Mid Cap Equity

GERON CORP

374163-10-3 GERN

1.41%

2,000.000

129,000.00

90,320.00

38,680.00

1,495.000

266,379.10

250,407.85

15,971.25

5,009.74

1.88%

113.000

9,895.41

9,524.04

371.37

180.80

1.83%

1,373.000  
49,537.84  
50,000.00  
(462.16)  
358.000  
16,979.94  
14,048.52  
2,931.42  
14.32  
3.58  
1,647.60  
0.08%  
3.33%  
16.75  
944.000  
15,812.00  
10,148.85  
5,663.15  
Quantity  
Value  
Adjusted Tax Cost  
Original Cost  
Unrealized  
Gain/Loss  
Est. Annual Inc.  
Accrued Div.  
Yield  
80.26  
95.000  
7,624.70  
11,403.30  
(3,778.60)  
76.00  
19.00  
37.76  
1.00%  
0.24%  
5.02  
3,000.000  
15,060.00  
18,129.32  
(3,069.32)

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 1/1/14 to 1/31/14

Price

Non-US Equity

RIO TINTO PLC

ISIN GB0007188757 SEDOL 0718875

766994-90-9 BP

Preferred Stocks

CITIGROUP CAPITAL XIII

7 7/8% PFD

173080-20-1 C PN NA /BA1

COUNTRYWIDE CAPITAL V

7% PFD

222388-20-9 CFC PB

JPM CHASE CAPITAL XXIX

6.7% PFD

48125E-20-7 JPM PC

Total Preferred Stocks

25.71

2,500.000

64,275.00

\$440,937.00

62,500.00

\$422,578.96

1,775.00

\$18,358.04

4,187.50

\$31,227.50

\$1,837.50

Concentrated & Other Equity

JPMORGAN CHASE & CO

VAR RT PFD STK 04/30/2049

DTD 04/23/2008

46625H-HA-1 BBB /BA1

6.51%

7.08%

25.11

4,200.000

105,462.00

98,778.96

6,683.04

7,350.00

1,837.50

6.97%

Quantity

Value

Adjusted Tax Cost

Original Cost

Unrealized

Gain/Loss

Est. Annual Inc.

Accrued Div.

Yield

53.31

3.000

159.92

212.23

(52.31)

27.12

10,000.000

271,200.00

261,300.00

9,900.00

19,690.00

7.26%

110.43

150,000.000

165,640.50

157,909.17

7,731.33

11,850.00

2,995.35

7.09%

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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 1/1/14 to 1/31/14

Alternative Assets Summary

Beginning

Asset Categories

Hard Assets

Estimated Value

57,276.79

Alternative Assets Detail

Price

Hard Assets

ETFS METAL SECURITIES LTD

ISIN JE00B1VS3770 SEDOL B1VS377

131994-91-5

SPDR GOLD TRUST

Total Hard Assets

78463V-10-7 GLD

\$59,229.07

\$76,999.78

120.09

190.000

22,817.10

31,931.40

120.97

301.000

36,411.97

45,068.38

Quantity

Estimated

Value

Cost

Est. Annual Income

Accrued Income

Ending

Estimated Value

59,229.07

Change

In Value

1,952.28

Current

Allocation

1%

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Consolidated Statement Page 11

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 1/1/14 to 1/31/14

Cash & Fixed Income Summary

Beginning

Asset Categories

Cash

Non-USD Cash

Non-USD Short Term

US Fixed Income

Non-US Fixed Income

Total Value

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Accrued Interest

Yield

Market Value

2,789,951.38

919.76

315,070.53

629,040.76

163,177.88

\$3,898,160.31

Ending

Market Value

2,798,694.16

1,605.21

302,857.94

629,761.77

164,214.99

\$3,897,134.07

Current

Period Value

3,897,134.07

3,888,990.50

8,143.57

21,151.37

5,335.72

0.41%

Change

In Value

8,742.78

685.45

(12,212.59)

721.01

1,037.11

(\$1,026.24)

Current

Allocation

52%

1%

6%

12%

3%

74%

Non-US Fixed Income

Non-USD Cash

Non-USD Short Term

Cash

Asset Categories

US Fixed Income

Cash & Fixed Income as a percentage of your portfolio - 74 %

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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 1/1/14 to 1/31/14

SUMMARY BY MATURITY

1

Cash & Fixed Income

0-6 months

1-5 years<sup>1</sup>

10+ years<sup>1</sup>

Total Value

1

SUMMARY BY TYPE

Market

Value

3,370,548.07

310,146.50

216,439.50

\$3,897,134.07

% of Bond

Portfolio

88%

7%

5%

100%

The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

Note:

A - Bonds purchased at a premium show amortization.

1

Cash & Fixed Income Detail

Price

Cash

US DOLLAR

JPM NY MUNI MMKT FD - MORGAN

FUND 3

7-Day Annualized Yield: .01%

Total Cash

1.00

1.00

2,798,693.16

1.00

2,798,693.16

1.00

\$2,798,694.16

2,798,693.16

1.00

\$2,798,694.16

\$0.00

\$279.86

\$23.27

0.01%

279.86  
23.27  
0.01% <sup>1</sup>  
Quantity  
Value  
Adjusted Tax Cost  
Original Cost  
Unrealized  
Gain/Loss  
Est. Annual Income  
Accrued Interest  
Yield  
Cash & Fixed Income  
Cash  
NON USD Cash  
Corporate Bonds  
Municipal Bonds  
International Bonds  
Mutual Funds  
Total Value  
Market  
Value  
2,798,694.16  
1,605.21  
310,146.50  
216,439.50  
548,252.19  
21,996.51  
\$3,897,134.07  
% of Bond  
Portfolio  
72%  
1%  
7%  
5%  
14%  
1%  
100%

This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account.

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GHISLAINE MAXWELL ACCT. [REDACTED]  
 For the Period 1/1/14 to 1/31/14  
 Price  
 Non-USD Cash  
 POUND STERLING  
 Non-USD Short Term  
 JP MORGAN CHASE BANK - CAD  
 LONDON TIME DEPOSITS 0.6200%  
 DATED 01/29/2014 MATURITY 02/05/2014  
 HELD BY LONDON TREASURY SERVICES  
 Bearer  
 2538N9-9B-0 AD  
 JP MORGAN CHASE BANK - NOK  
 LONDON TIME DEPOSITS 0.8500%  
 DATED 01/30/2014 MATURITY 02/06/2014  
 HELD BY LONDON TREASURY SERVICES  
 Bearer  
 253809-9B-9 OK  
 Total Non-USD Short Term  
 \$302,857.94  
 \$324,667.54  
 (\$21,809.60)  
 \$2,333.96  
 \$14.65  
 US Fixed Income  
 JPM MANAGED RESERVES FD - GBP-C-DIST  
 ISIN LU0539309053  
 051398-91-5 BP  
 ISHARES GBP CORPORATE BOND UCITS ETF  
 ISIN IE00B00FV011 SEDOL B00FV01  
 461278-91-3 BP  
 209.49  
 105.00  
 21,996.51  
 19,023.08  
 2,973.43  
 10,492.34  
 7.74  
 81,179.26  
 81,082.31  
 96.95  
 0.80%  
 15.90  
 1,247,737.49  
 198,376.32  
 210,593.58  
 (12,217.26)  
 1,686.19  
 9.32  
 0.89%  
 Quantity

Value  
Adjusted Tax Cost  
Original Cost  
Unrealized  
Gain/Loss  
Est. Annual Income  
Accrued Interest  
Yield

1.64  
976.73  
1,605.21  
1,609.84  
(4.63)  
89.69  
116,491.78  
104,481.62  
114,073.96  
(9,592.34)  
647.77  
5.33  
0.63%

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GHISLAINE MAXWELL

ACCT. ██████████

For the Period 1/1/14 to 1/31/14

Price

US Fixed Income

HSBC FINANCE CORP

MEDIUM TERM NOTE 2.9% OCT 15 2015

DTD 10/15/2010

40429X-YB-6 A /BAA

GENERAL ELEC CAP CORP

MEDIUM TERM FLOATING RATE NOTE

MAY 11 2016 DTD 05/11/2007

36962G-2V-5 AA+ /A1

BANK OF AMERICA CORP

MEDIUM TERM NOTE

3.6% NOV 15 2016

DTD 11/04/2010

06050W-DW-2 A- /BAA

A MI ST MUNI BOND AUTH

ST CLEAN WTR REVOLVING FD 5%

OCT 01 2026 DTD 11/02/2006

HELD BY DTC BOOK ENTRY ONLY

PAR CALL 10/01/2016 @ 100

59455T-PF-3 AAA /AAA

A BIRDVILLE TEXAS INDEPENDENT SCHOOL

DIST PERM SCH GTD FUND UNLIMITED TAX

5% FEB 15 2029 DTD 01/15/2007

HELD BY DTC BOOK ENTRY ONLY

PAR CALL 02/15/2017 @ 100

090874-FV-5 AAA /AAA

108.97

100,000.00

108,968.00

106,275.86

110,503.00

2,692.14

5,000.00

2,305.50

4.19%

109.70

50,000.00

54,851.50

53,071.32

55,424.00

1,780.18

2,500.00

833.30

4.01%

104.96

150,000.00

157,441.50

150,000.00

7,441.50

5,400.00

1,140.00

1.77%

99.92

50,000.00

49,960.00

45,394.50

4,565.50

237.55

54.10

0.51%

Quantity

Value

Adjusted Tax Cost

Original Cost

Unrealized

Gain/Loss

Est. Annual Income

Accrued Interest

Yield

102.75

100,000.00

102,745.00

100,000.00

2,745.00

2,900.00

853.80

1.27%

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Consolidated Statement Page 15

GHISLAINE MAXWELL

ACCT. [REDACTED]

For the Period 1/1/14 to 1/31/14

Price

US Fixed Income

A MA ST HLTH & EDUCTNL FACS AUTH

REVENUE HARVARD UNIV 5% B

JUL 15 2032 DTD 08/03/2005

HELD BY DTC BOOK ENTRY ONLY

PAR CALL 07/15/2015 @ 100

57586C-FW-0 AAA /AAA

Total US Fixed Income

\$629,761.77

\$606,513.64

\$615,466.89

Non-US Fixed Income

BLUEBAY HIGH YIELD BD FD GBP HDG-DB

ISIN LU0222772518

G13289-92-4 BP

ISHARES GBP INDEX-LINKED GILTS

UCITS ETF

ISIN IE00B1FZSD53 SEDOL B1G52V0

46578W-92-2 BP

Total Non-US Fixed Income

\$164,214.99

\$157,505.32

\$6,709.67

\$0.00

0.00%

21.47

5,790.00

124,320.99

118,212.32

6,108.67

212.32

187.90

39,894.00

39,293.00

601.00

\$23,248.13

\$18,537.55

\$5,297.80

2.15%

105.24

50,000.00

52,620.00

51,666.57

54,040.00

953.43

2,500.00

111.10

4.58%

Quantity

Value

Adjusted Tax Cost

Original Cost

Unrealized

Gain/Loss

Est. Annual Income

Accrued Interest

Yield

Account XXXXXXXXXX Page 13 of 30

Consolidated Statement Page 16

GHISLAINE MAXWELL ACCT [REDACTED]

For the Period 1/1/14 to 1/31/14

Other Summary

Asset Categories

Balanced

Beginning

Estimated Value

162,555.11

Ending

Estimated Value

162,777.00

Change

In Value

221.89

Current

Allocation

3%

Current

Market Value/Cost

Estimated Value

Tax Cost

Estimated Gain/Loss

Note:

\*\*

Period Value

162,777.00

92,027.29

4,969.27

Unrealized Gain/Loss is shown as "N/A" in cases where we do not have appropriate cost information for all tax lots comprising the position. Please contact your J.P. Morgan team for additional information.

Other Detail

Price

Balanced

JPM INC OPP FD - GBP - A - DIST(HDG)

ISIN LU0323456201

287991-93-9 BP

149.78

450.272

67,443.55

1,663.11 \*\*

N/A

Quantity

Value

Adjusted Cost

Original Cost

Unrealized

Gain/Loss

Accruals

Account [REDACTED] Page 14 of 30



GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 1/1/14 to 1/31/14  
Price  
Balanced  
PIMCO FUNDS GLOBAL INVESTORS SERIES  
- GLOBAL INVESTMENT GRADE CREDIT  
FUND  
ISIN IE00B3BMD843 SEDOL B3BMD84  
695421-91-7 BP  
Total Balanced  
\$162,777.00  
\$92,027.29  
\$4,969.27  
21.86  
4,361.513  
95,333.45  
90,364.18  
4,969.27  
Quantity  
Value  
Adjusted Cost  
Original Cost  
Unrealized  
Gain/Loss  
Accruals  
Account [REDACTED] Page 15 of 30  
Consolidated Statement Page 18

GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 1/1/14 to 1/31/14  
Portfolio Activity Summary - U S Dollar  
Beginning Cash Balance

PRINCIPAL  
Current  
Transactions  
INFLOWS  
Total Inflows  
Income  
Ending Cash Balance

Period Value  
2,789,950.38  
8,742.78  
\$8,742.78  
\$2,798,693.16

Year-To-Date  
Value\*

-8,742.78  
\$8,742.78

-INCOME

Current

Period

Value

0.00

Year-To-Date

Value\*

-\$0.00

\$0.00

\$0.00

-Current

Cost

Adjustments

Amortization

Total Cost Adjustments

Period Value

(557.36)

(\$557.36)

\* Year to date information is calculated on a calendar year basis.

