

JPMorgan Chase Bank, N.A.  
GHISLAINE MAXWELL  
345 Park Avenue, New York, NY 10154-1002  
ACCT. [REDACTED]

For the Period 11/1/09 to 11/30/09  
0000020194.00.0.20.00003.MAXWE00.20091202

GHISLAINE MAXWELL  
16 ISLAND AVE APT 7D  
MIAMI BEACH FL 33139-1331

Asset Account  
J.P. Morgan Private Bank Team

Mary Casey  
Janet Young  
William Doherty III  
Banker  
Client Service Team  
Client Service Team

[REDACTED]  
Table of Contents  
Account Summary  
Holdings  
Equity  
Cash and Short Term  
Fixed Income  
Options  
Other Assets  
Portfolio Activity  
Online access  
[www.MorganOnline.com](http://www.MorganOnline.com)

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GHISLAINE MAXWELL ACCT. ██████████  
 For the Period 11/1/09 to 11/30/09  
 Account Summary  
 Asset Allocation  
 Equity  
 Cash & Short Term  
 Fixed Income  
 Options  
 Other Assets  
 Market Value  
 Accruals  
 Market Value with Accruals  
 Beginning  
 Ending  
 Market Value  
 561,367.96  
 503,062.33  
 403,399.04  
 (370.00)  
 24,160.00  
 \$1,491,619.33  
 8,605.96  
 \$1,500,225.29  
 Market Value  
 665,827.93  
 604,157.69  
 403,470.38  
 (1,360.00)  
 21,220.00  
 \$1,693,316.00  
 9,339.29  
 \$1,702,655.29  
 Current  
 Portfolio Activity  
 Beginning Market Value  
 Contributions  
 Withdrawals & Fees  
 Securities Transferred In  
 Securities Transferred Out  
 Net Contributions/Withdrawals  
 Income & Distributions  
 Change In Investment Value  
 Ending Market Value  
 Accruals  
 Market Value with Accruals  
 Period Value  
 1,491,619.33  
 168,148.09  
 (577.78)  
 324,276.82  
 (540,482.61)

(\$48,635.48)  
578.74  
249,753.41  
\$1,693,316.00  
9,339.29  
\$1,702,655.29  
Change  
In Value  
104,459.97  
101,095.36  
71.34  
(990.00)  
(2,940.00)  
\$201,696.67  
733.33  
\$202,430.00  
Cash &  
Short Term  
Year-to-Date  
Value  
6,377,327.40  
1,276,695.61  
(5,803,602.56)  
646,422.63  
(1,425,839.97)  
(\$5,306,324.29)  
23,519.95  
598,792.94  
\$1,693,316.00  
9,339.29  
\$1,702,655.29  
1%  
100%  
Fixed  
Income  
Estimated  
2,600.00  
15,555.06  
10,445.87  
Current  
Annual Income Allocation  
39%  
36%  
24%  
Equity  
Other  
Assets  
Asset Allocation

GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 11/1/09 to 11/30/09  
Account Summary  
Tax Summary  
Domestic Dividends/Distributions  
Foreign Dividends  
Currency Gain/Loss  
Interest Income  
Taxable Income  
Tax-Exempt Income  
Bond Premium Amortization  
Tax-Exempt Income  
578.74  
\$578.74  
CONTINUED  
Current  
Period Value  
Year-to-Date  
Value  
1,919.85  
9.62  
1,826.12  
3,518.48  
\$7,274.07  
16,302.74  
(56.86)  
\$16,245.88  
Unrealized Gain/Loss  
To-Date Value  
\$66,255.49  
ST Realized Gain/Loss  
LT Realized Gain/Loss  
Realized Gain/Loss  
Current  
Period Value  
Year-to-Date  
Value  
(12,793.03)  
10,232.00  
(\$2,561.03)  
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GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 11/1/09 to 11/30/09  
Equity Summary  
Asset Categories  
US Large Cap  
US Mid Cap/Small Cap  
Non US Equity  
Emerging Markets  
Total Value  
Beginning  
Market Value  
363,082.00  
10,063.56  
188,222.40  
0.00  
\$561,367.96  
Ending  
Market Value  
440,545.00  
12,681.56  
196,366.37  
16,235.00  
\$665,827.93  
Change  
In Value  
77,463.00  
2,618.00  
8,143.97  
16,235.00  
\$104,459.97  
Current  
Allocation  
25%  
1%  
12%  
1%  
39%  
US Mid Cap/Small Cap  
Emerging Markets  
US Large Cap  
Asset Categories  
Equity  
Current  
Non US Equity  
Market Value/Cost  
Market Value  
Tax Cost  
Unrealized Gain/Loss  
Estimated Annual Income  
Yield  
Period Value

665,827.93

629,436.26

36,391.67

2,600.00

0.39%

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GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 11/1/09 to 11/30/09  
Equity Detail  
Estimated  
Quantity  
US Large Cap  
AT&T INC  
GOOGLE INC  
CL A  
38259P-50-8 GOOG  
PFIZER INC  
717081-10-3 PFE  
PROSHARES ULTRASHORT S&P 500  
Total US Large Cap  
74347R-88-3 SDS  
\$440,545.00  
US Mid Cap/Small Cap  
LIVEPERSON INC  
VISTEON CORP  
DEFAULT  
92839U-10-7 VSTN Q  
Total US Mid Cap/Small Cap  
\$12,681.56  
\$12,328.80  
\$352.76  
\$0.00  
0.00%  
2,000.000  
538146-10-1 LPSN  
26.000  
0.06  
1.56  
429.00  
(427.44)  
6.34  
12,680.00  
11,899.80  
780.20  
\$425,292.20  
\$15,252.80  
\$2,600.00  
0.59%  
1,000.000  
36.55  
36,550.00  
44,809.50  
(8,259.50)  
1,500.000  
18.17  
27,255.00  
26,460.00

