

GHISLAINE MAXWELL





JPMorgan Chase Bank, N.A.  
GHISLAINE MAXWELL  
270 Park Avenue, New York, NY 10017-2014  
ACCT. [REDACTED]

For the Period 10/1/13 to 10/31/13

Asset Account

J.P. Morgan Team

James Buckley

Jason Bell

Janet Young

Gina Magliocco

Jason Grosse

Online access

Banker

Investment Specialist

Client Service Team

Client Service Team

Client Service Team

www.jpmorganonline.com

Please see disclosures located at the end of this statement package for important information relating to each J.P.Morgan account(s).

[REDACTED]  
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Account Summary

Holdings

Equity

Alternative Assets

Cash & Fixed Income

Other Assets

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Client News

If you plan to make gifts of securities this year, please notify your Client Service Representative before December 1, 2013. This will allow us to process your request before year-end and meet the deadline for tax filing purposes.

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GHISLAINE MAXWELL ACCT. XXXXXXXXXX  
 For the Period 10/1/13 to 10/31/13  
 Account Summary  
 Asset Allocation  
 Equity  
 Alternative Assets  
 Cash & Fixed Income  
 Other  
 Market Value  
 Accruals  
 Market Value with Accruals  
 Beginning  
 Market Value  
 969,621.57  
 63,386.38  
 3,980,583.81  
 158,652.35  
 \$5,172,244.11  
 14,121.73  
 \$5,186,365.84  
 Ending  
 Market Value  
 986,618.54  
 63,084.55  
 4,001,238.07  
 158,958.51  
 \$5,209,899.67  
 8,229.05  
 \$5,218,128.72  
 Current  
 Portfolio Activity  
 Beginning Market Value  
 Contributions  
 Withdrawals & Fees  
 Securities Transferred In  
 Securities Transferred Out  
 Net Contributions/Withdrawals  
 Income & Distributions  
 Change In Investment Value  
 Ending Market Value  
 Accruals  
 Market Value with Accruals  
 (248.53)  
 1,607,746.17  
 (1,607,497.61)  
 \$0.03  
 17,145.01  
 20,510.52  
 \$5,209,899.67  
 8,229.05  
 \$5,218,128.72

Period Value  
5,172,244.11  
Change  
In Value  
16,996.97  
(301.83)  
20,654.26  
306.16  
\$37,655.56  
(5,892.68)  
\$31,762.88  
Year-to-Date  
Value  
8,349,258.16  
849,480.50  
(4,002,239.16)  
13,360,849.93  
(14,239,914.96)  
(\$4,031,823.69)  
(14,224.35)  
906,689.55  
\$5,209,899.67  
8,229.05  
\$5,218,128.72  
Estimated  
50,794.36  
21,388.24  
\$72,182.60  
Current  
Annual Income Allocation  
21%  
1%  
75%  
3%  
100%  
Cash &  
Fixed Income  
Equity  
Other  
Alternative  
Assets  
Asset Allocation  
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GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 10/1/13 to 10/31/13  
Account Summary  
Tax Summary  
Domestic Dividends/Distributions  
Foreign Dividends  
Currency Gain/Loss  
Interest Income  
Taxable Income  
Tax-Exempt Income  
Bond Premium Amortization  
Tax-Exempt Income

CONTINUED

Current

Period Value

7,177.74

1,575.88

7,689.48

\$16,443.10

1,250.00

(548.09)

\$701.91

Year-to-Date

Value

14,977.20

9,766.51

(107,915.84)

63,052.62

(\$20,119.51)

10,000.00

(4,104.84)

\$5,895.16

Unrealized Gain/Loss

To-Date Value

\$48,092.78

LT Realized Gain/Loss

Realized Gain/Loss

Current

Period Value

Year-to-Date

Value

(70,239.07)

(\$70,239.07)

Note: \* This summary is for informational purposes only and is not to be used for any financial or tax purposes. This summary does not include securities which are taxable at the federal level but are state exempt. This summary may not include all income derived from Municipal Securities; Please consult your tax advisor for tax implications.

