

GHISLAINE MAXWELL

MIAMI FL 33139-1043

For the Period 2/1/14 to 2/28/14

Account Summary

Account
Number

Investment Account(s)

GHISLAINE MAXWELL

GHISLAINE MAXWELL

GHISLAINE MAXWELL - OAP IHD

GHISLAINE MAXWELL - TAP DYS

GHISLAINE MAXWELL - TAP ARFI

Total Value

[REDACTED]¹
[REDACTED]²
[REDACTED]
[REDACTED]
[REDACTED]

Beginning Net

Market Value

5,246,357.70

430.00

1,851,554.52

1,036,361.25

1,004,799.02

\$9,139,502.49

Ending Net

Market Value

5,275,564.06

0.00

150,094.44

126,444.68

19,270.99

\$5,571,374.17

This account summary is provided for informational purposes and includes assets at different entities.

(1) Assets held at JPMorgan Chase Bank, N.A., member Federal Deposit Insurance Corporation ("FDIC"), except for exchange-listed options, which are held at JPMorgan Clearing Corporation ("JPMCC"). The Asset Account Statement reflects brokerage transactions executed through J.P. Morgan Securities LLC ("JPMS"), see "Portfolio Activity Detail". Equity securities, fixed income securities, and listed options transactions are generally cleared through JPMCC, a wholly owned subsidiary of JPMS. Please see "Additional Information About Your Accounts" at the end of the Asset Account Statement.

(2) Assets held in Margin Account at JPMCC, member Financial Regulatory Authority ("FINRA") and Securities Insurance Protection Corporation ("SIPC"). The Margin Account Statement reflects brokerage transactions executed by JPMS, see "Portfolio Activity Detail". Such transactions are cleared and carried through JPMCC. Please see disclosures located at the end of this statement package for important information relating to each J.P.Morgan account(s).

Change
In Value
29,206.36
(430.00)
(1,701,460.08)
(909,916.57)
(985,528.03)
(\$3,568,128.32)

Start on

Page

4

32

35

50

65

0000039029.00.0.9E.00001.MAXWE04.20140304

Consolidated Statement Page 1

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For the Period 2/1/14 to 2/28/14

Consolidated Summary

INVESTMENT ACCOUNTS

Asset Allocation

Equity

Alternative Assets

Cash & Fixed Income

Other

Market Value

Accruals

Market Value with Accruals

Beginning

Market Value

3,119,029.47

723,324.88

5,120,049.43

162,777.00

\$9,125,180.78

14,321.71

\$9,139,502.49

Ending

Market Value

1,305,733.71

63,067.77

4,014,098.76

167,376.76

\$5,550,277.00

21,097.17

\$5,571,374.17

Change

In Value

(1,813,295.76)

(660,257.11)

(1,105,950.67)

4,599.76

(\$3,574,903.78)

6,775.46

(\$3,568,128.32)

Estimated

55,929.12

21,094.19

\$77,023.31

Current

Annual Income Allocation

24%

1%

72%

3%

100%

Alternative

Assets

Cash &
 Fixed Income
 Equity
 Asset Allocation
 Other
 Current
 Portfolio Activity
 Beginning Market Value
 Net Contributions/Withdrawals
 Income & Distributions
 Change in Investment Value
 Ending Market Value
 Accruals
 Market Value with Accruals
 Period Value
 9,125,180.78
 (3,754,496.50)
 35,629.13
 143,963.59
 \$5,550,277.00
 21,097.17
 \$5,571,374.17
 Year-to-Date
 Value
 9,254,763.38
 (3,763,418.41)
 52,160.66
 6,771.37
 \$5,550,277.00
 21,097.17
 \$5,571,374.17

This Consolidated Summary shows all of your investments at J.P. Morgan other than investments we hold in trust for you. These investments may be held in custody or investment

management account at JPMorgan Chase Bank, N.A. (the "Bank") or in a brokerage or margin account at J.P. Morgan Clearing Corp. ("JPMCC").

Brokerage and margin accounts are

non-discretionary and all investment decisions are made by the client. J.P. Morgan Securities LLC ("JPMS") does not provide advice on asset allocation or investment management

services, nor do its personnel take discretion over any client accounts.

Such advice and services are provided exclusively by the Bank.

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For the Period 2/1/14 to 2/28/14
Consolidated Summary
INVESTMENT ACCOUNT(S) YEAR-TO-DATE
Portfolio Activity

GHISLAINE MAXWELL
GHISLAINE MAXWELL
GHISLAINE MAXWELL - OAP IHD
GHISLAINE MAXWELL - TAP DYS
GHISLAINE MAXWELL - TAP ARFI

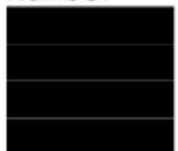
Total Value

Tax Summary

GHISLAINE MAXWELL
GHISLAINE MAXWELL - OAP IHD
GHISLAINE MAXWELL - TAP DYS
GHISLAINE MAXWELL - TAP ARFI

Total Value

Account
Number



Account
Number



Beginning
Market Value
5,251,123.87
430.00
1,964,165.75
1,036,257.60
1,002,786.16
\$9,254,763.38

Taxable
Income

10,540.96
30,467.67
4,840.70
4,118.67
\$49,968.00
Net Contributions/
Withdrawals
430.01
(430.00)
(1,842,875.39)
(926,993.87)
(993,549.16)

(\$3,763,418.41)

Tax-Exempt

Income

2,192.66

9,772.82

400.50

\$2,192.66

¹Unrealized Gain/Loss represents data from the time of account inception to the current statement period.

(1,968.26)

\$8,205.06

1,557.88

(43.12)

\$1,514.76

Other Income

& Receipts

30,467.67

4,840.70

4,118.67

\$52,160.66

Realized Gain/Loss

Short-term

Long-term

(14,229.93)

12,340.25

5,915.32

\$6,771.37

Income &

Distributions

12,733.62

Change in

Investment Value

2,745.73

Ending Market Value

with Accruals

5,275,564.06

0.00

150,094.44

126,444.68

19,270.99

\$5,571,374.17

Unrealized

Gain/Loss¹

102,190.86

4,841.98

5,885.86

\$112,918.70

CONTINUED

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JPMorgan Chase Bank, N.A.

GHISLAINE MAXWELL

[REDACTED], New York, NY 10017-2014

ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

Asset Account

J.P. Morgan Team

James Buckley

Jason Bell

Janet Young

Gina Magliocco

Jason Grosse

Online access

Banker

Investment Specialist

Client Service Team

Client Service Team

Client Service Team

www.jpmorganonline.com

Please see disclosures located at the end of this statement package for important information relating to each J.P.Morgan account(s).

[REDACTED]
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Account Summary

Holdings

Equity

Alternative Assets

Cash & Fixed Income

Other Assets

Portfolio Activity

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GHISLAINE MAXWELL

Account Summary

Asset Allocation

Equity

Alternative Assets

Cash & Fixed Income

Other

Market Value

Accruals

Market Value with Accruals

Beginning

Market Value

1,117,026.41

59,229.07

3,897,134.07

162,777.00

\$5,236,166.55

10,191.15

\$5,246,357.70

Ending

Market Value

1,116,133.63

63,067.77

3,920,455.07

167,376.76

\$5,267,033.23

8,530.83

\$5,275,564.06

Current

Portfolio Activity

Beginning Market Value

Contributions

Withdrawals & Fees

Securities Transferred In

Securities Transferred Out

Net Contributions/Withdrawals

Income & Distributions

Change In Investment Value

Ending Market Value

Accruals

Market Value with Accruals

Period Value

5,236,166.55

430.00

(139.13)

927,739.66

(927,600.52)

\$430.01

3,624.73

26,811.94

\$5,267,033.23

8,530.83
\$5,275,564.06
Change
In Value
(892.78)
3,838.70
23,321.00
4,599.76
\$30,866.68
(1,660.32)
\$29,206.36
Year-to-Date
Value
5,251,123.87
430.00
(368.58)
2,164,805.86
(2,164,437.27)
\$430.01
12,733.62
2,745.73
\$5,267,033.23
8,530.83
\$5,275,564.06
Estimated
50,062.72
18,817.90
\$68,880.62
Current
Annual Income Allocation
22%
1%
74%
3%
100%
Cash &
Fixed Income
Equity
Other
Alternative
Assets
Asset Allocation
ACCT. [REDACTED]
For the Period 2/1/14 to 2/28/14
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GHISLAINE MAXWELL

Account Summary

Tax Summary

Domestic Dividends/Distributions

Foreign Dividends

Interest Income

Taxable Income

Tax-Exempt Income

Bond Premium Amortization

Tax-Exempt Income

CONTINUED

Current

Period Value

22.58

2,102.13

\$2,124.71

2,500.00

(999.98)

\$1,500.02

Year-to-Date

Value

1,488.05

729.33

8,323.58

\$10,540.96

3,750.00

(1,557.34)

\$2,192.66

Unrealized Gain/Loss

ACCT. ██████████

For the Period 2/1/14 to 2/28/14

To-Date Value

\$102,190.86

Note: * This summary is for informational purposes only and is not to be used for any financial or tax purposes. This summary does not include securities which are taxable at the federal level but are state exempt. This summary may not include all income derived from Municipal Securities; Please consult your tax advisor for tax implications.

¹ Other category combines all the remaining Tax Exempt Income generated by securities in the account which may not have a state affiliation.

Tax-Exempt Income

Summary by State*

MA

TX

Current

Period Value

1,500.02

Year-to-Date

Value

692.64

1,500.02

Tax-Exempt Income

Summary by State*

Tax-Exempt Income

Current

Period Value

\$1,500.02

Year-to-Date

Value

\$2,192.66

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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

Account Summary

Cost Summary

Equity

Cash & Fixed Income

Other

Total

CONTINUED

Cost

1,034,682.24

3,893,728.70

92,027.29

\$5,020,438.23

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GHISLAINE MAXWELL

Equity Summary

Asset Categories

US Large Cap Equity

US Small/Mid Cap Equity

Non-US Equity

Preferred Stocks

Concentrated & Other Equity

Total Value

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Accrued Dividends

Yield

Beginning

Market Value

495,228.99

15,060.00

159.92

440,937.00

165,640.50

\$1,117,026.41

Ending

Market Value

489,081.56

14,265.00

172.57

445,348.00

167,266.50

\$1,116,133.63

Current

Period Value

1,116,133.63

1,034,682.24

81,451.39

50,062.72

4,028.00

4.47%

Preferred Stocks

Equity as a percentage of your portfolio - 22 %

Change

In Value

(6,147.43)

(795.00)

12.65

4,411.00

1,626.00

(\$892.78)

Current

Allocation

9%

1%

1%

8%

3%

22%

US Large Cap Equity

US Small/Mid Cap Equity

Non-US Equity

Concentrated & Other Equity

Asset Categories

ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

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GHISLAINE MAXWELL

ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

Equity Detail

Price

US Large Cap Equity

APACHE CORP

037411-10-5 APA

BANK OF AMERICA CORP

CITIGROUP INC NEW

GENERAL MOTORS CO

SCHLUMBERGER LTD

SPDR S&P 500 ETF TRUST

TWITTER INC

060505-10-4 BAC

48.63

172967-42-4 C

36.20

37045V-10-0 GM

93.00

806857-10-8 SLB

186.29

78462F-10-3 SPY

54.91

90184L-10-2 TWTR

Total US Large Cap Equity

\$489,081.56

\$435,852.56

\$53,229.00

\$6,985.22

\$45.20

US Small/Mid Cap Equity

GERON CORP

374163-10-3 GERN

1.43%

2,000.000

109,820.00

90,320.00

19,500.00

1,495.000

278,503.55

250,407.85

28,095.70

113.000

10,509.00

9,524.04

984.96

180.80

45.20

5,009.74

1.72%

1.80%
1,373.000
49,702.60
50,000.00
(297.40)
1,647.60
3.31%
358.000
17,409.54
14,048.52
3,361.02
14.32
0.08%
16.53
944.000
15,604.32
10,148.85
5,455.47
37.76
0.24%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Inc.
Accrued Div.
Yield
79.29
95.000
7,532.55
11,403.30
(3,870.75)
95.00
1.26%
4.76
3,000.000
14,265.00
18,129.32
(3,864.32)

GHISLAINE MAXWELL ACCT. XXXXXXXXXX
 For the Period 2/1/14 to 2/28/14
 Price
 Non-US Equity
 RIO TINTO PLC
 ISIN GB0007188757 SEDOL 0718875
 766994-90-9 BP
 Preferred Stocks
 CITIGROUP CAPITAL XIII
 7 7/8% PFD
 173080-20-1 C PN NA /BA1
 COUNTRYWIDE CAPITAL V
 7% PFD
 222388-20-9 CFC PB
 JPM CHASE CAPITAL XXIX
 6.7% PFD
 48125E-20-7 JPM PC
 Total Preferred Stocks
 25.82
 2,500.000
 64,550.00
 \$445,348.00
 62,500.00
 \$422,578.96
 2,050.00
 \$22,769.04
 4,187.50
 \$31,227.50
 6.49%
 7.01%
 25.19
 4,200.000
 105,798.00
 98,778.96
 7,019.04
 7,350.00
 6.95%
 Quantity
 Value
 Adjusted Tax Cost
 Original Cost
 Unrealized
 Gain/Loss
 Est. Annual Inc.
 Accrued Div.
 Yield
 57.52
 3.000
 172.57
 212.23
 (39.66)

27.50

10,000.000

275,000.00

261,300.00

13,700.00

19,690.00

7.16%

Concentrated & Other Equity

JPMORGAN CHASE & CO

111.51

VAR RT PFD STK 04/30/2049

DTD 04/23/2008

46625H-HA-1 BBB /BA1

150,000.000

167,266.50

157,909.17

9,357.33

11,850.00

3,982.80

7.01%

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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

Alternative Assets Summary

Beginning

Asset Categories

Hard Assets

Estimated Value

59,229.07

Alternative Assets Detail

Price

Hard Assets

ETFS METAL SECURITIES LTD

ISIN JE00B1VS3770 SEDOL B1VS377

131994-91-5

SPDR GOLD TRUST

Total Hard Assets

78463V-10-7 GLD

\$63,067.77

\$76,999.78

127.62

190.000

24,247.80

31,931.40

128.97

301.000

38,819.97

45,068.38

Quantity

Estimated

Value

Cost

Est. Annual Income

Accrued Income

Ending

Estimated Value

63,067.77

Change

In Value

3,838.70

Current

Allocation

1%

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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

Cash & Fixed Income Summary

Beginning

Asset Categories

Cash

Non-USD Cash

Non-USD Short Term

US Fixed Income

Non-US Fixed Income

Total Value

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Accrued Interest

Yield

Market Value

2,798,694.16

1,605.21

302,857.94

629,761.77

164,214.99

\$3,897,134.07

Ending

Market Value

2,803,563.05

315,330.62

0.00

633,584.40

167,977.00

\$3,920,455.07

Current

Period Value

3,920,455.07

3,893,728.70

26,726.37

18,817.90

4,502.83

0.33%

Change

In Value

4,868.89

313,725.41

(302,857.94)

3,822.63

3,762.01

\$23,321.00

Current

Allocation

53%

6%

12%

3%

74%

Non-US Fixed Income

Non-USD Cash

Cash

US Fixed Income

Asset Categories

Cash & Fixed Income as a percentage of your portfolio - 74 %

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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

SUMMARY BY MATURITY

1

Cash & Fixed Income

0-6 months

1-5 years¹

10+ years¹

Total Value

1

SUMMARY BY TYPE

Market

Value

3,392,006.07

311,290.00

217,159.00

\$3,920,455.07

% of Bond

Portfolio

88%

7%

5%

100%

The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

Note:

A - Bonds purchased at a premium show amortization.