795.00  
960.00  
3.52%  
1,000.000  
00206R-10-2 T  
600.000  
583.00  
349,800.00  
327,732.70  
22,067.30  
26.94  
26,940.00  
26,290.00  
650.00  
1,640.00  
6.09%  
Price  
Market  
Value  
Tax Cost  
Unrealized  
Gain/Loss  
Annual Income  
Accrued  
Dividends  
Yield  
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GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 11/1/09 to 11/30/09  
Estimated  
Quantity  
Non US Equity  
BP PLC  
HELD BY JPMORGAN CHASE BK LON  
ISIN GB0007980591  
SEDOL 0798059  
G12793-91-8 GBP  
CURRENCY SHARES EURO TRUST  
GLAXOSMITHKLINE PLC  
23130C-10-8 FXE  
1.000  
HELD BY JPMORGAN CHASE BK LON  
ISIN GB0009252882/SEDOL 0925288  
G3910J-90-6 ZZZZ GBP  
SPDR GOLD TRUST  
Total Non US Equity  
78463V-10-7 GLD  
\$196,366.37  
Emerging Markets  
CHANGYOU.COM LTD  
A/D/R  
15911M-10-7 CYOU  
\$175,077.26  
\$21,289.11  
\$0.00  
0.00%  
400.000  
115.64  
46,256.00  
33,482.00  
12,774.00  
20.63  
20.63  
26.60  
(5.97)  
1,000.000  
149.92  
149,920.00  
141,404.41  
8,515.59  
Price  
Market  
Value  
Tax Cost  
Unrealized  
Gain/Loss  
Annual Income  
Accrued

Dividends

Yield

18.000

9.43

169.74

164.25

5.49

500.000

32.47

16,235.00

16,738.00

(503.00)

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GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 11/1/09 to 11/30/09  
Cash & Short Term Summary  
Beginning  
Asset Categories  
Cash  
Short Term  
Total Value  
Market Value  
866.91  
502,195.42  
\$503,062.33  
Ending  
Market Value  
100,178.81  
503,978.88  
\$604,157.69  
Change  
In Value  
99,311.90  
1,783.46  
\$101,095.36  
Current  
Allocation  
6%  
30%  
36%  
Asset Categories  
Cash &  
Short Term  
Cash  
Current  
Market Value/Cost  
Market Value  
Tax Cost  
Unrealized Gain/Loss  
Estimated Annual Income  
Accrued Interest  
Yield  
Period Value  
604,157.69  
571,872.96  
32,284.73  
15,555.06  
6,486.81  
17.68%  
Short Term  
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GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 11/1/09 to 11/30/09  
Cash & Short Term Summary  
SUMMARY BY MATURITY

Short Term  
Less than 3 months

SUMMARY BY TYPE  
Short Term  
International Bonds  
Mutual Funds  
Total Value

Market  
Value  
503,977.88  
1.00  
\$503,978.88

% of Bond  
Portfolio  
99%  
1%  
100%

CONTINUED  
503,978.88  
Market  
Value  
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GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 11/1/09 to 11/30/09

Note:

1

This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account.

Cash & Short Term Detail

Quantity

Cash

CANADIAN DOLLAR

POUND STERLING

US DOLLAR

Total Cash

Short Term

JPMORGAN NEW YORK MUNICIPAL MONEY

MARKET FUND

MORGAN SHARE CLASS

FUND 3

4812A0-81-3

JP MORGAN CHASE BANK - AUD

LONDON TIME DEPOSITS 3.0500%

DATED 11/27/2009 MATURITY 12/04/2009

HELD BY LONDON TREASURY SERVICES

Bearer

60705N-9D-5 AUD

117,825.60

90.89

11/27/09

107,085.80

102,132.17

4,953.63

3,266.11

36.19

88.86%

1.00

1.00

1.00

1.00

105,600.00

7.85

0.95

1.64

1.00

100,165.93

12.88

100,000.00

12.27

165.93

0.61

N/A  
0.17  
\$100,178.81  
\$100,012.27  
\$166.54  
\$0.00  
\$0.17  
0.00%  
0.07% <sup>1</sup>  
Price  
Market  
Value  
Tax Cost  
Adjusted  
Original  
Estimated  
Unrealized  
Gain/Loss  
Annual Income  
Accrued Interest  
Yield  
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GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 11/1/09 to 11/30/09  
Quantity  
Short Term  
JP MORGAN CHASE BANK - NZD  
LONDON TIME DEPOSITS 2.0000%  
DATED 11/30/2009 MATURITY 01/29/2010  
HELD BY LONDON TREASURY SERVICES  
Bearer  
60705P-9R-9 NZD  
KFW - BRL  
MEDIUM TERM NOTE 9 1/8% FEB 5 2010  
DTD 10/05/2007  
HELD BY EUROCLEAR ISIN:XS0323929546  
SEDOL:B284W67  
B11XZP-9B-7 AAA /AAA BRL  
JP MORGAN CHASE BANK - AUD  
LONDON TIME DEPOSITS 2.5000%  
DATED 08/13/2009 MATURITY 02/16/2010  
HELD BY LONDON TREASURY SERVICES  
Bearer  
60703R-9F-3 AUD  
JP MORGAN CHASE BANK - NOK  
LONDON TIME DEPOSITS 0.8000%  
DATED 08/13/2009 MATURITY 02/16/2010  
HELD BY LONDON TREASURY SERVICES  
Bearer  
60703R-9T-3 NOK  
Total Short Term  
\$503,978.88  
\$471,860.69  
\$32,118.19  
\$15,555.06  
\$6,486.64  
21.19%  
611,000.00  
17.61  
107,593.15  
100,000.00  
7,593.15  
860.74  
262.95  
0.80%  
119,047.61  
91.53  
108,970.21  
100,000.00  
8,970.21  
2,724.25  
832.31  
2.48%