<sup>1</sup> Other category combines all the remaining Tax Exempt Income generated by securities in the account which may not have a state affiliation.

Tax-Exempt Income  
Summary by State\*

MA

MI

Current

Period Value

701.91

Year-to-Date

Value

1,410.07

1,410.85

Tax-Exempt Income  
Summary by State\*

TX

Tax-Exempt Income

\$701.91

Current

Period Value

Year-to-Date

Value

3,074.24

\$5,895.16

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GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 10/1/13 to 10/31/13  
Account Summary  
Cost Summary  
Equity  
Cash & Fixed Income  
Other  
Total

CONTINUED

Cost  
944,362.24  
3,984,388.10  
92,027.29  
\$5,020,777.63

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GHISLAINE MAXWELL ACCT. ██████████  
 For the Period 10/1/13 to 10/31/13  
 Equity Summary  
 Asset Categories  
 US Large Cap Equity  
 US Small/Mid Cap Equity  
 Non-US Equity  
 Preferred Stocks  
 Concentrated & Other Equity  
 Total Value  
 Market Value/Cost  
 Market Value  
 Tax Cost  
 Unrealized Gain/Loss  
 Estimated Annual Income  
 Accrued Dividends  
 Yield  
 Equity Detail  
 Price  
 US Large Cap Equity  
 APACHE CORP  
 037411-10-5 APA  
 Quantity  
 Value  
 Adjusted Tax Cost  
 Original Cost  
 Unrealized  
 Gain/Loss  
 Est. Annual Inc.  
 Accrued Div.  
 Yield  
 Beginning  
 Market Value  
 299,641.71  
 10,050.00  
 146.86  
 444,174.00  
 215,609.00  
 \$969,621.57  
 Ending  
 Market Value  
 363,803.33  
 11,850.00  
 152.21  
 444,460.00  
 166,353.00  
 \$986,618.54  
 Current  
 Period Value  
 986,618.54  
 944,362.24

42,256.30  
50,794.36  
1,892.93  
5.13%  
US Large Cap Equity  
Equity as a percentage of your portfolio - 21 %  
Change  
In Value  
64,161.62  
1,800.00  
5.35  
286.00  
(49,256.00)  
\$16,996.97  
Current  
Allocation  
7%  
1%  
1%  
9%  
3%  
21%  
Preferred Stocks  
US Small/Mid Cap Equity  
Non-US Equity  
Concentrated & Other Equity  
Asset Categories  
88.80  
95.000  
8,436.00  
11,403.30  
(2,967.30)  
76.00  
19.00  
0.90%  
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GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 10/1/13 to 10/31/13  
Price  
US Large Cap Equity  
BANK OF AMERICA CORP  
CITIGROUP INC NEW  
GENERAL MOTORS CO  
060505-10-4 BAC  
48.78  
172967-42-4 C  
51.32  
CV PFD B  
37045V-20-9 GM PB  
SCHLUMBERGER LTD  
SPDR S&P 500 ETF TRUST  
Total US Large Cap Equity  
806857-10-8 SLB  
175.79  
78462F-10-3 SPY  
\$363,803.33  
\$345,532.56  
\$18,270.77  
\$7,716.86  
\$22.58  
US Small/Mid Cap Equity  
GERON CORP  
374163-10-3 GERN  
Non-US Equity  
RIO TINTO PLC  
ISIN GB0007188757 SEDOL 0718875  
766994-90-9 BP  
2.12%  
1,495.000  
262,806.05  
250,407.85  
12,398.20  
5,072.53  
1.93%  
93.72  
113.000  
10,590.36  
9,524.04  
1,066.32  
141.25  
1.33%  
1,000.000  
51,320.00  
50,000.00  
1,320.00  
358.000  
17,463.24

