

JPMorgan Chase Bank, N.A.
GHISLAINE MAXWELL
270 Park Avenue, New York, NY 10017-2014 (Reviewed)
ACCT. [REDACTED]

For the Period 12/1/09 to 12/31/09
0000000019.00.0.20.00003.MAXWE00.20100128

GHISLAINE MAXWELL
16 ISLAND AVE APT 7D
MIAMI BEACH FL 33139-1331
Asset Account
J.P. Morgan Private Bank Team
Mary Casey
Jeffrey Matusow
Janet Young
William Doherty III
Banker
Investment Specialist
Client Service Team
Client Service Team

[REDACTED]
[REDACTED]
[REDACTED]
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Holdings
Equity
Cash and Short Term
Fixed Income
Options
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Online access
www.MorganOnline.com

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Client News

2009 Year End Tax 1099's

You will be able to view your 2009 tax form 1099 on Morgan Online beginning Feb 24, 2010. You should expect to receive it via mail shortly thereafter. Please contact your client services team with any questions.

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GHISLAINE MAXWELL ACCT. XXXXXXXXXX
 For the Period 12/1/09 to 12/31/09
 Account Summary
 Asset Allocation
 Equity
 Cash & Short Term
 Fixed Income
 Options
 Other Assets
 Market Value
 Accruals
 Market Value with Accruals
 Beginning
 Ending
 Market Value
 665,827.93
 604,157.69
 403,470.38
 (1,360.00)
 21,220.00
 \$1,693,316.00
 9,339.29
 \$1,702,655.29
 Market Value
 478,994.39
 904,341.85
 502,574.13
 (1,110.00)
 19,040.00
 \$1,903,840.37
 12,841.91
 \$1,916,682.28
 Current
 Portfolio Activity
 Beginning Market Value
 Contributions
 Withdrawals & Fees
 Securities Transferred In
 Securities Transferred Out
 Net Contributions/Withdrawals
 Income & Distributions
 Change In Investment Value
 Ending Market Value
 Accruals
 Market Value with Accruals
 Period Value
 1,693,316.00
 230,978.68
 (100,472.34)
 715,603.23
 (1,122,745.69)

(\$276,636.12)
(1,081.46)
488,241.95
\$1,903,840.37
12,841.91
\$1,916,682.28
Change
In Value
(186,833.54)
300,184.16
99,103.75
250.00
(2,180.00)
\$210,524.37
3,502.62
\$214,026.99
Year-to-Date
Value
6,377,327.40
1,507,674.29
(5,904,074.90)
1,362,025.86
(2,548,585.66)
(\$5,582,960.41)
22,438.49
1,087,034.89
\$1,903,840.37
12,841.91
\$1,916,682.28
Fixed
Income
Estimated
2,760.00
19,664.29
21,041.30
Current
Annual Income Allocation
25%
48%
26%
1%
100%
Equity
Cash &
Other
Assets
Short Term
Asset Allocation
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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/09 to 12/31/09
Account Summary
Tax Summary
Domestic Dividends/Distributions
Foreign Dividends
Currency Gain/Loss
Interest Income
Taxable Income
Tax-Exempt Income
Bond Premium Amortization
Tax-Exempt Income
CONTINUED
Current
Period Value
2.79
(1,084.25)
(\$1,081.46)
Year-to-Date
Value
1,919.85
12.41
1,826.12
2,434.23
\$6,192.61
16,302.74
(56.86)
\$16,245.88
Unrealized Gain/Loss
To-Date Value
\$60,887.90
ST Realized Gain/Loss
LT Realized Gain/Loss
Realized Gain/Loss
Current
Period Value
(16,768.12)
(\$16,768.12)
Year-to-Date
Value
(12,793.03)
(6,536.12)
(\$19,329.15)
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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/09 to 12/31/09
Equity Summary
Asset Categories
US Large Cap
US Mid Cap/Small Cap
Non US Equity
Emerging Markets
Total Value
Beginning
Market Value
440,545.00
12,681.56
196,366.37
16,235.00
\$665,827.93
Ending
Market Value
276,359.00
0.68
186,029.71
16,605.00
\$478,994.39
Change
In Value
(164,186.00)
(12,680.88)
(10,336.66)
370.00
(\$186,833.54)
Current
Allocation
13%
1%
10%
1%
25%
US Mid Cap/Small Cap
Emerging Markets
US Large Cap
Asset Categories
Equity
Current
Market Value/Cost
Market Value
Tax Cost
Unrealized Gain/Loss
Estimated Annual Income
Yield
Period Value
478,994.39

437,748.66
41,245.73
2,760.00
0.58%
Non US Equity
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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/09 to 12/31/09
Equity Detail
Estimated
Quantity
US Large Cap
AT&T INC
GOOGLE INC
CL A
38259P-50-8 GOOG
PFIZER INC
717081-10-3 PFE
PROSHARES ULTRASHORT S&P 500
Total US Large Cap
74347R-88-3 SDS
\$276,359.00
US Mid Cap/Small Cap
VISTEON CORP
DEFAULT
92839U-10-7 VSTN Q
Non US Equity
BP PLC
HELD BY JPMORGAN CHASE BK LON
ISIN GB0007980591
SEDOL 0798059
G12793-91-8 GBP
Page 5 of 47
\$245,504.40
\$30,854.60
\$2,760.00
1.00%
1,000.000
35.05
35,050.00
44,809.50
(9,759.50)
1,500.000
18.19
27,285.00
26,460.00
825.00
1,080.00
3.96%
1,000.000
00206R-10-2 T
300.000
619.98
185,994.00
147,944.90
38,049.10
28.03

28,030.00
26,290.00
1,740.00
1,680.00
5.99%
Price
Market
Value
Tax Cost
Unrealized
Gain/Loss
Annual Income
Accrued
Dividends
Yield
26.000
0.03
0.68
429.00
(428.32)
18.000
9.69
174.40
164.25
10.15

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/09 to 12/31/09
Estimated
Quantity
Non US Equity
CURRENCY SHARES EURO TRUST
GLAXOSMITHKLINE PLC
23130C-10-8 FXE
1.000
HELD BY JPMORGAN CHASE BK LON
ISIN GB0009252882/SEDOL 0925288
G3910J-90-6 ZZZZ GBP
SPDR GOLD TRUST
Total Non US Equity
78463V-10-7 GLD
\$186,029.71
Emerging Markets
CHANGYOU.COM LTD
A/D/R
15911M-10-7 CYOU
\$175,077.26
\$10,952.45
\$0.00
0.00%
400.000
107.31
42,924.00
33,482.00
9,442.00
21.31
21.31
26.60
(5.29)
1,000.000
142.91
142,910.00
141,404.41
1,505.59
Price
Market
Value
Tax Cost
Unrealized
Gain/Loss
Annual Income
Accrued
Dividends
Yield
500.000
33.21
16,605.00

16,738.00
(133.00)
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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/09 to 12/31/09
Cash & Short Term Summary
Beginning
Asset Categories
Cash
Short Term
Total Value
Market Value
100,178.81
503,978.88
\$604,157.69
Ending
Market Value
104,002.47
800,339.38
\$904,341.85
Change
In Value
3,823.66
296,360.50
\$300,184.16
Current
Allocation
5%
43%
48%
Asset Categories
Cash &
Short Term
Cash
Current
Market Value/Cost
Market Value
Tax Cost
Unrealized Gain/Loss
Estimated Annual Income
Accrued Interest
Yield
Period Value
904,341.85
876,334.85
28,007.00
19,664.29
7,513.93
2.14%
Short Term
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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/09 to 12/31/09
Cash & Short Term Summary
SUMMARY BY MATURITY

Short Term
Less than 3 months
SUMMARY BY TYPE

Short Term
International Bonds
Mutual Funds
Total Value

Market
Value
800,338.38
1.00
\$800,339.38

% of Bond
Portfolio
99%
1%
100%

CONTINUED
800,339.38
Market
Value

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/09 to 12/31/09

Note:

1

This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account.

