

JPMorgan Chase Bank, N.A.
GHISLAINE MAXWELL
345 Park Avenue, New York, NY 10154-1002 (Reviewed)
ACCT. [REDACTED]

For the Period 10/1/09 to 10/31/09
0000000174.00.0.20.00003.MAXWE00.20091109

GHISLAINE MAXWELL
16 ISLAND AVE APT 7D
MIAMI BEACH FL 33139-1331
Asset Account
J.P. Morgan Private Bank Team
Mary Casey
Janet Young
William Doherty III
Banker
Client Service Team
Client Service Team

[REDACTED]
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Account Summary
Holdings
Equity
Cash and Short Term
Fixed Income
Options
Other Assets
Portfolio Activity
Online access
www.MorganOnline.com

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Client News

If you plan to make gifts of securities this year, please notify your Client Service Representative before December 1, 2009. This will allow us to process your request before year-end and meet the deadline for tax filing purposes.

As we previously informed you, J.P. Morgan Clearing Corp. ("JPMCC") clears equity securities transactions and listed options transactions with regard to this account and will, sometime in November or December 2009, begin clearing fixed income securities transactions as well. As clearing broker, JPMCC will be responsible for settling transactions, issuing trade confirmations and

handling the various details incidental to the clearing of these securities.

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GHISLAINE MAXWELL ACCT. ██████████
 For the Period 10/1/09 to 10/31/09
 Account Summary
 Asset Allocation
 Equity
 Cash & Short Term
 Fixed Income
 Options
 Other Assets
 Market Value
 Accruals
 Market Value with Accruals
 Beginning
 Ending
 Market Value
 612,459.41
 571,792.23
 401,573.11
 0.00
 13,818.00
 \$1,599,642.75
 6,551.76
 \$1,606,194.51
 Market Value
 561,367.96
 503,062.33
 403,399.04
 (370.00)
 24,160.00
 \$1,491,619.33
 8,605.96
 \$1,500,225.29
 Current
 Portfolio Activity
 Beginning Market Value
 Contributions
 Withdrawals & Fees
 Securities Transferred In
 Securities Transferred Out
 Net Contributions/Withdrawals
 Income & Distributions
 Change In Investment Value
 Ending Market Value
 Accruals
 Market Value with Accruals
 Period Value
 1,599,642.75
 58,828.00
 (113,124.35)
 322,145.81
 (416,396.97)

(\$148,547.51)
169.01
40,355.08
\$1,491,619.33
8,605.96
\$1,500,225.29
Change
In Value
(51,091.45)
(68,729.90)
1,825.93
(370.00)
10,342.00
(\$108,023.42)
2,054.20
(\$105,969.22)
Cash &
Short Term
Year-to-Date
Value
6,377,327.40
1,108,547.52
(5,803,024.78)
322,145.81
(885,357.36)
(\$5,257,688.81)
22,941.21
349,039.53
\$1,491,619.33
8,605.96
\$1,500,225.29
Estimated
140.00
Current
Annual Income Allocation
37%
14,977.98
10,450.00
23.00
34%
27%
2%
100%
Fixed
Income
Equity
Other
Assets
Asset Allocation
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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/09 to 10/31/09
Account Summary
Tax Summary
Domestic Dividends/Distributions
Foreign Dividends
Currency Gain/Loss
Interest Income
Taxable Income
Tax-Exempt Income
Bond Premium Amortization
Tax-Exempt Income
CONTINUED
Current
Period Value
0.25
168.76
\$169.01
Year-to-Date
Value
1,919.85
9.62
1,826.12
2,939.74
\$6,695.33
16,302.74
(56.86)
\$16,245.88
Unrealized Gain/Loss
To-Date Value
\$33,285.65
ST Realized Gain/Loss
LT Realized Gain/Loss
Realized Gain/Loss
Current
Period Value
Year-to-Date
Value
(12,793.03)
10,232.00
(\$2,561.03)
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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/09 to 10/31/09
Equity Summary
Asset Categories
US Large Cap
US Mid Cap/Small Cap
Non US Equity
Total Value
Beginning
Market Value
321,744.00
10,084.55
280,630.86
\$612,459.41
Ending
Market Value
363,082.00
10,063.56
188,222.40
\$561,367.96
Change
In Value
41,338.00
(20.99)
(92,408.46)
(\$51,091.45)
Current
Allocation
23%
1%
13%
37%
US Mid Cap/Small Cap
US Large Cap
Current
Market Value/Cost
Market Value
Tax Cost
Unrealized Gain/Loss
Estimated Annual Income
Yield
Period Value
561,367.96
559,948.26
1,419.70
140.00
0.02%
Non US Equity
Asset Categories
Equity
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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/09 to 10/31/09
Equity Detail
Estimated
Quantity
US Large Cap
GOOGLE INC
CL A
38259P-50-8 GOOG
PROSHARES ULTRASHORT S&P 500
Total US Large Cap
74347R-88-3 SDS
\$363,082.00
US Mid Cap/Small Cap
LIVEPERSON INC
VISTEON CORP
DEFAULT
92839U-10-7 VSTN
Total US Mid Cap/Small Cap
Non US Equity
BP PLC
HELD BY JPMORGAN CHASE BK LON
ISIN GB0007980591
SEDOL 0798059
G12793-91-8 GBP
Page 5 of 37
\$10,063.56
\$12,328.80
(\$2,265.24)
\$0.00
0.00%
2,000.000
538146-10-1 LPSN
26.000
0.14
3.56
429.00
(425.44)
5.03
10,060.00
11,899.80
(1,839.80)
\$372,542.20
(\$9,460.20)
\$114.00
0.03%
1,000.000
41.41
41,410.00
44,809.50
(3,399.50)