Your account's standing instructions use a HIGH COST method for relieving  
assets

from your position

Year-To-Date

Value\*

(557.36)

(\$557.36)

Account [REDACTED] Page 16 of 30

Consolidated Statement Page 19

GHISLAINE MAXWELL ACCT. [REDACTED] 5

For the Period 1/1/14 to 1/31/14

Portfolio Activity Detail - U S Dollar  
INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

1/2

1/2

1/10

1/15

Interest Income

Interest Income

Foreign Dividend

Municipal Interest

Description

DEPOSIT SWEEP INTEREST FOR 12/01/13 - 12/31/13

@ .01% RATE ON AVG COLLECTED BALANCE OF

\$2,789,905.59 AS OF 01/01/14

JPM CHASE CAPITAL XXIX 6.7% PFD @ 0.41875 PER  
SHARE (ID: 48125E-20-7)

SCHLUMBERGER LTD @ 0.3125 PER SHARE

(ID: 806857-10-8)

MA ST HLTH & EDUCNTL FACS AUTH REVENUE HARVARD

UNIV 5% B JUL 15 2032 DTD 08/03/2005 HELD BY

DTC BOOK ENTRY ONLY PAR CALL 07/15/2015 @ 100

(ID: 57586C-FW-0)

1/30

1/31

Interest Income

Div Domestic

Total Inflows & Outflows

CITIGROUP CAPITAL XIII 7 7/8% PFD @ 0.492187  
PER SHARE (ID: 173080-20-1)

SPDR S&P 500 ETF TRUST @ 0.98025 PER SHARE

(ID: 78462F-10-3)

10,000.000

1,495.000

0.492

0.98

4,921.87

1,465.47

\$8,742.78

2,500.000

113.000

50,000.000

0.419

0.312

0.025

1,046.88

35.31

1,250.00

Quantity  
Cost  
Per Unit  
Amount  
PRINCIPAL  
Amount  
23.25  
INCOME  
Amount

Account  Page 17 of 30  
Consolidated Statement Page 20

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 1/1/14 to 1/31/14

COST ADJUSTMENTS

Settle Date

1/15

Type

Amortization

Description

MA ST HLTH & EDUCTNL FACS AUTH REVENUE HARVARD

UNIV 5% B JUL 15 2032 DTD 08/03/2005 HELD BY

DTC BOOK ENTRY ONLY PAR CALL 07/15/2015 @ 100

MUNICIPAL BOND PREMIUM AMORTIZATIO

(ID: 57586C-FW-0)

Quantity

50,000.000

Cost Basis

Adjustments

(557.36)

Account [REDACTED] Page 18 of 30

Consolidated Statement Page 21

GHISLAINE MAXWELL ACCT. ██████████  
For the Period 1/1/14 to 1/31/14  
Portfolio Activity Summary - Canadian Dollar  
PRINCIPAL

US Dollar Value  
Current  
Transactions  
Beginning Cash Balance  
INFLOWS  
Total Inflows  
Income  
OUTFLOWS \*\*  
Total Outflows  
Withdrawals  
Ending Cash Balance  
Period Value

-60.76  
\$60.76  
(60.76)  
(\$60.76)  
-Year-To-Date

Value\*  
-60.76  
\$60.76  
(60.76)  
(\$60.76)

-Local  
Value  
Current  
Period Value

0.00  
66.19  
66.19  
(66.19)  
(66.19)

0.00  
INCOME  
US Dollar Value

Current  
Transactions  
Beginning Cash Balance  
Ending Cash Balance  
Period Value  
--Year-To-Date

Value\*  
--Local  
Value  
Current  
Period Value

0.00  
0.00

Year-To-Date  
Value\*  
--Year-To-Date  
Value\*  
-66.19  
66.19  
(66.19)  
(66.19)  
-Account

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Consolidated Statement Page 22

GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 1/1/14 to 1/31/14  
Portfolio Activity Summary - Canadian Dollar  
Current

Securities Transferred In/Out

Securities Transferred In

Securities Transferred Out

Period Value

426,905.54

(426,844.78)

Year-To-Date

Value\*

426,905.54

(426,844.78)

\* Year to date information is calculated on a calendar year basis.

\*\* Your account's standing instructions use a HIGH COST method for relieving  
assets

from your position

Portfolio Activity Detail - Canadian Dollar

INFLOWS & OUTFLOWS

Per Unit

Type

Settle Date Selection Method

1/6

Foreign Interest

Description

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.6200% DATED 12/27/2013 MATURITY 01/06/2014

HELD BY LONDON TREASURY SERVICES

(ID: 2538E9-9D-6)

1/6

1/13

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT CANADIAN DOLLAR

(ID: 0CADPR-AA-6)

Foreign Interest

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.6200% DATED 01/06/2014 MATURITY 01/13/2014

HELD BY LONDON TREASURY SERVICES

(ID: 2538F9-9Z-6)

1/13

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT CANADIAN DOLLAR

(ID: 0CADPR-AA-6)

(14.040)

(12.85)

(14.04)

Account [REDACTED] Page 20 of 30

Consolidated Statement Page 23

(20.050)

116,445.640

(18.88)

(20.05)

12.85  
14.04  
Quantity  
116,425.590  
Amount USD  
Local Value  
PRINCIPAL  
Amount USD  
Local Value  
18.88  
20.05  
INCOME  
Amount USD  
Local Value  
Currency  
Gain/Loss USD

GHISLAINE MAXWELL ACCT [REDACTED]

For the Period 1/1/14 to 1/31/14

INFLOWS & OUTFLOWS

Per Unit

Type

Settle Date Selection Method

1/21

Foreign Interest

Description

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.6200% DATED 01/13/2014 MATURITY 01/21/2014

HELD BY LONDON TREASURY SERVICES

(ID: 2538H9-9U-5)

1/21

1/29

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT CANADIAN DOLLAR

(ID: 0CADPR-AA-6)

Foreign Interest

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.6200% DATED 01/21/2014 MATURITY 01/29/2014

HELD BY LONDON TREASURY SERVICES

(ID: 2538K9-9P-2)

1/29

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT CANADIAN DOLLAR

(ID: 0CADPR-AA-6)

Total Inflows & Outflows

SECURITIES TRANSFERRED IN/OUT

Notes:

Settle Date Selection Method

Securities Transferred In

1/6

Receipt of Assets

Description

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.6200% DATED 01/06/2014 MATURITY 01/13/2014

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2538F9-9Z-6)

(16.050)

(14.37)

(16.05)

\$0.00

(16.050)

116,475.730

(14.66)

(16.05)

14.37

16.05

Quantity

116,459.680

Amount USD

Local Value

PRINCIPAL

Amount USD

Local Value

14.66

16.05

INCOME

Amount USD

Local Value

Currency

Gain/Loss USD

\* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax

purposes. The Transaction Market Value shown is in USD.

Type

Quantity

Cost

116,445.640

114,032.08

Transaction

Market Value \*

109,621.69

Account [REDACTED] Page 21 of 30

Consolidated Statement Page 24

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 1/1/14 to 1/31/14

Notes:

\* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Settle Date Selection Method

Securities Transferred In

1/13

Receipt of Assets

Description

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.6200% DATED 01/13/2014 MATURITY 01/21/2014

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2538H9-9U-5)

1/21

Receipt of Assets

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.6200% DATED 01/21/2014 MATURITY 01/29/2014

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2538K9-9P-2)

1/29

Receipt of Assets

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.6200% DATED 01/29/2014 MATURITY 02/05/2014

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2538N9-9B-0)

Total Securities Transferred In

Securities Transferred Out

1/6

Free Delivery

\$426,905.54

116,491.780

114,073.96

104,294.53

116,475.730

114,059.59

106,365.67

Quantity

Cost

116,459.680

114,044.93

Transaction

Market Value \*

106,623.65

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.6200% DATED 12/27/2013 MATURITY 01/06/2014

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2538E9-9D-6)

1/13

Free Delivery

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.6200% DATED 01/06/2014 MATURITY 01/13/2014

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2538F9-9Z-6)

(116,445.640)

114,032.08

(106,610.79)

(116,425.590)

114,013.20

(109,602.81)

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Consolidated Statement Page 25

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 1/1/14 to 1/31/14

Notes:

\* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Settle Date Selection Method

Securities Transferred Out

1/21

Free Delivery

Description

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.6200% DATED 01/13/2014 MATURITY 01/21/2014

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2538H9-9U-5)

1/29

Free Delivery

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.6200% DATED 01/21/2014 MATURITY 01/29/2014

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2538K9-9P-2)

Total Securities Transferred Out

(\$426,844.78)

(116,475.730)

114,059.59

(104,280.16)

Quantity

Cost

(116,459.680)

114,044.93

Transaction

Market Value \*

(106,351.02)

Account [REDACTED] Page 23 of 30

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GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 1/1/14 to 1/31/14  
Portfolio Activity Summary - Norwegian Krone  
PRINCIPAL

US Dollar Value

Current

Transactions

Beginning Cash Balance

INFLOWS

Total Inflows

Income

OUTFLOWS \*\*

Total Outflows

Withdrawals

Ending Cash Balance

Period Value

-168.69

\$168.69

(168.69)

(\$168.69)

-Year-To-Date

Value\*

-168.69

\$168.69

(168.69)

(\$168.69)

-Local

Value

Current

Period Value

0.00

1,039.23

1,039.23

(1,039.23)

(1,039.23)

0.00

INCOME

US Dollar Value

Current

Transactions

Beginning Cash Balance

Ending Cash Balance

Period Value

--Year-To-Date

Value\*

--Local

Value

Current

Period Value

0.00

0.00

Year-To-Date  
Value\*  
--Year-To-Date  
Value\*  
-1,039.23  
1,039.23  
(1,039.23)  
(1,039.23)  
-Account

Page 24 of 30  
Consolidated Statement Page 27

GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 1/1/14 to 1/31/14  
Portfolio Activity Summary - Norwegian Krone  
Current

Securities Transferred In/Out

Securities Transferred In

Securities Transferred Out

Period Value

810,160.66

(809,991.97)

Year-To-Date

Value\*

810,160.66

(809,991.97)

\* Year to date information is calculated on a calendar year basis.

\*\* Your account's standing instructions use a HIGH COST method for relieving  
assets

from your position

Portfolio Activity Detail - Norwegian Krone

INFLOWS & OUTFLOWS

Per Unit

Type

Settle Date Selection Method

1/7

Foreign Interest

Description

HELD BY LONDON TREASURY SERVICES

(ID: 2538E9-9P-9)

1/7

1/14

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT NORWEGIAN KRONE

(ID: 0NOKPR-AA-1)

Foreign Interest

HELD BY LONDON TREASURY SERVICES

(ID: 2538H9-9A-9)

1/14

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT NORWEGIAN KRONE

(ID: 0NOKPR-AA-1)

(206.110)

(33.67)

(206.11)

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(361.890)

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS 1,247,060.150

0.8500% DATED 01/07/2014 MATURITY 01/14/2014

(58.70)

(361.89)

33.67

206.11

Quantity

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS 1,246,698.260

0.9500% DATED 12/27/2013 MATURITY 01/07/2014

Amount USD

Local Value

PRINCIPAL

Amount USD

Local Value

58.70

361.89

INCOME

Amount USD

Local Value

Currency

Gain/Loss USD

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 1/1/14 to 1/31/14

INFLOWS & OUTFLOWS

Per Unit

Type

Settle Date Selection Method

1/22

Foreign Interest

Description

HELD BY LONDON TREASURY SERVICES

(ID: 2538J9-9N-9)

1/22

1/30

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT NORWEGIAN KRONE

(ID: 0NOKPR-AA-1)

Foreign Interest

HELD BY LONDON TREASURY SERVICES

(ID: 2538L9-9U-0)

1/30

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT NORWEGIAN KRONE

(ID: 0NOKPR-AA-1)

Total Inflows & Outflows

SECURITIES TRANSFERRED IN/OUT

Notes:

Settle Date Selection Method

Securities Transferred In

1/7

Receipt of Assets

Description

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

0.8500% DATED 01/07/2014 MATURITY 01/14/2014

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2538H9-9A-9)

(235.640)

(38.18)

(235.64)

\$0.00

(235.590)

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS 1,247,501.850

0.8500% DATED 01/22/2014 MATURITY 01/30/2014

(38.14)

(235.59)