14,048.52  
3,414.72  
14.32  
3.58  
2,375.00  
0.08%  
4.63%  
13.97  
944.000  
13,187.68  
10,148.85  
3,038.83  
37.76  
0.29%  
Quantity  
Value  
Adjusted Tax Cost  
Original Cost  
Unrealized  
Gain/Loss  
Est. Annual Inc.  
Accrued Div.  
Yield  
3.95  
3,000.000  
11,850.00  
18,129.32  
(6,279.32)  
50.74  
3.000  
152.21  
212.23  
(60.02)  
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GHISLAINE MAXWELL ACCT. XXXXXXXXXX  
 For the Period 10/1/13 to 10/31/13  
 Price  
 Preferred Stocks  
 CITIGROUP CAPITAL XIII  
 7 7/8% PFD  
 173080-20-1 C PN NA /BA1  
 COUNTRYWIDE CAPITAL V  
 7% PFD  
 222388-20-9 CFC PB  
 JPM CHASE CAPITAL XXIX  
 6.7% PFD  
 48125E-20-7 JPM PC  
 Total Preferred Stocks  
 25.70  
 2,500.000  
 64,250.00  
 \$444,460.00  
 62,500.00  
 \$422,578.96  
 1,750.00  
 \$21,881.04  
 4,187.50  
 \$31,227.50  
 \$1,837.50  
 Concentrated & Other Equity  
 JPMORGAN CHASE & CO  
 VAR RT PFD STK 04/30/2049  
 DTD 04/23/2008  
 46625H-HA-1 BBB /BA1  
 6.52%  
 7.03%  
 25.05  
 4,200.000  
 105,210.00  
 98,778.96  
 6,431.04  
 7,350.00  
 1,837.50  
 6.99%  
 Quantity  
 Value  
 Adjusted Tax Cost  
 Original Cost  
 Unrealized  
 Gain/Loss  
 Est. Annual Inc.  
 Accrued Div.  
 Yield  
 27.50  
 10,000.000

275,000.00  
261,300.00  
13,700.00  
19,690.00  
7.16%  
110.90  
150,000.000  
166,353.00  
157,909.17  
8,443.83  
11,850.00  
32.85  
7.06%  
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GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 10/1/13 to 10/31/13  
Alternative Assets Summary  
Beginning  
Asset Categories  
Hard Assets  
Estimated Value  
63,386.38  
Alternative Assets Detail  
Price  
Hard Assets  
ETFs METAL SECURITIES LTD  
ISIN JE00B1VS3770 SEDOL B1VS377  
131994-91-5  
SPDR GOLD TRUST  
Total Hard Assets  
78463V-10-7 GLD  
\$63,084.55  
\$76,999.78  
127.74  
190.000  
24,270.60  
31,931.40  
128.95  
301.000  
38,813.95  
45,068.38  
Quantity  
Estimated  
Value  
Cost  
Ending  
Estimated Value  
63,084.55  
Change  
In Value  
(301.83)  
Current  
Allocation  
1%

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 10/1/13 to 10/31/13

Cash & Fixed Income Summary

Beginning

Asset Categories

Cash

Non-USD Cash

Non-USD Short Term

US Fixed Income

Non-US Fixed Income

Total Value

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Accrued Interest

Yield

Market Value

2,740,074.68

132,277.29

319,883.17

626,655.93

161,692.74

\$3,980,583.81

Ending

Market Value

2,755,978.68

135,516.25

320,822.19

627,441.39

161,479.56

\$4,001,238.07

Current

Period Value

4,001,238.07

3,984,388.10

16,849.97

21,388.24

6,336.12

0.41%

US Fixed Income

Change

In Value

15,904.00

3,238.96

939.02

785.46

(213.18)

\$20,654.26

Current

Allocation

51%

3%

6%

12%

3%

75%

Non-USD Short Term

Non-US Fixed Income

Non-USD Cash

Cash

Asset Categories

Cash & Fixed Income as a percentage of your portfolio - 75 %

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GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 10/1/13 to 10/31/13  
SUMMARY BY MATURITY

1  
Cash & Fixed Income  
0-6 months  
1-5 years<sup>1</sup>  
10+ years<sup>1</sup>  
Total Value

1  
SUMMARY BY TYPE

Market  
Value  
3,474,647.07  
310,483.50  
216,107.50  
\$4,001,238.07

% of Bond  
Portfolio

88%  
7%  
5%  
100%

The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

Note:

A - Bonds purchased at a premium show amortization.