114.00
0.28%
Price
Market
Value
Tax Cost
Unrealized
Gain/Loss
Annual Income
Accrued
Dividends
Yield
600.000
536.12
321,672.00
327,732.70
(6,060.70)
18.000
9.43
169.80
164.25
5.55

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/09 to 10/31/09
Estimated
Quantity
Non US Equity
CURRENCY SHARES EURO TRUST
GLAXOSMITHKLINE PLC
23130C-10-8 FXE
1.000
HELD BY JPMORGAN CHASE BK LON
ISIN GB0009252882/SEDOL 0925288
G3910J-90-6 ZZZZ GBP
SPDR GOLD TRUST
Total Non US Equity
78463V-10-7 GLD
\$188,222.40
\$175,077.26
\$13,145.14
\$26.00
0.01%
400.000
102.53
41,012.00
33,482.00
7,530.00
20.60
20.60
26.60
(6.00)
1,000.000
147.02
147,020.00
141,404.41
5,615.59
26.00
0.02%
Price
Market
Value
Tax Cost
Unrealized
Gain/Loss
Annual Income
Accrued
Dividends
Yield
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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/09 to 10/31/09
Cash & Short Term Summary
Beginning
Asset Categories
Cash
Short Term
Total Value
Market Value
181,393.09
390,399.14
\$571,792.23
Ending
Market Value
866.91
502,195.42
\$503,062.33
Change
In Value
(180,526.18)
111,796.28
(\$68,729.90)
Current
Allocation
1%
33%
34%
Asset Categories
Cash &
Short Term
Cash
Current
Market Value/Cost
Market Value
Tax Cost
Unrealized Gain/Loss
Estimated Annual Income
Accrued Interest
Yield
Period Value
503,062.33
472,149.15
30,913.18
14,977.98
5,801.95
2.92%
Short Term
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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/09 to 10/31/09
Cash & Short Term Summary
SUMMARY BY MATURITY

Short Term
Less than 3 months
3-6 months
Total Value

SUMMARY BY TYPE
Short Term
International Bonds
Mutual Funds
Total Value

Market
Value
502,194.42

1.00
\$502,195.42
% of Bond

Portfolio
99%
1%
100%

CONTINUED
Market
Value
215,750.06
286,445.36
\$502,195.42
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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/09 to 10/31/09

Note:

1

This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account.

Cash & Short Term Detail

Quantity

Cash

POUND STERLING

US DOLLAR

Total Cash

Short Term

JPMORGAN NEW YORK MUNICIPAL MONEY

MARKET FUND

MORGAN SHARE CLASS

FUND 3

4812A0-81-3

JP MORGAN CHASE BANK - AUD

LONDON TIME DEPOSITS 2.7000%

DATED 10/30/2009 MATURITY 11/06/2009

HELD BY LONDON TREASURY SERVICES

Bearer

60705A-9N-1 AUD

117,563.64

90.36

106,230.54

101,891.24

4,339.30

2,868.21

15.93

2.71%

1.00

1.00

1.00

1.00

7.85

853.97

1.65

1.00

12.94

853.97

\$866.91

12.27

853.97

\$866.24

\$0.67

0.67

0.59

0.96
\$0.59
\$0.96
0.07% ¹
0.07%
Price
Market
Value
Tax Cost
Adjusted
Original
Estimated
Unrealized
Gain/Loss
Annual Income
Accrued Interest
Yield
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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/09 to 10/31/09
Quantity
Short Term
JP MORGAN CHASE BANK - NZD
LONDON TIME DEPOSITS 1.8500%
DATED 09/30/2009 MATURITY 11/30/2009
HELD BY LONDON TREASURY SERVICES
Bearer
60704L-9S-7 NZD
KFW - BRL
MEDIUM TERM NOTE 9 1/8% FEB 5 2010
DTD 10/05/2007
HELD BY EUROCLEAR ISIN:XS0323929546
SEDOL:B284W67
B11XZP-9B-7 AAA /AAA BRL
JP MORGAN CHASE BANK - AUD
LONDON TIME DEPOSITS 2.5000%
DATED 08/13/2009 MATURITY 02/16/2010
HELD BY LONDON TREASURY SERVICES
Bearer
60703R-9F-3 AUD
JP MORGAN CHASE BANK - NOK
LONDON TIME DEPOSITS 0.8000%
DATED 08/13/2009 MATURITY 02/16/2010
HELD BY LONDON TREASURY SERVICES
Bearer
60703R-9T-3 NOK
Total Short Term
\$502,195.42
\$471,282.91
\$30,912.51
\$14,977.39
\$5,800.99
2.93%
611,000.00
17.55
107,232.49
100,000.00
7,232.49
857.85
190.55
0.80%
119,047.61
90.36
107,571.46
100,000.00
7,571.46
2,689.28
597.55
2.49%

125,000.00
57.31
71,641.41
72,010.50
(369.09)
6,535.97
4,816.92
8.75%
151,498.89
72.29
109,518.52
97,380.17
12,138.35
2,026.08
180.04
1.85%
Price
Market
Value
Tax Cost
Adjusted
Original
Estimated
Unrealized
Gain/Loss
Annual Income
Accrued Interest
Yield
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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/09 to 10/31/09
Fixed Income Summary
Asset Categories
US Fixed Income - Taxable
Beginning
Market Value
401,573.11
Ending
Market Value
403,399.04
Change
In Value
1,825.93
Current
Allocation
27%
Asset Categories
Fixed
Income
Current
Market Value/Cost
Market Value
Tax Cost
Unrealized Gain/Loss
Estimated Annual Income
Accrued Interest
Yield
Period Value
403,399.04
400,886.74
2,512.30
10,450.00
2,804.01
2.33%
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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/09 to 10/31/09