38.18

235.64

Quantity

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS 1,247,266.260

0.8500% DATED 01/14/2014 MATURITY 01/22/2014

Amount USD

Local Value

PRINCIPAL

Amount USD

Local Value

38.14

235.59

INCOME

Amount USD

Local Value

Currency

Gain/Loss USD

\* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Quantity

Cost

1,247,060.150

210,483.59

Transaction

Market Value \*

202,288.83

Account [REDACTED] Page 26 of 30

Consolidated Statement Page 29

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 1/1/14 to 1/31/14

Notes:

\* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Settle Date Selection Method

Securities Transferred In

1/14

Receipt of Assets

Description

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

0.8500% DATED 01/14/2014 MATURITY 01/22/2014

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2538J9-9N-9)

1/22

Receipt of Assets

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

0.8500% DATED 01/22/2014 MATURITY 01/30/2014

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2538L9-9U-0)

1/30

Receipt of Assets

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

0.8500% DATED 01/30/2014 MATURITY 02/06/2014

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 253809-9B-9)

Total Securities Transferred In

Securities Transferred Out

1/7

Free Delivery

\$810,160.66

1,247,737.490

210,593.58

202,159.33

1,247,501.850

210,555.40

201,962.44

Quantity

Cost

1,247,266.260

210,517.26

Transaction

Market Value \*

203,750.06

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

0.9500% DATED 12/27/2013 MATURITY 01/07/2014

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2538E9-9P-9)

1/14

Free Delivery

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

0.8500% DATED 01/07/2014 MATURITY 01/14/2014

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2538H9-9A-9)

(1,247,060.150 )

210,483.59

(203,716.39)

(1,246,698.260 )

210,424.89

(202,230.13)

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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 1/1/14 to 1/31/14

Notes:

\* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Settle Date Selection Method

Securities Transferred Out

1/22

Free Delivery

Description

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

0.8500% DATED 01/14/2014 MATURITY 01/22/2014

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2538J9-9N-9)

1/30

Free Delivery

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

0.8500% DATED 01/22/2014 MATURITY 01/30/2014

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2538L9-9U-0)

Total Securities Transferred Out

(\$809,991.97)

(1,247,501.850 )

210,555.40

(202,121.15)

Quantity

Cost

(1,247,266.260 )

210,517.26

Transaction

Market Value \*

(201,924.30)

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GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 1/1/14 to 1/31/14  
Portfolio Activity Summary - Pound Sterling  
PRINCIPAL

US Dollar Value  
Current  
Transactions  
Beginning Cash Balance  
INFLOWS  
Total Inflows  
Income  
Ending Cash Balance  
Period Value

-694.02  
\$694.02  
-Year-To-Date  
Value\*

-694.02  
\$694.02  
-Local  
Value  
Current  
Period Value  
555.33  
421.40  
421.40  
976.73

INCOME  
US Dollar Value  
Current  
Transactions  
Beginning Cash Balance  
Ending Cash Balance  
Period Value

--Year-To-Date  
Value\*  
--Local  
Value  
Current  
Period Value  
0.00  
0.00

\* Year to date information is calculated on a calendar year basis.  
Your account's standing instructions use a HIGH COST method for relieving  
assets  
from your position

Year-To-Date  
Value\*  
--Year-To-Date  
Value\*  
-421.40

421.40

Account

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GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 1/1/14 to 1/31/14  
Portfolio Activity Detail - Pound Sterling  
INFLOWS & OUTFLOWS  
Per Unit  
Type  
Settle Date Selection Method  
1/9  
Foreign Dividend  
Description  
BLUEBAY HIGH YIELD BD FD GBP HDG-DB ISIN  
LU0222772518 @ 2.2427 PER SHARE CONV. @ "CONV  
RATE" (ID: G13289-92-4)  
Quantity  
187.898  
Amount USD  
Local Value  
3.694  
2.243  
PRINCIPAL  
Amount USD  
Local Value  
694.02  
421.40  
INCOME  
Amount USD  
Local Value  
Currency  
Gain/Loss USD  
Account [REDACTED] Page 30 of 30  
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J.P. Morgan Securities LLC  
GHISLAINE MAXWELL  
383 Madison Avenue, New York, NY 10179  
ACCT. [REDACTED]

For the Period 1/1/14 to 1/31/14

Margin Account

J.P. Morgan Team

James Buckley

Jason Bell

Janet Young

Gina Magliocco

Jason Grosse

Online access

Banker

Investment Specialist

Client Service Team

Client Service Team

Client Service Team

www.jpmorganonline.com

Transactions cleared and carried through J.P. Morgan Clearing Corp. - Three  
Chase Metrotech Center, Brooklyn, NY 11245-0001,  
(347) 643-2578

Please see disclosures located at the end of this statement package for  
important information relating to each J.P.Morgan account(s).

212/464-1536

212/464-2084

800/634-1318

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Account Summary

Portfolio Activity

Page

2

4

Account [REDACTED] Page 1 of 4

Consolidated Statement Page 34

GHISLAINE MAXWELL

Account Summary

MARGIN

Cash

Asset Allocation

Cash & Fixed Income

Market Value

Market Value

0.00

\$0.00

Margin

Market Value

430.00

\$430.00

Current

Portfolio Activity

Beginning Market Value

Ending Market Value

Period Value

430.00

\$430.00

Short

Market Value

0.00

\$0.00

Year-to-Date

Value

430.00

\$430.00

Total

430.00

\$430.00

Estimated

\$0.00

Current

Market Value Annual Income Allocation

100%

100%

ACCT. [REDACTED]

For the Period 1/1/14 to 1/31/14

Account 24155873 Page 2 of 4

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GHISLAINE MAXWELL

ACCT. [REDACTED]

For the Period 1/1/14 to 1/31/14

Cash & Fixed Income Detail

Quantity

Price

Cash

US DOLLAR

1.00

430.00

Margin

430.00

430.00

Account

Value

Adjusted Tax Cost

Original Cost

Unrealized

Gain/Loss

Est. Annual Income

Accrued Interest

Yield

Account [REDACTED] Page 3 of 4

Consolidated Statement Page 36

GHISLAINE MAXWELL  
Portfolio Activity Summary  
PRINCIPAL  
Current  
Transactions  
Portfolio Activity Detail  
Beginning Cash Balance  
Ending Cash Balance  
Period Value  
430.00  
\$430.00  
Year-To-Date  
Value  
--INCOME  
Current  
Period  
Value  
0.00  
\$0.00  
Year-To-Date  
Value  
--ACCT.  
[REDACTED]

For the Period 1/1/14 to 1/31/14  
No Activity This Period  
Account [REDACTED] Page 4 of 4  
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JPMorgan Chase Bank, N.A.  
GHISLAINE MAXWELL - OAP IHD  
270 Park Avenue, New York, NY 10017-2014  
ACCT. [REDACTED]

For the Period 1/1/14 to 1/31/14

Investment Management Account

J.P. Morgan Team

James Buckley

Jason Bell

Janet Young

Gina Magliocco

Jason Grosse

Online access

Banker

Investment Specialist

Client Service Team

Client Service Team

Client Service Team

www.jpmorganonline.com

Please see disclosures located at the end of this statement package for important information relating to each J.P.Morgan account(s).

212/464-1536

212/464-2084

800/634-1318

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Account Summary

Page

2

Holdings

Equity

Portfolio Activity

4

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GHISLAINE MAXWELL - OAP IHD

Account Summary

Asset Allocation

Equity

Market Value

Accruals

Market Value with Accruals

Beginning

Market Value

1,964,165.75

\$1,964,165.75

3,813.58

\$1,967,979.33

Ending

Market Value

1,847,938.92

\$1,847,938.92

3,615.60

\$1,851,554.52

Current

Portfolio Activity

Beginning Market Value

Withdrawals & Fees

Net Contributions/Withdrawals

Income & Distributions

Change In Investment Value

Ending Market Value

Accruals

Market Value with Accruals

Period Value

1,964,165.75

(5,855.42)

(\$5,855.42)

2,430.74

(112,802.15)

\$1,847,938.92

3,615.60

\$1,851,554.52

Change

In Value

(116,226.83)

(\$116,226.83)

(197.98)

(\$116,424.81)

Year-to-Date

Value

1,964,165.75

(5,855.42)

(\$5,855.42)

2,430.74

(112,802.15)

\$1,847,938.92

3,615.60

\$1,851,554.52

Estimated

62,900.42

\$62,900.42

Current

Annual Income Allocation

100%

100%

ACCT. [REDACTED]

For the Period 1/1/14 to 1/31/14

Account [REDACTED] Page 2 of 14

Consolidated Statement Page 39

GHISLAINE MAXWELL - OAP IHD

Account Summary

Tax Summary

Foreign Dividends

Interest Income

Taxable Income

CONTINUED

Current

Period Value

2,429.49

1.25

\$2,430.74

Year-to-Date

Value

2,429.49

1.25

\$2,430.74

Unrealized Gain/Loss

To-Date Value

\$81,160.12

ST Realized Gain/Loss

Realized Gain/Loss

Current

Period Value

9,150.32

\$9,150.32

Year-to-Date

Value

9,150.32

\$9,150.32

ACCT. [REDACTED] B

For the Period 1/1/14 to 1/31/14

Cost Summary

Equity

Total

1,766,778.80

Cost

\$1,766,778.80

Account [REDACTED] Page 3 of 14

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GHISLAINE MAXWELL - OAP IHD

Equity Summary

Asset Categories

EAFE Equity

Beginning

Market Value

1,964,165.75

Ending

Market Value

1,847,938.92

Change

In Value

(116,226.83)

Current

Allocation

100%

ACCT. [REDACTED]

For the Period 1/1/14 to 1/31/14

Current

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Accrued Dividends

Yield

Period Value

1,847,938.92

1,766,778.80

81,160.12

62,900.42

3,615.60

3.40%

Account [REDACTED] Page 4 of 14

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GHISLAINE MAXWELL - OAP IHD

ACCT. [REDACTED]

For the Period 1/1/14 to 1/31/14

Note:

P

1

indicates position adjusted for Pending Trade Activity.

This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account.

Equity Detail

Price

EAFE Equity

ABB LTD

SPONS ADR

000375-20-4 ABB

ALSTOM

ADR

021244-20-7 ALSM Y

ASTRAZENECA PLC

SPONS ADR

046353-10-8 AZN

BAE SYSTEMS PLC

SPONS ADR

05523R-10-7 BAES Y

BAYER A G

SPONS ADR

072730-30-2 BAYR Y

BHP LTD

SPONS ADR

088606-10-8 BHP

BNP PARIBAS

ADR

05565A-20-2 BNPQ Y

38.87

1,195.000

46,449.65

47,674.46

(1,224.81)

811.40

1.75%

63.95

435.000

27,818.25

32,227.68

(4,409.43)

1,009.20

3.63%

28.52

132.00

1,000.000  
245.000  
28,520.00  
32,339.76  
22,160.00  
24,491.20  
6,360.00  
7,848.56  
1,196.00  
442.22  
4.19%  
1.37%  
2.79  
63.50  
4,875.000  
365.000  
13,581.75  
23,177.50  
17,770.91  
18,351.75  
(4,189.16)  
4,825.75  
351.00  
1,022.00  
2.58%  
4.41%  
Quantity  
Value  
Adjusted Tax Cost  
Original Cost  
Unrealized  
Gain/Loss  
Est. Annual Inc.  
Accrued Div.  
Yield  
24.84  
2,200.000  
54,648.00  
47,460.92  
7,187.08  
1,548.80  
2.83%

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 1/1/14 to 1/31/14

Price

EAFE Equity

BOC HONG KONG HOLDINGS LTD

SPONS ADR

096813-20-9 BHKL Y

BRITISH AMERICAN TOBACCO PLC

SPONS ADR

110448-10-7 BTI

COST OF PENDING PURCHASES

DEUTSCHE TELEKOM AG

SPONS ADR

251566-10-5 DTEG Y

DEUTSCHE POST AG

SPONS ADR

25157Y-20-2 DPSG Y

GDF SUEZ

SPONS ADR

36160B-10-5 GDFZ Y

GLAXOSMITHKLINE PLC

SPONS ADR

37733W-10-5 GSK

HSBC HOLDINGS PLC

SPONS ADR

404280-40-6 HSBC

IMPERIAL TOBACCO PLC

ADR

453142-10-1 ITYB Y

KIRIN HOLDINGS COMPANY LTD

SPONS ADR

497350-30-6 KNRW Y

Account [REDACTED] Page 6 of 14

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13.58

3,010.000

40,875.80

48,510.86

(7,635.06)

833.77

338.28

2.04%

73.48

515.000

37,842.20

36,270.85

1,571.35

1,895.20

1,196.23

5.01%

51.49

1,145.000  
58,956.05  
62,810.83  
(3,854.78)  
2,748.00  
4.66%  
51.54  
1,015.000  
52,313.10  
48,412.36  
3,900.74  
2,445.13  
4.67%  
22.19  
1,980.000  
43,936.20  
42,100.10  
1,836.10  
2,684.88  
6.11%  
34.55  
1,360.000  
46,988.00  
31,554.75  
15,433.25  
1,206.32  
2.57%  
1.00  
16.17  
(7,088.220)  
4,270.000  
(7,088.22)  
69,045.90  
(7,088.22)  
58,928.15  
10,117.75  
3,825.92  
5.54%  
95.99  
590.000  
56,634.10  
62,046.30  
(5,412.20)  
2,552.34  
4.51%  
60.37  
555.000  
33,505.35  
36,821.52  
(3,316.17)  
1,748.80