125,000.00  
57.30  
71,629.46  
72,010.50  
(381.04)  
6,529.98  
5,349.22  
8.26%  
151,973.80  
71.53  
108,699.26  
97,717.02  
10,982.24  
2,173.98  
5.97  
2.00%  
Price  
Market  
Value  
Tax Cost  
Adjusted  
Original  
Estimated  
Unrealized  
Gain/Loss  
Annual Income  
Accrued Interest  
Yield  
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GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 11/1/09 to 11/30/09  
Fixed Income Summary  
Asset Categories  
US Fixed Income - Taxable  
Beginning  
Market Value  
403,399.04  
Ending  
Market Value  
403,470.38  
Change  
In Value  
71.34  
Current  
Allocation  
24%  
Asset Categories  
Fixed  
Income  
Current  
Market Value/Cost  
Market Value  
Tax Cost  
Unrealized Gain/Loss  
Estimated Annual Income  
Accrued Interest  
Yield  
Period Value  
403,470.38  
400,886.74  
2,583.64  
10,445.87  
2,852.48  
2.28%  
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GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 11/1/09 to 11/30/09

Fixed Income Summary  
SUMMARY BY MATURITY

Fixed Income  
Less than 5 years<sup>1</sup>  
SUMMARY BY TYPE

Fixed Income  
Corporate Bonds  
Government and Agency Bonds  
Total Value

Market  
Value  
203,283.38  
200,187.00  
\$403,470.38

% of Bond  
Portfolio  
50%

50%  
100%  
Market  
Value  
403,470.38

CONTINUED  
% of Bond  
Portfolio  
100%

1

The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

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GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 11/1/09 to 11/30/09  
Fixed Income Detail  
Quantity  
US Fixed Income - Taxable  
GOLDMAN SACHS GROUP INC  
1 5/8% JUL 15 2011  
DTD 01/21/2009  
38146F-AF-8 AAA /AAA  
INTL BK RECON & DEVELOP - BRL  
8 3/4% JUN 15 2012  
DTD 08/20/2009  
HELD BY EUROCLEAR ISIN:XS0446421157  
SEDOL:B435Z93  
459058-9B-1 AAA /AAA BRL  
FEDERAL HOME LOAN BANK  
1 1/2% DEC 14 2012  
DTD 09/14/2009  
STEP CPN  
3133XU-NX-7 AAA /AAA  
FEDERAL HOME LOAN BANK  
2% SEP 30 2013  
DTD 09/30/2009  
STEP CPN  
3133XU-TS-2 AAA /AAA  
Total US Fixed Income - Taxable  
\$403,470.38  
\$400,886.74  
\$2,583.64  
\$10,445.87  
\$2,852.48  
2.28%  
100,000.000  
100.16  
100,156.00  
100,000.00  
156.00  
2,000.00  
338.80  
1.96%  
100,000.000  
100.03  
100,031.00  
100,000.00  
31.00  
1,500.00  
320.80  
1.49%  
90,000.000  
56.77  
51,088.88

50,106.74  
982.14  
4,508.37  
1,272.18  
9.09%  
Price  
Market  
Value  
Tax Cost  
Adjusted  
Original  
Estimated  
Unrealized  
Gain/Loss  
Annual Income  
Accrued Interest  
Yield  
150,000.000  
101.46  
152,194.50  
150,780.00  
1,414.50  
2,437.50  
920.70  
0.72%  
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GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 11/1/09 to 11/30/09  
Options Summary  
Asset Categories  
Equity  
Beginning  
Market Value  
(370.00)  
Ending  
Market Value  
(1,360.00)  
Change  
In Value  
(990.00)  
Current  
Allocation  
Current  
Market Value/Cost  
Market Value  
Premium  
Unrealized Gain/Loss  
Period Value  
(1,360.00)  
(1,338.95)  
(21.05)  
Options Detail  
Quantity  
Equity  
AT&T INC  
CALL OPTION APR 10 @ 29  
Underlying Asset Price = \$26.94  
00206R-9D-0 T DOAA /  
Price  
Market  
Value  
Premium  
Unrealized  
Gain/Loss  
(10.000)  
0.54  
(540.00)  
(484.98)  
(55.02)  
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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 11/1/09 to 11/30/09

Quantity

Equity

GOOGLE INC

CALL OPTION DEC 09 @ 590

Underlying Asset Price = \$583.00

3R599W-9L-S GOP LSAA /

Total Equity

(\$1,360.00)

(\$1,338.95)

(\$21.05)

(1.000)

8.20

(820.00)

(853.97)

33.97

Price

Market

Value

Premium

Unrealized

Gain/Loss

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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 11/1/09 to 11/30/09

Other Assets Summary

Asset Categories

Other

Beginning

Estimated Value

24,160.00

Ending

Estimated Value

21,220.00

Change

In Value

(2,940.00)

Current

Allocation

1%

Asset Categories

Other

Assets

Other Assets Detail

Quantity

Other

PROSHARES ULTRSHORT QQQ

74347R-87-5 QID

1,000.000

21.22

21,220.00

26,203.50

(4,983.50)

Price

Estimated

Value

Cost

Estimated

Gain/Loss

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GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 11/1/09 to 11/30/09  
Portfolio Activity Summary - U S Dollar  
Beginning Cash Balance

PRINCIPAL

Current

Transactions

Income

INFLOWS

0.96

Contributions

Total Inflows

OUTFLOWS

Withdrawals

Interest Purchased

Foreign Exchange - Outflows

Total Outflows

TRADE ACTIVITY

Settled Sales/Maturities/Redemptions

Settled Securities Purchased

Total Trade Activity

Ending Cash Balance

\* Year to date information is calculated on a calendar year basis.