Fixed Income Summary
SUMMARY BY MATURITY

Fixed Income
Less than 5 years¹
SUMMARY BY TYPE

Fixed Income
Corporate Bonds
Government and Agency Bonds
Total Value

Market
Value
203,024.04
200,375.00
\$403,399.04

% of Bond
Portfolio
50%

50%
100%
Market
Value
403,399.04

CONTINUED
% of Bond
Portfolio
100%

1

The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

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GHISLAINE MAXWELL ACCT. XXXXXXXXXX
 For the Period 10/1/09 to 10/31/09
 Fixed Income Detail
 Quantity
 US Fixed Income - Taxable
 GOLDMAN SACHS GROUP INC
 1 5/8% JUL 15 2011
 DTD 01/21/2009
 38146F-AF-8 AAA /AAA
 INTL BK RECON & DEVELOP - BRL
 8 3/4% JUN 15 2012
 DTD 08/20/2009
 HELD BY EUROCLEAR ISIN:XS0446421157
 SEDOL:B435Z93
 459058-9B-1 AAA /AAA BRL
 FEDERAL HOME LOAN BANK
 1 1/2% DEC 14 2012
 DTD 09/14/2009
 STEP CPN
 3133XU-NX-7 AAA /AAA
 FEDERAL HOME LOAN BANK
 2% SEP 30 2013
 DTD 09/30/2009
 STEP CPN
 3133XU-TS-2 AAA /AAA
 Total US Fixed Income - Taxable
 \$403,399.04
 \$400,886.74
 \$2,512.30
 \$10,450.00
 \$2,804.01
 2.33%
 100,000.000
 100.25
 100,250.00
 100,000.00
 250.00
 2,000.00
 172.20
 1.93%
 100,000.000
 100.13
 100,125.00
 100,000.00
 125.00
 1,500.00
 195.80
 1.46%
 90,000.000
 56.88
 51,195.54

50,106.74
1,088.80
4,512.50
1,718.41
9.04%
Price
Market
Value
Tax Cost
Adjusted
Original
Estimated
Unrealized
Gain/Loss
Annual Income
Accrued Interest
Yield
150,000.000
101.22
151,828.50
150,780.00
1,048.50
2,437.50
717.60
0.90%
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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/09 to 10/31/09
Options Summary
Asset Categories
Equity
Beginning
Market Value
0.00
Ending
Market Value
(370.00)
Change
In Value
(370.00)
Current
Allocation
Current
Market Value/Cost
Market Value
Premium
Unrealized Gain/Loss
Period Value
(370.00)
(853.97)
483.97
Options Detail
Quantity
Equity
GOOGLE INC
CALL OPTION DEC 09 @ 590
Underlying Asset Price = \$536.12
3R599W-9L-S GOP LSAA /
(1.000)
3.70
(370.00)
(853.97)
483.97
Price
Market
Value
Premium
Unrealized
Gain/Loss
Page 14 of 37

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 10/1/09 to 10/31/09

Other Assets Summary

Asset Categories

Other

Beginning

Estimated Value

13,818.00

Ending

Estimated Value

24,160.00

Change

In Value

10,342.00

Current

Allocation

2%

Asset Categories

Other

Assets

Other Assets Detail

Quantity

Other

PROSHARES ULTRSHORT QQQ

74347R-87-5 QID

1,000.000

24.16

24,160.00

26,203.50

(2,043.50)

Price

Estimated

Value

Cost

Estimated

Gain/Loss

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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/09 to 10/31/09
Portfolio Activity Summary - U S Dollar
Beginning Cash Balance

PRINCIPAL

Current

Transactions

Income

INFLOWS

0.92

Contributions

Total Inflows

OUTFLOWS

Withdrawals

Interest Purchased

Foreign Exchange - Outflows

Total Outflows

TRADE ACTIVITY

Settled Sales/Maturities/Redemptions

Settled Securities Purchased

Total Trade Activity

Ending Cash Balance

(22,974.03)

(\$22,974.03)

\$853.97

* Year to date information is calculated on a calendar year basis.

6,571,763.37

(1,471,882.43)

\$5,099,880.94

-\$0.00

\$0.00

\$0.00

-(35,000.00)

(\$147,956.48)

58,828.00

\$58,828.92

(112,956.48)

19,272.87

1,108,547.52

\$1,127,820.39

(5,802,512.29)

(182.81)

(518,283.53)

(\$6,320,978.63)

\$0.00

\$0.00

\$0.00

\$0.00

Period

Value

112,955.56

Year-To-Date
Value*
-INCOME
Current
Period
Value
0.00

Year-To-Date
Value*
-Page
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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/09 to 10/31/09
Portfolio Activity Summary - U S Dollar
Total Cost Adjustments

Current
Cost Adjustments
Amortization
Period Value

\$0.00
* Year to date information is calculated on a calendar year basis.