Cash & Short Term Detail

Quantity

Cash

POUND STERLING

US DOLLAR

Total Cash

Short Term

JPMORGAN NEW YORK MUNICIPAL MONEY

MARKET FUND

MORGAN SHARE CLASS

FUND 3

4812A0-81-3

JP MORGAN CHASE BANK - CAD

LONDON TIME DEPOSITS 0.0100%

DATED 12/30/2009 MATURITY 01/06/2010

HELD BY LONDON TREASURY SERVICES

Bearer

60706B-9Y-4 CAD

105,600.42

95.39

100,730.12

100,000.40

729.72

10.07

0.02%

1.00

1.00

1.00

1.00

9.38

103,987.32

1.61

1.00

15.15

103,987.32

\$104,002.47

14.78

103,987.32

\$104,002.10

\$0.37

0.37

72.79

1.93

\$72.79

\$1.93

0.07% ¹

0.07%

Price

Market

Value

Tax Cost

Adjusted

Original

Estimated

Unrealized

Gain/Loss

Annual Income

Accrued Interest

Yield

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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/09 to 12/31/09
Quantity
Short Term
JP MORGAN CHASE BANK - NOK
LONDON TIME DEPOSITS 0.7500%
DATED 12/31/2009 MATURITY 01/07/2010
HELD BY LONDON TREASURY SERVICES
Bearer
60706D-9F-1 NOK
JP MORGAN CHASE BANK - AUD
LONDON TIME DEPOSITS 3.2000%
DATED 12/31/2009 MATURITY 01/08/2010
HELD BY LONDON TREASURY SERVICES
Bearer
60706C-9K-2 AUD
JP MORGAN CHASE BANK - NZD
LONDON TIME DEPOSITS 2.0000%
DATED 11/30/2009 MATURITY 01/29/2010
HELD BY LONDON TREASURY SERVICES
Bearer
60705P-9R-9 NZD
KFW - BRL
MEDIUM TERM NOTE 9 1/8% FEB 5 2010
DTD 10/05/2007
HELD BY EUROCLEAR ISIN:XS0323929546
SEDOL:B284W67
B11XZP-9B-7 AAA /AAA BRL
JP MORGAN CHASE BANK - AUD
LONDON TIME DEPOSITS 2.5000%
DATED 08/13/2009 MATURITY 02/16/2010
HELD BY LONDON TREASURY SERVICES
Bearer
60703R-9F-3 AUD

Page 10 of 47
119,047.61
89.94
107,065.48
100,000.00
7,065.48
2,676.63
1,048.27
2.48%
125,000.00
57.37
71,710.79
72,010.50
(299.71)
6,543.28
5,915.84
8.71%

151,973.80
72.77
110,583.74
97,717.02
12,866.72
2,211.67
196.50
2.00%
227,834.31
89.94
204,902.81
202,562.78
2,340.03
6,556.88
18.03
3.20%
575,239.60
17.31
99,577.55
100,041.05
(463.50)
746.83
1.99
0.76%
Price
Market
Value
Tax Cost
Adjusted
Original
Estimated
Unrealized
Gain/Loss
Annual Income
Accrued Interest
Yield

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/09 to 12/31/09
Quantity
Short Term
JP MORGAN CHASE BANK - NOK
LONDON TIME DEPOSITS 0.8000%
DATED 08/13/2009 MATURITY 02/16/2010
HELD BY LONDON TREASURY SERVICES
Bearer
60703R-9T-3 NOK
Total Short Term
\$800,339.38
\$772,332.75
\$28,006.63
\$19,591.50
\$7,512.00
2.41%
611,000.00
17.31
105,767.89
100,000.00
5,767.89
846.14
331.37
0.80%
Price
Market
Value
Tax Cost
Adjusted
Original
Estimated
Unrealized
Gain/Loss
Annual Income
Accrued Interest
Yield
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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/09 to 12/31/09
Fixed Income Summary
Asset Categories
US Fixed Income - Taxable
Beginning
Market Value
403,470.38
Ending
Market Value
502,574.13
Change
In Value
99,103.75
Current
Allocation
26%
Asset Categories
Fixed
Income
Current
Market Value/Cost
Market Value
Tax Cost
Unrealized Gain/Loss
Estimated Annual Income
Accrued Interest
Yield
Period Value
502,574.13
504,190.41
(1,616.28)
21,041.30
5,327.98
4.20%
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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/09 to 12/31/09

Fixed Income Summary
SUMMARY BY MATURITY

Fixed Income
Less than 5 years¹
5-10 years¹
10+ years¹

Total Value
SUMMARY BY TYPE

Fixed Income
Corporate Bonds
Government and Agency Bonds
Total Value

Market
Value
402,230.13
100,344.00
\$502,574.13

% of Bond
Portfolio

80%
20%
100%

Market
Value
302,314.13
45,541.00
154,719.00
\$502,574.13

CONTINUED

% of Bond
Portfolio

60%
9%
31%
100%

1

The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/09 to 12/31/09
Fixed Income Detail
Quantity
US Fixed Income - Taxable
GOLDMAN SACHS GROUP INC
1 5/8% JUL 15 2011
DTD 01/21/2009
38146F-AF-8 AAA /AAA
INTL BK RECON & DEVELOP - BRL
8 3/4% JUN 15 2012
DTD 08/20/2009
HELD BY EUROCLEAR ISIN:XS0446421157
SEDOL:B435Z93
459058-9B-1 AAA /AAA BRL
FEDERAL HOME LOAN BANK
2% SEP 30 2013
DTD 09/30/2009
STEP CPN
3133XU-TS-2 AAA /AAA
GENERAL ELEC CAP CORP
MEDIUM TERM FLOATING RATE NOTE
MAY 11 2016 DTD 05/11/2007
36962G-2V-5 AA+ /AA2
JPMORGAN CHASE & CO
NOTES 7.9% APR 29 2049
DTD 04/23/2008
46625H-HA-1 BBB /BAA
Total US Fixed Income - Taxable
\$502,574.13
\$504,190.41
(\$1,616.28)
\$21,041.30
\$5,327.98
4.20%
150,000.000
103.15
154,719.00
157,909.17
(3,190.17)
11,850.00
2,007.90
7.65%
50,000.000
91.08
45,541.00
45,394.50
146.50
236.25
32.15
1.97%

100,000.000
100.34
100,344.00
100,000.00
344.00
2,000.00
505.50
1.90%
90,000.000
56.25
50,629.13
50,106.74
522.39
4,517.55
1,658.48
9.62%
Price
Market
Value
Tax Cost
Adjusted
Original
Estimated
Unrealized
Gain/Loss
Annual Income
Accrued Interest
Yield
150,000.000
100.89
151,341.00
150,780.00
561.00
2,437.50
1,123.95
1.04%
Page 14 of 47