5.22%  
Quantity  
Value  
Adjusted Tax Cost  
Original Cost  
Unrealized  
Gain/Loss  
Est. Annual Inc.  
Accrued Div.  
Yield

GHISLAINE MAXWELL - OAP IHD  
ACCT. ██████████  
For the Period 1/1/14 to 1/31/14  
Price  
EAFE Equity  
LUKOIL OAO SPONS ADR  
MTN GROUP LTD  
677862-10-4 LUKO Y  
17.90  
SPONS ADR  
62474M-10-8 MTNO Y  
MUENCHENER RUECKVERSICHERUNGS -  
GESELLSCHAFT  
ADR  
626188-10-6 MURG Y  
NESTLE S A  
SPONS ADR REPSTG REG SH  
641069-40-6 NSRG Y  
NIPPON TEL & TEL CORP  
ADR  
654624-10-5 NTT  
NOVARTIS A G  
ADR  
66987V-10-9 NVS  
P ORKLA A S A  
SPONS A/D/R  
686331-10-9 ORKL Y  
RECKITT BENCKISER GROUP PLC  
SPONSORED ADR  
756255-20-4 RBGL Y  
ROCHE HOLDINGS LTD  
SPONS ADR  
771195-10-4 RHHB Y  
68.60  
700.000  
48,020.00  
39,379.81  
8,640.19  
1,136.80  
2.37%  
15.10  
3,540.000  
53,436.30  
48,749.99  
4,686.31  
1,454.94  
2.72%  
7.84  
2,725.000  
21,364.00  
21,938.45

(574.45)  
926.50  
4.34%  
26.96  
79.07  
2,210.000  
975.000  
59,581.60  
77,093.25  
58,374.87  
68,101.01  
1,206.73  
8,992.24  
1,613.30  
2,005.57  
2.71%  
2.60%  
72.65  
1,055.000  
76,645.75  
73,413.83  
3,231.92  
1,915.88  
2.50%  
20.70  
2,610.000  
54,027.00  
48,665.02  
5,361.98  
1,618.20  
3.00%  
2,625.000  
46,987.50  
49,970.21  
(2,982.71)  
1,929.37  
4.11%  
57.00  
1,035.000  
58,995.00  
64,776.50  
(5,781.50)  
3,783.96  
6.41%  
Quantity  
Value  
Adjusted Tax Cost  
Original Cost  
Unrealized  
Gain/Loss  
Est. Annual Inc.

Accrued Div.  
Yield  
Account [REDACTED] Page 7 of 14  
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GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED] 8

For the Period 1/1/14 to 1/31/14

Price

EAFE Equity

ROYAL DUTCH SHELL PLC

ADR

780259-10-7 RDS B

SANOFI

80105N-10-5 SNY

SIEMENS A G

SPONS ADR

826197-50-1 SI

SINGAPORE TELECOMMUNICATIONS LTD

ADR

82929R-30-4 SGAP Y

SMITHS GROUP PLC

SPONSORED ADR

83238P-20-3 SMGZ Y

STATOIL ASA

SPONS ADR

85771P-10-2 STO

TAIWAN SEMICONDUCTOR MANUFACTURING

CO LTD

SPONS ADR

874039-10-0 TSM

TELEKOMUNIKASI IND

SPONS ADR

715684-10-6 TLK

TESCO PLC

SPONS ADR

881575-30-2 TSCD Y

15.82

1,520.000

24,046.40

25,456.73

(1,410.33)

980.40

4.08%

36.27

690.000

25,026.30

27,215.25

(2,188.95)

841.11

3.36%

16.92

830.000

14,043.60

14,793.04

(749.44)

332.83

2.37%  
23.71  
1,450.000  
34,379.50  
35,974.62  
(1,595.12)  
1,245.55  
3.62%  
27.60  
23.64  
1,765.000  
1,575.000  
48,714.00  
37,225.13  
51,172.92  
30,754.80  
(2,458.92)  
6,470.33  
72.83  
48.90  
126.29  
400.000  
1,195.000  
500.000  
29,132.00  
58,435.50  
63,145.00  
27,957.37  
60,068.51  
52,917.17  
1,174.63  
(1,633.01)  
10,227.83  
1,440.00  
1,499.72  
1,506.50  
1,101.77  
2,248.61  
960.75  
4.94%  
2.57%  
2.39%  
4.62%  
2.58%  
Quantity  
Value  
Adjusted Tax Cost  
Original Cost  
Unrealized  
Gain/Loss  
Est. Annual Inc.

Accrued Div.  
Yield  
Account [REDACTED] Page 8 of 14  
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GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 1/1/14 to 1/31/14

Price

EAFE Equity

TOTAL SA

SPONS ADR

89151E-10-9 TOT

UNILEVER N V

904784-70-9 UN

UNITED OVERSEAS BANK LTD

SPONS ADR

911271-30-2 UOVE Y

US DOLLAR

VODAFONE GROUP PLC

SPONS ADR

92857W-20-9 VOD

ZURICH INSURANCE GROUP-ADR

Total EAFE Equity

989825-10-4 ZURV Y

\$1,847,938.92

\$1,766,778.80

\$81,160.12

\$62,900.42

\$3,615.60

3.40%

28.96

2,025.000

58,644.00

55,630.28

3,013.72

37.34

30.85

1.00

37.06

1,630.000

1,250.000

68,258.300

1,905.000

60,864.20

38,560.00

68,258.30

70,599.30

66,467.39

39,130.24

68,258.30

53,061.37

17,537.93

(5,603.19)

(570.24)

1,990.23

1,186.25

20.47  
1.34  
3,072.76  
977.98  
3.27%  
3.08%  
0.03%  
4.35%  
Quantity  
Value  
Adjusted Tax Cost  
Original Cost  
Unrealized  
Gain/Loss  
Est. Annual Inc.  
Accrued Div.  
Yield  
57.17  
1,070.000  
61,171.90  
54,046.70  
7,125.20  
2,869.74  
4.69%  
Account [REDACTED] Page 9 of 14  
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GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 1/1/14 to 1/31/14

Portfolio Activity Summary

Beginning Cash Balance

Transactions

INFLOWS

Total Inflows

Income

OUTFLOWS \*\*

Withdrawals

Fees & Commissions

Tax Payments

Total Outflows

TRADE ACTIVITY

Settled Sales/Maturities/Redemptions

Settled Securities Purchased

Total Trade Activity

Ending Cash Balance

79,131.50

(62,524.69)

\$16,606.81

\$68,258.30

\* Year to date information is calculated on a calendar year basis.

\*\* Your account's standing instructions use a HIGH COST method for relieving assets

from your position

79,131.50

(62,524.69)

\$16,606.81

-Current

Period

Value

55,076.17

2,430.74

\$2,430.74

(49.30)

(5,547.52)

(258.60)

(\$5,855.42)

Year-To-Date

Value\*

-2,430.74

\$2,430.74

(49.30)

(5,547.52)

(258.60)

(\$5,855.42)

Account

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GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 1/1/14 to 1/31/14

Portfolio Activity Detail

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

1/2

1/7

1/7

1/9

1/16

1/21

1/21

1/23

1/23

Interest Income

Foreign Dividend

FGN Tax Withheld

Foreign Dividend

Expenses

Description

DEPOSIT SWEEP INTEREST FOR 12/01/13 - 12/31/13

@ .03% RATE ON AVG COLLECTED BALANCE OF

\$52,882.97 AS OF 01/01/14

TOTAL SA SPONS ADR @ 0.805619 PER SHARE

(ID: 89151E-10-9)

TOTAL SA SPONS ADR TAX WITHHELD FRANCE 30.00%

(ID: 89151E-10-9)

GLAXOSMITHKLINE PLC SPONS ADR @ 0.616094 PER

SHARE (ID: 37733W-10-5)

ROCHE HOLDINGS LTD SPONS ADR ADR FEE TO HOLDERS

OF RECORD DATE 11/18/13 (ID: 771195-10-4)

Fees & Commissions JPMORGAN CHASE INVESTMENT MANAGEMENT FEE FOR

THE PERIOD 10-01-2013 TO 12-31-2013

Fees & Commissions SCHAFER CULLEN CAPITAL MANAGEMENT MANAGEMENT

FEE FOR 10-01-2013 TO 12-31-2013

Foreign Dividend

Expenses

Total Inflows & Outflows

SINGAPORE TELECOMMUNICATIONS LTD ADR @ 0.533793

PER SHARE AS OF 01/21/14 (ID: 82929R-30-4)

SINGAPORE TELECOMMUNICATIONS LTD ADR REPRESENTS

@ .020 DEPOSITORY FEE AS OF 01/21/14

(ID: 82929R-30-4)

(\$3,424.68)

1,765.000

1,765.000

0.534

1,070.000

1,070.000

1,015.000

700.000

0.616

0.806

862.01

(258.60)

625.34

(14.00)

(3,579.54)

(1,967.98)

942.14

(35.30)

Quantity

Cost

Per Unit

Amount

Amount

1.25

Account XXXXXXXXXX Page 11 of 14

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GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 1/1/14 to 1/31/14

TRADE ACTIVITY

Note:

Trade Date

Settle Date

1/10

1/15

1/13

1/16

1/14

1/17

1/14

1/17

1/22

1/27

1/23

1/28

1/23

1/28

1/24

1/29

S indicates Short Term Realized Gain/Loss

Type

Selection Method Description

Settled Sales/Maturities/Redemptions

Sale

High Cost

DEUTSCHE POST AG SPONS ADR @ 35.1079 10,883.45

BROKERAGE 9.30 TAX &/OR SEC .19 FIDELITY

CAPITAL MARKETS (ID: 25157Y-20-2)

DEUTSCHE POST AG SPONS ADR @ 35.5508 12,265.03

BROKERAGE 13.80 TAX &/OR SEC .22 FIDELITY

CAPITAL MARKETS (ID: 25157Y-20-2)

BAYER A G SPONS ADR @ 131.9228 10,553.82

BROKERAGE 2.40 TAX &/OR SEC .19 FIDELITY

CAPITAL MARKETS (ID: 072730-30-2)

SMITHS GROUP PLC SPONSORED ADR @ 24.4569  
2,690.26 BROKERAGE 3.30 TAX &/OR SEC .05  
FIDELITY CAPITAL MARKETS (ID: 83238P-20-3)  
BAE SYSTEMS PLC SPONS ADR @ 28.9174 10,844.03  
BROKERAGE 11.25 TAX &/OR SEC .19 FIDELITY  
CAPITAL MARKETS (ID: 05523R-10-7)  
ALSTOM ADR @ 3.0646 10,358.35 BROKERAGE 33.80  
TAX &/OR SEC .19 FIDELITY CAPITAL MARKETS  
(ID: 021244-20-7)  
BAE SYSTEMS PLC SPONS ADR @ 29.0186 10,446.70  
BROKERAGE 10.80 TAX &/OR SEC .19 FIDELITY  
CAPITAL MARKETS (ID: 05523R-10-7)  
ALSTOM ADR @ 2.9547 9,144.80 BROKERAGE 30.95  
TAX &/OR SEC .16 FIDELITY CAPITAL MARKETS  
(ID: 021244-20-7)  
(3,095.000)  
2.945  
9,113.69  
(11,360.18)  
(2,246.49) S  
(360.000)  
28.988  
10,435.71  
(8,167.90)  
2,267.81 S  
(3,380.000)  
3.055  
10,324.36  
(12,444.81)  
(2,120.45) S  
(375.000)  
28.887  
10,832.59  
(8,949.48)  
1,883.11 S  
(110.000)  
24.426  
2,686.91  
(2,279.26)  
407.65 S  
(80.000)  
131.89  
10,551.23  
(8,405.57)  
2,145.66 S  
(345.000)  
35.51  
12,251.01  
(8,245.50)  
4,005.51 S  
Quantity

(310.000)

Per Unit

Amount

35.077

Proceeds

10,873.96

Tax Cost

(7,493.57)

Realized

Gain/Loss

3,380.39

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GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 1/1/14 to 1/31/14

Trade Date

Settle Date

1/27

1/30

Type

Selection Method Description

Settled Sales/Maturities/Redemptions

Sale

High Cost

ALSTOM ADR @ 2.874 2,069.28 BROKERAGE 7.20 TAX

&/OR SEC .04 FIDELITY CAPITAL MARKETS

(ID: 021244-20-7)

Total Settled Sales/Maturities/Redemptions

\$79,131.50

(\$69,981.18)

\$9,150.32 S

Quantity

(720.000)

Per Unit

Amount

2.864

Proceeds

2,062.04

Tax Cost

(2,634.91)