1  
Cash & Fixed Income Detail

Price  
Cash  
US DOLLAR  
JPM TR I NY MUNI MM FD - MORGAN  
FUND 3

7-Day Annualized Yield: .01%

Total Cash  
1.00  
1.00  
2,755,977.68  
1.00  
2,755,977.68  
1.00  
\$2,755,978.68  
2,755,977.68  
1.00  
\$2,755,978.68  
\$0.00  
\$275.59  
\$22.94  
0.01%

275.59  
22.94  
0.01% <sup>1</sup>  
Quantity  
Value  
Adjusted Tax Cost  
Original Cost  
Unrealized  
Gain/Loss  
Est. Annual Income  
Accrued Interest  
Yield  
Cash & Fixed Income  
Cash  
NON USD Cash  
Corporate Bonds  
Municipal Bonds  
International Bonds  
Mutual Funds  
Total Value  
Market  
Value  
2,755,978.68  
135,516.25  
310,483.50  
216,107.50  
561,495.33  
21,656.81  
\$4,001,238.07  
% of Bond  
Portfolio  
70%  
3%  
7%  
5%  
14%  
1%  
100%

This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account.

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GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 10/1/13 to 10/31/13  
Price  
Non-USD Cash  
AUSTRALIAN DOLLAR  
POUND STERLING  
HONG KONG DOLLAR  
TURKISH LIRA NEW  
PRINCIPAL CURRENCY  
Total Non-USD Cash  
0.95  
1.61  
0.13  
0.50  
100.00  
9,544.49  
950.00  
239,000.00  
94.69  
15,334.17  
122.53  
119,964.86  
\$135,516.25  
103.25  
15,050.86  
122.44  
124,045.53  
\$139,322.08  
(8.56)  
283.31  
0.09  
(4,080.67)  
(\$3,805.83)  
\$0.00  
0.00%  
Quantity  
Value  
Adjusted Tax Cost  
Original Cost  
Unrealized  
Gain/Loss  
Est. Annual Income  
Accrued Interest  
Yield  
Non-USD Short Term  
JP MORGAN CHASE BANK - CAD  
95.89  
LONDON TIME DEPOSITS 0.6200%  
DATED 10/30/2013 MATURITY 11/06/2013  
HELD BY LONDON TREASURY SERVICES  
Bearer

2537L9-9L-2 AD  
JP MORGAN CHASE BANK - NOK  
LONDON TIME DEPOSITS 0.9000%  
DATED 10/30/2013 MATURITY 11/06/2013  
HELD BY LONDON TREASURY SERVICES

Bearer

2537L9-9V-0 OK

Total Non-USD Short Term

\$320,822.19

\$324,019.75

(\$3,197.56)

\$2,575.10

\$14.25

0.83%

16.81

1,244,815.97

209,291.90

210,116.64

(824.74)

1,883.62

10.46

0.94%

116,309.36

111,530.29

113,903.11

(2,372.82)

691.48

3.79

0.63%

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GHISLAINE MAXWELL

ACCT. [REDACTED]