1

Cash & Fixed Income Detail

Price

Cash

US DOLLAR

JPM NY MUNI MMKT FD - MORGAN

FUND 3

7-Day Annualized Yield: .01%

Total Cash

1.00

1.00

2,803,562.05

1.00

2,803,562.05

1.00

\$2,803,563.05

2,803,562.05

1.00

\$2,803,563.05

\$0.00

\$280.35

\$21.28

0.01%

280.35
21.28
0.01% ¹
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Income
Accrued Interest
Yield
Cash & Fixed Income
Cash
NON USD Cash
Corporate Bonds
Municipal Bonds
International Bonds
Mutual Funds
Total Value
Market
Value
2,803,563.05
315,330.62
311,290.00
217,159.00
250,808.93
22,303.47
\$3,920,455.07
% of Bond
Portfolio
73%
8%
7%
5%
6%
1%
100%

This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account.

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

Price

Non-USD Cash

CANADIAN DOLLAR

POUND STERLING

NORWEGIAN KRONE

Total Non-USD Cash

0.90

1.68

0.17

116,547.97

976.73

1,248,562.59

105,287.45

1,636.85

208,406.32

\$315,330.62

114,124.85

1,609.84

210,728.51

\$326,463.20

(8,837.40)

27.01

(2,322.19)

(\$11,132.58)

\$0.00

0.00%

Quantity

Value

Adjusted Tax Cost

Original Cost

Unrealized

Gain/Loss

Est. Annual Income

Accrued Interest

Yield

US Fixed Income

JPM MANAGED RESERVES FD - GBP-C-DIST

ISIN LU0539309053

051398-91-5 BP

ISHARES GBP CORPORATE BOND UCITS ETF

ISIN IE00B00FV011 SEDOL B00FV01

461278-91-3 BP

HSBC FINANCE CORP

MEDIUM TERM NOTE 2.9% OCT 15 2015

DTD 10/15/2010

40429X-YB-6 A /BAA

GENERAL ELEC CAP CORP

MEDIUM TERM FLOATING RATE NOTE

MAY 11 2016 DTD 05/11/2007

36962G-2V-5 AA+ /A1
BANK OF AMERICA CORP
MEDIUM TERM NOTE
3.6% NOV 15 2016
DTD 11/04/2010
06050W-DW-2 A- /BAA
105.59

150,000.00

158,385.00

150,000.00

8,385.00

5,400.00

1,590.00

1.48%

100.24

50,000.00

50,120.00

45,394.50

4,725.50

237.55

11.85

0.37%

212.41

102.79

105.00

100,000.00

22,303.47

102,785.00

19,023.08

100,000.00

3,280.39

200.95

2,785.00

2,900.00

1,095.50

1.16%

10,705.95

7.74

82,831.93

81,082.31

1,749.62

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GHISLAINE MAXWELL

ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

Price

US Fixed Income

A MI ST MUNI BOND AUTH

ST CLEAN WTR REVOLVING FD 5%

OCT 01 2026 DTD 11/02/2006

HELD BY DTC BOOK ENTRY ONLY

PAR CALL 10/01/2016 @ 100

59455T-PF-3 AAA /AAA

A BIRDVILLE TEXAS INDEPENDENT SCHOOL

DIST PERM SCH GTD FUND UNLIMITED TAX

5% FEB 15 2029 DTD 01/15/2007

HELD BY DTC BOOK ENTRY ONLY

PAR CALL 02/15/2017 @ 100

090874-FV-5 AAA /AAA

A MA ST HLTH & EDUCTNL FACS AUTH

REVENUE HARVARD UNIV 5% B

JUL 15 2032 DTD 08/03/2005

HELD BY DTC BOOK ENTRY ONLY

PAR CALL 07/15/2015 @ 100

57586C-FW-0 AAA /AAA

Total US Fixed Income

\$633,584.40

\$606,197.13

\$615,466.89

Non-US Fixed Income

BLUEBAY HIGH YIELD BD FD GBP HDG-DB

ISIN LU0222772518

G13289-92-4 BP

219.55

187.90

41,253.59

39,293.00

1,960.59

\$27,387.27

\$18,537.55

\$4,481.55

2.03%

105.61

50,000.00

52,802.50

51,581.86

54,040.00

1,220.64

2,500.00

319.40

4.55%

109.27

100,000.00

109,271.00

106,127.35

110,503.00

3,143.65

5,000.00

222.20

4.16%

110.17

50,000.00

55,085.50

52,988.03

55,424.00

2,097.47

2,500.00

1,041.65

3.97%

Quantity

Value

Adjusted Tax Cost

Original Cost

Unrealized

Gain/Loss

Est. Annual Income

Accrued Interest

Yield

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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

Price

Non-US Fixed Income

ISHARES GBP INDEX-LINKED GILTS

UCITS ETF

ISIN IE00B1FZSD53 SEDOL B1G52V0

46578W-92-2 BP

Total Non-US Fixed Income

\$167,977.00

\$157,505.32

\$10,471.68

\$0.00

0.00%

21.89

5,790.00

126,723.41

118,212.32

8,511.09

Quantity

Value

Adjusted Tax Cost

Original Cost

Unrealized

Gain/Loss

Est. Annual Income

Accrued Interest

Yield

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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

Other Summary

Asset Categories

Balanced

Beginning

Estimated Value

162,777.00

Ending

Estimated Value

167,376.76

Change

In Value

4,599.76

Current

Allocation

3%

Current

Market Value/Cost

Estimated Value

Tax Cost

Estimated Gain/Loss

Note:

**

Period Value

167,376.76

92,027.29

7,945.11

Unrealized Gain/Loss is shown as "N/A" in cases where we do not have appropriate cost information for all tax lots comprising the position.

Please contact your J.P. Morgan team for additional

information.

Other Detail

Price

Balanced

JPM INC OPP FD - GBP - A - DIST(HDG)

ISIN LU0323456201

287991-93-9 BP

153.39

450.272

69,067.47

1,663.11 **

N/A

Quantity

Value

Adjusted Cost

Original Cost

Unrealized

Gain/Loss

Accruals

Account [REDACTED] Page 14 of 28

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 2/1/14 to 2/28/14
Price
Balanced
PIMCO FUNDS GLOBAL INVESTORS SERIES
- GLOBAL INVESTMENT GRADE CREDIT
FUND
ISIN IE00B3BMD843 SEDOL B3BMD84
695421-91-7 BP
Total Balanced
\$167,376.76
\$92,027.29
\$7,945.11
22.54
4,361.513
98,309.29
90,364.18
7,945.11
Quantity
Value
Adjusted Cost
Original Cost
Unrealized
Gain/Loss
Accruals
Account [REDACTED] Page 15 of 28
Consolidated Statement Page 18

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 2/1/14 to 2/28/14
Portfolio Activity Summary - U S Dollar
Beginning Cash Balance

PRINCIPAL
Current
Transactions
INFLOWS
Income
Contributions
Total Inflows
Ending Cash Balance

Period Value
2,798,693.16
4,438.89
430.00
\$4,868.89
\$2,803,562.05

Year-To-Date
Value*
-13,181.67
430.00
\$13,611.67
-\$0.00
\$0.00
\$0.00

-INCOME
Current
Period
Value
0.00
Year-To-Date
Value*

-Current
Cost
Adjustments
Amortization
Total Cost Adjustments
Period Value
(999.98)
(\$999.98)

* Year to date information is calculated on a calendar year basis.
Your account's standing instructions use a HIGH COST method for relieving
assets
from your position

Year-To-Date
Value*
(1,557.34)
(\$1,557.34)

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 2/1/14 to 2/28/14
Portfolio Activity Detail - U S Dollar
INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

2/3

2/3

2/11

2/18

Interest Income

Interest Income

Corporate Interest

Municipal Interest

Description

DEPOSIT SWEEP INTEREST FOR 01/01/14 - 01/31/14

@ .01% RATE ON AVG COLLECTED BALANCE OF

\$2,792,062.09 AS OF 02/01/14

COUNTRYWIDE CAPITAL V 7% PFD @ 0.4375 PER SHARE

(ID: 222388-20-9)

GENERAL ELEC CAP CORP MEDIUM TERM FLOATING RATE

NOTE MAY 11 2016 DTD 05/11/2007

(ID: 36962G-2V-5)

BIRDVILLE TEXAS INDEPENDENT SCHOOL DIST PERM

SCH GTD FUND UNLIMITED TAX 5% FEB 15 2029 DTD

01/15/2007 HELD BY DTC BOOK ENTRY ONLY PAR CALL

02/15/2017 @ 100 (ID: 090874-FV-5)

2/21

2/24

Div Domestic

Misc Credit

APACHE CORP @ 0.20 PER SHARE (ID: 037411-10-5)

BOOK TRANSFER CREDIT B/O: J P MORGAN CLEARING

CORP BROOKLYN NY 11245-0003 ORG:/2415587324

GHISLAINE MAXWELL OGB: JPMCLEARING CORP

METROTECH CENTER TRN: 1210700055JJ

2/28

Div Domestic

Total Inflows & Outflows

CITIGROUP INC NEW @ 0.01 PER SHARE

(ID: 172967-42-4)

358.000

0.01

3.58

\$4,868.89

95.000

0.20

19.00

430.00

100,000.000

0.025

2,500.00
4,200.000
50,000.000

0.438

0.001

1,837.50

55.54

Quantity

Cost

Per Unit

Amount

PRINCIPAL

Amount

23.27

INCOME

Amount

Account [REDACTED] Page 17 of 28

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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

COST ADJUSTMENTS

Settle Date

2/18

Type

Amortization

Description

BIRDVILLE TEXAS INDEPENDENT SCHOOL DIST PERM
SCH GTD FUND UNLIMITED TAX 5% FEB 15 2029 DTD
01/15/2007 HELD BY DTC BOOK ENTRY ONLY PAR CALL
02/15/2017 @ 100 MUNICIPAL BOND PREMIUM
AMORTIZATIO (ID: 090874-FV-5)

Quantity

100,000.000

Cost Basis

Adjustments

(999.98)

Account [REDACTED] Page 18 of 28

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GHISLAINE MAXWELL ACCT. [REDACTED]
 For the Period 2/1/14 to 2/28/14
 Portfolio Activity Summary - Canadian Dollar
 PRINCIPAL
 US Dollar Value
 Current
 Transactions
 Beginning Cash Balance
 INFLOWS
 Total Inflows
 Income
 OUTFLOWS **
 Total Outflows
 Withdrawals
 TRADE ACTIVITY
 Total Trade Activity
 Settled Sales/Maturities/Redemptions
 Ending Cash Balance
 Period Value
 -50.89
 \$50.89
 (38.21)
 (\$38.21)
 114,112.17
 \$114,112.17
 -Year-To-Date
 Value*
 -111.65
 \$111.65
 (98.97)
 (\$98.97)
 114,112.17
 \$114,112.17
 -Local
 Value
 Current
 Period Value
 0.00
 56.19
 56.19
 (42.14)
 (42.14)
 116,533.92
 116,533.92
 116,547.97
 INCOME
 US Dollar Value
 Current
 Transactions
 Beginning Cash Balance
 Ending Cash Balance

Period Value
--Year-To-Date
Value*
--Local
Value
Current
Period Value
0.00
0.00
Year-To-Date
Value*
--Year-To-Date
Value*
-122.38
122.38
(108.33)
(108.33)
116,533.92
116,533.92
-Account

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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 2/1/14 to 2/28/14
Portfolio Activity Summary - Canadian Dollar
Current

Securities Transferred In/Out

Securities Transferred In

Securities Transferred Out

Period Value

317,005.86

(316,967.65)

Year-To-Date

Value*

743,911.40

(743,812.43)

* Year to date information is calculated on a calendar year basis.