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/09 to 12/31/09
Options Summary
Asset Categories
Equity
Beginning
Market Value
(1,360.00)
Ending
Market Value
(1,110.00)
Change
In Value
250.00
Current
Allocation
Current
Market Value/Cost
Market Value
Premium
Unrealized Gain/Loss
Period Value
(1,110.00)
(1,524.95)
414.95
Options Detail
Quantity
Equity
AT&T INC
CALL OPTION APR 10 @ 29
Underlying Asset Price = \$28.03
00206R-9D-0 T DOAA /
Price
Market
Value
Premium
Unrealized
Gain/Loss
(10.000)
0.61
(610.00)
(484.98)
(125.02)
Page 15 of 47

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 12/1/09 to 12/31/09

Quantity

Equity

CURRENCYSHARES EURO TR

CALL OPTION MAR 10 @ 153

Underlying Asset Price = \$142.91

23130C-9C-W FXE CWA /

Total Equity

(\$1,110.00)

(\$1,524.95)

\$414.95

(10.000)

0.50

(500.00)

(1,039.97)

539.97

Price

Market

Value

Premium

Unrealized

Gain/Loss

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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 12/1/09 to 12/31/09

Other Assets Summary

Asset Categories

Other

Beginning

Estimated Value

21,220.00

Ending

Estimated Value

19,040.00

Change

In Value

(2,180.00)

Current

Allocation

1%

Asset Categories

Other

Assets

Other Assets Detail

Quantity

Other

PROSHARES ULTRSHORT QQQ

74347R-87-5 QID

1,000.000

19.04

19,040.00

26,203.50

(7,163.50)

Price

Estimated

Value

Cost

Estimated

Gain/Loss

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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/09 to 12/31/09
Portfolio Activity Summary - U S Dollar
Beginning Cash Balance

PRINCIPAL

Current

Transactions

Income

INFLOWS

375.17

Contributions

Total Inflows

OUTFLOWS

Withdrawals

Interest Purchased

Foreign Exchange - Outflows

Total Outflows

TRADE ACTIVITY

Settled Sales/Maturities/Redemptions

Settled Securities Purchased

Total Trade Activity

Ending Cash Balance

274,919.48

(200,354.53)

\$74,564.95

\$103,987.32

* Year to date information is calculated on a calendar year basis.

6,846,682.85

(1,741,239.98)

\$5,105,442.87

-\$0.00

\$0.00

\$0.00

-(1,931.48)

(200,000.00)

(\$201,931.48)

230,978.68

\$231,353.85

19,649.00

1,507,674.29

\$1,527,323.29

(5,802,512.29)

(2,114.29)

(818,283.53)

(\$6,622,910.11)

\$0.00

\$0.00

\$0.00

\$0.00

Period

Value

0.00
Year-To-Date
Value*
-INCOME
Current
Period
Value
0.00
Year-To-Date
Value*
-Page
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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/09 to 12/31/09
Portfolio Activity Summary - U S Dollar
Current

Cost Adjustments
Amortization
Cost Adjustments
Total Cost Adjustments
Period Value
1,909.17
\$1,909.17

* Year to date information is calculated on a calendar year basis.

Year-To-Date
Value*

(56.86)
1,909.17
\$1,852.31

Portfolio Activity Detail - U S Dollar
INFLOWS & OUTFLOWS

Settlement

Date

12/1

Type

Interest Income

Description

DEPOSIT SWEEP INTEREST FOR NOV. @
.07% RATE ON NET AVG COLLECTED
BALANCE OF \$2,911.87
AS OF 12/01/09

12/14

US Government Interest

FEDERAL HOME LOAN BANK

1 1/2% DEC 14 2012

DTD 09/14/2009

STEP CPN

EARNED DISCOUNT

12/15

Spot FX

SPOT CURRENCY TRANSACTION - BUY

BUY AUD SELL USD

EXCHANGE RATE .913000000

DEAL 12/11/09 VALUE 12/15/09

109,529.020

(100,000.00)

100,000.000

0.004

375.00

Quantity

Per Unit

Amount

PRINCIPAL

Amount

0.17

INCOME

Amount

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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/09 to 12/31/09
INFLOWS & OUTFLOWS
Settlement
Date
12/15
Type
Spot FX
Description
SPOT CURRENCY TRANSACTION - BUY
BUY NOK SELL USD
EXCHANGE RATE 5.750000000
DEAL 12/11/09 VALUE 12/15/09
12/16
12/16
Accrued Interest Paid
Misc. Receipt
GENERAL ELEC CAP CORP
MEDIUM TERM FLOATING RATE NOTE
MAY 11 2016 DTD 05/11/2007
FUNDS TRANSFERRED FROM
MMIA A/C# 00000000002916490721 TO
PRN A/C#
[REDACTED]
12/23
Option Assignment
AS REQUESTED
GOOGLE INC
CALL OPTION DEC 09 @ 590
COVERED CALL ASSIGNED
TRADE DATE 12/18/09
12/24
12/28
Misc. Receipt
Accrued Interest Paid
Total Inflows & Outflows
TO FUND ASSET ACCOUNT
JPMORGAN CHASE & CO
PURCHASE
150,000.000
NOTES 7.9% DEC 29 2049
DTD 04/23/2008
\$29,422.37
0.013
(1,909.17)
98,916.69
1.000
590.00
132,061.99
50,000.000
(22.31)

Quantity
575,000.000
Per Unit
Amount
PRINCIPAL
Amount
(100,000.00)
INCOME
Amount
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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/09 to 12/31/09
TRADE ACTIVITY

Note:

Trade

Date

Settlement

Date

12/14

L indicates Long Term Realized Gain/Loss

Type

Settled Sales/Maturities/Redemptions

12/14

Redemption

Description

FEDERAL HOME LOAN BANK

1 1/2% DEC 14 2012

DTD 09/14/2009

STEP CPN

ENTIRE ISSUE CALLED

12/14/2009 @ 100.00

12/11

12/16

Sale

LIVEPERSON INC

@ 6.07

BROKERAGE

TAX &/OR SEC

12/18

12/23

Assigned Call

GOOGLE INC

CL A

@ 590.00

BROKERAGE

TAX &/OR SEC

12/18

12/30

Sale

59,000.00

6.00

1.52

J.P. MORGAN SECURITIES INC.

TRADE DATE 12/18/09

ENTRY REVERSED ON 12/31/2009

GOOGLE INC

CL A

@ 520.00

BROKERAGE

TAX &/OR SEC

104,000.00

12.00
2.68
J.P. MORGAN SECURITIES INC.
TRADE DATE 12/18/09
(200.000)
519.927
103,985.32
(119,171.40)
(15,186.08) L
(2,000.000)
12,140.00
200.00
.32

J.P. MORGAN SECURITIES INC.
TRADE DATE 12/11/09
(100.000)
589.925
58,992.48
(60,616.40)
(1,623.92) L
5.97
11,939.68
(11,899.80)
39.88 L
Quantity
(100,000.000)
Per Unit
Amount
100.00
Proceeds
100,000.00
Tax Cost
(100,000.00)
Realized
Gain/Loss
Page 21 of 47

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/09 to 12/31/09

Trade

Date

12/18

Settlement

Date

12/31

Type

Settled Sales/Maturities/Redemptions

Sale

Description

TO REVERSE ENTRY OF 12/30/2009

GOOGLE INC

CL A

@ 520.00

BROKERAGE

TAX &/OR SEC

104,000.00

12.00

2.68

J.P. MORGAN SECURITIES INC.