(69,003.02)

(\$69,003.02)

\$0.00

6,571,763.37

(1,540,885.45)

\$5,030,877.92

-\$0.00

\$0.00

\$0.00

-(100,000.00)

(\$100,000.00)

168,148.09

\$168,149.05

19,273.83

1,276,695.61

\$1,295,969.44

(5,802,512.29)

(182.81)

(618,283.53)

(\$6,420,978.63)

\$0.00

\$0.00

\$0.00

\$0.00

Period

Value

853.97

Year-To-Date

Value\*  
-INCOME  
Current  
Period  
Value  
0.00  
Year-To-Date  
Value\*  
-Page  
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GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 11/1/09 to 11/30/09  
Portfolio Activity Summary - U S Dollar  
Total Cost Adjustments

Current  
Cost Adjustments  
Amortization  
Period Value

\$0.00  
\* Year to date information is calculated on a calendar year basis.  
Portfolio Activity Detail - U S Dollar

INFLOWS & OUTFLOWS

Settlement  
Date

11/2

Type

Interest Income

Description

DEPOSIT SWEEP INTEREST FOR OCT. @  
.07% RATE ON NET AVG COLLECTED

BALANCE OF \$16,110.04

AS OF 11/01/09

11/10

Spot FX

SPOT CURRENCY TRANSACTION - BUY

BUY CAD SELL USD

EXCHANGE RATE 1.056000000

DEAL 11/09/09 VALUE 11/10/09

11/12

Misc. Receipt

Total Inflows & Outflows

TRANSFER FROM MMIA A/C  
TO ASSET A/C.

168,148.09

\$68,149.05

105,600.000

(100,000.00)

Quantity

Per Unit

Amount

PRINCIPAL

Amount

0.96

INCOME

Amount

Year-To-Date

Value\*

(56.86)

(\$56.86)

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GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 11/1/09 to 11/30/09  
TRADE ACTIVITY  
Settlement  
Trade  
Date  
Date  
11/16  
Type  
Settled Securities Purchased  
11/10  
Purchase  
Description  
AT&T INC  
@ 26.24  
BROKERAGE  
11/10  
11/16  
Purchase  
PFIZER INC  
@ 17.59  
BROKERAGE  
11/10  
11/16  
Purchase  
CHANGYOU.COM LTD  
A/D/R  
@ 33.426  
BROKERAGE  
11/10  
11/17  
Write Option  
AT&T INC  
CALL OPTION APR 10 @ 29  
COVERED CALL  
AS OF 11/12/09  
Total Settled Securities Purchased  
(\$69,003.02)  
16,713.00  
25.00  
J.P. MORGAN SECURITIES INC.  
TRADE DATE 11/10/09  
(10.000)  
0.50  
484.98  
Quantity  
1,000.000  
26,240.00  
50.00  
J.P. MORGAN SECURITIES INC.  
TRADE DATE 11/10/09

1,500.000  
26,385.00  
75.00  
J.P. MORGAN SECURITIES INC.  
TRADE DATE 11/10/09  
500.000  
33.426  
(16,738.00)  
17.59  
(26,460.00)  
Per Unit  
Amount  
26.24  
Market Cost  
(26,290.00)  
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GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 11/1/09 to 11/30/09  
Portfolio Activity Summary - Australia Dollar  
PRINCIPAL  
US Dollar Value

Current  
Transactions

Income  
OUTFLOWS  
Withdrawals  
Tax Payments  
Total Outflows

TRADE ACTIVITY  
Settled Sales/Maturities/Redemptions  
Settled Securities Purchased  
Total Trade Activity  
Ending Cash Balance

\* Year to date information is calculated on a calendar year basis.

\$0.00  
-64,545.00  
(263,502.86)  
(\$198,957.86)

-0.00  
0.00  
75,000.00  
(312,306.06)  
(237,306.06)

-Period  
Value  
Beginning Cash Balance

INFLOWS  
Foreign Exchange - Inflows  
Total Inflows

-240.93  
\$240.93  
(240.93)  
(\$240.93)

Year-To-Date  
Value\*

-1,659.20  
197,949.47  
\$199,608.67  
(408.77)  
(242.04)  
(\$650.81)

Local  
Value  
Current  
Period Value

0.00  
261.96

261.96  
(261.96)  
(261.96)  
Year-To-Date  
Value\*  
-1,820.15  
236,211.81  
238,031.96  
(444.65)  
(281.25)  
(725.90)  
Page  
20 of 39

GHISLAINE MAXWELL ACCT. XXXXXXXXXX  
For the Period 11/1/09 to 11/30/09  
Portfolio Activity Summary - Australia Dollar  
INCOME

US Dollar Value

Current

Transactions

Beginning Cash Balance

Ending Cash Balance

\* Year to date information is calculated on a calendar year basis.

Portfolio Activity Detail - Australia Dollar

INFLOWS & OUTFLOWS

Settlement

Date

11/6

Type

Foreign Interest

Description

JP MORGAN CHASE BANK - AUD

LONDON TIME DEPOSITS 2.7000%

DATED 10/30/2009 MATURITY 11/06/2009

HELD BY LONDON TREASURY SERVICES

11/6

11/6

Misc. Disbursement

Free Delivery

REP: ROLLOVER TO TIME DEPOSIT

JP MORGAN CHASE BANK - AUD

AUSTRALIA DOLLAR

(117,563.640)

LONDON TIME DEPOSITS 2.7000%

DATED 10/30/2009 MATURITY 11/06/2009

HELD BY LONDON TREASURY SERVICES

CLIENT REF FTD

(61.720)

(56.21)

(61.72)

Quantity

117,563.640

0.001

Period Value

--Year-To-Date

Value\*

--Local

Value

Current

Period Value

0.00

0.00

Year-To-Date

Value\*

--Per  
Unit  
Amount USD  
Local Value  
PRINCIPAL  
Amount USD  
Local Value  
56.21  
61.72  
INCOME  
Amount USD  
Local Value  
Currency  
Gain/Loss USD  
Page 21 of 39

GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 11/1/09 to 11/30/09  
INFLOWS & OUTFLOWS  
Per Unit  
Settlement  
Date  
11/6  
Type  
Receipt of Assets  
Description  
JP MORGAN CHASE BANK - AUD  
LONDON TIME DEPOSITS 2.85%  
DATED 11/06/2009 MATURITY 11/13/2009  
HELD BY LONDON TREASURY SERVICES  
CLIENT REF FTD  
11/13  
Foreign Interest  
JP MORGAN CHASE BANK - AUD  
LONDON TIME DEPOSITS 2.85%  
DATED 11/06/2009 MATURITY 11/13/2009  
HELD BY LONDON TREASURY SERVICES  
11/13  
11/13  
Misc. Disbursement  
Free Delivery  
REP: ROLLOVER TO TIME DEPOSIT  
JP MORGAN CHASE BANK - AUD  
AUSTRALIA DOLLAR  
(117,625.360)  
LONDON TIME DEPOSITS 2.85%  
DATED 11/06/2009 MATURITY 11/13/2009  
HELD BY LONDON TREASURY SERVICES  
CLIENT REF FTD  
11/13  
Receipt of Assets  
JP MORGAN CHASE BANK - AUD  
LONDON TIME DEPOSITS 2.9500%  
DATED 11/13/2009 MATURITY 11/20/2009  
HELD BY LONDON TREASURY SERVICES  
CLIENT REF FTD  
11/20  
Foreign Interest  
JP MORGAN CHASE BANK - AUD  
LONDON TIME DEPOSITS 2.9500%  
DATED 11/13/2009 MATURITY 11/20/2009  
HELD BY LONDON TREASURY SERVICES  
11/20  
Misc. Disbursement  
REP: ROLLOVER TO TIME DEPOSIT  
AUSTRALIA DOLLAR  
(67.510)

(61.70)  
(67.51)  
117,690.540  
0.001  
61.70  
67.51  
117,690.540  
(65.180)  
(60.49)  
(65.18)  
117,625.360  
0.001  
60.49  
65.18  
Quantity  
117,625.360  
Amount USD  
Local Value  
PRINCIPAL  
Amount USD  
Local Value  
INCOME  
Amount USD  
Local Value  
Currency  
Gain/Loss USD  
Page 22 of 39

GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 11/1/09 to 11/30/09  
INFLOWS & OUTFLOWS  
Per Unit  
Settlement  
Date  
11/20  
Type  
Free Delivery  
Description  
JP MORGAN CHASE BANK - AUD  
LONDON TIME DEPOSITS 2.9500%  
DATED 11/13/2009 MATURITY 11/20/2009  
HELD BY LONDON TREASURY SERVICES  
CLIENT REF FTD  
11/20  
Receipt of Assets  
JP MORGAN CHASE BANK - AUD  
LONDON TIME DEPOSITS 2.9500%  
DATED 11/20/2009 MATURITY 11/27/2009  
HELD BY LONDON TREASURY SERVICES  
CLIENT REF FTD  
11/27  
Foreign Interest  
JP MORGAN CHASE BANK - AUD  
LONDON TIME DEPOSITS 2.9500%  
DATED 11/20/2009 MATURITY 11/27/2009  
HELD BY LONDON TREASURY SERVICES  
11/27  
11/27  
Misc. Disbursement  
Free Delivery  
REP: ROLLOVER TO TIME DEPOSIT  
JP MORGAN CHASE BANK - AUD  
AUSTRALIA DOLLAR  
(117,758.050)  
LONDON TIME DEPOSITS 2.9500%  
DATED 11/20/2009 MATURITY 11/27/2009  
HELD BY LONDON TREASURY SERVICES  
CLIENT REF FTD  
11/27  
Receipt of Assets  
JP MORGAN CHASE BANK - AUD  
LONDON TIME DEPOSITS 3.0500%  
DATED 11/27/2009 MATURITY 12/04/2009  
HELD BY LONDON TREASURY SERVICES  
CLIENT REF FTD  
Total Inflows & Outflows  
\$0.00  
117,825.600  
(67.550)

(62.53)  
(67.55)  
117,758.050  
0.001  
62.53  
67.55  
117,758.050  
Quantity  
(117,690.540)  
Amount USD  
Local Value  
PRINCIPAL  
Amount USD  
Local Value  
INCOME  
Amount USD  
Local Value  
Currency  
Gain/Loss USD  
Page 23 of 39

GHISLAINE MAXWELL ACCT. ██████████  
For the Period 11/1/09 to 11/30/09  
Portfolio Activity Summary - BRAZILIAN REAL  
PRINCIPAL

US Dollar Value

Current

Transactions

Beginning Cash Balance

INFLOWS

Total Inflows

Foreign Exchange - Inflows

\$0.00

TRADE ACTIVITY

Total Trade Activity

Settled Securities Purchased

\$0.00

Ending Cash Balance

\* Year to date information is calculated on a calendar year basis.

INCOME

US Dollar Value

Current

Transactions

Beginning Cash Balance

Ending Cash Balance

\* Year to date information is calculated on a calendar year basis.

Period Value

--Year-To-Date

Value\*

--Local

Value

Current

Period Value

0.00

0.00

Year-To-Date

Value\*

---Period

Value

-Year-To-Date

Value\*

-122,117.24

\$122,117.24

(122,117.24)

(\$122,117.24)

-Local

Value

Current

Period Value

0.00

Year-To-Date

Value\*

-0.00

223,434.71

223,434.71

0.00

0.00

(223,434.71)

(223,434.71)

-Page

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GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 11/1/09 to 11/30/09  
Portfolio Activity Detail - BRAZILIAN REAL  
No Activity This Period  
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GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 11/1/09 to 11/30/09  
Portfolio Activity Summary - Canadian Dollar  
PRINCIPAL

US Dollar Value

Current

Transactions

Beginning Cash Balance

INFLOWS

Total Inflows

Foreign Exchange - Inflows

Ending Cash Balance

\* Year to date information is calculated on a calendar year basis.