Realized

Gain/Loss

(572.87) S

Trade Date

Settle Date

Type

Description

Settled Securities Purchased

1/9

Purchase

1/14

1/13

1/16

1/21

1/24

1/22

1/28

1/23

1/30

1/24

1/30

Purchase

Purchase

Purchase

Purchase

Purchase

BNP PARIBAS ADR @ 39.8941 28,324.81 BROKERAGE  
21.30 TAX &/OR SEC 56.65 FIDELITY CAPITAL  
MARKETS (ID: 05565A-20-2)

BNP PARIBAS ADR @ 39.6262 19,218.71 BROKERAGE  
14.55 TAX &/OR SEC 38.44 FIDELITY CAPITAL  
MARKETS (ID: 05565A-20-2)

ORKLA A S A SPONS A/D/R @ 8.0429 5,710.46  
BROKERAGE 7.10 FIDELITY CAPITAL MARKETS  
(ID: 686331-10-9)

ORKLA A S A SPONS A/D/R @ 8.1802 1,799.64  
BROKERAGE 2.20 FIDELITY CAPITAL MARKETS  
(ID: 686331-10-9)

ORKLA A S A SPONS A/D/R @ 8.2723 2,605.77  
BROKERAGE 3.15 FIDELITY CAPITAL MARKETS  
(ID: 686331-10-9)

ORKLA A S A SPONS A/D/R @ 8.1373 1,505.40  
BROKERAGE 1.85 FIDELITY CAPITAL MARKETS  
(ID: 686331-10-9)

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185.000

8.147

(1,507.25)

315.000

8.282

(2,608.92)

220.000

8.19

(1,801.84)

710.000

8.053

(5,717.56)

485.000

39.735

(19,271.70)

Quantity

710.000

Per Unit

Amount

40.004

Market Cost

(28,402.76)

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 1/1/14 to 1/31/14

Trade Date

Settle Date

Type

Settled Securities Purchased

1/27

Purchase

1/30

1/28

1/31

Purchase

Total Settled Securities Purchased

Trade Date

Est. Settle Date Type

Pending Securities Purchased

1/29

Purchase

2/3

1/30

2/4

1/31

2/5

Total Pending Securities Purchased

(\$7,088.22)

Purchase

Purchase

Description

ORKLA A S A SPONS A/D/R (ID: 686331-10-9)

ORKLA A S A SPONS A/D/R (ID: 686331-10-9)

ORKLA A S A SPONS A/D/R (ID: 686331-10-9)

Quantity

390.000

245.000

260.000

Per Unit

Amount

7.981

7.839

7.868

Description

ORKLA A S A SPONS A/D/R @ 8.0091 1,081.23

BROKERAGE 1.35 FIDELITY CAPITAL MARKETS

(ID: 686331-10-9)

ORKLA A S A SPONS A/D/R @ 8.0356 2,129.43

BROKERAGE 2.65 FIDELITY CAPITAL MARKETS

(ID: 686331-10-9)

(\$62,524.69)

265.000

8.046

(2,132.08)

Quantity

135.000

Per Unit

Amount

8.019

Market Cost

(1,082.58)

Market Cost

(3,116.53)

(1,923.23)

(2,048.46)

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JPMorgan Chase Bank, N.A.  
GHISLAINE MAXWELL - TAP DYS  
270 Park Avenue, New York, NY 10017-2014  
ACCT [REDACTED]

For the Period 1/1/14 to 1/31/14

Investment Management Account

J.P. Morgan Team

James Buckley

Jason Bell

Janet Young

Gina Magliocco

Jason Grosse

Online access

Banker

Investment Specialist

Client Service Team

Client Service Team

Client Service Team

www.jpmorganonline.com

Please see disclosures located at the end of this statement package for important information relating to each J.P.Morgan account(s).

212/464-1536

212/464-2084

800/634-1318

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Holdings

Equity

Alternative Assets

Cash & Fixed Income

Portfolio Activity

4

6

8

11

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GHISLAINE MAXWELL - TAP DYS

Account Summary

Asset Allocation

Equity

Alternative Assets

Cash & Fixed Income

Market Value

Accruals

Market Value with Accruals

Beginning

Market Value

138,771.17

231,638.51

665,847.92

\$1,036,257.60

259.32

\$1,036,516.92

Ending

Market Value

154,064.14

211,125.49

671,012.32

\$1,036,201.95

159.30

\$1,036,361.25

Current

Portfolio Activity

Beginning Market Value

Withdrawals & Fees

Net Contributions/Withdrawals

Income & Distributions

Change In Investment Value

Ending Market Value

Accruals

Market Value with Accruals

Period Value

1,036,257.60

(1,872.22)

(\$1,872.22)

2,563.29

(746.72)

\$1,036,201.95

159.30

\$1,036,361.25

Change

In Value

15,292.97

(20,513.02)

5,164.40

(\$55.65)

(100.02)

(\$155.67)  
Year-to-Date  
Value  
1,036,257.60  
(1,872.22)  
(\$1,872.22)  
2,563.29  
(746.72)  
\$1,036,201.95  
159.30  
\$1,036,361.25  
Alternative  
Assets  
Estimated  
3,222.81  
5,780.48  
26,698.65  
Current  
Annual Income Allocation  
15%  
21%  
64%  
\$35,701.94  
100%  
Equity  
Cash &  
Fixed Income  
Asset Allocation  
ACCT [REDACTED]  
For the Period 1/1/14 to 1/31/14  
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GHISLAINE MAXWELL - TAP DYS

Account Summary

Tax Summary

Domestic Dividends/Distributions

Interest Income

Taxable Income

CONTINUED

Current

Period Value

2,562.33

0.96

\$2,563.29

Year-to-Date

Value

2,562.33

0.96

\$2,563.29

Unrealized Gain/Loss

To-Date Value

\$8,364.12

ST Realized Gain/Loss

Realized Gain/Loss

Current

Period Value

400.50

\$400.50

Year-to-Date

Value

400.50

\$400.50

ACCT. V49935006

For the Period 1/1/14 to 1/31/14

Cost Summary

Equity

Cash & Fixed Income

Total

675,686.82

Cost

147,147.00

\$822,833.82

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GHISLAINE MAXWELL - TAP DYS

Equity Summary

Asset Categories

US Large Cap Equity

European Large Cap Equity

Asia ex-Japan Equity

Total Value

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Yield

Beginning

Market Value

74,242.48

23,396.31

41,132.38

\$138,771.17

Ending

Market Value

92,346.50

22,722.35

38,995.29

\$154,064.14

Current

Period Value

154,064.14

147,147.00

6,917.14

3,222.81

2.09%

Asia ex-Japan Equity

Change

In Value

18,104.02

(673.96)

(2,137.09)

\$15,292.97

Current

Allocation

9%

2%

4%

15%

European Large Cap Equity

US Large Cap Equity

Asset Categories

ACCT. V49935006

For the Period 1/1/14 to 1/31/14

Equity as a percentage of your portfolio - 15 %



GHISLAINE MAXWELL - TAP DYS  
 ACCT. ██████████  
 For the Period 1/1/14 to 1/31/14  
 Equity Detail  
 Price  
 US Large Cap Equity  
 CLEARBRIDGE EQUITY INC FD-I  
 CAP & INCOME I  
 52469H-59-4 SOPY X  
 SIT DIVIDEND GROWTH FUND-I  
 Total US Large Cap Equity  
 82980D-70-7 SDVG X  
 \$92,346.50  
 \$87,545.00  
 \$4,801.50  
 \$1,502.07  
 1.63%  
 16.93  
 2,958.635  
 50,089.69  
 46,294.00  
 3,795.69  
 760.36  
 1.52%  
 Quantity  
 Value  
 Adjusted Tax Cost  
 Original Cost  
 Unrealized  
 Gain/Loss  
 Est. Annual Inc.  
 Accrued Div.  
 Yield  
 18.06  
 2,339.801  
 42,256.81  
 41,251.00  
 1,005.81  
 741.71  
 1.76%  
 European Large Cap Equity  
 JPM INTREPID EUROPEAN FD - INSTL  
 25.96  
 FUND 1300  
 4812A0-68-0 JFEI X  
 Asia ex-Japan Equity  
 MATTHEWS ASIA DIVIDEND-INS  
 577130-75-0 MIPI X  
 875.283  
 22,722.35  
 20,316.00

2,406.35

74.39

0.33%

14.78

2,638.382

38,995.29

39,286.00

(290.71)

1,646.35

4.22%

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GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 1/1/14 to 1/31/14

Alternative Assets Summary

Beginning

Asset Categories

Hedge Funds

Real Estate & Infrastructure

Total Value

Estimated Value

174,732.12

56,906.39

\$231,638.51

Ending

Estimated Value

174,652.72

36,472.77

\$211,125.49

Change

In Value

(79.40)

(20,433.62)

(\$20,513.02)

Current

Allocation

17%

4%

21%

Real Estate & Infrastructure

Hedge Funds

Asset Categories

Alternative Assets Detail

Alternative Assets as a percentage of your portfolio - 21 %

Price

Hedge Funds

COLUMBIA FD SER TRT - COLUMBIA

CONVERTIBLE SECURITIES FD - Z

19765H-72-7 NCIA X

EATON VANCE FLOATING-RATE

ADVANTAGE I

277923-63-7 EIFA X

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11.22

7,524.150

84,420.96

84,120.00

18.47

1,147.177

21,188.36

20,523.00

Quantity

Estimated  
Value  
Cost

GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 1/1/14 to 1/31/14

Price

Hedge Funds

GATEWAY FUND-Y

HSBC FDS

Total Hedge Funds

28.53

367829-88-4 GTEY X

9.84

TOTAL RETURN I

40428X-15-6 HTRI X

\$174,652.72

\$174,388.01

3,858.567

37,968.30

39,704.65

1,089.208

31,075.10

30,040.36

Quantity

Estimated

Value

Cost

Quantity/Original

Commitment Amount

Real Estate & Infrastructure

TORTOISE MLP & PIPELINE-INS

56166Y-40-4 TORI X

Amounts shown above under "Estimated Value" for private equity funds are estimates based on the latest fund values received from each underlying fund, which value may be as of a date (underlying fund value date) prior to the period covered by this statement. The values provided by the underlying fund have been adjusted for any cash flows between your account and such fund that have occurred subsequent to the underlying fund value date to derive the "Estimated Value". Therefore, such "Estimated Value" may not reflect the value of your interest shown on any fund's actual books and records as of the date of this statement. For additional information, please contact your J.P. Morgan representative.

For private equity funds, Estimated Values are based on estimates provided by the underlying funds that are generally presented on a US GAAP basis, which records investments at fair value, or "marked-to-market". Most of these underlying funds also present their audited financial statements on a US GAAP basis (i.e., "marked-to-market"). However, some of these underlying funds present their audited financial statements using the Income Tax Basis of Accounting, which records investments "at cost" based on the accrual basis of accounting for Federal income taxes. Where the underlying fund provides periodic estimates

on a "marked-to-market" basis but reflects investments "at cost" in its audited financial statements, the marked-to-market Estimated Value shown herein for a private equity fund may be materially different from the value reflected on such fund's audited financial statements (which are also based on the audited financial statements of the underlying fund).

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Cost/Net Capital Called

Since Inception

Net Distributions

Since Inception

Estimated

Value

Est. Annual Inc.

Accrued Div.

Yield

2,296.77

30,616.00

36,472.77

GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 1/1/14 to 1/31/14

Cash & Fixed Income Summary

Beginning

Asset Categories

Cash

US Fixed Income

Total Value

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Accrued Interest

Yield

SUMMARY BY MATURITY

1

Cash & Fixed Income

0-6 months

1

Market Value

36,993.11

628,854.81

\$665,847.92

Ending

Market Value

47,214.85

623,797.47

\$671,012.32

Current

Period Value

671,012.32

675,686.82

(4,674.50)

26,698.65

159.30

3.97%

Cash & Fixed Income as a percentage of your portfolio - 64 %

SUMMARY BY TYPE

Market

Value

671,012.32

% of Bond

Portfolio

100%

The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

Cash & Fixed Income

Cash

International Bonds

Mutual Funds  
Other  
Total Value  
Market  
Value  
47,214.85  
31,342.68  
533,808.52  
58,646.27  
\$671,012.32  
% of Bond  
Portfolio  
7%  
4%  
81%  
8%  
100%  
US Fixed Income  
Change  
In Value  
10,221.74  
(5,057.34)  
\$5,164.40  
Current  
Allocation  
5%  
59%  
64%  
Cash  
Asset Categories

Account [REDACTED] Page 8 of 14  
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GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 1/1/14 to 1/31/14

Note:

1

This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account.