TRADE DATE 12/18/09

AS OF 12/30/09

12/18

12/31

Sale

GOOGLE INC

CL A

GOOGLE INC

CL A

@ 520.00

@ 520.00

BROKERAGE

TAX &/OR SEC

Total Settled Sales/Maturities/Redemptions

Trade

Date

Settlement

Date

12/14

Type

Settled Securities Purchased

12/11

Write Option

Description

CURRENCYSHARES EURO TR

CALL OPTION MAR 10 @ 153

COVERED CALL

Quantity

(10.000)

Per Unit
Amount
103.997
104,000.00
104,000.00
10.00
2.68
J.P. MORGAN SECURITIES INC.
TRADE DATE 12/18/09
\$274,919.48
(\$291,687.60)
(\$16,768.12) L
(200.000)
519.937
103,987.32
(119,171.40)
(15,184.08) L
Quantity
200.000
Per Unit
Amount
519.927
Proceeds
(103,985.32)
Tax Cost
119,171.40
Realized
Gain/Loss
15,186.08 L
Market Cost
1,039.97
Page 22 of 47

GHISLAINE MAXWELL ACCT. ██████████
 For the Period 12/1/09 to 12/31/09
 Trade
 Date
 Settlement
 Date
 12/16
 Type
 Settled Securities Purchased
 12/11
 Purchase
 Description
 GENERAL ELEC CAP CORP
 MEDIUM TERM FLOATING RATE NOTE
 MAY 11 2016 DTD 05/11/2007
 @ 90.789
 JP MORGAN SECURITIES INC (BIDL)
 TRADE DATE 12/11/09
 12/22
 12/28
 Purchase
 JPMORGAN CHASE & CO
 NOTES 7.9% DEC 29 2049
 DTD 04/23/2008
 @ 104.00
 JP MORGAN SECURITIES INC (BIDL)
 TRADE DATE 12/22/09
 Total Settled Securities Purchased
 COST ADJUSTMENTS
 Settlement
 Date
 12/31
 Type
 Cost Basis Adjustment
 Description
 JPMORGAN CHASE & CO
 NOTES 7.9% DEC 29 2049
 DTD 04/23/2008
 ON-LINE TAX COST ADJUSTMENT
 Quantity
 150,000.000
 (\$200,354.53)
 150,000.000
 104.00
 (156,000.00)
 Quantity
 50,000.000
 Per Unit
 Amount
 90.80
 Market Cost

(45,394.50)
Tax Cost
Adjustments
1,909.17
Page 23 of 47

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/09 to 12/31/09
Portfolio Activity Summary - Australia Dollar
PRINCIPAL

US Dollar Value

Current

Transactions

Income

OUTFLOWS

Withdrawals

Tax Payments

Total Outflows

TRADE ACTIVITY

Settled Sales/Maturities/Redemptions

Settled Securities Purchased

Total Trade Activity

Ending Cash Balance

* Year to date information is calculated on a calendar year basis.

\$0.00

-64,545.00

(263,502.86)

(\$198,957.86)

-0.00

0.00

75,000.00

(312,306.06)

(237,306.06)

-Period

Value

Beginning Cash Balance

INFLOWS

Foreign Exchange - Inflows

Total Inflows

-430.61

100,000.00

\$100,430.61

(100,430.61)

(\$100,430.61)

Year-To-Date

Value*

-2,089.81

297,949.47

\$300,039.28

(100,839.38)

(242.04)

(\$101,081.42)

Local

Value

Current

Period Value

0.00

479.69
109,529.02
110,008.71
(110,008.71)
(110,008.71)
Year-To-Date
Value*
-2,299.84
345,740.83
348,040.67
(110,453.36)
(281.25)
(110,734.61)
Page
24 of 47

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/09 to 12/31/09
Portfolio Activity Summary - Australia Dollar
INCOME

US Dollar Value

Current

Transactions

Beginning Cash Balance

Ending Cash Balance

* Year to date information is calculated on a calendar year basis.

Portfolio Activity Detail - Australia Dollar

INFLOWS & OUTFLOWS

Settlement

Date

12/4

Type

Foreign Interest

Description

JP MORGAN CHASE BANK - AUD

LONDON TIME DEPOSITS 3.0500%

DATED 11/27/2009 MATURITY 12/04/2009

HELD BY LONDON TREASURY SERVICES

12/4

12/4

Misc. Disbursement

Free Delivery

REP: ROLLOVER TO TIME DEPOSIT

JP MORGAN CHASE BANK - AUD

AUSTRALIA DOLLAR

(117,825.600)

LONDON TIME DEPOSITS 3.0500%

DATED 11/27/2009 MATURITY 12/04/2009

HELD BY LONDON TREASURY SERVICES

CLIENT REF FTD

(69.880)

(64.73)

(69.88)

Quantity

117,825.600

Period Value

--Year-To-Date

Value*

--Local

Value

Current

Period Value

0.00

0.00

Year-To-Date

Value*

--Per

Unit
Amount USD
Local Value
0.001
PRINCIPAL
Amount USD
Local Value
64.73
69.88
INCOME
Amount USD
Local Value
Currency
Gain/Loss USD
Page 25 of 47

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/09 to 12/31/09
INFLOWS & OUTFLOWS
Per Unit
Settlement
Date
12/4
Type
Receipt of Assets
Description
JP MORGAN CHASE BANK - AUD
LONDON TIME DEPOSITS 3.2000%
DATED 12/04/2009 MATURITY 12/11/2009
HELD BY LONDON TREASURY SERVICES
CLIENT REF FTD
12/11
Foreign Interest
JP MORGAN CHASE BANK - AUD
LONDON TIME DEPOSITS 3.2000%
DATED 12/04/2009 MATURITY 12/11/2009
HELD BY LONDON TREASURY SERVICES
12/11
12/11
Misc. Disbursement
Free Delivery
REP: ROLLOVER TO TIME DEPOSIT
JP MORGAN CHASE BANK - AUD
AUSTRALIAN DOLLAR
(117,895.480)
LONDON TIME DEPOSITS 3.2000%
DATED 12/04/2009 MATURITY 12/11/2009
HELD BY LONDON TREASURY SERVICES
CLIENT REF FTD
12/11
Receipt of Assets
JP MORGAN CHASE BANK - AUD
LONDON TIME DEPOSITS 3.2000%
DATED 12/11/2009 MATURITY 12/18/2009
HELD BY LONDON TREASURY SERVICES
CLIENT REF FTD
12/15
Spot FX
SPOT CURRENCY TRANSACTION - BUY
BUY AUD SELL USD
EXCHANGE RATE .913000000
DEAL 12/11/09 VALUE 12/15/09
12/18
Foreign Interest
JP MORGAN CHASE BANK - AUD
LONDON TIME DEPOSITS 3.2000%
DATED 12/11/2009 MATURITY 12/18/2009

HELD BY LONDON TREASURY SERVICES

117,968.840

0.001

65.14

73.40

(100,000.000)

100,000.00

109,529.02

117,968.840

(73.360)

(67.23)

(73.36)