Portfolio Activity Detail - U S Dollar
INFLOWS & OUTFLOWS

Settlement
Date

10/1

Type

Interest Income

Description

DEPOSIT SWEEP INTEREST FOR SEPT @
.07% RATE ON NET AVG COLLECTED

BALANCE OF \$15,982.10

AS OF 10/01/09

10/5

Misc. Disbursement

FUNDS TRANSFERRED FROM ASSET

AC# [REDACTED] TO

MMIA AC# 000002916490721

CASH MGMT

10/7

Spot FX

SPOT CURRENCY TRANSACTION - BUY

BUY AUD SELL USD

EXCHANGE RATE .878300000

DEAL 10/05/09 VALUE 10/07/09

39,849.700

(35,000.00)

(112,956.48)

Quantity

Per Unit

Amount

PRINCIPAL

Amount

0.92

INCOME

Amount

Year-To-Date

Value*

(56.86)

(\$56.86)

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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/09 to 10/31/09
INFLOWS & OUTFLOWS
Settlement
Date
10/8
Type
Misc. Receipt
Description
FUNDS TRANSFERRED FROM
MMIA A/C# 00000000002916490721 TO
PRN A/C#
[REDACTED]
AS REQUESTED
10/16
Misc. Receipt
FUNDS TRANSFERRED FROM
MMIA A/C# 00000000002916490721 TO
PRN A/C#
[REDACTED]
AS REQUESTED
10/20
Free Delivery
RESEARCH IN MOTION LIMITED
JPMORGAN CLEARING CORP
TRADE DATE 10/20/09
AS OF 10/20/09
Total Inflows & Outflows
TRADE ACTIVITY
Settlement
Trade
Date
Date
10/19
10/19
Type
Settled Securities Purchased
10/16
10/14
Write Option
Purchase
Description
GOOGLE INC
CALL OPTION DEC 09 @ 590
COVERED CALL
PROSHARES ULTRSHORT QQQ
@ 21.94
8,776.00
BROKERAGE
20.00
J.P. MORGAN SECURITIES INC.

TRADE DATE 10/14/09

400.000

21.94

(8,796.00)

Quantity

(1.000)

(\$89,127.56)

(1,400.000)

23,828.00

Quantity

Per Unit

Amount

PRINCIPAL

Amount

35,000.00

INCOME

Amount

Per Unit

Amount

8.60

Market Cost

853.97

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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 10/1/09 to 10/31/09

Trade

Date

Settlement

Date

10/19

Type

Settled Securities Purchased

10/14

Purchase

Description

PROSHARES ULTRASHORT S&P 500

@ 37.53

15,012.00

BROKERAGE

Total Settled Securities Purchased

20.00

J.P. MORGAN SECURITIES INC.

TRADE DATE 10/14/09

(\$22,974.03)

Quantity

400.000

Per Unit

Amount

37.53

Market Cost

(15,032.00)

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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/09 to 10/31/09
Portfolio Activity Summary - Australia Dollar
PRINCIPAL
US Dollar Value

Current
Transactions

Income
OUTFLOWS
Withdrawals
Tax Payments
Total Outflows

TRADE ACTIVITY
Settled Sales/Maturities/Redemptions
Settled Securities Purchased
Total Trade Activity
Ending Cash Balance

* Year to date information is calculated on a calendar year basis.

64,545.00
(101,723.40)
(\$101,723.40)
-(263,502.86)
(\$198,957.86)
-(117,380.95)
(117,380.95)

0.00
75,000.00
(312,306.06)
(237,306.06)

-Period
Value
Beginning Cash Balance

INFLOWS
Foreign Exchange - Inflows
Total Inflows
-167.84

35,000.00
\$35,167.84
(167.84)
(\$167.84)

Year-To-Date
Value*

-1,418.27
197,949.47
\$199,367.74
(167.84)
(242.04)
(\$409.88)

Local
Value
Current

Period Value

77,531.25

182.69

39,849.70

40,032.39

(182.69)

(182.69)

Year-To-Date

Value*

-1,558.19

236,211.81

237,770.00

(182.69)

(281.25)

(463.94)

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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/09 to 10/31/09
Portfolio Activity Summary - Australia Dollar
INCOME

US Dollar Value

Current

Transactions

Beginning Cash Balance

Ending Cash Balance

* Year to date information is calculated on a calendar year basis.

Portfolio Activity Detail - Australia Dollar

INFLOWS & OUTFLOWS

Settlement

Date

10/7

Type

Spot FX

Description

SPOT CURRENCY TRANSACTION - BUY

BUY AUD SELL USD

EXCHANGE RATE .878300000

DEAL 10/05/09 VALUE 10/07/09

10/16

Foreign Interest

JP MORGAN CHASE BANK - AUD

LONDON TIME DEPOSITS 2.7000%

DATED 10/09/2009 MATURITY 10/16/2009

HELD BY LONDON TREASURY SERVICES

10/16

Misc. Disbursement

REP: ROLLOVER TO TIME DEPOSIT

AUSTRALIA DOLLAR

(61.620)

(56.63)

(61.62)

117,380.950

0.001

56.63

61.62

Quantity

(35,000.000)