For the Period 10/1/13 to 10/31/13

Price

US Fixed Income

JPM MANAGED RESERVES FD - GBP-C-DIST

ISIN LU0539309053

051398-91-5 BP

ISHARES GBP CORPORATE BOND UCITS ETF

ISIN IE00B00FV011 SEDOL B00FV01

461278-91-3 BP

HSBC FINANCE CORP

MEDIUM TERM NOTE 2.9% OCT 15 2015

DTD 10/15/2010

40429X-YB-6 A /BAA

GENERAL ELEC CAP CORP

MEDIUM TERM FLOATING RATE NOTE

MAY 11 2016 DTD 05/11/2007

36962G-2V-5 AA+ /A1

BANK OF AMERICA CORP

MEDIUM TERM NOTE

3.6% NOV 15 2016

DTD 11/04/2010

06050W-DW-2 A- /BAA

A MI ST MUNI BOND AUTH

ST CLEAN WTR REVOLVING FD 5%

OCT 01 2026 DTD 11/02/2006

HELD BY DTC BOOK ENTRY ONLY

PAR CALL 10/01/2016 @ 100

59455T-PF-3 AAA /AAA

110.31

50,000.00

55,152.50

53,348.92

55,424.00

1,803.58

2,500.00

208.30

3.97%

105.27

150,000.00

157,911.00

150,000.00

7,911.00

5,400.00

2,490.00

1.81%

99.41

50,000.00

49,704.50

45,394.50

4,310.00  
237.55  
54.10  
0.71%  
102.87  
100,000.00  
102,868.00  
100,000.00  
2,868.00  
2,900.00  
128.80  
1.41%  
206.26  
105.00  
21,656.81  
19,023.08  
2,633.73  
10,235.70  
7.74  
79,193.58  
81,082.31  
(1,888.73)  
Quantity  
Value  
Adjusted Tax Cost  
Original Cost  
Unrealized  
Gain/Loss  
Est. Annual Income  
Accrued Interest  
Yield  
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GHISLAINE MAXWELL

ACCT. [REDACTED]

For the Period 10/1/13 to 10/31/13

Price

US Fixed Income

A BIRDVILLE TEXAS INDEPENDENT SCHOOL

DIST PERM SCH GTD FUND UNLIMITED TAX

5% FEB 15 2029 DTD 01/15/2007

HELD BY DTC BOOK ENTRY ONLY

PAR CALL 02/15/2017 @ 100

090874-FV-5 AAA /AAA

A MA ST HLTH & EDUCTNL FACS AUTH

REVENUE HARVARD UNIV 5% B

JUL 15 2032 DTD 08/03/2005

HELD BY DTC BOOK ENTRY ONLY

PAR CALL 07/15/2015 @ 100

57586C-FW-0 AAA /AAA

Total US Fixed Income

\$627,441.39

\$607,562.27

\$615,466.89

Non-US Fixed Income

BLUEBAY HIGH YIELD BD FD GBP HDG-DB

ISIN LU0222772518

G13289-92-4 BP

ISHARES GBP INDEX-LINKED GILTS

UCITS ETF

ISIN IE00B1FZSD53 SEDOL B1G52V0

46578W-92-2 BP

Total Non-US Fixed Income

\$161,479.56

\$157,505.32

\$3,974.24

\$0.00

\$1,626.13

0.00%

21.20

5,790.00

122,742.71

118,212.32

4,530.39

1,626.13

206.16

187.90

38,736.85

39,293.00

(556.15)

\$19,879.12

\$18,537.55

\$4,672.80

2.21%

104.57  
50,000.00  
52,283.00  
51,945.91  
54,040.00  
337.09  
2,500.00  
736.10  
4.63%  
108.67  
100,000.00  
108,672.00  
106,767.55  
110,503.00  
1,904.45  
5,000.00  
1,055.50  
4.22%  
Quantity  
Value  
Adjusted Tax Cost  
Original Cost  
Unrealized  
Gain/Loss  
Est. Annual Income  
Accrued Interest  
Yield  
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GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 10/1/13 to 10/31/13

Other Summary  
Asset Categories

Balanced  
Beginning  
Estimated Value  
158,652.35

Ending  
Estimated Value  
158,958.51

Change  
In Value  
306.16

Current  
Allocation  
3%

Current  
Market Value/Cost  
Estimated Value

Tax Cost  
Estimated Gain/Loss

Note:

\*\*

Period Value  
158,958.51  
92,027.29  
2,901.74

Unrealized Gain/Loss is shown as "N/A" in cases where we do not have appropriate cost information for all tax lots comprising the position. Please contact your J.P. Morgan team for additional information.