117,895.480

0.001

67.23

73.36

Quantity

117,895.480

Amount USD

Local Value

PRINCIPAL

Amount USD

Local Value

INCOME

Amount USD

Local Value

Currency

Gain/Loss USD

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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/09 to 12/31/09
INFLOWS & OUTFLOWS
Per Unit
Settlement
Date
12/18
12/18
12/18
Type
Misc. Disbursement
Misc. Disbursement
Free Delivery
Description
REP: ROLLOVER TO TIME DEPOSIT
INCREASE IN TIME DEPOSIT
JP MORGAN CHASE BANK - AUD
AUSTRALIAN DOLLAR
(109,529.020)
AUSTRALIAN DOLLAR
(117,968.840)
LONDON TIME DEPOSITS 3.2000%
DATED 12/11/2009 MATURITY 12/18/2009
HELD BY LONDON TREASURY SERVICES
CLIENT REF FTD
12/18
Receipt of Assets
JP MORGAN CHASE BANK - AUD
LONDON TIME DEPOSITS 3.2000%
DATED 12/18/2009 MATURITY 12/24/2009
HELD BY LONDON TREASURY SERVICES
CLIENT REF FTD
12/24
Foreign Interest
JP MORGAN CHASE BANK - AUD
LONDON TIME DEPOSITS 3.2000%
DATED 12/18/2009 MATURITY 12/24/2009
HELD BY LONDON TREASURY SERVICES
12/24
12/24
Misc. Disbursement
Free Delivery
REP: ROLLOVER TO TIME DEPOSIT
JP MORGAN CHASE BANK - AUD
AUSTRALIAN DOLLAR
(227,571.260)
LONDON TIME DEPOSITS 3.2000%
DATED 12/18/2009 MATURITY 12/24/2009
HELD BY LONDON TREASURY SERVICES
CLIENT REF FTD
(121.370)

(106.93)
(121.37)
227,571.260
0.001
106.93
121.37
227,571.260
Quantity
(73.400)
Amount USD
Local Value
PRINCIPAL
Amount USD
Local Value
(65.14)
(73.40)
(100,000.00)
(109,529.02)
INCOME
Amount USD
Local Value
Currency
Gain/Loss USD
(1.87)
1.87
Page 27 of 47

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/09 to 12/31/09
INFLOWS & OUTFLOWS
Per Unit
Settlement
Date
12/24
Type
Receipt of Assets
Description
JP MORGAN CHASE BANK - AUD
LONDON TIME DEPOSITS 3.2000%
DATED 12/24/2009 MATURITY 12/31/2009
HELD BY LONDON TREASURY SERVICES
CLIENT REF FTD
12/31
Foreign Interest
JP MORGAN CHASE BANK - AUD
LONDON TIME DEPOSITS 3.2000%
DATED 12/24/2009 MATURITY 12/31/2009
HELD BY LONDON TREASURY SERVICES
12/31
12/31
Misc. Disbursement
Free Delivery
REP: ROLLOVER TO TIME DEPOSIT
JP MORGAN CHASE BANK - AUD
AUSTRALIAN DOLLAR
(227,692.630)
LONDON TIME DEPOSITS 3.2000%
DATED 12/24/2009 MATURITY 12/31/2009
HELD BY LONDON TREASURY SERVICES
CLIENT REF FTD
12/31
Receipt of Assets
JP MORGAN CHASE BANK - AUD
LONDON TIME DEPOSITS 3.2000%
DATED 12/31/2009 MATURITY 01/08/2010
HELD BY LONDON TREASURY SERVICES
CLIENT REF FTD
Total Inflows & Outflows
\$0.00
227,834.310
(141.680)
(126.58)
(141.68)
227,692.630
0.001
126.58
141.68
Quantity

227,692.630
Amount USD
Local Value
PRINCIPAL
Amount USD
Local Value
INCOME
Amount USD
Local Value
Currency
Gain/Loss USD
Page 28 of 47

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/09 to 12/31/09
Portfolio Activity Summary - BRAZILIAN REAL
PRINCIPAL

US Dollar Value

Current

Transactions

Beginning Cash Balance

INFLOWS

Total Inflows

Foreign Exchange - Inflows

\$0.00

TRADE ACTIVITY

Total Trade Activity

Settled Securities Purchased

\$0.00

Ending Cash Balance

* Year to date information is calculated on a calendar year basis.

INCOME

US Dollar Value

Current

Transactions

Beginning Cash Balance

Ending Cash Balance

* Year to date information is calculated on a calendar year basis.

Period Value

--Year-To-Date

Value*

--Local

Value

Current

Period Value

0.00

0.00

Year-To-Date

Value*

---Period

Value

-Year-To-Date

Value*

-122,117.24

\$122,117.24

(122,117.24)

(\$122,117.24)

-Local

Value

Current

Period Value

0.00

Year-To-Date

Value*

-0.00

223,434.71

223,434.71

0.00

0.00

(223,434.71)

(223,434.71)

-Page

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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/09 to 12/31/09
Portfolio Activity Detail - BRAZILIAN REAL
No Activity This Period
Page 30 of 47

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/09 to 12/31/09
Portfolio Activity Summary - Canadian Dollar
PRINCIPAL

US Dollar Value

Current

Transactions

Income

OUTFLOWS

Total Outflows

Withdrawals

TRADE ACTIVITY

Total Trade Activity

Settled Securities Purchased

Ending Cash Balance

* Year to date information is calculated on a calendar year basis.

Period Value

Beginning Cash Balance

INFLOWS

Foreign Exchange - Inflows

Total Inflows

-0.40

\$0.40

(0.40)

(\$0.40)

(100,000.00)

(\$100,000.00)

-Year-To-Date

Value*

-0.40

100,000.00

\$100,000.40

(0.40)

(\$0.40)

(100,000.00)

(\$100,000.00)

-Local

Value

Current

Period Value

105,600.00

0.42

0.42

(0.42)

(0.42)

(105,600.00)

(105,600.00)

0.00

Year-To-Date

Value*

-0.42

105,600.00

105,600.42

(0.42)

(0.42)

(105,600.00)

(105,600.00)

-Page

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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/09 to 12/31/09
Portfolio Activity Summary - Canadian Dollar
INCOME

US Dollar Value

Current

Transactions

Beginning Cash Balance

Ending Cash Balance

* Year to date information is calculated on a calendar year basis.

Portfolio Activity Detail - Canadian Dollar

INFLOWS & OUTFLOWS

Settlement

Date

12/23

Type

Foreign Interest

Description

JP MORGAN CHASE BANK - CAD

LONDON TIME DEPOSITS 0.0100%

DATED 12/16/2009 MATURITY 12/23/2009

HELD BY LONDON TREASURY SERVICES

12/23

12/23

Misc. Disbursement

Free Delivery

ROLLOVER TO TIME DEPOSIT

JP MORGAN CHASE BANK - CAD

CANADIAN DOLLAR

(105,600.000)

LONDON TIME DEPOSITS 0.0100%

DATED 12/16/2009 MATURITY 12/23/2009

HELD BY LONDON TREASURY SERVICES

CLIENT REF FTD

(0.210)

(0.20)

(0.21)

Quantity

105,600.000

Period Value

--Year-To-Date

Value*

--Local

Value

Current

Period Value

0.00

0.00

Year-To-Date

Value*

--Per

Unit
Amount USD
Local Value
PRINCIPAL
Amount USD
Local Value
0.20
0.21
INCOME
Amount USD
Local Value
Currency
Gain/Loss USD
Page 32 of 47