Period Value

--Year-To-Date

Value*

--Local

Value

Current

Period Value

0.00

0.00

Year-To-Date

Value*
--Per
Unit
Amount USD
Local Value
PRINCIPAL
Amount USD
Local Value
35,000.00
39,849.70
INCOME
Amount USD
Local Value
Currency
Gain/Loss USD
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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/09 to 10/31/09
INFLOWS & OUTFLOWS
Per Unit
Settlement
Date
10/16
Type
Free Delivery
Description
JP MORGAN CHASE BANK - AUD
LONDON TIME DEPOSITS 2.7000%
DATED 10/09/2009 MATURITY 10/16/2009
HELD BY LONDON TREASURY SERVICES
CLIENT REF FTD
10/16
Receipt of Assets
JP MORGAN CHASE BANK - AUD
LONDON TIME DEPOSITS 2.6500%
DATED 10/16/2009 MATURITY 10/23/2009
HELD BY LONDON TREASURY SERVICES
CLIENT REF FTD
10/23
Foreign Interest
JP MORGAN CHASE BANK - AUD
LONDON TIME DEPOSITS 2.6500%
DATED 10/16/2009 MATURITY 10/23/2009
HELD BY LONDON TREASURY SERVICES
10/23
10/23
Misc. Disbursement
Free Delivery
REP: ROLLOVER TO TIME DEPOSIT
JP MORGAN CHASE BANK - AUD
AUSTRALIA DOLLAR
(117,442.570)
LONDON TIME DEPOSITS 2.6500%
DATED 10/16/2009 MATURITY 10/23/2009
HELD BY LONDON TREASURY SERVICES
CLIENT REF FTD
10/23
Receipt of Assets
JP MORGAN CHASE BANK - AUD
LONDON TIME DEPOSITS 2.6500%
DATED 10/23/2009 MATURITY 10/30/2009
HELD BY LONDON TREASURY SERVICES
CLIENT REF FTD
117,503.090
(60.520)
(55.85)
(60.52)

117,442.570
0.001
55.85
60.52
117,442.570
Quantity
(117,380.950)
Amount USD
Local Value
PRINCIPAL
Amount USD
Local Value
INCOME
Amount USD
Local Value
Currency
Gain/Loss USD
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GHISLAINE MAXWELL ACCT. ██████████
 For the Period 10/1/09 to 10/31/09
 INFLOWS & OUTFLOWS
 Per Unit
 Settlement
 Date
 10/30
 Type
 Foreign Interest
 Description
 JP MORGAN CHASE BANK - AUD
 LONDON TIME DEPOSITS 2.6500%
 DATED 10/23/2009 MATURITY 10/30/2009
 HELD BY LONDON TREASURY SERVICES
 10/30
 10/30
 Misc. Disbursement
 Free Delivery
 REP: ROLLOVER TO TIME DEPOSIT
 JP MORGAN CHASE BANK - AUD
 AUSTRALIA DOLLAR
 (117,503.090)
 LONDON TIME DEPOSITS 2.6500%
 DATED 10/23/2009 MATURITY 10/30/2009
 HELD BY LONDON TREASURY SERVICES
 CLIENT REF FTD
 10/30
 Receipt of Assets
 JP MORGAN CHASE BANK - AUD
 LONDON TIME DEPOSITS 2.7000%
 DATED 10/30/2009 MATURITY 11/06/2009
 HELD BY LONDON TREASURY SERVICES
 CLIENT REF FTD
 Total Inflows & Outflows
 \$35,000.00
 \$0.00
 117,563.640
 (60.550)
 (55.36)
 (60.55)
 Quantity
 117,503.090
 0.001
 Amount USD
 Local Value
 PRINCIPAL
 Amount USD
 Local Value
 55.36
 60.55
 INCOME

Amount USD
Local Value
Currency
Gain/Loss USD
Page 23 of 37

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/09 to 10/31/09
TRADE ACTIVITY - Australia Dollar
Per Unit
Trade
Date
Settlement
Date
10/9
Type
Settled Securities Purchased
10/9
Purchase
Description
JP MORGAN CHASE BANK - AUD
LONDON TIME DEPOSITS 2.7000%
DATED 10/09/2009 MATURITY 10/16/2009
HELD BY LONDON TREASURY SERVICES
CLIENT REF FTD
Quantity
117,380.950
Amount USD
Local Value
90.435
100.00
Market
Cost USD
Local Value
(101,723.40)
(117,380.95)
Currency
Gain/Loss USD
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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/09 to 10/31/09
Portfolio Activity Summary - BRAZILIAN REAL
PRINCIPAL

US Dollar Value

Current

Transactions

Beginning Cash Balance

INFLOWS

Total Inflows

Foreign Exchange - Inflows

\$0.00

TRADE ACTIVITY

Total Trade Activity

Settled Securities Purchased

\$0.00

Ending Cash Balance

* Year to date information is calculated on a calendar year basis.

INCOME

US Dollar Value

Current

Transactions

Beginning Cash Balance

Ending Cash Balance

* Year to date information is calculated on a calendar year basis.

Period Value

--Year-To-Date

Value*

--Local

Value

Current

Period Value

0.00

0.00

Year-To-Date

Value*

---Period

Value

-Year-To-Date

Value*

-122,117.24

\$122,117.24

(122,117.24)

(\$122,117.24)

-Local

Value

Current

Period Value

0.00

Year-To-Date

Value*

-0.00

223,434.71

223,434.71

0.00

0.00

(223,434.71)

(223,434.71)

-Page

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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/09 to 10/31/09
Portfolio Activity Detail - BRAZILIAN REAL
No Activity This Period
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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/09 to 10/31/09
Portfolio Activity Summary - N ZEALAND DOLLAR
PRINCIPAL

US Dollar Value

Current

Transactions

Income

OUTFLOWS

Withdrawals

Tax Payments

Total Outflows

TRADE ACTIVITY

Settled Sales/Maturities/Redemptions

Settled Securities Purchased

Total Trade Activity

Ending Cash Balance

* Year to date information is calculated on a calendar year basis.