** Your account's standing instructions use a HIGH COST method for relieving
assets

from your position

Portfolio Activity Detail - Canadian Dollar

INFLOWS & OUTFLOWS

Per Unit

Type

Settle Date Selection Method

2/5

Foreign Interest

Description

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.6200% DATED 01/29/2014 MATURITY 02/05/2014

HELD BY LONDON TREASURY SERVICES

(ID: 2538N9-9B-0)

2/5

2/12

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT CANADIAN DOLLAR

(ID: 0CADPR-AA-6)

Foreign Interest

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.6200% DATED 02/05/2014 MATURITY 02/12/2014

HELD BY LONDON TREASURY SERVICES

(ID: 2538P9-9S-1)

2/12

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT CANADIAN DOLLAR

(ID: 0CADPR-AA-6)

(14.050)

(12.72)

(14.05)

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(14.040)

116,505.820

(12.67)

(14.04)

12.72
14.05
Quantity
116,491.780
Amount USD
Local Value
PRINCIPAL
Amount USD
Local Value
12.67
14.04
INCOME
Amount USD
Local Value
Currency
Gain/Loss USD

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

INFLOWS & OUTFLOWS

Per Unit

Type

Settle Date Selection Method

2/19

Foreign Interest

Description

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.6200% DATED 02/12/2014 MATURITY 02/19/2014

HELD BY LONDON TREASURY SERVICES

(ID: 2538R9-9N-0)

2/19

2/26

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT CANADIAN DOLLAR

(ID: 0CADPR-AA-6)

Foreign Interest

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.6200% DATED 02/19/2014 MATURITY 02/26/2014

HELD BY LONDON TREASURY SERVICES

(ID: 2538T9-9J-7)

Total Inflows & Outflows

SECURITIES TRANSFERRED IN/OUT

Notes:

Settle Date Selection Method

Securities Transferred In

2/5

Receipt of Assets

Description

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.6200% DATED 02/05/2014 MATURITY 02/12/2014

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2538P9-9S-1)

2/12

Receipt of Assets

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.6200% DATED 02/12/2014 MATURITY 02/19/2014

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2538R9-9N-0)

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116,519.870

114,099.35

105,509.91

\$12.68

\$0.00

(14.050)

116,533.920

(12.82)

(14.05)

12.68
14.05
Quantity
116,519.870

Amount USD
Local Value
PRINCIPAL
Amount USD
Local Value

12.82
14.05

INCOME
Amount USD
Local Value
Currency
Gain/Loss USD

* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type
Quantity
Cost
116,505.820
114,086.63
Transaction
Market Value *
105,135.42

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

Notes:

* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Settle Date Selection Method

Securities Transferred In

2/19

Receipt of Assets

Description

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.6200% DATED 02/19/2014 MATURITY 02/26/2014

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2538T9-9J-7)

Total Securities Transferred In

Securities Transferred Out

2/5

Free Delivery

\$317,005.86

Quantity

Cost

116,533.920

114,112.17

Transaction

Market Value *

106,360.53

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.6200% DATED 01/29/2014 MATURITY 02/05/2014

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2538N9-9B-0)

2/12

Free Delivery

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.6200% DATED 02/05/2014 MATURITY 02/12/2014

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2538P9-9S-1)

2/19

Free Delivery

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.6200% DATED 02/12/2014 MATURITY 02/19/2014

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2538R9-9N-0)

Total Securities Transferred Out

(\$316,967.65)

(116,519.870)

114,099.35

(106,347.71)

(116,505.820)

114,086.63
(105,497.19)
(116,491.780)
114,073.96
(105,122.75)

Account [REDACTED] Page 22 of 28
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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

TRADE ACTIVITY - Canadian Dollar

Per Unit

Trade Date

Settle Date

2/26

2/26

Type

Selection Method Description

Settled Sales/Maturities/Redemptions

Sale

High Cost

Quantity

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS (116,533.920)

0.6200% DATED 02/19/2014 MATURITY 02/26/2014

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2538T9-9J-7)

Amount USD

Local Value

97.922

100.00

Proceeds USD

Local Value

114,112.17

116,533.92

Tax Cost USD

Realized

Local Value Gain/Loss USD

(114,112.17)

(116,533.92)

Account [REDACTED] Page 23 of 28

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GHISLAINE MAXWELL ACCT. ██████████
 For the Period 2/1/14 to 2/28/14
 Portfolio Activity Summary - Norwegian Krone
 PRINCIPAL
 US Dollar Value
 Current
 Transactions
 Beginning Cash Balance
 INFLOWS
 Total Inflows
 Income
 OUTFLOWS **
 Total Outflows
 Withdrawals
 TRADE ACTIVITY
 Total Trade Activity
 Settled Sales/Maturities/Redemptions
 Ending Cash Balance
 Period Value
 -134.93
 \$134.93
 (100.92)
 (\$100.92)
 210,694.50
 \$210,694.50
 -Year-To-Date
 Value*
 -303.62
 \$303.62
 (269.61)
 (\$269.61)
 210,694.50
 \$210,694.50
 -Local
 Value
 Current
 Period Value
 0.00
 825.10
 825.10
 (618.77)
 (618.77)
 1,248,356.26
 1,248,356.26
 1,248,562.59
 INCOME
 US Dollar Value
 Current
 Transactions
 Beginning Cash Balance
 Ending Cash Balance

Period Value
--Year-To-Date
Value*
--Local
Value
Current
Period Value
0.00
0.00
Year-To-Date
Value*
--Year-To-Date
Value*
-1,864.33
1,864.33
(1,658.00)
(1,658.00)
1,248,356.26
1,248,356.26
-Account

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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 2/1/14 to 2/28/14
Portfolio Activity Summary - Norwegian Krone
Current

Securities Transferred In/Out

Securities Transferred In

Securities Transferred Out

Period Value

610,733.80

(610,632.87)

Year-To-Date

Value*

1,420,894.46

(1,420,624.84)

* Year to date information is calculated on a calendar year basis.

** Your account's standing instructions use a HIGH COST method for relieving
assets

from your position

Portfolio Activity Detail - Norwegian Krone

INFLOWS & OUTFLOWS

Per Unit

Type

Settle Date Selection Method

2/6

Foreign Interest

Description

HELD BY LONDON TREASURY SERVICES

(ID: 253809-9B-9)

2/6

2/13

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT NORWEGIAN KRONE

(ID: 0NOKPR-AA-1)

Foreign Interest

HELD BY LONDON TREASURY SERVICES

(ID: 2538Q9-9J-0)

2/13

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT NORWEGIAN KRONE

(ID: 0NOKPR-AA-1)

(206.260)

(33.73)

(206.26)

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(206.220)

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS 1,247,943.710

0.8500% DATED 02/06/2014 MATURITY 02/13/2014

(33.03)

(206.22)

33.73

206.26

Quantity

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS 1,247,737.490

0.8500% DATED 01/30/2014 MATURITY 02/06/2014

Amount USD

Local Value

PRINCIPAL

Amount USD

Local Value

33.03

206.22

INCOME

Amount USD

Local Value

Currency

Gain/Loss USD

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

INFLOWS & OUTFLOWS

Per Unit

Type

Settle Date Selection Method

2/20

Foreign Interest

Description

HELD BY LONDON TREASURY SERVICES

(ID: 2538S9-9F-6)

2/20

2/27

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT NORWEGIAN KRONE

(ID: 0NOKPR-AA-1)

Foreign Interest

HELD BY LONDON TREASURY SERVICES

(ID: 2538U9-9D-8)

Total Inflows & Outflows

SECURITIES TRANSFERRED IN/OUT

Notes:

Settle Date Selection Method

Securities Transferred In

2/6

Receipt of Assets

Description

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

0.8500% DATED 02/06/2014 MATURITY 02/13/2014

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2538Q9-9J-0)

2/13

Receipt of Assets

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

0.8500% DATED 02/13/2014 MATURITY 02/20/2014

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2538S9-9F-6)

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1,248,149.970

210,660.34

204,091.13

\$34.01

\$0.00

(206.290)

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS 1,248,356.260

0.8500% DATED 02/20/2014 MATURITY 02/27/2014

(34.16)

(206.29)

34.01

206.33

Quantity

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS 1,248,149.970

0.8500% DATED 02/13/2014 MATURITY 02/20/2014

Amount USD

Local Value

PRINCIPAL

Amount USD

Local Value

34.16

206.29

INCOME

Amount USD

Local Value

Currency

Gain/Loss USD

* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax

purposes. The Transaction Market Value shown is in USD.

Type

Quantity

Cost

1,247,943.710

210,626.61

Transaction

Market Value *

199,901.28

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

Notes:

* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Settle Date Selection Method

Securities Transferred In

2/20

Receipt of Assets

Description

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

0.8500% DATED 02/20/2014 MATURITY 02/27/2014

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2538U9-9D-8)

Total Securities Transferred In

Securities Transferred Out

2/6

Free Delivery

\$610,733.80

Quantity

Cost

1,248,356.260

210,694.50

Transaction

Market Value *

206,741.39

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

0.8500% DATED 01/30/2014 MATURITY 02/06/2014

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 253809-9B-9)

2/13

Free Delivery

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

0.8500% DATED 02/06/2014 MATURITY 02/13/2014

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2538Q9-9J-0)

2/20

Free Delivery

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

0.8500% DATED 02/13/2014 MATURITY 02/20/2014

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2538S9-9F-6)

Total Securities Transferred Out

(\$610,632.87)

(1,248,149.970)

210,660.34

(206,707.23)

(1,247,943.710)

210,626.61
(204,057.40)
(1,247,737.490)
210,593.58
(199,868.24)

Account [REDACTED] Page 27 of 28
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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

TRADE ACTIVITY - Norwegian Krone

Per Unit

Trade Date

Settle Date

2/27

2/27

Type

Selection Method Description

Settled Sales/Maturities/Redemptions

Sale

High Cost

Quantity

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS (1,248,356.260)

0.8500% DATED 02/20/2014 MATURITY 02/27/2014

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2538U9-9D-8)

Amount USD

Local Value

16.878

100.00

Proceeds USD

Local Value

210,694.50

1,248,356.26

Tax Cost USD

Realized

Local Value Gain/Loss USD

(210,694.50)

(1,248,356.26)

Account [REDACTED] Page 28 of 28

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J.P. Morgan Securities LLC

GHISLAINE MAXWELL

[REDACTED], New York, NY 10179

ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

Margin Account

J.P. Morgan Team

James Buckley

Jason Bell

Janet Young

Gina Magliocco

Jason Grosse

Online access

Banker

Investment Specialist

Client Service Team

Client Service Team

Client Service Team

www.jpmorganonline.com

Transactions cleared and carried through J.P. Morgan Clearing Corp. - Three Chase Metrotech Center, Brooklyn, NY 11245-0001,

[REDACTED]
Please see disclosures located at the end of this statement package for important information relating to each J.P.Morgan account(s).

[REDACTED]
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Account Summary

Portfolio Activity

Page

2

3

Account [REDACTED] Page 1 of 3

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GHISLAINE MAXWELL

Account Summary

MARGIN

Cash

Asset Allocation

Cash & Fixed Income

Market Value

0.00

Margin

Market Value

0.00

Short

Market Value

0.00

Market Value Annual Income Allocation

0.00

Total

Estimated

Current

ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

Account [REDACTED] Page 2 of 3

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GHISLAINE MAXWELL
Portfolio Activity Summary
Beginning Cash Balance
Transactions
OUTFLOWS **
Total Outflows
Withdrawals
Ending Cash Balance
ACCT. ██████████
For the Period 2/1/14 to 2/28/14

PRINCIPAL

INCOME

Current

Period Value

430.00

(430.00)

(\$430.00)

\$0.00

* Year to date information is calculated on a calendar year basis.

** Your account's standing instructions use a HIGH COST method for relieving assets

from your position

Portfolio Activity Detail

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

2/24

Description

Misc Disbursement MDA# CB172436 FNDS WIRED TO SUB-ACCOUNT: MGN

Quantity

Cost

Per Unit

Amount

PRINCIPAL

Amount

(430.00)

INCOME

Amount

Year-To-Date

Value*

-(430.00)

(\$430.00)

-Current

Period

Value

0.00

Year-To-Date

Value*

-\$0.00

\$0.00

\$0.00

-Account

██████████ Page 3 of 3

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JPMorgan Chase Bank, N.A.
GHISLAINE MAXWELL - OAP IHD
[REDACTED], New York, NY 10017-2014
ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

Investment Management Account

J.P. Morgan Team

James Buckley

Jason Bell

Janet Young

Gina Magliocco

Jason Grosse

Online access

Banker

Investment Specialist

Client Service Team

Client Service Team

Client Service Team

www.jpmorganonline.com

Please see disclosures located at the end of this statement package for important information relating to each J.P.Morgan account(s).