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/09 to 12/31/09
INFLOWS & OUTFLOWS
Per Unit
Settlement
Date
12/23
Type
Receipt of Assets
Description
JP MORGAN CHASE BANK - CAD
LONDON TIME DEPOSITS 0.0100%
DATED 12/23/2009 MATURITY 12/30/2009
HELD BY LONDON TREASURY SERVICES
CLIENT REF FTD
12/30
Foreign Interest
JP MORGAN CHASE BANK - CAD
LONDON TIME DEPOSITS 0.0100%
DATED 12/23/2009 MATURITY 12/30/2009
HELD BY LONDON TREASURY SERVICES
12/30
12/30
Misc. Disbursement
Free Delivery
REP: ROLLOVER TO TIME DEPOSIT
JP MORGAN CHASE BANK - CAD
CANADIAN DOLLAR
(105,600.210)
LONDON TIME DEPOSITS 0.0100%
DATED 12/23/2009 MATURITY 12/30/2009
HELD BY LONDON TREASURY SERVICES
CLIENT REF FTD
12/30
Receipt of Assets
JP MORGAN CHASE BANK - CAD
LONDON TIME DEPOSITS 0.0100%
DATED 12/30/2009 MATURITY 01/06/2010
HELD BY LONDON TREASURY SERVICES
CLIENT REF FTD
Total Inflows & Outflows
\$0.00
105,600.420
(0.210)
(0.20)
(0.21)
105,600.210
0.20
0.21
Quantity
105,600.210

Amount USD
Local Value
PRINCIPAL
Amount USD
Local Value
INCOME
Amount USD
Local Value
Currency
Gain/Loss USD
Page 33 of 47

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/09 to 12/31/09
TRADE ACTIVITY - Canadian Dollar
Per Unit
Trade
Date
Settlement
Date
12/16
Type
Settled Securities Purchased
12/16
Purchase
Description
JP MORGAN CHASE BANK - CAD
LONDON TIME DEPOSITS 0.0100%
DATED 12/16/2009 MATURITY 12/23/2009
HELD BY LONDON TREASURY SERVICES
CLIENT REF FTD
Quantity
105,600.000
Amount USD
Local Value
94.70
100.00
Market
Cost USD
Local Value
(100,000.00)
(105,600.00)
Currency
Gain/Loss USD
Page 34 of 47

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/09 to 12/31/09
Portfolio Activity Summary - N ZEALAND DOLLAR
PRINCIPAL

US Dollar Value

Current

Transactions

Income

OUTFLOWS

Withdrawals

Tax Payments

Total Outflows

TRADE ACTIVITY

Settled Sales/Maturities/Redemptions

Settled Securities Purchased

Total Trade Activity

Ending Cash Balance

* Year to date information is calculated on a calendar year basis.

\$0.00

-60,244.23

(158,455.10)

(\$98,210.87)

-0.00

0.00

95,000.00

(246,388.23)

(151,388.23)

-\$0.00

Period

Value

Beginning Cash Balance

INFLOWS

Foreign Exchange - Inflows

Total Inflows

-Year-To-Date

Value*

-990.85

\$0.00

98,216.82

\$99,207.67

(680.52)

(316.28)

(\$996.80)

0.00

Local

Value

Current

Period Value

0.00

Year-To-Date

Value*

-1,488.80
151,354.25
152,843.05
(956.07)
(498.75)
0.00
(1,454.82)
Page
35 of 47

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/09 to 12/31/09
Portfolio Activity Summary - N ZEALAND DOLLAR
INCOME

US Dollar Value

Current

Transactions

Beginning Cash Balance

Ending Cash Balance

* Year to date information is calculated on a calendar year basis.

Portfolio Activity Detail - N ZEALAND DOLLAR

No Activity This Period

Period Value

--Year-To-Date

Value*

--Local

Value

Current

Period Value

0.00

0.00

Year-To-Date

Value*

--Page

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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/09 to 12/31/09
Portfolio Activity Summary - Norwegian Krone
PRINCIPAL

US Dollar Value

Current

Transactions

Income

OUTFLOWS

Total Outflows

Withdrawals

TRADE ACTIVITY

Total Trade Activity

Settled Securities Purchased

Ending Cash Balance

* Year to date information is calculated on a calendar year basis.

Period Value

Beginning Cash Balance

INFLOWS

Foreign Exchange - Inflows

Total Inflows

-41.05

100,000.00

\$100,041.05

(41.05)

(\$41.05)

(100,000.00)

(\$100,000.00)

-Year-To-Date

Value*

-41.05

200,000.00

\$200,041.05

(41.05)

(\$41.05)

(200,000.00)

(\$200,000.00)

-Local

Value

Current

Period Value

0.00

239.60

575,000.00

575,239.60

(239.60)

(239.60)

(575,000.00)

(575,000.00)

0.00

Year-To-Date

Value*

-239.60

1,186,000.00

1,186,239.60

(239.60)

(239.60)

(1,186,000.00)

(1,186,000.00)

-Page

37 of 47

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/09 to 12/31/09
Portfolio Activity Summary - Norwegian Krone
INCOME

US Dollar Value

Current

Transactions

Beginning Cash Balance

Ending Cash Balance

* Year to date information is calculated on a calendar year basis.

Portfolio Activity Detail - Norwegian Krone

INFLOWS & OUTFLOWS

Settlement

Date

12/15

Type

Spot FX

Description

SPOT CURRENCY TRANSACTION - BUY

BUY NOK SELL USD

EXCHANGE RATE 5.750000000

DEAL 12/11/09 VALUE 12/15/09

12/23

Foreign Interest

JP MORGAN CHASE BANK - NOK

LONDON TIME DEPOSITS 1.0000%

DATED 12/18/2009 MATURITY 12/23/2009

HELD BY LONDON TREASURY SERVICES

12/23

Misc. Disbursement

ROLLOVER TO TIME DEPOSIT

NORWEGIAN KRONE

(79.860)

(13.56)

(79.86)

575,000.000

13.56

79.86

Quantity

(100,000.000)

Period Value

--Year-To-Date

Value*

--Local

Value

Current

Period Value

0.00

0.00

Year-To-Date

Value*

--Per
Unit
Amount USD
Local Value
PRINCIPAL
Amount USD
Local Value
100,000.00
575,000.00
INCOME
Amount USD
Local Value
Currency
Gain/Loss USD
Page 38 of 47

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/09 to 12/31/09
INFLOWS & OUTFLOWS
Per Unit
Settlement
Date
12/23
Type
Free Delivery
Description
JP MORGAN CHASE BANK - NOK
LONDON TIME DEPOSITS 1.0000%
DATED 12/18/2009 MATURITY 12/23/2009
HELD BY LONDON TREASURY SERVICES
CLIENT REF FTD
12/23
Receipt of Assets
JP MORGAN CHASE BANK - NOK
LONDON TIME DEPOSITS 1.2500%
DATED 12/23/2009 MATURITY 12/31/2009
HELD BY LONDON TREASURY SERVICES
CLIENT REF FTD
12/31
Foreign Interest
JP MORGAN CHASE BANK - NOK
LONDON TIME DEPOSITS 1.2500%
DATED 12/23/2009 MATURITY 12/31/2009
HELD BY LONDON TREASURY SERVICES
12/31
12/31
Misc. Disbursement
Free Delivery
REP: ROLLOVER TO TIME DEPOSIT
JP MORGAN CHASE BANK - NOK
NORWEGIAN KRONE
(575,079.860)
LONDON TIME DEPOSITS 1.2500%
DATED 12/23/2009 MATURITY 12/31/2009
HELD BY LONDON TREASURY SERVICES
CLIENT REF FTD
12/31
Receipt of Assets
JP MORGAN CHASE BANK - NOK
LONDON TIME DEPOSITS 0.7500%
DATED 12/31/2009 MATURITY 01/07/2010
HELD BY LONDON TREASURY SERVICES
CLIENT REF FTD
Total Inflows & Outflows
\$100,000.00
\$0.00
575,239.600