\$0.00

-60,244.23

(158,455.10)

(\$98,210.87)

-0.00

0.00

95,000.00

(246,388.23)

(151,388.23)

-\$0.00

Period

Value

Beginning Cash Balance

INFLOWS

Foreign Exchange - Inflows

Total Inflows

-Year-To-Date

Value*

-654.00

\$0.00

98,216.82

\$98,870.82

(343.67)

(316.28)

(\$659.95)

0.00

Local

Value

Current

Period Value

0.00

Year-To-Date

Value*

-1,013.89
151,354.25
152,368.14
0.00
(481.16)
(498.75)
(979.91)
Page
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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/09 to 10/31/09
Portfolio Activity Summary - N ZEALAND DOLLAR
INCOME

US Dollar Value

Current

Transactions

Beginning Cash Balance

Ending Cash Balance

* Year to date information is calculated on a calendar year basis.

Portfolio Activity Detail - N ZEALAND DOLLAR

No Activity This Period

Period Value

--Year-To-Date

Value*

--Local

Value

Current

Period Value

0.00

0.00

Year-To-Date

Value*

--Page

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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/09 to 10/31/09
Portfolio Activity Summary - NORWEGIAN KRONE
PRINCIPAL

US Dollar Value

Current

Transactions

Beginning Cash Balance

INFLOWS

Total Inflows

Foreign Exchange - Inflows

\$0.00

TRADE ACTIVITY

Total Trade Activity

Settled Securities Purchased

\$0.00

Ending Cash Balance

* Year to date information is calculated on a calendar year basis.

INCOME

US Dollar Value

Current

Transactions

Beginning Cash Balance

Ending Cash Balance

* Year to date information is calculated on a calendar year basis.

Period Value

--Year-To-Date

Value*

--Local

Value

Current

Period Value

0.00

0.00

Year-To-Date

Value*

---Period

Value

-Year-To-Date

Value*

-100,000.00

\$100,000.00

(100,000.00)

(\$100,000.00)

-Local

Value

Current

Period Value

0.00

Year-To-Date

Value*

-0.00

611,000.00

611,000.00

0.00

0.00

(611,000.00)

(611,000.00)

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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/09 to 10/31/09
Portfolio Activity Detail - NORWEGIAN KRONE
No Activity This Period
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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/09 to 10/31/09
Portfolio Activity Summary - Pound Sterling
PRINCIPAL
US Dollar Value

Current
Transactions
Beginning Cash Balance

INFLOWS
Total Inflows
Income

OUTFLOWS
Total Outflows
Tax Payments
Ending Cash Balance

* Year to date information is calculated on a calendar year basis.

INCOME
US Dollar Value
Current

Transactions
Beginning Cash Balance
Ending Cash Balance

* Year to date information is calculated on a calendar year basis.

Period Value
--Year-To-Date
Value*

--Local
Value
Current

Period Value
0.00
0.00

Year-To-Date
Value*

--Period
Value
-0.25
\$0.25
(0.03)

(\$0.03)
-Year-To-Date
Value*

-9.62
\$9.62
(0.98)
(\$0.98)

-Local
Value
Current

Period Value
7.71

0.16

0.16

(0.02)

(0.02)

7.85

Year-To-Date

Value*

-6.25

6.25

(0.64)

(0.64)

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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 10/1/09 to 10/31/09
Portfolio Activity Detail - Pound Sterling
INFLOWS & OUTFLOWS

Settlement

Date

10/8

Type

Foreign Dividend

Description

GLAXOSMITHKLINE PLC

HELD BY JPMORGAN CHASE BK LON

ISIN GB0009252882/SEDOL 0925288

@ 0.15555 PER SHARE

10/8

Foreign Tax Withheld

GLAXOSMITHKLINE PLC

HELD BY JPMORGAN CHASE BK LON

ISIN GB0009252882/SEDOL 0925288

TAX WITHHELD UNITED KINGDOM

13.00%

Total Inflows & Outflows

\$0.22

\$0.00

(0.020)

(0.03)

(0.02)

Quantity

1.000

Per Unit

Amount USD

Local Value

0.25

0.16

PRINCIPAL

Amount USD

Local Value

0.25

0.16

INCOME

Amount USD

Local Value

Currency

Gain/Loss USD

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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 10/1/09 to 10/31/09

Settled Foreign Exchange Contracts

Currency

Trade Date

Settle Date Counter Currency

Trade Related

AUSTRALIA DOLLAR

U S DOLLAR

Oct. 5 09

Oct. 7 09

AUD

USD

39,849.70

(35,000.00)

0.878300

0.878300

(35,000.00)

35,000.00

Amount

Counter Amount Contract Rate Revaluation Rate

Contracted Base

Amount USD

Revalued

Amount USD

Currency G/L

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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 10/1/09 to 10/31/09

In Case of Errors or Questions About Your Electronic Transfers.

Contact your J.P. Morgan Team at one of the telephone numbers on the front of this statement or write us at [REDACTED], 1/OPS, Newark, DE 19713-2107 as soon as you can, if you

think your statement is wrong or if you need more information about a transfer on the statement. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error

or problem appeared. (1) Tell us your name and account number. (2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is in error or why you

need more information. (3) tell us the dollar amount of the suspected error. If you contact us orally, you must send us your complaint or question in writing within 10 business days in order to preserve

your rights. We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this (20 days for purchases using your debit card or for international

transactions), we will credit your account for the amount you think is in error, so that you will have the use of money during the time it takes us to complete our investigation.