[REDACTED]
[REDACTED]
[REDACTED]
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Account Summary

Page

2

Holdings

Equity

Portfolio Activity

4

6

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GHISLAINE MAXWELL - OAP IHD

Account Summary

Asset Allocation

Equity

Market Value

Accruals

Market Value with Accruals

Beginning

Market Value

1,847,938.92

\$1,847,938.92

3,615.60

\$1,851,554.52

Ending

Market Value

137,528.10

\$137,528.10

12,566.34

\$150,094.44

Current

Portfolio Activity

Beginning Market Value

Withdrawals & Fees

Securities Transferred In

Securities Transferred Out

Net Contributions/Withdrawals

Income & Distributions

Change In Investment Value

Ending Market Value

Accruals

Market Value with Accruals

Period Value

1,847,938.92

(56,396.57)

42,152.23

(1,822,775.63)

(\$1,837,019.97)

28,036.93

98,572.22

\$137,528.10

12,566.34

\$150,094.44

Change

In Value

(1,710,410.82)

(\$1,710,410.82)

8,950.74

(\$1,701,460.08)

Year-to-Date

Value

1,964,165.75

(62,251.99)
42,152.23
(1,822,775.63)
(\$1,842,875.39)
30,467.67
(14,229.93)
\$137,528.10
12,566.34
\$150,094.44
Estimated
5,106.04
\$5,106.04
Current
Annual Income Allocation
100%
100%
ACCT. [REDACTED]
For the Period 2/1/14 to 2/28/14
Account [REDACTED] Page 2 of 15
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GHISLAINE MAXWELL - OAP IHD

Account Summary

Tax Summary

Foreign Dividends

Interest Income

Taxable Income

CONTINUED

Current

Period Value

28,034.21

2.72

\$28,036.93

Year-to-Date

Value

30,463.70

3.97

\$30,467.67

ST Realized Gain/Loss

LT Realized Gain/Loss

Realized Gain/Loss

Current

Period Value

622.50

1,557.88

\$2,180.38

Year-to-Date

Value

9,772.82

1,557.88

\$11,330.70

ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

Unrealized Gain/Loss

To-Date Value

\$4,841.98

Cost Summary

Equity

Total

132,686.12

Cost

\$132,686.12

Account [REDACTED] Page 3 of 15

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GHISLAINE MAXWELL - OAP IHD

Equity Summary

Asset Categories

EAFE Equity

Beginning

Market Value

1,847,938.92

Ending

Market Value

137,528.10

Change

In Value

(1,710,410.82)

Current

Allocation

100%

ACCT. ██████████

For the Period 2/1/14 to 2/28/14

Current

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Accrued Dividends

Yield

Equity Detail

Price

EAFE Equity

ASTRAZENECA PLC

SPONS ADR

046353-10-8 AZN

GLAXOSMITHKLINE PLC

SPONS ADR

37733W-10-5 GSK

55.94

0.00

684.77

4.41%

Quantity

Value

Adjusted Tax Cost

Original Cost

Unrealized

Gain/Loss

Est. Annual Inc.

Accrued Div.

Yield

Period Value

137,528.10

132,686.12

4,841.98
5,106.04
12,566.34
3.71%
67.76
0.00
624.15
4.13%

Account [REDACTED] Page 4 of 15
Consolidated Statement Page 38

GHISLAINE MAXWELL - OAP IHD
ACCT. ██████████
For the Period 2/1/14 to 2/28/14
Price
EAFE Equity
KIRIN HOLDINGS COMPANY LTD
SPONS ADR
497350-30-6 KNBW
RECKITT BENCKISER GROUP PLC
SPONSORED ADR
756255-20-4 RBGL
ROCHE HOLDINGS LTD
SPONS ADR
771195-10-4 RHHB Y
ROYAL DUTCH SHELL PLC
ADR
780259-10-7 RDS
UNILEVER N V
US DOLLAR
39.56
904784-70-9 UN
1.00
VERIZON COMMUNICATIONS INC
VODAFONE GROUP PLC
92343V-10-4 VZ
41.57
SPONS ADR
92857W-20-9
VODAFONE GROUP PLC-SP ADR
Total EAFE Equity
92857W-30-8 VOD
\$137,528.10
\$132,686.12
\$4,841.98
\$5,106.04
\$12,566.34
3.71%
41.57
1,039.000
43,191.23
53,061.37
(9,870.14)
0.00
9,387.85
3,072.32
7.11%
3.88%
47.58
16,767.290
501.000
16,767.29

23,837.58
16,767.29
23,477.65
359.93
0.00
430.34
1,062.12
4.46%
3.09%
77.92
0.00
324.00
4.62%
16.63
38.38
1,400.000
53,732.00
0.00
776.95
39,379.81
14,352.19
971.60
1.81%
2.54%
13.71
0.00
338.28
2.02%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Inc.
Accrued Div.
Yield

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Consolidated Statement Page 39

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

Portfolio Activity Summary

Beginning Cash Balance

Transactions

INFLOWS

Total Inflows

Income

OUTFLOWS **

Withdrawals

Fees & Commissions

Tax Payments

Total Outflows

TRADE ACTIVITY

Settled Sales/Maturities/Redemptions

Settled Securities Purchased

Total Trade Activity

Ending Cash Balance

18,056.26

(41,187.63)

(\$23,131.37)

\$16,767.29

* Year to date information is calculated on a calendar year basis.

** Your account's standing instructions use a HIGH COST method for relieving assets

from your position

97,187.76

(103,712.32)

(\$6,524.56)

-Current

Period

Value

68,258.30

28,036.93

\$28,036.93

(52,622.75)

(3,234.09)

(539.73)

(\$56,396.57)

Year-To-Date

Value*

-30,467.67

\$30,467.67

(52,672.05)

(8,781.61)

(798.33)

(\$62,251.99)

Current

Securities

Transferred In/Out

Securities Transferred In

Securities Transferred Out

Period Value

42,152.23

(1,822,775.63)

Year-To-Date

Value*

42,152.23

(1,822,775.63)

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GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

Portfolio Activity Detail

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

2/3

2/5

2/5

2/5

2/5

2/21

2/24

Interest Income

Foreign Dividend

Expenses

Foreign Dividend

FGN Tax Withheld

Foreign Dividend

Foreign Dividend

Description

DEPOSIT SWEEP INTEREST FOR 01/01/14 - 01/31/14

@ .03% RATE ON AVG COLLECTED BALANCE OF

\$50,803.92 AS OF 02/01/14

VODAFONE GROUP PLC SPONS ADR @ 0.585415 PER

SHARE (ID: 92857W-20-9)

VODAFONE GROUP PLC SPONS ADR REPRESENTS @ .015

DEPOSITORY FEE (ID: 92857W-20-9)

SIEMENS A G SPONS ADR @ 4.092767 PER SHARE

(ID: 826197-50-1)

SIEMENS A G SPONS ADR TAX WITHHELD GERMANY

26.40% (ID: 826197-50-1)

IMPERIAL TOBACCO PLC ADR @ 2.708669 PER SHARE

(ID: 453142-10-1)

VODAFONE GROUP PLC SPONS ADR TAXABLE SPIN-OFF -

HOLDERS RECEIVE 0.263001 OF A SHARE OF VERIZON

COMMUNICATIONS, CUSIP: 92343V104, FOR EACH

SPONSORED ADR HELD. SPIN-OFF IS TAXABLE AS A

DIVIDEND VALUED AT 12.324227 PER SHARE OF

VERIZON COMMUNICATIONS. THE NEW SHARES WILL

HAVE A COST BASIS OF \$46.86 PER SHARE BASED ON

THE FAIR MARKET VALUE AS OF CLOSE OF BUSINESS

ON 2/24/2014. (ID: 92857W-20-9)

2/26

2/26

Misc Disbursement

TRANSFER TO UBS FINANCIAL SERVICES INC.

Fees & Commissions JPMORGAN CHASE INVESTMENT MANAGEMENT FEE FOR

THE PERIOD 01-01-2014 TO 02-24-2014

(52,594.17)

(2,047.34)

1,905.000
1,905.000
500.000
500.000
515.000
1,905.000
2.709
12.324
4.093
0.585
1,115.22
(28.58)
2,046.38
(539.73)
1,394.96
23,477.65
Quantity
Cost
Per Unit
Amount
Amount
1.34

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GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

2/26

2/27

2/27

Interest Income

Stock Split

Description

INTEREST FOR 02/01/14 THRU 02/25/14 @ 0.030% ON

NET AVERAGE COLLECTED BALANCE OF 69,668.73

ROCHE HOLDINGS LTD SPONS ADR 2 FOR 1 STOCK

SPLIT (ID: 771195-10-4)

Fees & Commissions SCHAFER CULLEN CAPITAL MANAGEMENT MANAGEMENT

FEE FOR 01-01-2014 TO 02-24-2014

Total Inflows & Outflows

SECURITIES TRANSFERRED IN/OUT

Notes:

Settle Date Selection Method

Securities Transferred In

2/27

Description

NonTaxableExchange VODAFONE GROUP PLC-SP ADR REVERSE SPLIT -

HOLDERS RECEIVE 6 NEW SPONSORED ADRS FOR EVERY

11 OLD SPONSORED ADRS OF VODAFONE GROUP PLC,

CUSIP: 92857W209, HELD. (ID: 92857W-30-8)

Securities Transferred Out

2/26

Free Delivery

2/26

Free Delivery

700.000

0.00

(1,186.75)

(\$28,359.64)

Quantity

Cost

Per Unit

Amount

0.03

Amount

1.38

* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Quantity

Cost

1,039.000

53,061.37

Transaction

Market Value *

42,152.23

ABB LTD SPONS ADR TRANSFER TO UBS FINANCIAL
SERVICES INC. TRADE DATE 02/25/14

(1,475.000)

31,585.04

(ID: 000375-20-4)

ALSTOM ADR TRANSFER TO UBS FINANCIAL SERVICES
INC. TRADE DATE 02/25/14 (ID: 021244-20-7)

(4,875.000)

17,770.91

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(12,894.38)

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(37,214.25)

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

Notes:

* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Settle Date Selection Method

Securities Transferred Out

2/26

Free Delivery

2/26

2/26

2/26

2/26

2/26

2/26

2/26

Free Delivery

Description

ASTRAZENECA PLC SPONS ADR TRANSFER TO UBS
FINANCIAL SERVICES INC. TRADE DATE 02/25/14
(ID: 046353-10-8)

BAE SYSTEMS PLC SPONS ADR TRANSFER TO UBS
FINANCIAL SERVICES INC. TRADE DATE 02/25/14
(ID: 05523R-10-7)

BNP PARIBAS ADR TRANSFER TO UBS FINANCIAL
SERVICES INC. TRADE DATE 02/25/14
(ID: 05565A-20-2)

BAYER A G SPONS ADR TRANSFER TO UBS FINANCIAL
SERVICES INC. TRADE DATE 02/25/14
(ID: 072730-30-2)

BHP LTD SPONS ADR TRANSFER TO UBS FINANCIAL
SERVICES INC. TRADE DATE 02/25/14
(ID: 088606-10-8)

BOC HONG KONG HOLDINGS LTD SPONS ADR TRANSFER
TO UBS FINANCIAL SERVICES INC. TRADE DATE
02/25/14 (ID: 096813-20-9)

BRITISH AMERICAN TOBACCO PLC SPONS ADR TRANSFER
TO UBS FINANCIAL SERVICES INC. TRADE DATE
02/25/14 (ID: 110448-10-7)

DEUTSCHE TELEKOM AG SPONS ADR TRANSFER TO UBS
FINANCIAL SERVICES INC. TRADE DATE 02/25/14
(ID: 251566-10-5)

(4,270.000)
58,928.15
(73,593.45)
(590.000)
62,046.30
(62,304.00)
(555.000)
36,821.52
(34,271.25)
(435.000)
32,227.68
(30,258.60)
(245.000)
24,491.20
(34,015.80)
(1,195.000)
47,674.46
(48,708.20)
(1,000.000)
22,160.00
(27,570.00)
Quantity
Cost
(365.000)
18,351.75
Transaction
Market Value *
(24,743.35)

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GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

Notes:

* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Settle Date Selection Method

Securities Transferred Out

2/26

Free Delivery

2/26

2/26

2/26

2/26

2/26

2/26

2/26

Free Delivery

Description

DEUTSCHE POST AG SPONS ADR TRANSFER TO UBS
FINANCIAL SERVICES INC. TRADE DATE 02/25/14
(ID: 25157Y-20-2)

GDF SUEZ SPONS ADR TRANSFER TO UBS FINANCIAL
SERVICES INC. TRADE DATE 02/25/14
(ID: 36160B-10-5)

GLAXOSMITHKLINE PLC SPONS ADR TRANSFER TO UBS
FINANCIAL SERVICES INC. TRADE DATE 02/25/14
(ID: 37733W-10-5)

HSBC HOLDINGS PLC SPONS ADR TRANSFER TO UBS
FINANCIAL SERVICES INC. TRADE DATE 02/25/14
(ID: 404280-40-6)

IMPERIAL TOBACCO PLC ADR TRANSFER TO UBS
FINANCIAL SERVICES INC. TRADE DATE 02/25/14
(ID: 453142-10-1)

KIRIN HOLDINGS COMPANY LTD SPONS ADR TRANSFER
TO UBS FINANCIAL SERVICES INC. TRADE DATE
02/25/14 (ID: 497350-30-6)

MTN GROUP LTD SPONS ADR TRANSFER TO UBS
FINANCIAL SERVICES INC. TRADE DATE 02/25/14
(ID: 62474M-10-8)

MUENCHENER RUECKVERSICHERUNGS - GESELLSCHAFT
ADR TRANSFER TO UBS FINANCIAL SERVICES INC.
TRADE DATE 02/25/14 (ID: 626188-10-6)

(2,610.000)
48,665.02
(56,793.60)
(2,625.000)
49,970.21
(48,470.63)
(3,010.000)
48,510.86
(40,168.45)
(515.000)
36,270.85
(41,197.43)
(1,145.000)
62,810.83
(59,986.55)
(1,015.000)
48,412.36
(56,535.50)
(1,980.000)
42,100.10
(48,302.10)
Quantity
Cost
(1,360.000)
31,554.75
Transaction
Market Value *
(50,136.40)

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GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

Notes:

* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Settle Date Selection Method

Securities Transferred Out

2/26

Free Delivery

2/26

2/26

2/26

2/26

2/26

2/26

2/26

2/26

Free Delivery

Description

NESTLE S A SPONS ADR REPSTG REG SH TRANSFER TO
UBS FINANCIAL SERVICES INC. TRADE DATE 02/25/14

(ID: 641069-40-6)

NIPPON TEL & TEL CORP ADR TRANSFER TO UBS
FINANCIAL SERVICES INC. TRADE DATE 02/25/14

(ID: 654624-10-5)