Cash & Fixed Income Detail

Price

Cash

US DOLLAR

1.00

47,214.85

47,214.85

47,214.85

14.16

1.19

US Fixed Income

HARBOR HIGH YIELD BOND-INST

HARBOR CONVERTIBLE SEC-INST

AVENUE MUT FDS TR

411511-55-3

11.07

411512-73-4

11.46

CRED STRA INST

05358F-30-1

DOUBLELINE TOTAL RET BD-I

JPM STRAT INCOME OPPORT FD - SEL

258620-10-3

11.91

FUND 3844

4812A4-35-1

JPM MULTI SECTOR INCOME FD - SEL

FUND 2130

48121A-29-0

10.26

4,784.86

49,092.70

49,092.71

(0.01)

3,015.90

35,919.37

36,040.00

(120.63)

889.69

24.13

1,153.15

133.98

2.48%

2.35%  
10.97  
12,498.16  
137,104.76  
142,229.00  
(5,124.24)  
6,936.47

5.06%  
2,734.96  
31,342.68  
30,896.00  
446.68  
894.33

2.85%  
2,833.31  
31,364.79  
29,272.00  
2,092.79  
589.32

1.88%  
10.88  
2,660.66  
28,947.95  
29,905.78  
(957.83)

1,665.57  
5.75%

0.03% <sup>1</sup>

Quantity

Value

Adjusted Tax Cost

Original Cost

Unrealized

Gain/Loss

Est. Annual Income

Accrued Interest

Yield

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GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 1/1/14 to 1/31/14

Price

US Fixed Income

METROPOLITAN WEST FDS

TOTAL RET CL I

592905-50-9

RIDGEWORTH SEIX FLOATING-I

TRP INST FLOATING RATE-F

76628T-67-8

10.29

77958B-10-5

COHEN & STEERS PREFERRED SECURITIES

AND INCOME FUND INC

19248X-30-7

BLACKROCK HIGH YIELD BOND

Total US Fixed Income

091929-63-8

\$623,797.47

\$628,471.97

(\$4,674.50)

\$26,684.49

\$158.11

4.28%

8.23

7,292.31

60,015.73

59,201.48

814.25

3,638.86

6.06%

13.05

4,493.97

58,646.27

60,624.00

(1,977.73)

3,828.85

6.53%

5,394.06

55,504.88

55,397.00

107.88

2,276.29

4.10%

9.08

6,502.66

59,044.11

58,784.00

260.11

2,438.49

4.13%

10.68  
7,192.34  
76,814.23  
77,030.00  
(215.77)  
2,373.47  
3.09%

Quantity

Value

Adjusted Tax Cost

Original Cost

Unrealized

Gain/Loss

Est. Annual Income

Accrued Interest

Yield

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GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 1/1/14 to 1/31/14

Portfolio Activity Summary

Beginning Cash Balance

Transactions

INFLOWS

Total Inflows

Income

OUTFLOWS \*\*

Total Outflows

Fees & Commissions

TRADE ACTIVITY

Settled Sales/Maturities/Redemptions

Settled Securities Purchased

Total Trade Activity

Ending Cash Balance

31,005.67

(21,475.00)

\$9,530.67

\$47,214.85

\* Year to date information is calculated on a calendar year basis.

\*\* Your account's standing instructions use a HIGH COST method for relieving assets

from your position

31,005.67

(21,475.00)

\$9,530.67

-Current

Period

Value

36,993.11

2,563.29

\$2,563.29

(1,872.22)

(\$1,872.22)

Year-To-Date

Value\*

-2,563.29

\$2,563.29

(1,872.22)

(\$1,872.22)

Account

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GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 1/1/14 to 1/31/14

Portfolio Activity Detail

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

- 1/2
- 1/2
- 1/2
- 1/2
- 1/2
- 1/2
- 1/2
- 1/2
- 1/2
- 1/2
- 1/3

Interest Income

- Div Domestic

Description

DEPOSIT SWEEP INTEREST FOR 12/01/13 - 12/31/13  
@ .03% RATE ON AVG COLLECTED BALANCE OF  
\$38,012.68 AS OF 01/01/14  
JPM INTREPID EUROPEAN FD - INSTL FUND 1300 @  
0.00533 PER SHARE (ID: 4812A0-68-0)  
JPM STRAT INCOME OPPORT FD - SEL FUND 3844 @  
0.027 PER SHARE (ID: 4812A4-35-1)  
JPM MULTI SECTOR INCOME FD - SEL FUND 2130 @  
0.036 PER SHARE (ID: 48121A-29-0)  
BLACKROCK HIGH YIELD BOND 12/31/13 INCOME  
DIVIDEND @ 0.042 PER SHARE AS OF 12/31/13  
(ID: 091929-63-8)  
EATON VANCE FLOATING-RATE ADVANTAGE I 12/31/13  
INCOME DIVIDEND @ 0.053 PER SHARE AS OF  
12/31/13 (ID: 277923-63-7)  
HSBC FDS TOTAL RETURN I 01/02/14 INCOME  
DIVIDEND @ 0.007 PER SHARE (ID: 40428X-15-6)  
METROPOLITAN WEST FDS TOTAL RET CL I 12/31/13  
INCOME DIVIDEND @ 0.004 PER SHARE AS OF  
12/31/13 (ID: 592905-50-9)  
NUVEEN GLOBAL INFRASTRUCT-I 12/31/13 INCOME  
DIVIDEND @ 0.190 PER SHARE AS OF 12/31/13  
(ID: 670690-51-0)  
DOUBLELINE TOTAL RET BD-I 12/31/13 INCOME

DIVIDEND @ 0.054 PER SHARE AS OF 12/31/13

(ID: 258620-10-3)

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12,498.155

0.054

675.14

2,025.302

0.19

384.81

3,858.567

7,192.344

0.007

0.016

28.82

114.98

7,524.150

0.053

400.59

875.283

3,015.900

4,784.864

7,292.312

0.005

0.027

0.036

0.042

4.67

81.43

172.26

303.05

Quantity

Cost

Per Unit

Amount

Amount

0.96

GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 1/1/14 to 1/31/14

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

1/3

1/3

1/21

Div Domestic

Div Domestic

Description

RIDGEWORTH SEIX FLOATING-I 01/02/14 INCOME

DIVIDEND @ 0.031 PER SHARE AS OF 01/02/14

(ID: 76628T-67-8)

TRP INST FLOATING RATE-F 12/31/13 INCOME

DIVIDEND @ 0.035 PER SHARE AS OF 12/31/13

(ID: 77958B-10-5)

Fees & Commissions JPMORGAN CHASE INVESTMENT MANAGEMENT FEE FOR

THE PERIOD 10-01-2013 TO 12-31-2013

Total Inflows & Outflows

TRADE ACTIVITY

Note:

Trade Date

Settle Date

1/6

1/7

(1,872.22)

\$691.07

5,394.060

0.035

191.17

Quantity

Cost

Per Unit

Amount

6,502.655

0.032

Amount

205.41

S indicates Short Term Realized Gain/Loss

Type

Selection Method Description

Settled Sales/Maturities/Redemptions

Sale

1/14

1/17

1/15

1/21

1/16

1/22

High Cost

Sale  
High Cost  
Sale  
High Cost  
Sale  
High Cost  
HARBOR HIGH YIELD BOND-INST (ID: 411511-55-3)  
NUVEEN GLOBAL INFRASTRUCT-I (ID: 670690-51-0)  
NUVEEN GLOBAL INFRASTRUCT-I (ID: 670690-51-0)  
NUVEEN GLOBAL INFRASTRUCT-I (ID: 670690-51-0)

Quantity  
(935.424)  
(476.505)  
(506.990)  
(506.111)

Per Unit

Amount

10.84  
10.30  
10.30  
10.31

Proceeds

10,140.00  
4,908.00  
5,222.00  
5,218.00

Tax Cost

(10,514.17)  
(4,726.93)  
(5,029.34)  
(5,020.62)

Realized

Gain/Loss

(374.17) S  
181.07 S  
192.66 S  
197.38 S

Account [REDACTED] Page 13 of 14  
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GHISLAINE MAXWELL - TAP DYS ACCT [REDACTED]  
For the Period 1/1/14 to 1/31/14  
Trade Date  
Settle Date  
1/17  
1/23  
Type  
Selection Method Description  
Settled Sales/Maturities/Redemptions  
Sale  
High Cost  
Total Settled Sales/Maturities/Redemptions  
\$31,005.67  
(\$30,605.17)  
\$400.50 S  
NUVEEN GLOBAL INFRASTRUCT-I (ID: 670690-51-0)  
Quantity  
(535.696)  
Per Unit  
Amount  
10.30  
Proceeds  
5,517.67  
Tax Cost  
(5,314.11)  
Realized  
Gain/Loss  
203.56 S  
Trade Date  
Settle Date  
Type  
Description  
Settled Securities Purchased  
1/14  
Purchase  
1/17  
1/15  
1/21  
1/16  
1/22  
1/17  
1/23  
Total Settled Securities Purchased  
Purchase  
Purchase  
Purchase  
CLEARBRIDGE EQUITY INC FD-I CAP & INCOME I  
(ID: 52469H-59-4)  
CLEARBRIDGE EQUITY INC FD-I CAP & INCOME I  
(ID: 52469H-59-4)  
CLEARBRIDGE EQUITY INC FD-I CAP & INCOME I

(ID: 52469H-59-4)  
CLEARBRIDGE EQUITY INC FD-I CAP & INCOME I  
(ID: 52469H-59-4)

Quantity

296.210

283.181

282.731

298.430

Per Unit

Amount

18.47

18.55

18.53

18.47

Market Cost

(5,471.00)

(5,253.00)

(5,239.00)

(5,512.00)

(\$21,475.00)

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JPMorgan Chase Bank, N.A.  
GHISLAINE MAXWELL - TAP ARFI  
270 Park Avenue, New York, NY 10017-2014  
ACCT. [REDACTED]

For the Period 1/1/14 to 1/31/14

Investment Management Account

J.P. Morgan Team

James Buckley

Jason Bell

Janet Young

Gina Magliocco

Jason Grosse

Online access

Banker

Investment Specialist

Client Service Team

Client Service Team

Client Service Team

www.jpmorganonline.com

Please see disclosures located at the end of this statement package for important information relating to each J.P.Morgan account(s).

212/464-1536

212/464-2084

800/634-1318

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Account Summary

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Holdings

Alternative Assets

Cash & Fixed Income

Portfolio Activity

4

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GHISLAINE MAXWELL - TAP ARFI

Account Summary

Asset Allocation

Alternative Assets

Cash & Fixed Income

Market Value

Accruals

Market Value with Accruals

Beginning

Market Value

402,760.32

600,025.84

\$1,002,786.16

691.00

\$1,003,477.16

Ending

Market Value

452,970.32

551,473.04

\$1,004,443.36

355.66

\$1,004,799.02

Current

Portfolio Activity

Beginning Market Value

Withdrawals & Fees

Net Contributions/Withdrawals

Income & Distributions

Change In Investment Value

Ending Market Value

Accruals

Market Value with Accruals

Period Value

1,002,786.16

(1,194.27)

(\$1,194.27)

2,428.61

422.86

\$1,004,443.36

355.66

\$1,004,799.02

Change

In Value

50,210.00

(48,552.80)

\$1,657.20

(335.34)

\$1,321.86

Year-to-Date

Value

1,002,786.16

(1,194.27)  
(\$1,194.27)  
2,428.61  
422.86  
\$1,004,443.36  
355.66  
\$1,004,799.02  
Estimated  
9,825.07  
12,847.32  
Current  
Annual Income Allocation  
45%  
55%  
\$22,672.39  
100%  
Cash &  
Fixed Income  
Asset Allocation  
ACCT. [REDACTED]  
For the Period 1/1/14 to 1/31/14  
Alternative  
Assets [REDACTED]  
Account [REDACTED] Page 2 of 10  
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GHISLAINE MAXWELL - TAP ARFI

Account Summary

Tax Summary

Domestic Dividends/Distributions

Interest Income

Taxable Income

CONTINUED

Current

Period Value

2,427.37

1.24

\$2,428.61

Year-to-Date

Value

2,427.37

1.24

\$2,428.61

Unrealized Gain/Loss

To-Date Value

(\$7,966.75)

ST Realized Gain/Loss

Realized Gain/Loss

Current

Period Value

(1,968.26)

(\$1,968.26)

Year-to-Date

Value

(1,968.26)

(\$1,968.26)

ACCT. V49938000

For the Period 1/1/14 to 1/31/14

Cost Summary

Cash & Fixed Income

550,379.49

Cost

Total

\$550,379.49

Account [REDACTED] Page 3 of 10

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GHISLAINE MAXWELL - TAP ARFI  
Alternative Assets Summary  
Beginning  
Asset Categories  
Hedge Funds  
Estimated Value  
402,760.32  
Alternative Assets Detail  
Price  
Hedge Funds  
GOLDMAN SACHS TR  
STRG INCM INST  
38145C-64-6 GSZI X  
HSBC FDS  
TOTAL RETURN I  
40428X-15-6 HTRI X  
PIMCO UNCONSTRAINED BOND-P  
PRUDENTIAL INVT PORTFOLIOS 9  
72201M-45-3 PUCP X  
9.79  
PRU ABRTN FD Z  
74441J-82-9 PADZ X  
Total Hedge Funds  
\$452,970.32  
\$462,030.62  
9,610.855  
94,090.27  
96,036.62  
11.15  
14,308.333  
159,537.91  
164,832.00  
9.84  
5,780.066  
56,875.85  
59,171.00  
10.58  
13,465.623  
142,466.29  
141,991.00  
Quantity  
Estimated  
Value  
Cost  
ACCT [REDACTED]  
For the Period 1/1/14 to 1/31/14  
Ending  
Estimated Value  
452,970.32  
Change  
In Value

50,210.00

Current

Allocation

45%

Account [REDACTED] Page 4 of 10

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GHISLAINE MAXWELL - TAP ARFI

Cash & Fixed Income Summary

Beginning

Asset Categories

Cash

US Fixed Income

Total Value

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Accrued Interest

Yield

SUMMARY BY MATURITY

1

Cash & Fixed Income

0-6 months

1

Market Value

47,890.81

552,135.03

\$600,025.84

ACCT. [REDACTED]

For the Period 1/1/14 to 1/31/14

Ending

Market Value

48,846.15

502,626.89

\$551,473.04

Current

Period Value

551,473.04

550,379.49

1,093.55

12,847.32

355.66

2.32%

SUMMARY BY TYPE

Market

Value

551,473.04

% of Bond

Portfolio

100%

The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

Cash & Fixed Income

Cash

Mutual Funds

Total Value

Market

Value

48,846.15

502,626.89

\$551,473.04

% of Bond

Portfolio

8%

92%

100%

US Fixed Income

Change

In Value

955.34

(49,508.14)

(\$48,552.80)

Current

Allocation

5%

50%

55%

Cash

Asset Categories

Cash & Fixed Income as a percentage of your portfolio - 55 %

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GHISLAINE MAXWELL - TAP ARFI ACCT. [REDACTED]

For the Period 1/1/14 to 1/31/14

Note:

1

This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account.