(159.740)
(27.49)
(159.74)
575,079.860
27.49
159.74
575,079.860
Quantity
(575,000.000)
Amount USD
Local Value
PRINCIPAL
Amount USD
Local Value
INCOME
Amount USD
Local Value
Currency
Gain/Loss USD
Page 39 of 47

GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/09 to 12/31/09
TRADE ACTIVITY - Norwegian Krone
Per Unit
Trade
Date
Settlement
Date
12/18
Type
Settled Securities Purchased
12/18
Purchase
Description
JP MORGAN CHASE BANK - NOK
LONDON TIME DEPOSITS 1.0000%
DATED 12/18/2009 MATURITY 12/23/2009
HELD BY LONDON TREASURY SERVICES
CLIENT REF FTD
Quantity
575,000.000
Amount USD
Local Value
17.40
100.00
Market
Cost USD
Local Value
(100,000.00)
(575,000.00)
Currency
Gain/Loss USD
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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/09 to 12/31/09
Portfolio Activity Summary - Pound Sterling
PRINCIPAL

US Dollar Value

Current

Transactions

Beginning Cash Balance

INFLOWS

Total Inflows

Income

OUTFLOWS

Total Outflows

Tax Payments

Ending Cash Balance

* Year to date information is calculated on a calendar year basis.

INCOME

US Dollar Value

Current

Transactions

Beginning Cash Balance

Ending Cash Balance

* Year to date information is calculated on a calendar year basis.

Period Value

--Year-To-Date

Value*

--Local

Value

Current

Period Value

0.00

0.00

Year-To-Date

Value*

--Period

Value

-2.79

\$2.79

(0.28)

(\$0.28)

-Year-To-Date

Value*

-12.41

\$12.41

(1.26)

(\$1.26)

-Local

Value

Current

Period Value

7.85

1.70
1.70
(0.17)
(0.17)
9.38
Year-To-Date
Value*
-7.95
7.95
(0.81)
(0.81)
-Page
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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/09 to 12/31/09
Portfolio Activity Detail - Pound Sterling
INFLOWS & OUTFLOWS

Settlement

Date

12/7

Type

Foreign Dividend

Description

BP PLC

HELD BY JPMORGAN CHASE BK LON

ISIN GB0007980591

SEDOL 0798059

12/7

Foreign Tax Withheld

@ 0.094577 PER SHARE

BP PLC

HELD BY JPMORGAN CHASE BK LON

ISIN GB0007980591

SEDOL 0798059

TAX WITHHELD UNITED KINGDOM

10.00%

Total Inflows & Outflows

\$2.51

\$0.00

(0.170)

(0.28)

(0.17)

Quantity

18.000

Per Unit

Amount USD

Local Value

0.155

0.094

PRINCIPAL

Amount USD

Local Value

2.79

1.70

INCOME

Amount USD

Local Value

Currency

Gain/Loss USD

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GHISLAINE MAXWELL ACCT. [REDACTED]
For the Period 12/1/09 to 12/31/09
Settled Foreign Exchange Contracts
Currency
Trade Date
Settle Date Counter Currency
Trade Related
AUSTRALIA DOLLAR
U S DOLLAR
NORWEGIAN KRONE
U S DOLLAR
Dec. 11 09
Dec. 15 09
Dec. 11 09
Dec. 15 09
AUD
USD
NOK
USD
109,529.02
(100,000.00)
575,000.00
(100,000.00)
0.913000
5.750000
0.913000
5.750000
(100,000.00)
(100,000.00)
100,000.00
100,000.00
Amount
Counter Amount Contract Rate Revaluation Rate
Contracted Base
Amount USD
Revalued
Amount USD
Currency G/L
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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 12/1/09 to 12/31/09

In Case of Errors or Questions About Your Electronic Transfers.

Contact your J.P. Morgan Team at one of the telephone numbers on the front of this statement or write us at [REDACTED], 1/OPS, Newark, DE 19713-2107 as soon as you can, if you

think your statement is wrong or if you need more information about a transfer on the statement. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error

or problem appeared. (1) Tell us your name and account number. (2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is in error or why you

need more information. (3) tell us the dollar amount of the suspected error. If you contact us orally, you must send us your complaint or question in writing within 10 business days in order to preserve

your rights. We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this (20 days for purchases using your debit card or for international

transactions), we will credit your account for the amount you think is in error, so that you will have the use of money during the time it takes us to complete our investigation.

In case of errors or questions about your statement, including your line of credit.

If you think that your statement is incorrect or if you need more information about a transaction on your statement including a line of credit transaction, you must write to us on a separate sheet describing

the error and send it to: J.P. Morgan's Private Bank, [REDACTED], [REDACTED], 1/OPS3, Newark, DE 19713-2107. We must hear from you no later than 60 days after the statement on which the

error or problem appeared is sent. You can contact your client service specialist but doing so will not preserve your rights.

Please review your account statement and promptly report any inaccuracy or discrepancy including possible unauthorized trading activity, unrecorded dividend payments, and unaccounted cash

positions in writing to both the introducing broker, JPMSI and the clearing firm, JPMCC at the addresses shown on your statement. Any oral communication should be re-confirmed in writing to further

protect your rights, including your rights under the Securities Investor Protection Act (SIPA). If you have any questions please contact your JPMSI Account Representative or JPMSI Compliance

Department at [REDACTED].

In your letter, please provide the following information: (1) your name and account number; (2) the dollar amount of the suspected error; and (3) a description of the error and explanation, if you can, why

you believe there is an error. If you need more information, you must describe the item you are unsure about.

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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 12/1/09 to 12/31/09

Important Information about Pricing and Valuations

Certain assets including but not limited to, pooled private investments, non-publicly traded and infrequently traded securities, derivatives, partnership interests and tangible assets are generally illiquid, the value of which may have been provided to us by third parties who may not be independent of the issuer or manager. Such information is reflected as of the last date provided to us, and is not independently verified.

Prices, some of which are provided by pricing services or other sources which we deem reliable, are not guaranteed for accuracy or as realizable values.

Market value information (including without limitation, prices, exchange rates, accrued income and bond ratings) furnished herein has been obtained from sources that J.P. Morgan believes to be reliable and is furnished for the exclusive use of the client.

J.P. Morgan makes no representation, warranty or guarantee, express or implied, that any quoted value represents the actual terms at which transactions or securities could be bought or sold or new transactions could be entered into, or the actual terms on which existing transactions or securities could be liquidated.

The current price is the value of the financial asset share, unit or contract as priced at the close of the market on the last day of the statement period or the last available price.

All values provided for structured yield deposits (for example, JPMorgan London Time Deposits) reflect the original deposit amount only.

The current value for Real Estate, Mineral Interests and Miscellaneous Assets may not reflect the most current value of the asset.