INCOME

US Dollar Value

Current

Transactions

Beginning Cash Balance

Ending Cash Balance

\* Year to date information is calculated on a calendar year basis.

Period Value

--Year-To-Date

Value\*

--Local

Value

Current

Period Value

0.00

0.00

Year-To-Date

Value\*

--Period

Value

-100,000.00

\$100,000.00

-Year-To-Date

Value\*

-100,000.00

\$100,000.00

-Local

Value

Current

Period Value

0.00

105,600.00

105,600.00

105,600.00

Year-To-Date

Value\*

-105,600.00

105,600.00



GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 11/1/09 to 11/30/09  
Portfolio Activity Detail - Canadian Dollar  
INFLOWS & OUTFLOWS

Settlement

Date

11/10

Type

Spot FX

Description

SPOT CURRENCY TRANSACTION - BUY

BUY CAD SELL USD

EXCHANGE RATE 1.056000000

DEAL 11/09/09 VALUE 11/10/09

Quantity

(100,000.000)

Per Unit

Amount USD

Local Value

PRINCIPAL

Amount USD

Local Value

100,000.00

105,600.00

INCOME

Amount USD

Local Value

Currency

Gain/Loss USD

Page 27 of 39

GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 11/1/09 to 11/30/09  
Portfolio Activity Summary - N Zealand Dollar  
PRINCIPAL  
US Dollar Value

Current  
Transactions

Income  
OUTFLOWS  
Withdrawals  
Tax Payments  
Total Outflows

TRADE ACTIVITY  
Settled Sales/Maturities/Redemptions  
Settled Securities Purchased  
Total Trade Activity  
Ending Cash Balance

\* Year to date information is calculated on a calendar year basis.

\$0.00

-60,244.23

(158,455.10)

(\$98,210.87)

-0.00

0.00

95,000.00

(246,388.23)

(151,388.23)

-Period

Value

Beginning Cash Balance

INFLOWS

Foreign Exchange - Inflows

Total Inflows

-336.85

\$336.85

(336.85)

(\$336.85)

Year-To-Date

Value\*

-990.85

98,216.82

\$99,207.67

(680.52)

(316.28)

(\$996.80)

Local

Value

Current

Period Value

0.00

474.91

474.91  
(474.91)  
(474.91)  
Year-To-Date  
Value\*  
-1,488.80  
151,354.25  
152,843.05  
(956.07)  
(498.75)  
(1,454.82)  
Page  
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GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 11/1/09 to 11/30/09  
Portfolio Activity Summary - N Zealand Dollar  
INCOME

US Dollar Value

Current

Transactions

Beginning Cash Balance

Ending Cash Balance

\* Year to date information is calculated on a calendar year basis.

Portfolio Activity Detail - N Zealand Dollar

INFLOWS & OUTFLOWS

Settlement

Date

11/30

Type

Foreign Interest

Description

JP MORGAN CHASE BANK - NZD

LONDON TIME DEPOSITS 1.8500%

DATED 09/30/2009 MATURITY 11/30/2009

HELD BY LONDON TREASURY SERVICES

11/30

11/30

Misc. Disbursement

Receipt of Assets

ROLLOVER TO TIME DEPOSIT

JP MORGAN CHASE BANK - NZD

N ZEALAND DOLLAR

151,973.800

LONDON TIME DEPOSITS 2.0000%

DATED 11/30/2009 MATURITY 01/29/2010

HELD BY LONDON TREASURY SERVICES

CLIENT REF FTD

(474.910)

(336.85)

(474.91)

Quantity

151,498.890

Period Value

--Year-To-Date

Value\*

--Local

Value

Current

Period Value

0.00

0.00

Year-To-Date

Value\*

--Per

Unit

Amount USD

Local Value

0.002

0.003

PRINCIPAL

Amount USD

Local Value

336.85

474.91

INCOME

Amount USD

Local Value

Currency

Gain/Loss USD

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GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 11/1/09 to 11/30/09  
INFLOWS & OUTFLOWS  
Per Unit  
Settlement  
Date  
11/30  
Type  
Free Delivery  
Description  
JP MORGAN CHASE BANK - NZD  
LONDON TIME DEPOSITS 1.8500%  
DATED 09/30/2009 MATURITY 11/30/2009  
HELD BY LONDON TREASURY SERVICES  
CLIENT REF FTD  
Total Inflows & Outflows  
\$0.00  
Quantity  
(151,498.890)  
Amount USD  
Local Value  
PRINCIPAL  
Amount USD  
Local Value  
INCOME  
Amount USD  
Local Value  
Currency  
Gain/Loss USD  
Page 30 of 39

GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 11/1/09 to 11/30/09  
Portfolio Activity Summary - NORWEGIAN KRONE  
PRINCIPAL

US Dollar Value

Current

Transactions

Beginning Cash Balance

INFLOWS

Total Inflows

Foreign Exchange - Inflows

\$0.00

TRADE ACTIVITY

Total Trade Activity

Settled Securities Purchased

\$0.00

Ending Cash Balance

\* Year to date information is calculated on a calendar year basis.

INCOME

US Dollar Value

Current

Transactions

Beginning Cash Balance

Ending Cash Balance

\* Year to date information is calculated on a calendar year basis.