Cash & Fixed Income Detail

Price

Cash

US DOLLAR

1.00

48,846.15

48,846.15

48,846.15

14.65

1.28

US Fixed Income

DOUBLELINE TOTAL RET BD-I

EATON VANCE FLOATING RATE-I

JPM STRAT INCOME OPPORT FD - SEL

258620-10-3

9.20

277911-49-1

11.91

FUND 3844

4812A4-35-1

JPM MULTI SECTOR INCOME FD - SEL

FUND 2130

48121A-29-0

RBC FDS TR

BLUBAY ABRTR I

74926P-34-0

Total US Fixed Income

\$502,626.89

\$501,533.34

\$1,093.55

\$12,832.67

\$354.38

2.55%

10.26

10.39

9,651.54

14,793.79

99,024.82

153,707.45

99,024.83

150,434.00

(0.01)

3,273.45

10,517.98

125,269.08

125,689.80

(420.72)

3,102.80

84.14

2,326.02

270.24

1,937.98

2.48%

2.35%

1.26%

8,191.63

75,363.00

75,281.00

82.00

2,973.56

3.95%

10.97

4,490.66

49,262.54

51,103.71

(1,841.17)

2,492.31

5.06%

0.03% <sup>1</sup>

Quantity

Value

Adjusted Tax Cost

Original Cost

Unrealized

Gain/Loss

Est. Annual Income

Accrued Interest

Yield

Account XXXXXXXXXX Page 6 of 10

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GHISLAINE MAXWELL - TAP ARFI ACCT [REDACTED]

For the Period 1/1/14 to 1/31/14

Portfolio Activity Summary

Beginning Cash Balance

Transactions

INFLOWS

Total Inflows

Income

OUTFLOWS \*\*

Total Outflows

Fees & Commissions

TRADE ACTIVITY

Settled Sales/Maturities/Redemptions

Settled Securities Purchased

Total Trade Activity

Ending Cash Balance

76,002.00

(76,281.00)

(\$279.00)

\$48,846.15

\* Year to date information is calculated on a calendar year basis.

\*\* Your account's standing instructions use a HIGH COST method for relieving assets

from your position

76,002.00

(76,281.00)

(\$279.00)

-Current

Period

Value

47,890.81

2,428.61

\$2,428.61

(1,194.27)

(\$1,194.27)

Year-To-Date

Value\*

-2,428.61

\$2,428.61

(1,194.27)

(\$1,194.27)

Account

[REDACTED] Page 7 of 10

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GHISLAINE MAXWELL - TAP ARFI ACCT. [REDACTED]

For the Period 1/1/14 to 1/31/14

Portfolio Activity Detail

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

1/2

1/2

1/2

1/2

1/2

1/2

1/3

1/3

1/3

Interest Income

Div Domestic

Description

DEPOSIT SWEEP INTEREST FOR 12/01/13 - 12/31/13

@ .03% RATE ON AVG COLLECTED BALANCE OF

\$46,902.70 AS OF 01/01/14

JPM STRAT INCOME OPPORT FD - SEL FUND 3844 @

0.027 PER SHARE (ID: 4812A4-35-1)

JPM MULTI SECTOR INCOME FD - SEL FUND 2130 @

0.036 PER SHARE (ID: 48121A-29-0)

EATON VANCE FLOATING RATE-I 12/31/13 INCOME

DIVIDEND @ 0.037 PER SHARE AS OF 12/31/13

(ID: 277911-49-1)

HSBC FDS TOTAL RETURN I 01/02/14 INCOME

DIVIDEND @ 0.007 PER SHARE (ID: 40428X-15-6)

PRUDENTIAL INVT PORTFOLIOS 9 PRU ABRTN FD Z

12/31/13 INCOME DIVIDEND @ 0.027 PER SHARE AS

OF 12/31/13 (ID: 74441J-82-9)

DOUBLELINE TOTAL RET BD-I 12/31/13 INCOME

DIVIDEND @ 0.054 PER SHARE AS OF 12/31/13

(ID: 258620-10-3)

GOLDMAN SACHS TR STRG INCM INST 12/31/13 INCOME

DIVIDEND @ 0.029 PER SHARE AS OF 12/31/13

(ID: 38145C-64-6)

PIMCO UNCONSTRAINED BOND-P 12/31/13 INCOME

DIVIDEND @ 0.008 PER SHARE AS OF 12/31/13

(ID: 72201M-45-3)

14,308.333

0.008

114.40  
8,675.454  
0.032  
273.92  
9,074.145  
0.054  
490.18  
5,780.066  
9,610.855  
0.007  
0.027  
43.17  
263.72  
12,677.824  
9,651.542  
5,463.943  
0.027  
0.036  
0.037  
342.30  
347.46  
200.89  
Quantity  
Cost  
Per Unit  
Amount  
Amount  
1.24

GHISLAINE MAXWELL - TAP ARFI ACCT. [REDACTED]

For the Period 1/1/14 to 1/31/14

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

1/3

1/21

Div Domestic

Description

RBC FDS TR BLUBAY ABRTR I 12/31/13 INCOME

DIVIDEND @ 0.024 PER SHARE AS OF 12/31/13

(ID: 74926P-34-0)

Fees & Commissions JPMORGAN CHASE INVESTMENT MANAGEMENT FEE FOR

THE PERIOD 10-01-2013 TO 12-31-2013

Total Inflows & Outflows

TRADE ACTIVITY

Note:

Trade Date

Settle Date

1/21

1/22

1/21

1/22

(1,194.27)

\$1,234.34

Quantity

Cost

Per Unit

Amount

14,793.787

0.024

Amount

351.33

S indicates Short Term Realized Gain/Loss

Type

Selection Method Description

Settled Sales/Maturities/Redemptions

Sale

High Cost

Sale

High Cost

Total Settled Sales/Maturities/Redemptions

\$76,002.00

(\$77,970.26)

(\$1,968.26) S

JPM STRAT INCOME OPPORT FD - SEL FUND 3844 JP

MORGAN CHASE BANK AS SHAREHOLDER SERVICING

AGENT @ 11.93 (ID: 4812A4-35-1)

DOUBLELINE TOTAL RET BD-I (ID: 258620-10-3)

(4,583.485)

10.96

50,235.00  
(52,160.06)  
(1,925.06) S  
Quantity  
(2,159.849)  
Per Unit  
Amount  
11.93  
Proceeds  
25,767.00  
Tax Cost  
(25,810.20)  
Realized  
Gain/Loss  
(43.20) S

Account [REDACTED] Page 9 of 10  
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GHISLAINE MAXWELL - TAP ARFI ACCT. [REDACTED]

For the Period 1/1/14 to 1/31/14

Trade Date

Settle Date

Type

Settled Securities Purchased

1/21

Purchase

1/22

1/21

1/22

Total Settled Securities Purchased

Purchase

Description

EATON VANCE FLOATING RATE-I (ID: 277911-49-1)

GOLDMAN SACHS TR STRG INCM INST

(ID: 38145C-64-6)

Quantity

2,727.687

4,790.169

Per Unit

Amount

9.21

10.68

Market Cost

(25,122.00)

(51,159.00)

(\$76,281.00)

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Consolidated Statement Page 75

For the Period 1/1/14 to 1/31/14

For your convenience we have combined statement(s) for activity you conduct through J.P. Morgan in one package. Below are important disclosures relating to these different accounts.

These statements may relate to various account types. Some of the disclosures are applicable to all of your accounts. For ease of reference the disclosures applicable to a particular type of account have been grouped together by descriptive headers.

**IMPORTANT GENERAL INFORMATION APPLICABLE TO ALL OF YOUR ACCOUNT(S)**

**Important Information about Pricing ,Valuations, Estimated Annual Income, and Estimated Yield**

Market value information (including without limitation, prices, exchange rates, accrued income and bond ratings) furnished herein, some of which has been provided by pricing sources that J.P. Morgan believes to be reliable, is not guaranteed for accuracy but provided for informational purposes and is furnished for the exclusive use of the client. The current price is the value of the financial asset share, unit or contract as priced at the close of the market on the last day of the statement period or the last available price. All values provided for structured yield deposits (for example, JPMorgan London Time Deposits) reflect the original deposit amount only. The value for Real Estate, Mineral Interests and Miscellaneous Assets may not reflect the most current value of the asset.

Important information regarding Auction Rate Securities (ARS). ARS are debt or preferred securities with an interest or dividend rate reset periodically in an auction. Although there may be daily, weekly and monthly resets, there is no guarantee that there will be liquidity. If there are not enough bids at an auction to redeem the securities available for sale, the result may be a failed auction. In the event of a failed auction, there is no assurance that a secondary market will develop or that the security will trade at par or any other price reflected on statements and online. Accordingly, investors should not rely on pricing information appearing in their statements or online with respect to ARS. When J.P. Morgan is unable to obtain a price from an internal or outside source for a particular ARS, the price column on your statement will indicate "unpriced".

Valuations of over-the-counter derivative transactions, including certain derivatives-related deposit products, have been prepared on a mid-market basis. These valuations are sourced from the various issuers of the securities or they are sourced from a third party valuation provider. J.P. Morgan expressly disclaims any responsibility for (1) the accuracy of the models or estimates used in deriving the valuations, (2) any errors or omissions in computing or disseminating the valuations, and (3) any uses to which the valuations are put. Valuations are provided for information purposes only and are intended solely for your own use. Please refer to the trade confirmation for details of each transaction.

Certain assets, including but not limited to, pooled and private investments, non-publicly traded and infrequently traded securities, derivatives, partnership interests and tangible assets are generally illiquid, the value of such asset may have been provided to us by third

parties who may or may not be independent of the issuer or manager. Such information is reflected as of the last date provided to us, and is not independently verified.

In cases where we are unable to obtain a current market value from an internal or outside source for a particular security, the price column on your statement will indicate "unpriced". Although such securities may have value, please note that the value of a security indicated as "unpriced" will not be included in your overall current market value as reflected on the statement.

J.P. Morgan makes no representation, warranty or guarantee, express or implied, that any quoted value represents the actual terms at which securities could be bought or sold or new transactions could be entered into, or the actual terms on which existing transactions or securities could be liquidated. Such values may only be indicative.

When we are unable to obtain a current value from an internal or outside source for a particular security, the price column on your statement will indicate "unpriced".

If a partial call is made with respect to an issue of securities included in your Accounts we will allocate the call by a method we deem fair and equitable.

To the extent applicable, please note the following regarding estimated annual income (EAI) and estimated yield (EY): EAI and EY for certain types of securities could include a return of principal or capital gains in which case the EAI and EY would be overstated. EAI and EY are estimates and the actual income and yield might be lower or higher than the estimated amounts. EY reflects only the income generated by an investment. It does not reflect changes in its price, which may fluctuate.

Offshore Deposits - London and Nassau

Disclosures Page 1 of 5

For the Period 1/1/14 to 1/31/14

Deposits in Foreign Branches are not insured by the FDIC or any other Agency of the Federal Government; amounts in such foreign accounts do not have the benefit of any domestic preference applicable to U.S. Banks; certain Foreign accounts are considered reportable to the Internal Revenue Service on a Report of Foreign Bank and Financial Accounts (TD F 90-22.1).