Valuations of over-the-counter derivative transactions, including certain derivatives-related deposit products, have been prepared on a mid-market basis. These valuations are indicative values as of the close of business of the date of this statement and, except as otherwise agreed in writing, these valuations do not represent the actual terms at which transactions or securities could be bought or sold or new transactions could be entered into, or the actual terms on which existing transactions or securities could be liquidated as of the date of this statement. We do not warrant their completeness or accuracy. These valuations are derived from proprietary models based upon well-recognized financial principles and we have, when necessary to calculate the present value of future cash flows, made reasonable estimates about relevant future market conditions. Valuations based on other models or different assumptions may yield different results.

J.P. Morgan expressly disclaims any responsibility for (1) the accuracy of the models or estimates used in deriving the valuations, (2) any errors or omissions in computing or disseminating the valuations, and (3) any uses to which the valuations are put.

Valuations are provided for information purposes only and are intended solely for your own use. Please refer to the trade confirmation for details of each transaction.

Please review your statement promptly and report any discrepancies

immediately to an account officer whose name appears on the contact page of this statement.

The JPMorgan Funds or The JPMorgan Institutional Funds or The American Century Funds

Shares of the funds are not bank deposits and are not guaranteed by any bank, government entity, or the FDIC. Return and share price will fluctuate and redemption value may be more or less than original cost. While the money market funds seek to maintain a stable net asset value of \$1.00 per share, there is no assurance that they will continue to do so. The estimated annual income and dividend yield figures for mutual funds represent the funds' most recent income dividend annualized.

The distributor of the JPMorgan Funds is JPMorgan Distribution Services, Inc., which is an affiliate of the Bank. The Bank and its affiliates receive compensation from JPMorgan Funds for providing services. American Century Investment Services, Inc. is the distributor of the American Century Funds. American Century Investment Management Inc. serves as investment advisor to its respective fund family and receives compensation from that fund family for providing investment advisory and other services.

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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 12/1/09 to 12/31/09

Important Information Regarding Auction Rate Securities (ARS). ARS are debt or preferred securities with an interest or dividend rate reset periodically in an auction. Although there may be daily, weekly and monthly resets, there is no guarantee that there will be liquidity. If there are not enough bids at an auction to redeem the securities available for sale, the result may be a failed auction. In a failed auction, there is no assurance that a secondary market will develop or that the security will trade at par or any other price reflected on statements. Accordingly, investors should not rely on pricing information appearing in their statements with respect to ARS.

Additional Information About Your Accounts

Securities purchased or sold through JPMSI (1) other than mutual funds, are cleared through J.P.Morgan Clearing Corp. ("JPMCC"), an affiliate of JPMSI, and (2) other than exchange-listed options, are held in your Asset Account at JPMorgan Chase Bank, N.A. Positions in exchange-listed options are held by J.P.Morgan Clearing Corp. and are not delivered to or from your Asset Account. For your convenience, however, positions in exchange-listed options are presented in this Asset Account statement together with other assets held in that account. All pertinent information about your settled and pending purchases and sales effected through your JPMSI account during the period covered by this statement, is summarized in the "Trade Activity" portion of this statement.

You should have received separate confirmations for each securities transaction. All transactions are subject to the terms and conditions stated on the reverse side of such confirmations and are subject to the constitution, by-laws, customs and interpretations of the marketplace where executed and governed by and construed in accordance with the laws of the State of New York and all applicable federal laws and regulations. Further information with respect to commissions and other charges related to the execution of transactions, including options transactions, has been included in confirmations that were previously furnished to you. Upon written request, JPMSI will promptly supply you with the latest such information.

Shareholders of certain JPMorgan Funds are charged a redemption fee equal to 2% of the proceeds if they exchange or redeem shares of such funds within 60 days of purchase, subject to certain exceptions set forth in the prospectus of the applicable Fund. Please consult your J.P. Morgan representative for a list of the JPMorgan Funds that impose redemption fees.

JPMCC and JPMSI are members of the Securities Investor Protection Corp ("SIPC"), a not-for-profit membership corporation funded by broker-dealers registered with the Securities and Exchange Commission. Securities and cash held for a customer at JPMSI and JPMCC are protected by SIPC up to \$500,000 per customer, which includes up to \$100,000 of protection for cash. SIPC does not protect against losses from fluctuations in the value of the securities. Assets held in custody by JPMorgan Chase Bank, N.A. (the "Bank") are not subject to SIPC. You may obtain information about

SIPC, including the SIPC Brochure, on their website, at "www.sipc.org" or by contacting them at (202) 371-8300.

To the extent applicable, please read the following disclosures regarding estimated annual income (EAI) and estimated yield (EY): EAI and EY for certain types of securities could include a return of principal or capital gains in which case the EAI and EY would be overstated. EAI and EY are estimates and the actual income and yield might be lower or higher than the estimated amounts. EY reflects only the income generated by an investment. It does not reflect changes in its price, which may fluctuate.

JPMorgan Chase Bank, N.A. (JPMorgan Chase Bank) may provide administrative, custodial, sales, distribution or shareholder services to JPMorgan Funds, American Century Funds, or funds established, sponsored, advised, or managed by third parties, and the J.P. Morgan Companies may be compensated for such services.

A financial statement of this organization is available to you for personal inspection at its offices, or a copy will be mailed to you upon written request.

Bank products and services are offered through JPMorgan Chase Bank and its affiliates. Securities are offered by JPMorgan Chase Bank and, to the extent noted above, cleared through JPMorgan Chase Bank.

If a partial call is made with respect to an issue of securities included in your Account we will allocate the call by a method we deem fair and equitable.

You must promptly advise JPMorgan Chase Bank of material changes in your investment objectives or financial situation. Unless you inform JPMorgan Chase Bank otherwise, JPMorgan Chase Bank will consider the information currently in its files to be complete and accurate.

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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 12/1/09 to 12/31/09

JPMSI is not a bank and is a separate legal entity from its bank or thrift affiliates, including JPMCB. The securities sold, offered, or recommended by JPMSI:

- (1) Are not insured by the Federal Deposit Insurance Corporation, or any other governmental agency;
- (2) Are not deposits or other obligations of JPMSI's bank or thrift affiliates (unless otherwise indicated), and are not guaranteed by or the responsibility of any such affiliates (unless explicitly stated otherwise); and
- (3) Involve investment risks, including possible loss of the principal invested.

JPMSI's banking affiliates may be lenders to issuers of securities that JPMSI underwrites, in which case proceeds of offerings underwritten by JPMSI may be used for the repayment of such loans, and you should refer to the disclosure documents relating to particular securities for discussion of any such lending relationships. The Federal Reserve requires that JPMSI obtain your consent before it can obtain certain information from its bank or thrift affiliates, including their credit evaluation of you. We will assume that your continuing to transact business with JPMSI will constitute your consent to the sharing of such information by JPMSI and its bank or thrift affiliates, to the extent permitted by law.

This statement is not an official document for income tax reporting purposes. DEPOSITS IN FOREIGN BRANCHES ARE NOT INSURED BY THE FDIC, THE FEDERAL RESERVE BOARD OR ANY OTHER GOVERNMENTAL AGENCY; AMOUNTS IN THE TIME DEPOSITS DO NOT HAVE THE BENEFIT OF ANY DOMESTIC PREFERENCE APPLICABLE TO U.S. BANKS.
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