NOVARTIS A G ADR TRANSFER TO UBS FINANCIAL
SERVICES INC. TRADE DATE 02/25/14

(ID: 66987V-10-9)

LUKOIL OAO SPONS ADR TRANSFER TO UBS FINANCIAL
SERVICES INC. TRADE DATE 02/25/14

(ID: 677862-10-4)

ORKLA A S A SPONS A/D/R TRANSFER TO UBS
FINANCIAL SERVICES INC. TRADE DATE 02/25/14

(ID: 686331-10-9)

TELEKOMUNIKASI IND SPONS ADR TRANSFER TO UBS
FINANCIAL SERVICES INC. TRADE DATE 02/25/14

(ID: 715684-10-6)

RECKITT BENCKISER GROUP PLC SPONSORED ADR
TRANSFER TO UBS FINANCIAL SERVICES INC. TRADE
DATE 02/25/14 (ID: 756255-20-4)

ROYAL DUTCH SHELL PLC ADR TRANSFER TO UBS

FINANCIAL SERVICES INC. TRADE DATE 02/25/14
(ID: 780259-10-7)
SANOFI TRANSFER TO UBS FINANCIAL SERVICES INC.
TRADE DATE 02/25/14 (ID: 80105N-10-5)

(1,195.000)

60,068.51

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(61,303.50)

(400.000)

27,957.37

(31,456.00)

(3,540.000)

48,749.99

(60,091.50)

(690.000)

27,215.25

(26,716.80)

(4,115.000)

32,560.21

(34,051.63)

(1,035.000)

64,776.50

(58,094.55)

(975.000)

68,101.01

(81,705.00)

(2,210.000)

58,374.87

(62,101.00)

Quantity

Cost

(1,055.000)

73,413.83

Transaction

Market Value *

(79,241.05)

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GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

Notes:

* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Settle Date Selection Method

Securities Transferred Out

2/26

Free Delivery

2/26

2/26

2/26

2/26

2/26

2/26

2/26

2/26

Free Delivery

Description

SIEMENS A G SPONS ADR TRANSFER TO UBS FINANCIAL SERVICES INC. TRADE DATE 02/25/14

(ID: 826197-50-1)

SINGAPORE TELECOMMUNICATIONS LTD ADR TRANSFER TO UBS FINANCIAL SERVICES INC. TRADE DATE

02/25/14 (ID: 82929R-30-4)

SMITHS GROUP PLC SPONSORED ADR TRANSFER TO UBS FINANCIAL SERVICES INC. TRADE DATE 02/25/14

(ID: 83238P-20-3)

STATOIL ASA SPONS ADR TRANSFER TO UBS FINANCIAL SERVICES INC. TRADE DATE 02/25/14

(ID: 85771P-10-2)

TAIWAN SEMICONDUCTOR MANUFACTURING CO LTD SPONS ADR TRANSFER TO UBS FINANCIAL SERVICES INC.

TRADE DATE 02/25/14 (ID: 874039-10-0)

TESCO PLC SPONS ADR TRANSFER TO UBS FINANCIAL SERVICES INC. TRADE DATE 02/25/14

(ID: 881575-30-2)

TOTAL SA SPONS ADR TRANSFER TO UBS FINANCIAL SERVICES INC. TRADE DATE 02/25/14

(ID: 89151E-10-9)

UNILEVER N V TRANSFER TO UBS FINANCIAL SERVICES

INC. TRADE DATE 02/25/14 (ID: 904784-70-9)
UNITED OVERSEAS BANK LTD SPONS ADR TRANSFER TO
UBS FINANCIAL SERVICES INC. TRADE DATE 02/25/14
(ID: 911271-30-2)

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(1,630.000)
66,467.39
(1,250.000)
39,130.24
(64,499.10)
(41,156.25)
(1,070.000)
54,046.70
(67,731.00)
(1,520.000)
25,456.73
(25,513.20)
(830.000)
14,793.04
(14,624.60)
(1,450.000)
35,974.62
(38,251.00)
(1,575.000)
30,754.80
(36,484.88)
(1,765.000)
51,172.92
(50,743.75)
Quantity
Cost
(500.000)
52,917.17
Transaction
Market Value *
(66,245.00)

GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

Notes:

* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Settle Date Selection Method

Securities Transferred Out

2/26

Free Delivery

2/27

Exchange

Description

ZURICH INSURANCE GROUP-ADR TRANSFER TO UBS
FINANCIAL SERVICES INC. TRADE DATE 02/25/14

(ID: 989825-10-4)

VODAFONE GROUP PLC SPONS ADR REVERSE SPLIT -
HOLDERS RECEIVE 6 NEW SPONSORED ADRS OF
VODAFONE GROUP PLC, CUSIP: 92857W308 FOR EVERY
11 OLD SPONSORED ADRS HELD. (ID: 92857W-20-9)

Total Securities Transferred Out

TRADE ACTIVITY

Note:

Trade Date

Settle Date

2/5

2/6

2/11

(\$1,822,775.63)

(1,905.000)

53,061.37

(74,295.00)

Quantity

Cost

(2,025.000)

55,630.28

Transaction

Market Value *

(61,306.88)

L indicates Long Term Realized Gain/Loss

S indicates Short Term Realized Gain/Loss

* Settled transaction was initiated in prior statement period and settled in current statement period

Type

Selection Method Description

Settled Sales/Maturities/Redemptions

Sale

2/10

High Cost

Sale

High Cost

ABB LTD SPONS ADR @ 24.7907 6,817.44 BROKERAGE
11.00 TAX &/OR SEC .12 FIDELITY CAPITAL MARKETS
(ID: 000375-20-4)

ABB LTD SPONS ADR @ 25.0403 11,268.14 BROKERAGE
18.00 TAX &/OR SEC .20 FIDELITY CAPITAL MARKETS
(ID: 000375-20-4)

Total Settled Sales/Maturities/Redemptions

\$18,056.26

(\$15,875.88)

\$1,557.88 L

\$622.50 S

(450.000)

25.00

11,249.94

(9,707.94)

1,542.00 L

Quantity

(275.000)

Per Unit

Amount

24.75

Proceeds

6,806.32

Tax Cost

(6,167.94)

Realized

Gain/Loss

15.88 L

622.50 S

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GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

Trade Date

Settle Date

Type

Settled Securities Purchased

1/29

Purchase

2/3

1/30

2/4

1/31

2/5

2/3

2/6

2/4

2/7

2/5

2/10

2/6

2/11

2/7

2/12

2/10

2/13

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Description

ORKLA A S A SPONS A/D/R @ 7.9811 3,112.63

BROKERAGE 3.90 FIDELITY CAPITAL MARKETS

(ID: 686331-10-9)

ORKLA A S A SPONS A/D/R @ 7.8399 1,920.78

BROKERAGE 2.45 FIDELITY CAPITAL MARKETS

(ID: 686331-10-9)

ORKLA A S A SPONS A/D/R @ 7.8687 2,045.86

BROKERAGE 2.60 FIDELITY CAPITAL MARKETS

(ID: 686331-10-9)

ORKLA A S A SPONS A/D/R @ 7.7844 1,440.11

BROKERAGE 1.85 FIDELITY CAPITAL MARKETS

(ID: 686331-10-9)

ORKLA A S A SPONS A/D/R @ 7.661 1,800.34

BROKERAGE 2.35 FIDELITY CAPITAL MARKETS

(ID: 686331-10-9)

ORKLA A S A SPONS A/D/R @ 7.7177 1,890.84

BROKERAGE 2.45 FIDELITY CAPITAL MARKETS

(ID: 686331-10-9)
ORKLA A S A SPONS A/D/R @ 7.5518 1,246.05
BROKERAGE 1.65 FIDELITY CAPITAL MARKETS
(ID: 686331-10-9)
ORKLA A S A SPONS A/D/R @ 7.5186 2,330.77
BROKERAGE 3.10 FIDELITY CAPITAL MARKETS
(ID: 686331-10-9)
ORKLA A S A SPONS A/D/R @ 7.599 1,899.75
BROKERAGE 2.50 FIDELITY CAPITAL MARKETS
(ID: 686331-10-9)
250.000
7.609
(1,902.25)
310.000
7.529
(2,333.87)
165.000
7.562
(1,247.70)
245.000
7.728
(1,893.29)
235.000
7.671
(1,802.69)
185.000
7.794
(1,441.96)
260.000
7.879
(2,048.46) *
245.000
7.85
(1,923.23) *
Quantity
390.000
Per Unit
Amount
7.991
Market Cost
(3,116.53) *
Account [REDACTED] Page 14 of 15
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GHISLAINE MAXWELL - OAP IHD ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

Trade Date

Settle Date

Type

Settled Securities Purchased

2/24

Purchase

2/24

Description

VERIZON COMMUNICATIONS INC TAXABLE SPIN-OFF -
HOLDERS RECEIVE 0.263001 OF A SHARE FOR EACH
SPONSORED ADR OF VODAFONE GROUP PL CUSIP:
92857W209, HELD. SPIN-OFF IS TAXABLE AS A
DIVIDEND VALUED AT 12.324227 PER SHARE OF
VERIZON COMMUNICATIONS. THE NEW SHARES WILL
HAVE A COST BASIS OF \$46.86 PER SHARE BASED ON
THE FAIR MARKET VALUE AS OF CLOSE OF BUSINESS
ON 2/24/2014. (ID: 92343V-10-4)

Total Settled Securities Purchased

(\$41,187.63)

Quantity

501.000

Per Unit

Amount

46.862

Market Cost

(23,477.65)

Account [REDACTED] Page 15 of 15

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JPMorgan Chase Bank, N.A.
GHISLAINE MAXWELL - TAP DYS
[REDACTED], New York, NY 10017-2014
ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

Investment Management Account

J.P. Morgan Team

James Buckley

Jason Bell

Janet Young

Gina Magliocco

Jason Grosse

Online access

Banker

Investment Specialist

Client Service Team

Client Service Team

Client Service Team

www.jpmorganonline.com

Please see disclosures located at the end of this statement package for important information relating to each J.P.Morgan account(s).

[REDACTED]

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Holdings

Equity

Alternative Assets

Cash & Fixed Income

Portfolio Activity

4

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GHISLAINE MAXWELL - TAP DYS

Account Summary

Asset Allocation

Equity

Alternative Assets

Cash & Fixed Income

Market Value

Accruals

Market Value with Accruals

Beginning

Market Value

154,064.14

211,125.49

671,012.32

\$1,036,201.95

159.30

\$1,036,361.25

Ending

Market Value

52,071.98

0.00

74,372.70

\$126,444.68

0.00

\$126,444.68

Current

Portfolio Activity

Beginning Market Value

Withdrawals & Fees

Securities Transferred Out

Net Contributions/Withdrawals

Income & Distributions

Change In Investment Value

Ending Market Value

Period Value

1,036,201.95

(50,679.32)

(874,442.33)

(\$925,121.65)

2,277.41

13,086.97

\$126,444.68

Change

In Value

(101,992.16)

(211,125.49)

(596,639.62)

(\$909,757.27)

(159.30)

(\$909,916.57)

Equity

Year-to-Date

Value

1,036,257.60

(52,551.54)

(874,442.33)

(\$926,993.87)

4,840.70

12,340.25

\$126,444.68

Estimated

760.36

Current

Annual Income Allocation

41%

2,276.29

\$3,036.65

59%

100%

Cash &

Fixed Income

Asset Allocation

ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

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GHISLAINE MAXWELL - TAP DYS

Account Summary

Tax Summary

Domestic Dividends/Distributions

Interest Income

Taxable Income

CONTINUED

Current

Period Value

2,275.19

2.22

\$2,277.41

Year-to-Date

Value

4,837.52

3.18

\$4,840.70

ST Realized Gain/Loss

LT Realized Gain/Loss

Realized Gain/Loss

Current

Period Value

(43.12)

(\$43.12)

Year-to-Date

Value

400.50

(43.12)

\$357.38

ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

Unrealized Gain/Loss

To-Date Value

\$5,885.86

Cost Summary

Equity

Cash & Fixed Income

Total

74,264.82

Cost

46,294.00

\$120,558.82

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GHISLAINE MAXWELL - TAP DYS

Equity Summary

Asset Categories

US Large Cap Equity

European Large Cap Equity

Asia ex-Japan Equity

Total Value

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Yield

Beginning

Market Value

92,346.50

22,722.35

38,995.29

\$154,064.14

Ending

Market Value

52,071.98

0.00

0.00

\$52,071.98

Current

Period Value

52,071.98

46,294.00

5,777.98

760.36

1.46%

Change

In Value

(40,274.52)

(22,722.35)

(38,995.29)

(\$101,992.16)

Current

Allocation

41%

ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

41%

Account [REDACTED] Page 4 of 15

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GHISLAINE MAXWELL - TAP DYS
ACCT. [REDACTED]
For the Period 2/1/14 to 2/28/14
Equity Detail
Price
US Large Cap Equity
SIT DIVIDEND GROWTH FUND-I
82980D-70-7 SDVG X
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Inc.
Accrued Div.
Yield
17.60
2,958.635
52,071.98
46,294.00
5,777.98
760.36
1.46%
Account [REDACTED] Page 5 of 15
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GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

Alternative Assets Summary

Beginning

Asset Categories

Hedge Funds

Real Estate & Infrastructure

Total Value

Estimated Value

174,652.72

36,472.77

\$211,125.49

Ending

Estimated Value

0.00

0.00

\$0.00

Change

In Value

(174,652.72)

(36,472.77)

(\$211,125.49)

Current

Allocation

Account [REDACTED] Page 6 of 15

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GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

Cash & Fixed Income Summary

Beginning

Asset Categories

Cash

US Fixed Income

Total Value

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Yield

Market Value

47,214.85

623,797.47

\$671,012.32

Ending

Market Value

18,867.82

55,504.88

\$74,372.70

Current

Period Value

74,372.70

74,264.82

107.88

2,276.29

3.06%

Cash & Fixed Income as a percentage of your portfolio - 59 %

SUMMARY BY MATURITY

1

Cash & Fixed Income

0-6 months

1

SUMMARY BY TYPE

Market

Value

74,372.70

% of Bond

Portfolio

100%

The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

Cash & Fixed Income

Cash

Mutual Funds

Total Value

Market

Value

18,867.82

55,504.88

\$74,372.70

% of Bond

Portfolio

25%

75%

100%

Cash

US Fixed Income

Change

In Value

(28,347.03)

(568,292.59)

(\$596,639.62)

Current

Allocation

15%

44%

59%

Asset Categories

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GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

Cash & Fixed Income Detail

Price

Cash

US DOLLAR

US Fixed Income

TRP INST FLOATING RATE-F

77958B-10-5

10.29

5,394.06

55,504.88

55,397.00

107.88

2,276.29

4.10%

1.00

18,867.82

18,867.82

18,867.82

Quantity

Value

Adjusted Tax Cost

Original Cost

Unrealized

Gain/Loss

Est. Annual Income

Accrued Interest

Yield

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GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

Portfolio Activity Summary

Beginning Cash Balance

Transactions

INFLOWS

Total Inflows

Income

OUTFLOWS **

Withdrawals

Fees & Commissions

Total Outflows

TRADE ACTIVITY

Settled Sales/Maturities/Redemptions

Settled Securities Purchased

Total Trade Activity

Ending Cash Balance

35,996.88

(15,942.00)

\$20,054.88

\$18,867.82

* Year to date information is calculated on a calendar year basis.