Period Value

--Year-To-Date

Value\*

--Local

Value

Current

Period Value

0.00

0.00

Year-To-Date

Value\*

---Period

Value

-Year-To-Date

Value\*

-100,000.00

\$100,000.00

(100,000.00)

(\$100,000.00)

-Local

Value

Current

Period Value

0.00

Year-To-Date

Value\*

-0.00

611,000.00

611,000.00

0.00

0.00

(611,000.00)

(611,000.00)

-Page

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GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 11/1/09 to 11/30/09  
Portfolio Activity Detail - NORWEGIAN KRONE  
No Activity This Period  
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GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 11/1/09 to 11/30/09  
Portfolio Activity Summary - POUND STERLING  
PRINCIPAL

US Dollar Value

Current

Transactions

Beginning Cash Balance

INFLOWS

Total Inflows

Income

\$0.00

OUTFLOWS

Total Outflows

Tax Payments

\$0.00

Ending Cash Balance

\* Year to date information is calculated on a calendar year basis.

INCOME

US Dollar Value

Current

Transactions

Beginning Cash Balance

Ending Cash Balance

\* Year to date information is calculated on a calendar year basis.

Period Value

--Year-To-Date

Value\*

--Local

Value

Current

Period Value

0.00

0.00

Year-To-Date

Value\*

---Period

Value

-Year-To-Date

Value\*

-9.62

\$9.62

(0.98)

(\$0.98)

-0.00

7.85

0.00

Local

Value

Current

Period Value

7.85  
Year-To-Date  
Value\*  
-6.25  
6.25  
(0.64)  
(0.64)  
-Page  
33 of 39

GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 11/1/09 to 11/30/09  
Portfolio Activity Detail - POUND STERLING  
No Activity This Period  
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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 11/1/09 to 11/30/09

Settled Foreign Exchange Contracts

Currency

Trade Date

Settle Date Counter Currency

Trade Related

CANADIAN DOLLAR

U S DOLLAR

Nov. 9 09

Nov. 10 09

CAD

USD

105,600.00

(100,000.00)

1.056000

1.056000

(100,000.00)

100,000.00

Amount

Counter Amount Contract Rate Revaluation Rate

Contracted Base

Amount USD

Revalued

Amount USD

Currency G/L

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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 11/1/09 to 11/30/09

In Case of Errors or Questions About Your Electronic Transfers.

Contact your J.P. Morgan Team at one of the telephone numbers on the front of this statement or write us at [REDACTED], 1/OPS, Newark, DE 19713-2107 as soon as you can, if you

think your statement is wrong or if you need more information about a transfer on the statement. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error

or problem appeared. (1) Tell us your name and account number. (2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is in error or why you

need more information. (3) tell us the dollar amount of the suspected error. If you contact us orally, you must send us your complaint or question in writing within 10 business days in order to preserve

your rights. We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this (20 days for purchases using your debit card or for international

transactions), we will credit your account for the amount you think is in error, so that you will have the use of money during the time it takes us to complete our investigation.

In case of errors or questions about your statement, including your line of credit.

If you think that your statement is incorrect or if you need more information about a transaction on your statement including a line of credit transaction, you must write to us on a separate sheet describing

the error and send it to: J.P. Morgan's Private Bank, [REDACTED], [REDACTED], 1/OPS3, Newark, DE 19713-2107. We must hear from you no later than 60 days after the statement on which the

error or problem appeared is sent. You can contact your client service specialist but doing so will not preserve your rights.

Please review your account statement and promptly report any inaccuracy or discrepancy including possible unauthorized trading activity, unrecorded dividend payments, and unaccounted cash

positions in writing to both the introducing broker, JPMSI and the clearing firm, JPMCC at the addresses shown on your statement. Any oral communication should be re-confirmed in writing to further

protect your rights, including your rights under the Securities Investor Protection Act (SIPA). If you have any questions please contact your JPMSI Account Representative or JPMSI Compliance

Department at [REDACTED].

In your letter, please provide the following information: (1) your name and account number; (2) the dollar amount of the suspected error; and (3) a description of the error and explanation, if you can, why

you believe there is an error. If you need more information, you must describe the item you are unsure about.

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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 11/1/09 to 11/30/09

Important Information about Pricing and Valuations

Certain assets including but not limited to, pooled private investments, non-publicly traded and infrequently traded securities, derivatives, partnership interests and tangible assets are generally illiquid, the value of which may have been provided to us by third parties who may not be independent of the issuer or manager. Such information is reflected as of the last date provided to us, and is not independently verified.

Prices, some of which are provided by pricing services or other sources which we deem reliable, are not guaranteed for accuracy or as realizable values.

Market value information (including without limitation, prices, exchange rates, accrued income and bond ratings) furnished herein has been obtained from sources that J.P. Morgan believes to be reliable and is furnished for the exclusive use of the client.

J.P. Morgan makes no representation, warranty or guarantee, express or implied, that any quoted value represents the actual terms at which transactions or securities could be bought or sold or new transactions could be entered into, or the actual terms on which existing transactions or securities could be liquidated.

The current price is the value of the financial asset share, unit or contract as priced at the close of the market on the last day of the statement period or the last available price.

All values provided for structured yield deposits (for example, JPMorgan London Time Deposits) reflect the original deposit amount only.

The current value for Real Estate, Mineral Interests and Miscellaneous Assets may not reflect the most current value of the asset.

Valuations of over-the-counter derivative transactions, including certain derivatives-related deposit products, have been prepared on a mid-market basis. These valuations are indicative values as of the close of business of the date of this statement and, except as otherwise agreed in writing, these valuations do not represent the actual terms at which transactions or securities could be bought or sold or new transactions could be entered into, or the actual terms on which existing transactions or securities could be liquidated as of the date of this statement. We do not warrant their completeness or accuracy. These valuations are derived from proprietary models based upon well-recognized financial principles and we have, when necessary to calculate the present value of future cash flows, made reasonable estimates about relevant future market conditions. Valuations based on other models or different assumptions may yield different results.