Bank products and services are offered through JPMorgan Chase Bank, N.A. ("JPMCB") and its banking affiliates. Securities are offered by J.P.Morgan Securities LLC ("JPMS") and, to the extent noted below, cleared through J.P. Morgan Clearing Corp. ("JPMCC"). Neither JPMS, nor JPMCC is a bank and are each separate legal entities from its bank or thrift affiliates.

Investment Products: Not FDIC Insured

-No Bank Guarantee -May Lose Value

Fund manager disclosure information available upon request

If you have an investment account that is managed by an SEC-Registered Investment Advisor, J.P. Morgan will provide a copy of the advisor's Form ADV II or brochure upon written request.

These statements are not official documents for income tax reporting purposes and should not be relied upon for such purposes, including determination of income, cost basis, amortization or accretion, or gain/loss. Such information, which may be inaccurate, incomplete or subject to updating, should be confirmed with your records and your tax advisor.

Please take the steps indicated below if you think statement(s) are incorrect or contact your J.P.Morgan team if you require additional information about a transaction on your statement(s).

**IMPORTANT ADDITIONAL INFORMATION APPLICABLE ONLY TO YOUR ASSET ACCOUNT(S)  
(LINKED TO JPMS)**

Your Asset Account consists of a bank account that custodies assets linked to a brokerage account through which securities transactions are executed. As a result, the Asset Account statement(s) reflect brokerage transactions executed through JPMS but (except for exchange listed options) held in custody at JPMCB. Securities purchased or sold through JPMS in U.S. markets (other than mutual funds) are cleared through an affiliate of JPMS, in non-U.S. markets securities are cleared through JPMS. Positions in exchange-listed options are held by JPMCC. For your convenience, however, positions in exchange-listed options are presented in Asset Account statement(s) together with other assets held in such account(s). All pertinent information about your settled and pending purchases and sales effected through your JPMS account during the period covered by these statement(s), is summarized in the "Trade Activity" portion of the statement(s).

**In Case of Other Errors or Questions About Your Asset Account Statement(s)**  
Please review your statement(s) and promptly report any inaccuracy or discrepancy including possible unauthorized trading activity, unrecorded dividend payments, unaccounted cash positions,

improper payments or transfers in writing to both the introducing broker, JPMS and the clearing firm, JPMCC at the addresses shown on your statement(s). Any oral communication should be re-confirmed in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA). If you have any questions please contact your J.P.Morgan team.

In your written communication, please provide the following information: (1) your name and account number; (2) the dollar amount of the suspected error; and (3) a description of the error and explanation, if you can, why you believe there is an error. If you need more information, you must describe the item you are unsure about. We must receive your written communication no later than 30 days after the statement on which the error or problem appeared is sent or made available. If you do not so notify us, you agree that the statement activity and account balance(s) are correct.

JPMCC and JPMS are members of the Securities Investor Protection Corp ("SIPC"), a not-for-profit membership corporation funded by broker-dealers registered with the Securities and Exchange Commission. Securities and cash held for a customer at JPMCC are protected by SIPC up to \$500,000 per customer, which includes up to \$250,000 of protection for cash. SIPC does not protect against losses from fluctuations in the value of the securities. Assets held in custody by JPMCB are not subject to SIPC. You may obtain information about SIPC, including the SIPC Brochure, on their website, at "[www.sipc.org](http://www.sipc.org)" or by contacting them at (202) 371-8300.

In Case of Errors or Questions About Your Electronic Transfers.

Disclosures Page 2 of 5

For the Period 1/1/14 to 1/31/14

Contact your J.P. Morgan Team at one of the telephone numbers on the front of your statements or write us at J.P. Morgan, 500 Stanton Christiana Road, 1/OPS3, Newark, DE 19713-2107 as soon as you can, if you think your statement is wrong or if you need more information about a transfer on the statement. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared. (1) Tell us your name and account number. (2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information. (3) Tell us the dollar amount of the suspected error. We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

**In Case of Errors or Questions About Non-Electronic Transfers (Checks or Deposits)**

Contact JPMorgan Chase Bank, N.A. ("JPMCB") Member FDIC immediately if a statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing as soon as possible after your statement was made available to you. For more complete details, see the applicable account agreements and appendices that govern your account.

Deposit products and services are offered by JPMCB Member FDIC.

You must promptly advise your J.P.Morgan representative of material changes in your investment objectives or financial situation or if you wish to modify the management of your account. Unless you inform otherwise, your J.P.Morgan representative will consider the information currently in its files to be complete and accurate.

**IMPORTANT ADDITIONAL INFORMATION APPLICABLE ONLY TO YOUR INVESTMENT MANAGEMENT ACCOUNT(S) WHICH REFLECT ASSETS HELD AT JPMORGAN CHASE BANK, N.A.**

In case of other errors or questions about other account statement(s) Please review your account statement(s) and promptly report any inaccuracy or discrepancy including possible unauthorized trading activity, unrecorded dividend payments, unaccounted cash positions, improper payments or transfers in writing to JPMCB at the addresses shown on your statement(s). Any oral communication should be re-confirmed in writing to further protect your rights. If you have any questions please contact your J.P. Morgan team.

In your written communication, please provide the following information: (1) your name and account number; (2) the dollar amount of the suspected error; and (3) a description of the error and explanation, if you can, why you believe there is an error. If you need more information, you must describe the item you are unsure about. We must receive your written communication no later than 30 days after the statement on which the error or problem appeared is sent or made available. If you do not so notify us, you agree that the statement activity and account balance(s) are correct.

You must promptly advise your J.P.Morgan representative of material changes in your investment objectives or financial situation or if you wish to modify the management of your account. Unless you inform otherwise, your J.P.Morgan representative will consider the information currently in its files to be complete and accurate.

With reference to JPMS and JPMCC: A financial statement of this organization is available to you for personal inspection at its offices, or a copy will be mailed to you upon written request.

You should have received (or have made available to you) separate confirmations for each securities transaction. All transactions are subject to the terms and conditions stated on the reverse side of such confirmations and are subject to the constitution, by-laws, customs and interpretations of the marketplace where executed and governed by and construed in accordance with the laws of the State of New York and all applicable federal laws and regulations. Further information with respect to commissions and other charges related to the execution of transactions, including options transactions, has been included in confirmations that were previously furnished or have made available to you. Upon written request, JPMS will promptly supply you with the latest information.

**IMPORTANT ADDITIONAL INFORMATION APPLICABLE ONLY TO YOUR MARGIN ACCOUNT(S)**

All positions in your Margin Account Portfolio(s) are held in custody at J.P. Morgan Clearing Corp. ("JPMCC"), Three Chase Metrotech Center, Brooklyn, NY 11245-001, (347) 643-2578.

If you have a margin account as permitted by law we may use certain securities in such account for, among other things, settling short sales and lending the securities for short sales, and as a result may receive compensation in connection therewith.

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For the Period 1/1/14 to 1/31/14

Please keep the following in mind when using a statement to track your brokerage activity in a margin account: The statement combines your general margin account with the special memorandum account required by Section 220.6 Regulation T. As required by Regulation T, a permanent record of your separate account is available upon your request. Free credit balances in your margin account(s) are not segregated and may be used in the operation of JPMCCs business, subject to the limitations of SEC Rule 15c3-3. Unless otherwise noted, JPMCC or its agents and depositories will hold your securities. Upon your demand, JPMCC will pay to you the amount of your free credit balance, and will deliver to you fully-paid securities held on your behalf.

Interest will be charged on any debit balance; the method of calculating interest is described in a letter sent to all margin customers.

You must promptly advise your J.P.Morgan representative of material changes in your investment objectives or financial situation or if you wish to modify the management of your account. Unless you inform otherwise, your J.P.Morgan representative will consider the information currently in its files to be complete and accurate.

PRODUCT RELATED DISCLOSURE: IMPORTANT INFORMATION ABOUT ALTERNATIVE INVESTMENTS: FOOTNOTES 1 - 4

Private Equity, Hedge Funds, Exchange Funds, Real Estate and Other Alternative Assets

1. Direct private equity investments and pooled private investments (e.g., interests in limited partnerships and limited liability companies) are generally illiquid securities. Values are estimates only and are not warranted for accuracy or completeness. Values do not represent the actual terms at which transactions or securities could be bought or sold or new transactions could be entered into or the actual terms on which existing transactions could be liquidated as of the date of this statement.

Direct private equity investments are generally valued at cost, unless there is an active secondary trading market in the securities, in which case, direct private equity investments are valued using market

prices as of the close of the last business day for this statement period.

Pooled private equity investments are valued according to the General Partner's or Manager's stated methodology. Please refer

to the limited partnership agreement, limited liability company agreement or other operative documentation for each investment for the specific valuation methodology used by the General Partner or

Manager for that investment or for your Investment Management or Trust account contact your J.P. Morgan team. J.P. Morgan expressly disclaims any responsibility for (1) the accuracy of the

information and the methodology used by the General Partner or Manager in deriving valuations, (2) any errors or omissions in compiling or disseminating the valuations, and (3) any use to which the valuations are put. Valuations are provided for information purposes only and are intended solely for your own use.

Hedge funds generally calculate the price (the "Net Asset Value" or "NAV"), 10-15 business days following the last business day of the month. For that reason, hedge fund NAVs shown will generally be

the NAV of the month preceding this statement period. In general, the NAV is stated net of management and incentive fees. The NAV is calculated according to the General Partner's or Manager's stated methodology. Please refer to the limited partnership agreement, limited liability company agreement or other operative documentation for each hedge fund for the specific methodology used by the General Partner or Manager for that hedge fund or for your Investment Management or Trust account contact your J.P. Morgan team. J.P. Morgan expressly disclaims any responsibility for (1) the accuracy of the information and the methodology used by the General Partner or Manager in deriving valuations, (2) any errors or omissions in compiling or disseminating the valuations, and (3) any use to which the valuations are put. Valuations are provided for information purposes only and are intended solely for your own use.

These statements do not provide tax reporting on private investments. Please continue to rely upon the General Partner or Manager of the investment vehicle for this information or for your Investment Management or Trust account contact your J.P. Morgan team.

2. The 'Capital Called Since Inception USD' and 'Cash/Security distributions Since Inception USD' columns are updated monthly to reflect activity (capital calls and distributions), if any.

3. Hedge funds generally allow subscriptions on a monthly or quarterly basis. An interest in a hedge fund or hedge fund of funds is generally as of the first business day of the subscription period. Due to early funding requirements by the applicable fund, your account may be debited for the subscription amount prior to the subscription date. Please refer to the applicable hedge fund's operative documentation for further details or for your Investment Management or Trust account contact your J.P. Morgan team.

Hedge fund redemptions are generally on a monthly, quarterly or annual basis but can sometimes be subject to a multi-year lockup before a redemption is permitted. For redemptions, there is generally a notification period that can be a long interval before the actual redemption date. Redemption proceeds are generally paid 15 calendar days after the final NAV is issued, but can be subject to a holdback of a portion of the proceeds until an annual audit of the Fund has been completed. Please refer to the applicable hedge fund's operative documentation for further details or for your Investment Management or Trust account contact your J.P. Morgan team.

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For the Period 1/1/14 to 1/31/14

Global Access Portfolios share certain characteristics with hedge funds. In particular, an investor who withdraws from a Global Access Portfolio will not receive the withdrawal proceeds in their entirety until 30-60 days after completion of the Portfolio's audit, which may be 18 months or longer after the redemption date. Interest might not be paid on any proceeds pending distribution. These restrictions apply even if the investor's account at JPMorgan is closed. The Confidential Private Placement Memorandum and applicable Supplements contain other important information about the Global Access Portfolios and are available upon request or for your Investment Management or Trust account contact your J.P. Morgan team.

4. Alternative assets may include publicly available mutual funds that utilize non-traditional investment management strategies, for example, strategies commonly employed by hedge funds. Mutual funds generally calculate the price (the "Net Asset Value" or "NAV") on a daily basis and mutual fund NAVs shown on your statement generally will be the NAV as of the close of the last business day for this statement period. Please refer to the applicable mutual fund prospectus for further details. If the applicable mutual fund is a JPMorgan Fund, please refer to disclosures on this statement concerning JPMorgan Funds for other important information.

**PRODUCT RELATED DISCLOSURE: THE JPMORGAN FUNDS OR THIRD PARTIES**

Shares of the funds referenced above are not bank deposits and are not guaranteed by any bank, government entity, or the FDIC. Return and share price will fluctuate and redemption value may be more or less than original cost. While the money market funds seek to maintain a stable net asset value of \$1.00 per share, there is no assurance that they will continue to do so. The estimated annual income and dividend yield figures for mutual funds represent the funds' most recent income dividend annualized.

Prospectuses and other fund information for JPMorgan funds may be obtained by calling your J.P. Morgan team or JPMorgan Distribution Services, Inc. at (800) 480-4111. You also may view and

order materials online for JPMorgan funds at [www.jpmorganfunds.com](http://www.jpmorganfunds.com).

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