** Your account's standing instructions use a HIGH COST method for relieving assets

from your position

67,002.55

(37,417.00)

\$29,585.55

-Current

Period

Value

47,214.85

2,277.41

\$2,277.41

(49,546.11)

(1,133.21)

(\$50,679.32)

Year-To-Date

Value*

-4,840.70

\$4,840.70

Current

(49,546.11)

(3,005.43)

(\$52,551.54)

Cost

Adjustments

Cost Adjustments

Total Cost Adjustments

Period Value

(216.01)

(\$216.01)

Year-To-Date

Value*

(216.01)

(\$216.01)

Current

Securities Transferred In/Out

Securities Transferred Out

Period Value

(874,442.33)

Year-To-Date

Value*

(874,442.33)

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GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

Portfolio Activity Detail

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

2/3

2/3

2/3

2/3

2/3

2/3

2/3

2/4

2/4

Interest Income

Div Domestic

Description

DEPOSIT SWEEP INTEREST FOR 01/01/14 - 01/31/14
@ .03% RATE ON AVG COLLECTED BALANCE OF
\$48,234.27 AS OF 02/01/14

JPM STRAT INCOME OPPORT FD - SEL FUND 3844 @
0.008 PER SHARE (ID: 4812A4-35-1)

JPM MULTI SECTOR INCOME FD - SEL FUND 2130 @
0.028 PER SHARE (ID: 48121A-29-0)

BLACKROCK HIGH YIELD BOND 01/31/14 INCOME
DIVIDEND @ 0.043 PER SHARE AS OF 01/31/14
(ID: 091929-63-8)

EATON VANCE FLOATING-RATE ADVANTAGE I 01/31/14
INCOME DIVIDEND @ 0.042 PER SHARE AS OF
01/31/14 (ID: 277923-63-7)

HSBC FDS TOTAL RETURN I 02/03/14 INCOME
DIVIDEND @ 0.005 PER SHARE (ID: 40428X-15-6)

METROPOLITAN WEST FDS TOTAL RET CL I 01/31/14
INCOME DIVIDEND @ 0.018 PER SHARE AS OF
01/31/14 (ID: 592905-50-9)

COHEN & STEERS PREFERRED SECURITIES AND INCOME
FUND INC 01/31/14 INCOME DIVIDEND @ 0.071 PER
SHARE AS OF 01/31/14 (ID: 19248X-30-7)

DOUBLELINE TOTAL RET BD-I 01/31/14 INCOME
DIVIDEND @ 0.048 PER SHARE AS OF 01/31/14
(ID: 258620-10-3)

12,498.155

0.048

601.50
4,493.967
0.071
319.07
3,858.567
7,192.344
0.005
0.018
19.29
131.93
7,524.150
0.042
319.37
3,015.900
4,784.864
7,292.312
0.008
0.028
0.043
24.13
133.98
316.53
Quantity
Cost
Per Unit
Amount
Amount
1.19

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GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

2/4

2/4

2/26

2/26

2/26

Div Domestic

Div Domestic

Misc Disbursement

Description

RIDGEWORTH SEIX FLOATING-I 02/03/14 INCOME

DIVIDEND @ 0.032 PER SHARE AS OF 02/03/14

(ID: 76628T-67-8)

TRP INST FLOATING RATE-F 01/31/14 INCOME

DIVIDEND @ 0.037 PER SHARE AS OF 01/31/14

(ID: 77958B-10-5)

TRANSFER TO UBS FINANCIAL SERVICES INC.

Fees & Commissions JPMORGAN CHASE INVESTMENT MANAGEMENT FEE FOR

THE PERIOD 01-01-2014 TO 02-24-2014

Interest Income

Total Inflows & Outflows

SECURITIES TRANSFERRED IN/OUT

Notes:

Settle Date Selection Method

Securities Transferred Out

2/25

Free Delivery

2/25

Free Delivery

Description

JPM INTREPID EUROPEAN FD - INSTL FUND 1300

TRANSFER TO UBS FINANCIAL SERVICES INC. TRADE

DATE 02/25/14 (ID: 4812A0-68-0)

JPM MULTI SECTOR INCOME FD - SEL FUND 2130

TRANSFER TO UBS FINANCIAL SERVICES INC. TRADE

DATE 02/25/14 (ID: 48121A-29-0)

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(4,784.864)

49,092.71

(49,523.34)

INTEREST FOR 02/01/14 THRU 02/25/14 @ 0.030% ON

NET AVERAGE COLLECTED BALANCE OF 52,035.75

0.03

(49,546.11)

(1,133.21)

1.03

(\$48,401.91)

5,394.060

0.037

202.13

Quantity

Cost

Per Unit

Amount

6,502.655

0.032

Amount

207.26

* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Quantity

Cost

(875.283)

20,316.00

Transaction

Market Value *

(24,612.96)

GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

Notes:

* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Settle Date Selection Method

Securities Transferred Out

2/26

Free Delivery

2/26

2/26

2/26

Free Delivery

Free Delivery

Free Delivery

Description

AVENUE MUT FDS TR CRED STRA INST TRANSFER TO
UBS FINANCIAL SERVICES INC. TRADE DATE 02/25/14
(ID: 05358F-30-1)

BLACKROCK HIGH YIELD BOND TRANSFER TO UBS
FINANCIAL SERVICES INC. TRADE DATE 02/25/14
(ID: 091929-63-8)

COHEN & STEERS PREFERRED SECURITIES AND INCOME
FUND INC TRANSFER TO UBS FINANCIAL SERVICES
INC. TRADE DATE 02/25/14 (ID: 19248X-30-7)

COLUMBIA FD SER TRT - COLUMBIA CONVERTIBLE
SECURITIES FD - Z TRANSFER TO UBS FINANCIAL
SERVICES INC. TRADE DATE 02/25/14
(ID: 19765H-72-7)

2/26

2/26

2/26

2/26

Free Delivery

Free Delivery

Free Delivery

Free Delivery

DOUBLELINE TOTAL RET BD-I TRANSFER TO UBS
FINANCIAL SERVICES INC. TRADE DATE 02/25/14
(ID: 258620-10-3)

EATON VANCE FLOATING-RATE ADVANTAGE I TRANSFER
TO UBS FINANCIAL SERVICES INC. TRADE DATE
02/25/14 (ID: 277923-63-7)

GATEWAY FUND-Y TRANSFER TO UBS FINANCIAL
SERVICES INC. TRADE DATE 02/25/14
(ID: 367829-88-4)

HSBC FDS TOTAL RETURN I TRANSFER TO UBS
FINANCIAL SERVICES INC. TRADE DATE 02/25/14

(ID: 40428X-15-6)

(3,858.567)

39,704.65

(38,778.60)

(1,089.208)

30,040.36

(31,358.30)

(7,524.150)

84,120.00

(84,195.24)

(12,498.155)

142,229.00

(137,479.71)

(1,987.557)

36,465.00

(38,200.85)

(4,493.967)

60,407.99

(59,679.88)

(7,292.312)

59,201.48

(60,817.88)

Quantity

Cost

(2,734.963)

30,896.00

Transaction

Market Value *

(31,780.27)

Account [REDACTED] Page 12 of 15

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GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

Notes:

* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Settle Date Selection Method

Securities Transferred Out

2/26

Free Delivery

2/26

2/26

2/26

2/26

2/26

2/26

Free Delivery

Free Delivery

Free Delivery

Free Delivery

Free Delivery

Free Delivery

Total Securities Transferred Out

Description

HARBOR HIGH YIELD BOND-INST TRANSFER TO UBS
FINANCIAL SERVICES INC. TRADE DATE 02/25/14
(ID: 411511-55-3)

HARBOR CONVERTIBLE SEC-INST TRANSFER TO UBS
FINANCIAL SERVICES INC. TRADE DATE 02/25/14
(ID: 411512-73-4)

CLEARBRIDGE EQUITY INC FD-I CAP & INCOME I
TRANSFER TO UBS FINANCIAL SERVICES INC. TRADE
DATE 02/25/14 (ID: 52469H-59-4)

TORTOISE MLP & PIPELINE-INS TRANSFER TO UBS
FINANCIAL SERVICES INC. TRADE DATE 02/25/14
(ID: 56166Y-40-4)

MATTHEWS ASIA DIVIDEND-INS TRANSFER TO UBS
FINANCIAL SERVICES INC. TRADE DATE 02/25/14
(ID: 577130-75-0)

METROPOLITAN WEST FDS TOTAL RET CL I TRANSFER
TO UBS FINANCIAL SERVICES INC. TRADE DATE
02/25/14 (ID: 592905-50-9)

RIDGEWORTH SEIX FLOATING-I TRANSFER TO UBS
FINANCIAL SERVICES INC. TRADE DATE 02/25/14
(ID: 76628T-67-8)

(\$874,442.33)

(6,502.655)

58,784.00

(58,979.08)

(7,192.344)
77,030.00
(76,886.16)
(2,638.382)
39,286.00
(39,734.03)
(2,296.774)
30,616.00
(37,598.19)
(2,339.801)
41,251.00
(43,262.92)
(2,833.314)
29,272.00
(32,101.45)
Quantity
Cost
(2,660.657)
29,905.78
Transaction
Market Value *
(29,453.47)

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GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

TRADE ACTIVITY

Note:

Trade Date

Settle Date

2/19

2/20

2/20

2/21

L indicates Long Term Realized Gain/Loss

Type

Selection Method Description

Settled Sales/Maturities/Redemptions

Sale

High Cost

Sale

High Cost

JPM STRAT INCOME OPPORT FD - SEL FUND 3844 JP

MORGAN CHASE BANK AS SHAREHOLDER SERVICING

AGENT @ 11.93 (ID: 4812A4-35-1)

JPM STRAT INCOME OPPORT FD - SEL FUND 3844 JP

MORGAN CHASE BANK AS SHAREHOLDER SERVICING

AGENT @ 11.94 (ID: 4812A4-35-1)

Total Settled Sales/Maturities/Redemptions

\$35,996.88

(\$36,040.00)

(\$43.12) L

(1,719.923)

11.94

20,535.88

(20,553.08)

(17.20) L

Quantity

(1,295.977)

Per Unit

Amount

11.93

Proceeds

15,461.00

Tax Cost

(15,486.92)

Realized

Gain/Loss

(25.92) L

Trade Date

Settle Date

Type

Description

Settled Securities Purchased

2/19

Purchase
2/20
COLUMBIA FD SER TRT - COLUMBIA CONVERTIBLE
SECURITIES FD - Z (ID: 19765H-72-7)
Quantity
840.380
Per Unit
Amount
18.97
Market Cost
(15,942.00)
Account [REDACTED] Page 14 of 15
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GHISLAINE MAXWELL - TAP DYS ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

COST ADJUSTMENTS

Settle Date

2/11

Type

Cost Basis Adj

Description

COHEN & STEERS PREFERRED SECURITIES AND INCOME
FUND INC RETURN OF CAPITAL ADJUSTMENT FOR 2013
DIVIDENDS (ID: 19248X-30-7)

Quantity

4,493.967

Cost Basis

Adjustments

(216.01)

Account [REDACTED] Page 15 of 15

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JPMorgan Chase Bank, N.A.
GHISLAINE MAXWELL - TAP ARFI
[REDACTED], New York, NY 10017-2014
ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

Investment Management Account

J.P. Morgan Team

James Buckley

Jason Bell

Janet Young

Gina Magliocco

Jason Grosse

Online access

Banker

Investment Specialist

Client Service Team

Client Service Team

Client Service Team

www.jpmorganonline.com

Please see disclosures located at the end of this statement package for important information relating to each J.P.Morgan account(s).