In case of errors or questions about your statement, including your line of credit.

If you think that your statement is incorrect or if you need more information about a transaction on your statement including a line of credit transaction, you must write to us on a separate sheet describing

the error and send it to: J.P. Morgan's Private Bank, [REDACTED], [REDACTED], 1/OPS3, Newark, DE 19713-2107. We must hear from you no later than 60 days after the statement on which the

error or problem appeared is sent. You can contact your client service specialist but doing so will not preserve your rights.

Please review your account statement and promptly report any inaccuracy or discrepancy including possible unauthorized trading activity, unrecorded dividend payments, and unaccounted cash

positions in writing to both the introducing broker, JPMSI and the clearing firm, JPMCC at the addresses shown on your statement. Any oral communication should be re-confirmed in writing to further

protect your rights, including your rights under the Securities Investor Protection Act (SIPA). If you have any questions please contact your JPMSI Account Representative or JPMSI Compliance

Department at [REDACTED].

In your letter, please provide the following information: (1) your name and account number; (2) the dollar amount of the suspected error; and (3) a description of the error and explanation, if you can, why

you believe there is an error. If you need more information, you must describe the item you are unsure about.

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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 10/1/09 to 10/31/09

Important Information about Pricing and Valuations

Certain assets including but not limited to, pooled private investments, non-publicly traded and infrequently traded securities, derivatives, partnership interests and tangible assets are generally illiquid, the value of which may have been provided to us by third parties who may not be independent of the issuer or manager. Such information is reflected as of the last date provided to us, and is not independently verified.

Prices, some of which are provided by pricing services or other sources which we deem reliable, are not guaranteed for accuracy or as realizable values.

Market value information (including without limitation, prices, exchange rates, accrued income and bond ratings) furnished herein has been obtained from sources that J.P. Morgan believes to be reliable and is furnished for the exclusive use of the client.

J.P. Morgan makes no representation, warranty or guarantee, express or implied, that any quoted value represents the actual terms at which transactions or securities could be bought or sold or new transactions could be entered into, or the actual terms on which existing transactions or securities could be liquidated.

The current price is the value of the financial asset share, unit or contract as priced at the close of the market on the last day of the statement period or the last available price.

All values provided for structured yield deposits (for example, JPMorgan London Time Deposits) reflect the original deposit amount only.

The current value for Real Estate, Mineral Interests and Miscellaneous Assets may not reflect the most current value of the asset.

Valuations of over-the-counter derivative transactions, including certain derivatives-related deposit products, have been prepared on a mid-market basis. These valuations are indicative values as of the close of business of the date of this statement and, except as otherwise agreed in writing, these valuations do not represent the actual terms at which transactions or securities could be bought or sold or new transactions could be entered into, or the actual terms on which existing transactions or securities could be liquidated as of the date of this statement. We do not warrant their completeness or accuracy. These valuations are derived from proprietary models based upon well-recognized financial principles and we have, when necessary to calculate the present value of future cash flows, made reasonable estimates about relevant future market conditions. Valuations based on other models or different assumptions may yield different results.

J.P. Morgan expressly disclaims any responsibility for (1) the accuracy of the models or estimates used in deriving the valuations, (2) any errors or omissions in computing or disseminating the valuations, and (3) any uses to which the valuations are put.

Valuations are provided for information purposes only and are intended solely for your own use. Please refer to the trade confirmation for details of each transaction.

Please review your statement promptly and report any discrepancies

immediately to an account officer whose name appears on the contact page of this statement.

The JPMorgan Funds or The JPMorgan Institutional Funds or The American Century Funds

Shares of the funds are not bank deposits and are not guaranteed by any bank, government entity, or the FDIC. Return and share price will fluctuate and redemption value may be more or less than original cost. While the money market funds seek to maintain a stable net asset value of \$1.00 per share, there is no assurance that they will continue to do so. The estimated annual income and dividend yield figures for mutual funds represent the funds' most recent income dividend annualized.

The distributor of the JPMorgan Funds is JPMorgan Distribution Services, Inc., which is an affiliate of the Bank. The Bank and its affiliates receive compensation from JPMorgan Funds for providing services. American Century Investment Services, Inc. is the distributor of the American Century Funds. American Century Investment Management Inc. serves as investment advisor to its respective fund family and receives compensation from that fund family for providing investment advisory and other services.

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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 10/1/09 to 10/31/09

Important Information Regarding Auction Rate Securities (ARS). ARS are debt or preferred securities with an interest or dividend rate reset periodically in an auction. Although there may be daily, weekly and monthly resets, there is no guarantee that there will be liquidity. If there are not enough bids at an auction to redeem the securities available for sale, the result may be a failed auction. In a failed auction, there is no assurance that a secondary market will develop or that the security will trade at par or any other price reflected on statements. Accordingly, investors should not rely on pricing information appearing in their statements with respect to ARS.

Additional Information About Your Accounts

Securities purchased or sold through JPMSI (1) other than mutual funds, are cleared through J.P.Morgan Clearing Corp. ("JPMCC"), an affiliate of JPMSI, and (2) other than exchange-listed options, are held in your Asset Account at JPMorgan Chase Bank, N.A. Positions in exchange-listed options are held by J.P.Morgan Clearing Corp. and are not delivered to or from your Asset Account. For your convenience, however, positions in exchange-listed options are presented in this Asset Account statement together with other assets held in that account. All pertinent information about your settled and pending purchases and sales effected through your JPMSI account during the period covered by this statement, is summarized in the "Trade Activity" portion of this statement.