J.P. Morgan expressly disclaims any responsibility for (1) the accuracy of the models or estimates used in deriving the valuations, (2) any errors or omissions in computing or disseminating the valuations, and (3) any uses to which the valuations are put.

Valuations are provided for information purposes only and are intended solely for your own use. Please refer to the trade confirmation for details of each transaction.

Please review your statement promptly and report any discrepancies

immediately to an account officer whose name appears on the contact page of this statement.

The JPMorgan Funds or The JPMorgan Institutional Funds or The American Century Funds

Shares of the funds are not bank deposits and are not guaranteed by any bank, government entity, or the FDIC. Return and share price will fluctuate and redemption value may be more or less than original cost. While the money market funds seek to maintain a stable net asset value of \$1.00 per share, there is no assurance that they will continue to do so. The estimated annual income and dividend yield figures for mutual funds represent the funds' most recent income dividend annualized.

The distributor of the JPMorgan Funds is JPMorgan Distribution Services, Inc., which is an affiliate of the Bank. The Bank and its affiliates receive compensation from JPMorgan Funds for providing services. American Century Investment Services, Inc. is the distributor of the American Century Funds. American Century Investment Management Inc. serves as investment advisor to its respective fund family and receives compensation from that fund family for providing investment advisory and other services.

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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 11/1/09 to 11/30/09

Important Information Regarding Auction Rate Securities (ARS). ARS are debt or preferred securities with an interest or dividend rate reset periodically in an auction. Although there may be daily, weekly and monthly resets, there is no guarantee that there will be liquidity. If there are not enough bids at an auction to redeem the securities available for sale, the result may be a failed auction. In a failed auction, there is no assurance that a secondary market will develop or that the security will trade at par or any other price reflected on statements. Accordingly, investors should not rely on pricing information appearing in their statements with respect to ARS.

#### Additional Information About Your Accounts

Securities purchased or sold through JPMSI (1) other than mutual funds, are cleared through J.P.Morgan Clearing Corp. ("JPMCC"), an affiliate of JPMSI, and (2) other than exchange-listed options, are held in your Asset Account at JPMorgan Chase Bank, N.A. Positions in exchange-listed options are held by J.P.Morgan Clearing Corp. and are not delivered to or from your Asset Account. For your convenience, however, positions in exchange-listed options are presented in this Asset Account statement together with other assets held in that account. All pertinent information about your settled and pending purchases and sales effected through your JPMSI account during the period covered by this statement, is summarized in the "Trade Activity" portion of this statement.

You should have received separate confirmations for each securities transaction. All transactions are subject to the terms and conditions stated on the reverse side of such confirmations and are subject to the constitution, by-laws, customs and interpretations of the marketplace where executed and governed by and construed in accordance with the laws of the State of New York and all applicable federal laws and regulations. Further information with respect to commissions and other charges related to the execution of transactions, including options transactions, has been included in confirmations that were previously furnished to you. Upon written request, JPMSI will promptly supply you with the latest such information.

Shareholders of certain JPMorgan Funds are charged a redemption fee equal to 2% of the proceeds if they exchange or redeem shares of such funds within 60 days of purchase, subject to certain exceptions set forth in the prospectus of the applicable Fund. Please consult your J.P. Morgan representative for a list of the JPMorgan Funds that impose redemption fees.

JPMCC and JPMSI are members of the Securities Investor Protection Corp ("SIPC"), a not-for-profit membership corporation funded by broker-dealers registered with the Securities and Exchange Commission. Securities and cash held for a customer at JPMSI and JPMCC are protected by SIPC up to \$500,000 per customer, which includes up to \$100,000 of protection for cash. SIPC does not protect against losses from fluctuations in the value of the securities. Assets held in custody by JPMorgan Chase Bank, N.A. (the "Bank") are not subject to SIPC. You may obtain information about

SIPC, including the SIPC Brochure, on their website, at "www.sipc.org" or by contacting them at [REDACTED].

To the extent applicable, please read the following disclosures regarding estimated annual income (EAI) and estimated yield (EY): EAI and EY for certain types of securities could include a return of principal or capital gains in which case the EAI and EY would be overstated. EAI and EY are estimates and the actual income and yield might be lower or higher than the estimated amounts. EY reflects only the income generated by an investment. It does not reflect changes in its price, which may fluctuate.

JPMorgan Securities, JPMorgan Bank or their affiliates (the "J.P. Morgan Companies") may provide administrative, custodial, sales, distribution or shareholder services to JPMorgan Funds, American Century Funds, or funds established, sponsored, advised, or managed by third parties, and the J.P. Morgan Companies may be compensated for such services.

A financial statement of this organization is available to you for personal inspection at its offices, or a copy will be mailed to you upon written request.

Bank products and services are offered through JPMorgan Bank and its affiliates. Securities are offered by JPMorgan Securities and, to the extent noted above, cleared through JPMorgan Clearing Company.

If a partial call is made with respect to an issue of securities included in your Account we will allocate the call by a method we deem fair and equitable.

You must promptly advise JPMorgan Securities of material changes in your investment objectives or financial situation. Unless you inform JPMorgan Securities otherwise, JPMorgan Securities will consider the information currently in its files to be complete and accurate.

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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 11/1/09 to 11/30/09

JPMSI is not a bank and is a separate legal entity from its bank or thrift affiliates, including JPMCB. The securities sold, offered, or recommended by JPMSI:

- (1) Are not insured by the Federal Deposit Insurance Corporation, or any other governmental agency;
- (2) Are not deposits or other obligations of JPMSI's bank or thrift affiliates (unless otherwise indicated), and are not guaranteed by or the responsibility of any such affiliates (unless explicitly stated otherwise); and
- (3) Involve investment risks, including possible loss of the principal invested.

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