[REDACTED]
[REDACTED]
[REDACTED]
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2

Portfolio Activity

4

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GHISLAINE MAXWELL - TAP ARFI

Account Summary

Asset Allocation

Alternative Assets

Cash & Fixed Income

Market Value

Accruals

Market Value with Accruals

Beginning

Market Value

452,970.32

551,473.04

\$1,004,443.36

355.66

\$1,004,799.02

Ending

Market Value

0.00

19,270.99

\$19,270.99

0.00

\$19,270.99

Current

Portfolio Activity

Beginning Market Value

Withdrawals & Fees

Securities Transferred Out

Net Contributions/Withdrawals

Income & Distributions

Change In Investment Value

Ending Market Value

Tax Summary

Domestic Dividends/Distributions

Interest Income

Taxable Income

Period Value

1,004,443.36

(31,265.22)

(961,089.67)

(\$992,354.89)

1,690.06

5,492.46

\$19,270.99

Current

Period Value

1,687.82

2.24

\$1,690.06

Change

In Value

(452,970.32)

(532,202.05)
(\$985,172.37)
(355.66)
(\$985,528.03)

Year-to-Date
Value
1,002,786.16
(32,459.49)
(961,089.67)
(\$993,549.16)

4,118.67
5,915.32
\$19,270.99
Year-to-Date
Value
4,115.19
3.48

\$4,118.67
ST Realized Gain/Loss
Realized Gain/Loss
Current

Period Value
Year-to-Date
Value
(1,968.26)
(\$1,968.26)
\$0.00

Estimated
Current
Annual Income Allocation
100%
100%
ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14
Account [REDACTED] Page 2 of 8
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GHISLAINE MAXWELL - TAP ARFI

ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

Cash & Fixed Income Detail

Price

Cash

US DOLLAR

1.00

19,270.99

19,270.99

19,270.99

Quantity

Value

Adjusted Tax Cost

Original Cost

Unrealized

Gain/Loss

Est. Annual Income

Accrued Interest

Yield

Account [REDACTED] Page 3 of 8

Consolidated Statement Page 67

GHISLAINE MAXWELL - TAP ARFI
Portfolio Activity Summary
Beginning Cash Balance
Transactions
INFLOWS
Total Inflows
Income
OUTFLOWS **
Withdrawals
Fees & Commissions
Total Outflows
TRADE ACTIVITY
Settled Sales/Maturities/Redemptions
Settled Securities Purchased
Total Trade Activity
Ending Cash Balance
\$0.00
\$19,270.99

* Year to date information is calculated on a calendar year basis.

** Your account's standing instructions use a HIGH COST method for relieving
assets
from your position
76,002.00
(76,281.00)
(\$279.00)
-ACCT.

For the Period 2/1/14 to 2/28/14

Current
Period Value
48,846.15
1,690.06
\$1,690.06
(30,535.25)
(729.97)
(\$31,265.22)
Year-To-Date
Value*
-4,118.67
\$4,118.67
Current
(30,535.25)
(1,924.24)
(\$32,459.49)
Cost
Adjustments
Cost Adjustments
Total Cost Adjustments
Period Value
(103.47)
(\$103.47)

Year-To-Date
Value*
(103.47)
(\$103.47)
Current
Securities Transferred In/Out
Securities Transferred Out
Period Value
(961,089.67)
Year-To-Date
Value*
(961,089.67)
Account [REDACTED] Page 4 of 8
Consolidated Statement Page 68

GHISLAINE MAXWELL - TAP ARFI ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

Portfolio Activity Detail

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

2/3

2/3

2/3

2/3

2/3

2/3

2/4

2/4

2/4

Interest Income

Div Domestic

Description

DEPOSIT SWEEP INTEREST FOR 01/01/14 - 01/31/14
@ .03% RATE ON AVG COLLECTED BALANCE OF
\$51,374.77 AS OF 02/01/14

JPM STRAT INCOME OPPORT FD - SEL FUND 3844 @
0.008 PER SHARE (ID: 4812A4-35-1)

JPM MULTI SECTOR INCOME FD - SEL FUND 2130 @
0.028 PER SHARE (ID: 48121A-29-0)

EATON VANCE FLOATING RATE-I 01/31/14 INCOME
DIVIDEND @ 0.028 PER SHARE AS OF 01/31/14
(ID: 277911-49-1)

HSBC FDS TOTAL RETURN I 02/03/14 INCOME
DIVIDEND @ 0.005 PER SHARE (ID: 40428X-15-6)

PRUDENTIAL INVT PORTFOLIOS 9 PRU ABRTN FD Z
01/31/14 INCOME DIVIDEND @ 0.025 PER SHARE AS
OF 01/31/14 (ID: 74441J-82-9)

DOUBLELINE TOTAL RET BD-I 01/31/14 INCOME
DIVIDEND @ 0.048 PER SHARE AS OF 01/31/14
(ID: 258620-10-3)

GOLDMAN SACHS TR STRG INCM INST 01/31/14 INCOME
DIVIDEND @ 0.032 PER SHARE AS OF 01/31/14
(ID: 38145C-64-6)

PIMCO UNCONSTRAINED BOND-P 01/31/14 INCOME
DIVIDEND @ 0.008 PER SHARE AS OF 01/31/14
(ID: 72201M-45-3)

14,308.333

0.008

108.62
13,465.623
0.017
233.44
4,490.660
0.048
216.12
5,780.066
9,610.855
0.005
0.025
28.90
241.92
10,517.975
9,651.542
8,191.630
0.008
0.028
0.022
84.14
270.24
177.04
Quantity
Cost
Per Unit
Amount
Amount
1.28

Account [REDACTED] Page 5 of 8
Consolidated Statement Page 69

GHISLAINE MAXWELL - TAP ARFI ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

2/4

2/26

2/26

2/26

Div Domestic

Misc Disbursement

Description

RBC FDS TR BLUBAY ABRTR I 01/31/14 INCOME

DIVIDEND @ 0.022 PER SHARE AS OF 01/31/14

(ID: 74926P-34-0)

TRANSFER TO UBS FINANCIAL SERVICES INC.

Fees & Commissions JPMORGAN CHASE INVESTMENT MANAGEMENT FEE FOR

THE PERIOD 01-01-2014 TO 02-24-2014

Interest Income

Total Inflows & Outflows

SECURITIES TRANSFERRED IN/OUT

Notes:

Settle Date Selection Method

Securities Transferred Out

2/25

Free Delivery

2/25

2/26

Free Delivery

Free Delivery

Description

JPM STRAT INCOME OPPORT FD - SEL FUND 3844

TRANSFER TO UBS FINANCIAL SERVICES INC. TRADE

DATE 02/25/14 (ID: 4812A4-35-1)

JPM MULTI SECTOR INCOME FD - SEL FUND 2130

TRANSFER TO UBS FINANCIAL SERVICES INC. TRADE

DATE 02/25/14 (ID: 48121A-29-0)

DOUBLELINE TOTAL RET BD-I TRANSFER TO UBS

FINANCIAL SERVICES INC. TRADE DATE 02/25/14

(ID: 258620-10-3)

Account [REDACTED] Page 6 of 8

Consolidated Statement Page 70

(4,490.660)

51,103.71

(49,397.26)

(9,651.542)

99,024.83

(99,893.46)

INTEREST FOR 02/01/14 THRU 02/25/14 @ 0.030% ON

NET AVERAGE COLLECTED BALANCE OF 50,439.90

0.03

(30,535.25)

(729.97)

0.96

(\$29,575.16)

Quantity

Cost

Per Unit

Amount

14,793.787

0.022

Amount

327.40

* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Quantity

Cost

(10,517.975)

125,689.80

Transaction

Market Value *

(125,584.62)

GHISLAINE MAXWELL - TAP ARFI ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

Notes:

* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Settle Date Selection Method

Securities Transferred Out

2/26

Free Delivery

2/26

2/26

2/26

2/26

2/26

Free Delivery

Free Delivery

Free Delivery

Free Delivery

Free Delivery

Total Securities Transferred Out

Description

EATON VANCE FLOATING RATE-I TRANSFER TO UBS
FINANCIAL SERVICES INC. TRADE DATE 02/25/14
(ID: 277911-49-1)

GOLDMAN SACHS TR STRG INCM INST TRANSFER TO UBS
FINANCIAL SERVICES INC. TRADE DATE 02/25/14
(ID: 38145C-64-6)

HSBC FDS TOTAL RETURN I TRANSFER TO UBS
FINANCIAL SERVICES INC. TRADE DATE 02/25/14
(ID: 40428X-15-6)

PIMCO UNCONSTRAINED BOND-P TRANSFER TO UBS
FINANCIAL SERVICES INC. TRADE DATE 02/25/14
(ID: 72201M-45-3)

PRUDENTIAL INVT PORTFOLIOS 9 PRU ABRTN FD Z
TRANSFER TO UBS FINANCIAL SERVICES INC. TRADE
DATE 02/25/14 (ID: 74441J-82-9)

RBC FDS TR BLUBAY ABRTR I TRANSFER TO UBS
FINANCIAL SERVICES INC. TRADE DATE 02/25/14
(ID: 74926P-34-0)

(\$961,089.67)

(14,793.787)

150,359.83

(155,038.89)

(9,610.855)

96,007.32

(94,763.03)

(14,308.333)

164,832.00

(160,253.33)
(5,780.066)
59,171.00
(58,089.66)
(13,465.623)
141,991.00
(142,870.26)
Quantity
Cost
(8,191.630)
75,281.00
Transaction
Market Value *
(75,199.16)

Account [REDACTED] Page 7 of 8
Consolidated Statement Page 71

GHISLAINE MAXWELL - TAP ARFI ACCT. [REDACTED]

For the Period 2/1/14 to 2/28/14

COST ADJUSTMENTS

Settle Date

2/5

2/5

Type

Cost Basis Adj

Cost Basis Adj

Total Cost Adjustments

Description

PRUDENTIAL INVT PORTFOLIOS 9 PRU ABRTN FD Z
RETURN OF CAPITAL ADJUSTMENT FOR 2013 DIVIDENDS
(ID: 74441J-82-9)

RBC FDS TR BLUBAY ABRTR I RETURN OF CAPITAL
ADJUSTMENT FOR 2013 DIVIDENDS (ID: 74926P-34-0)

14,793.787

(74.17)

(\$103.47)

Quantity

9,610.855

Cost Basis

Adjustments

(29.30)

Account [REDACTED] Page 8 of 8

Consolidated Statement Page 72

For the Period 2/1/14 to 2/28/14

For your convenience we have combined statement(s) for activity you conduct through J.P. Morgan in one package. Below are important disclosures relating to these different accounts.

These statements may relate to various account types. Some of the disclosures are applicable to all of your accounts. For ease of reference the disclosures applicable to a particular type of account have been grouped together by descriptive headers.

IMPORTANT GENERAL INFORMATION APPLICABLE TO ALL OF YOUR ACCOUNT(S)

Important Information about Pricing ,Valuations, Estimated Annual Income, and Estimated Yield

Market value information (including without limitation, prices, exchange rates, accrued income and bond ratings) furnished herein, some of which has been provided by pricing sources that J.P. Morgan believes to be reliable, is not guaranteed for accuracy but provided for informational purposes and is furnished for the exclusive use of the client. The current price is the value of the financial asset share, unit or contract as priced at the close of the market on the last day of the statement period or the last available price. All values provided for structured yield deposits (for example, JPMorgan London Time Deposits) reflect the original deposit amount only. The value for Real Estate, Mineral Interests and Miscellaneous Assets may not reflect the most current value of the asset.

Important information regarding Auction Rate Securities (ARS). ARS are debt or preferred securities with an interest or dividend rate reset periodically in an auction. Although there may be daily, weekly and monthly resets, there is no guarantee that there will be liquidity. If there are not enough bids at an auction to redeem the securities available for sale, the result may be a failed auction. In the event of a failed auction, there is no assurance that a secondary market will develop or that the security will trade at par or any other price reflected on statements and online. Accordingly, investors should not rely on pricing information appearing in their statements or online with respect to ARS. When J.P. Morgan is unable to obtain a price from an internal or outside source for a particular ARS, the price column on your statement will indicate "unpriced".

Valuations of over-the-counter derivative transactions, including certain derivatives-related deposit products, have been prepared on a mid-market basis. These valuations are sourced from the various issuers of the securities or they are sourced from a third party valuation provider. J.P. Morgan expressly disclaims any responsibility for (1) the accuracy of the models or estimates used in deriving the valuations, (2) any errors or omissions in computing or disseminating the valuations, and (3) any uses to which the valuations are put. Valuations are provided for information purposes only and are intended solely for your own use. Please refer to the trade confirmation for details of each transaction.

Certain assets, including but not limited to, pooled and private investments, non-publicly traded and infrequently traded securities, derivatives, partnership interests and tangible assets are generally illiquid, the value of such asset may have been provided to us by third

parties who may or may not be independent of the issuer or manager. Such information is reflected as of the last date provided to us, and is not independently verified.

In cases where we are unable to obtain a current market value from an internal or outside source for a particular security, the price column on your statement will indicate "unpriced". Although such securities may have value, please note that the value of a security indicated as "unpriced" will not be included in your overall current market value as reflected on the statement.

J.P. Morgan makes no representation, warranty or guarantee, express or implied, that any quoted value represents the actual terms at which securities could be bought or sold or new transactions could be entered into, or the actual terms on which existing transactions or securities could be liquidated. Such values may only be indicative.

When we are unable to obtain a current value from an internal or outside source for a particular security, the price column on your statement will indicate "unpriced".

If a partial call is made with respect to an issue of securities included in your Accounts we will allocate the call by a method we deem fair and equitable.

To the extent applicable, please note the following regarding estimated annual income (EAI) and estimated yield (EY): EAI and EY for certain types of securities could include a return of principal or capital gains in which case the EAI and EY would be overstated. EAI and EY are estimates and the actual income and yield might be lower or higher than the estimated amounts. EY reflects only the income generated by an investment. It does not reflect changes in its price, which may fluctuate.

Offshore Deposits - London and Nassau

Disclosures Page 1 of 5

For the Period 2/1/14 to 2/28/14

Deposits in Foreign Branches are not insured by the FDIC or any other Agency of the Federal Government; amounts in such foreign accounts do not have the benefit of any domestic preference applicable to U.S. Banks; certain Foreign accounts are considered reportable to the Internal Revenue Service on a Report of Foreign Bank and Financial Accounts (TD F 90-22.1).