You should have received separate confirmations for each securities transaction. All transactions are subject to the terms and conditions stated on the reverse side of such confirmations and are subject to the constitution, by-laws, customs and interpretations of the marketplace where executed and governed by and construed in accordance with the laws of the State of New York and all applicable federal laws and regulations. Further information with respect to commissions and other charges related to the execution of transactions, including options transactions, has been included in confirmations that were previously furnished to you. Upon written request, JPMSI will promptly supply you with the latest such information.

Shareholders of certain JPMorgan Funds are charged a redemption fee equal to 2% of the proceeds if they exchange or redeem shares of such funds within 60 days of purchase, subject to certain exceptions set forth in the prospectus of the applicable Fund. Please consult your J.P. Morgan representative for a list of the JPMorgan Funds that impose redemption fees.

JPMCC and JPMSI are members of the Securities Investor Protection Corp ("SIPC"), a not-for-profit membership corporation funded by broker-dealers registered with the Securities and Exchange Commission. Securities and cash held for a customer at JPMSI and JPMCC are protected by SIPC up to \$500,000 per customer, which includes up to \$100,000 of protection for cash. SIPC does not protect against losses from fluctuations in the value of the securities. Assets held in custody by JPMorgan Chase Bank, N.A. (the "Bank") are not subject to SIPC. You may obtain information about

SIPC, including the SIPC Brochure, on their website, at "www.sipc.org" or by contacting them at (202) 371-8300.

To the extent applicable, please read the following disclosures regarding estimated annual income (EAI) and estimated yield (EY): EAI and EY for certain types of securities could include a return of principal or capital gains in which case the EAI and EY would be overstated. EAI and EY are estimates and the actual income and yield might be lower or higher than the estimated amounts. EY reflects only the income generated by an investment. It does not reflect changes in its price, which may fluctuate.

JPMorgan Chase Bank, N.A. (JPMorgan Chase Bank), JPMorgan Chase & Co. (JPMorgan Chase), JPMorgan Chase Financial Services (JPMorgan Chase Financial Services), JPMorgan Chase Investment Services (JPMorgan Chase Investment Services), JPMorgan Chase Private Bank (JPMorgan Chase Private Bank), JPMorgan Chase Securities (JPMorgan Chase Securities), JPMorgan Chase Wealth Management (JPMorgan Chase Wealth Management), JPMorgan Chase Bank, N.A. (JPMorgan Chase Bank), JPMorgan Chase & Co. (JPMorgan Chase), JPMorgan Chase Financial Services (JPMorgan Chase Financial Services), JPMorgan Chase Investment Services (JPMorgan Chase Investment Services), JPMorgan Chase Private Bank (JPMorgan Chase Private Bank), JPMorgan Chase Securities (JPMorgan Chase Securities), JPMorgan Chase Wealth Management (JPMorgan Chase Wealth Management) may provide administrative, custodial, sales, distribution or shareholder services to JPMorgan Funds, American Century Funds, or funds established, sponsored, advised, or managed by third parties, and the J.P. Morgan Companies may be compensated for such services.

A financial statement of this organization is available to you for personal inspection at its offices, or a copy will be mailed to you upon written request.

Bank products and services are offered through JPMorgan Chase Bank, N.A. (JPMorgan Chase Bank) and its affiliates. Securities are offered by JPMorgan Chase Securities (JPMorgan Chase Securities) and, to the extent noted above, cleared through JPMorgan Chase Clearing Corporation (JPMorgan Chase Clearing Corporation).

If a partial call is made with respect to an issue of securities included in your Account we will allocate the call by a method we deem fair and equitable.

You must promptly advise JPMorgan Chase Investment Services (JPMorgan Chase Investment Services) of material changes in your investment objectives or financial situation. Unless you inform JPMorgan Chase Investment Services (JPMorgan Chase Investment Services) otherwise, JPMorgan Chase Investment Services (JPMorgan Chase Investment Services) will consider the information currently in its files to be complete and accurate.

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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 10/1/09 to 10/31/09

JPMSI is not a bank and is a separate legal entity from its bank or thrift affiliates, including JPMCB. The securities sold, offered, or recommended by JPMSI:

- (1) Are not insured by the Federal Deposit Insurance Corporation, or any other governmental agency;
- (2) Are not deposits or other obligations of JPMSI's bank or thrift affiliates (unless otherwise indicated), and are not guaranteed by or the responsibility of any such affiliates (unless explicitly stated otherwise); and
- (3) Involve investment risks, including possible loss of the principal invested.

JPMSI's banking affiliates may be lenders to issuers of securities that JPMSI underwrites, in which case proceeds of offerings underwritten by JPMSI may be used for the repayment of such loans, and you should refer to the disclosure documents relating to particular securities for discussion of any such lending relationships. The Federal Reserve requires that JPMSI obtain your consent before it can obtain certain information from its bank or thrift affiliates, including their credit evaluation of you. We will assume that your continuing to transact business with JPMSI will constitute your consent to the sharing of such information by JPMSI and its bank or thrift affiliates, to the extent permitted by law.

DEPOSITS IN FOREIGN BRANCHES ARE NOT INSURED BY THE FDIC, THE FEDERAL RESERVE BOARD OR ANY OTHER GOVERNMENTAL AGENCY; AMOUNTS IN THE TIME DEPOSITS DO NOT HAVE THE BENEFIT OF ANY DOMESTIC PREFERENCE APPLICABLE TO U.S. BANKS.

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