Bank products and services are offered through JPMorgan Chase Bank, N.A. ("JPMCB") and its banking affiliates. Securities are offered by J.P.Morgan Securities LLC ("JPMS") and, to the extent noted below, cleared through J.P. Morgan Clearing Corp. ("JPMCC"). Neither JPMS, nor JPMCC is a bank and are each separate legal entities from its bank or thrift affiliates.

Investment Products: Not FDIC Insured

-No Bank Guarantee -May Lose Value

Fund manager disclosure information available upon request

If you have an investment account that is managed by an SEC-Registered Investment Advisor, J.P. Morgan will provide a copy of the advisor's Form ADV II or brochure upon written request.

These statements are not official documents for income tax reporting purposes and should not be relied upon for such purposes, including determination of income, cost basis, amortization or accretion, or gain/loss. Such information, which may be inaccurate, incomplete or subject to updating, should be confirmed with your records and your tax advisor.

Please take the steps indicated below if you think statement(s) are incorrect or contact your J.P.Morgan team if you require additional information about a transaction on your statement(s).

**IMPORTANT ADDITIONAL INFORMATION APPLICABLE ONLY TO YOUR ASSET ACCOUNT(S)
(LINKED TO JPMS)**

Your Asset Account consists of a bank account that custodies assets linked to a brokerage account through which securities transactions are executed. As a result, the Asset Account statement(s) reflect brokerage transactions executed through JPMS but (except for exchange listed options) held in custody at JPMCB. Securities purchased or sold through JPMS in U.S. markets (other than mutual funds) are cleared through an affiliate of JPMS, in non-U.S. markets securities are cleared through JPMS. Positions in exchange-listed options are held by JPMCC. For your convenience, however, positions in exchange-listed options are presented in Asset Account statement(s) together with other assets held in such account(s). All pertinent information about your settled and pending purchases and sales effected through your JPMS account during the period covered by these statement(s), is summarized in the "Trade Activity" portion of the statement(s).

In Case of Other Errors or Questions About Your Asset Account Statement(s)
Please review your statement(s) and promptly report any inaccuracy or discrepancy including possible unauthorized trading activity, unrecorded dividend payments, unaccounted cash positions,

improper payments or transfers in writing to both the introducing broker, JPMS and the clearing firm, JPMCC at the addresses shown on your statement(s). Any oral communication should be re-confirmed in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA). If you have any questions please contact your J.P.Morgan team.

In your written communication, please provide the following information: (1) your name and account number; (2) the dollar amount of the suspected error; and (3) a description of the error and explanation, if you can, why you believe there is an error. If you need more information, you must describe the item you are unsure about. We must receive your written communication no later than 30 days after the statement on which the error or problem appeared is sent or made available. If you do not so notify us, you agree that the statement activity and account balance(s) are correct.

JPMCC and JPMS are members of the Securities Investor Protection Corp ("SIPC"), a not-for-profit membership corporation funded by broker-dealers registered with the Securities and Exchange Commission. Securities and cash held for a customer at JPMCC are protected by SIPC up to \$500,000 per customer, which includes up to \$250,000 of protection for cash. SIPC does not protect against losses from fluctuations in the value of the securities. Assets held in custody by JPMCB are not subject to SIPC. You may obtain information about SIPC, including the SIPC Brochure, on their website, at "www.sipc.org" or by contacting them at (202) 371-8300.

In Case of Errors or Questions About Your Electronic Transfers.

Disclosures Page 2 of 5

For the Period 2/1/14 to 2/28/14

Contact your J.P. Morgan Team at one of the telephone numbers on the front of your statements or write us at J.P. Morgan, 500 Stanton Christiana Road, 1/OPS3, Newark, DE 19713-2107 as soon as you can, if you think your statement is wrong or if you need more information about a transfer on the statement. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared. (1) Tell us your name and account number. (2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information. (3) Tell us the dollar amount of the suspected error. We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

In Case of Errors or Questions About Non-Electronic Transfers (Checks or Deposits)

Contact JPMorgan Chase Bank, N.A. ("JPMCB") Member FDIC immediately if a statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing as soon as possible after your statement was made available to you. For more complete details, see the applicable account agreements and appendices that govern your account.

Deposit products and services are offered by JPMCB Member FDIC.

You must promptly advise your J.P.Morgan representative of material changes in your investment objectives or financial situation or if you wish to modify the management of your account. Unless you inform otherwise, your J.P.Morgan representative will consider the information currently in its files to be complete and accurate.

IMPORTANT ADDITIONAL INFORMATION APPLICABLE ONLY TO YOUR INVESTMENT MANAGEMENT ACCOUNT(S) WHICH REFLECT ASSETS HELD AT JPMORGAN CHASE BANK, N.A.

In case of other errors or questions about other account statement(s) Please review your account statement(s) and promptly report any inaccuracy or discrepancy including possible unauthorized trading activity, unrecorded dividend payments, unaccounted cash positions, improper payments or transfers in writing to JPMCB at the addresses shown on your statement(s). Any oral communication should be re-confirmed in writing to further protect your rights. If you have any questions please contact your J.P. Morgan team.

In your written communication, please provide the following information: (1) your name and account number; (2) the dollar amount of the suspected error; and (3) a description of the error and explanation, if you can, why you believe there is an error. If you need more information, you must describe the item you are unsure about. We must receive your written communication no later than 30 days after the statement on which the error or problem appeared is sent or made available. If you do not so notify us, you agree that the statement activity and account balance(s) are correct.

You must promptly advise your J.P.Morgan representative of material changes in your investment objectives or financial situation or if you wish to modify the management of your account. Unless you inform otherwise, your J.P.Morgan representative will consider the information currently in its files to be complete and accurate.

With reference to JPMS and JPMCC: A financial statement of this organization is available to you for personal inspection at its offices, or a copy will be mailed to you upon written request.

You should have received (or have made available to you) separate confirmations for each securities transaction. All transactions are subject to the terms and conditions stated on the reverse side of such confirmations and are subject to the constitution, by-laws, customs and interpretations of the marketplace where executed and governed by and construed in accordance with the laws of the State of New York and all applicable federal laws and regulations. Further information with respect to commissions and other charges related to the execution of transactions, including options transactions, has been included in confirmations that were previously furnished or have made available to you. Upon written request, JPMS will promptly supply you with the latest information.

IMPORTANT ADDITIONAL INFORMATION APPLICABLE ONLY TO YOUR MARGIN ACCOUNT(S)

All positions in your Margin Account Portfolio(s) are held in custody at J.P. Morgan Clearing Corp. ("JPMCC"), Three Chase Metrotech Center, Brooklyn, NY 11245-001, [REDACTED].

If you have a margin account as permitted by law we may use certain securities in such account for, among other things, settling short sales and lending the securities for short sales, and as a result may receive compensation in connection therewith.

Disclosures Page 3 of 5

For the Period 2/1/14 to 2/28/14

Please keep the following in mind when using a statement to track your brokerage activity in a margin account: The statement combines your general margin account with the special memorandum account required by Section 220.6 Regulation T. As required by Regulation T, a permanent record of your separate account is available upon your request. Free credit balances in your margin account(s) are not segregated and may be used in the operation of JPMCCs business, subject to the limitations of SEC Rule 15c3-3. Unless otherwise noted, JPMCC or its agents and depositories will hold your securities. Upon your demand, JPMCC will pay to you the amount of your free credit balance, and will deliver to you fully-paid securities held on your behalf.

Interest will be charged on any debit balance; the method of calculating interest is described in a letter sent to all margin customers.

You must promptly advise your J.P.Morgan representative of material changes in your investment objectives or financial situation or if you wish to modify the management of your account. Unless you inform otherwise, your J.P.Morgan representative will consider the information currently in its files to be complete and accurate.

PRODUCT RELATED DISCLOSURE: IMPORTANT INFORMATION ABOUT ALTERNATIVE INVESTMENTS: FOOTNOTES 1 - 4

Private Equity, Hedge Funds, Exchange Funds, Real Estate and Other Alternative Assets

1. Direct private equity investments and pooled private investments (e.g., interests in limited partnerships and limited liability companies) are generally illiquid securities. Values are estimates only and are not warranted for accuracy or completeness. Values do not represent the actual terms at which transactions or securities could be bought or sold or new transactions could be entered into or the actual terms on which existing transactions could be liquidated as of the date of this statement.

Direct private equity investments are generally valued at cost, unless there is an active secondary trading market in the securities, in which case, direct private equity investments are valued using market

prices as of the close of the last business day for this statement period.

Pooled private equity investments are valued according to the General Partner's or Manager's stated methodology. Please refer

to the limited partnership agreement, limited liability company agreement or other operative documentation for each investment for the specific valuation methodology used by the General Partner or

Manager for that investment or for your Investment Management or Trust account contact your J.P. Morgan team. J.P. Morgan expressly disclaims any responsibility for (1) the accuracy of the

information and the methodology used by the General Partner or Manager in deriving valuations, (2) any errors or omissions in compiling or disseminating the valuations, and (3) any use to which the valuations are put. Valuations are provided for information purposes only and are intended solely for your own use.

Hedge funds generally calculate the price (the "Net Asset Value" or "NAV"), 10-15 business days following the last business day of the month. For that reason, hedge fund NAVs shown will generally be

the NAV of the month preceding this statement period. In general, the NAV is stated net of management and incentive fees. The NAV is calculated according to the General Partner's or Manager's stated methodology. Please refer to the limited partnership agreement, limited liability company agreement or other operative documentation for each hedge fund for the specific methodology used by the General Partner or Manager for that hedge fund or for your Investment Management or Trust account contact your J.P. Morgan team. J.P. Morgan expressly disclaims any responsibility for (1) the accuracy of the information and the methodology used by the General Partner or Manager in deriving valuations, (2) any errors or omissions in compiling or disseminating the valuations, and (3) any use to which the valuations are put. Valuations are provided for information purposes only and are intended solely for your own use.

These statements do not provide tax reporting on private investments. Please continue to rely upon the General Partner or Manager of the investment vehicle for this information or for your Investment Management or Trust account contact your J.P. Morgan team.

2. The 'Capital Called Since Inception USD' and 'Cash/Security distributions Since Inception USD' columns are updated monthly to reflect activity (capital calls and distributions), if any.

3. Hedge funds generally allow subscriptions on a monthly or quarterly basis. An interest in a hedge fund or hedge fund of funds is generally as of the first business day of the subscription period. Due to early funding requirements by the applicable fund, your account may be debited for the subscription amount prior to the subscription date. Please refer to the applicable hedge fund's operative documentation for further details or for your Investment Management or Trust account contact your J.P. Morgan team.

Hedge fund redemptions are generally on a monthly, quarterly or annual basis but can sometimes be subject to a multi-year lockup before a redemption is permitted. For redemptions, there is generally a notification period that can be a long interval before the actual redemption date. Redemption proceeds are generally paid 15 calendar days after the final NAV is issued, but can be subject to a holdback of a portion of the proceeds until an annual audit of the Fund has been completed. Please refer to the applicable hedge fund's operative documentation for further details or for your Investment Management or Trust account contact your J.P. Morgan team.

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For the Period 2/1/14 to 2/28/14

Global Access Portfolios share certain characteristics with hedge funds. In particular, an investor who withdraws from a Global Access Portfolio will not receive the withdrawal proceeds in their entirety until 30-60 days after completion of the Portfolio's audit, which may be 18 months or longer after the redemption date. Interest might not be paid on any proceeds pending distribution. These restrictions apply even if the investor's account at JPMorgan is closed. The Confidential Private Placement Memorandum and applicable Supplements contain other important information about the Global Access Portfolios and are available upon request or for your Investment Management or Trust account contact your J.P. Morgan team.

4. Alternative assets may include publicly available mutual funds that utilize non-traditional investment management strategies, for example, strategies commonly employed by hedge funds. Mutual funds generally calculate the price (the "Net Asset Value" or "NAV") on a daily basis and mutual fund NAVs shown on your statement generally will be the NAV as of the close of the last business day for this statement period. Please refer to the applicable mutual fund prospectus for further details. If the applicable mutual fund is a JPMorgan Fund, please refer to disclosures on this statement concerning JPMorgan Funds for other important information.

PRODUCT RELATED DISCLOSURE: THE JPMORGAN FUNDS OR THIRD PARTIES

Shares of the funds referenced above are not bank deposits and are not guaranteed by any bank, government entity, or the FDIC. Return and share price will fluctuate and redemption value may be more or less than original cost. While the money market funds seek to maintain a stable net asset value of \$1.00 per share, there is no assurance that they will continue to do so. The estimated annual income and dividend yield figures for mutual funds represent the funds' most recent income dividend annualized.

Prospectuses and other fund information for JPMorgan funds may be obtained by calling your J.P. Morgan team or JPMorgan Distribution Services, Inc. at (800) 480-4111. You also may view and

order materials online for JPMorgan funds at www.jpmorganfunds.com.

J.P. Morgan affiliates may receive compensation from the JPMorgan funds for providing investment advisory services to the funds. J.P. Morgan affiliates may also provide administrative, custodial, sales, distribution, shareholder or other services to the JPMorgan Funds or funds established, sponsored, advised, or managed by third parties, and J.P. Morgan affiliates may be compensated for such

services as allowed by applicable law. The distributor of the JPMorgan Funds is JPMorgan Distribution Services, Inc., which is an affiliate of JPMCB.

Assets may be reflected herein even though they may be held by a third party unaffiliated with J.P. Morgan. In such cases, unless J.P. Morgan otherwise agrees, J.P. Morgan has no responsibility for the verification, valuation, safekeeping or management of those assets.

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