Other Detail

Price  
Balanced  
JPM INC OPP FD - GBP - A - DIST(HDG)

ISIN LU0323456201

287991-93-9 BP

145.90

450.272

65,692.59

1,663.11 \*\*

N/A

Quantity

Value

Adjusted Cost

Original Cost

Unrealized

Gain/Loss

Accruals

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GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 10/1/13 to 10/31/13  
Price  
Balanced  
PIMCO FUNDS GLOBAL INVESTORS SERIES  
- GLOBAL INVESTMENT GRADE CREDIT  
FUND  
ISIN IE00B3BMD843 SEDOL B3BMD84  
695421-91-7 BP  
Total Balanced  
\$158,958.51  
\$92,027.29  
\$2,901.74  
21.38  
4,361.513  
93,265.92  
90,364.18  
2,901.74  
Quantity  
Value  
Adjusted Cost  
Original Cost  
Unrealized  
Gain/Loss  
Accruals  
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GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 10/1/13 to 10/31/13  
Portfolio Activity Summary - U S Dollar  
Beginning Cash Balance

PRINCIPAL

Current

Transactions

INFLOWS

Income

Contributions

Foreign Exchange - Inflows

Total Inflows

OUTFLOWS \*\*

Total Outflows

Withdrawals

\$0.00

TRADE ACTIVITY

Settled Sales/Maturities/Redemptions

Settled Securities Purchased

Total Trade Activity

Ending Cash Balance

\$0.00

\$2,755,977.68

17,190.60

(250,407.85)

(\$233,217.25)

-\$0.00

\$0.00

\$0.00

-\$15,904.00

Period

Value

2,740,073.68

15,904.00

Year-To-Date

Value\*

-74,446.28

849,480.50

204,459.12

\$1,128,385.90

(4,000,000.00)

(\$4,000,000.00)

\$0.00

\$0.00

INCOME

Current

Period

Value

0.00

Year-To-Date

Value\*

-\$0.00  
\$0.00  
Current  
Cost  
Adjustments  
Amortization  
Total Cost Adjustments  
Period Value  
(548.09)  
(\$548.09)  
Year-To-Date  
Value\*  
(4,104.84)  
(\$4,104.84)  
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GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 10/1/13 to 10/31/13

Portfolio Activity Summary - U S Dollar

\* Year to date information is calculated on a calendar year basis.

\*\* Your account's standing instructions use a HIGH COST method for relieving assets

from your position

Portfolio Activity Detail - U S Dollar

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

10/1

Municipal Interest

Description

MI ST MUNI BOND AUTH ST CLEAN WTR REVOLVING FD

5% OCT 01 2026 DTD 11/02/2006 HELD BY DTC BOOK

ENTRY ONLY PAR CALL 10/01/2016 @ 100

(ID: 59455T-PF-3)

10/1

10/2

10/11

10/15

10/30

10/30

10/31

Interest Income

Interest Income

Foreign Dividend

Corporate Interest

Div Domestic

Interest Income

Div Domestic

Total Inflows & Outflows

DEPOSIT SWEEP INTEREST FOR 09/01/13 - 09/30/13

@ .01% RATE ON AVG COLLECTED BALANCE OF

\$2,740,025.91 AS OF 10/01/13

JPM CHASE CAPITAL XXIX 6.7% PFD @ 0.41875 PER

SHARE (ID: 48125E-20-7)

SCHLUMBERGER LTD @ 0.3125 PER SHARE

(ID: 806857-10-8)

HSBC FINANCE CORP MEDIUM TERM NOTE 2.9% OCT 15

2015 DTD 10/15/2010 (ID: 40429X-YB-6)

JPMORGAN CHASE & CO VAR RT PFD STK 04/30/2049

DTD 04/23/2008 (ID: 46625H-HA-1)

CITIGROUP CAPITAL XIII 7 7/8% PFD @ 0.492187

PER SHARE (ID: 173080-20-1)

SPDR S&P 500 ETF TRUST @ 0.83795 PER SHARE

(ID: 78462F-10-3)

2,500.000

113.000

100,000.000

150,000.000  
10,000.000  
1,495.000  
0.419  
0.312  
0.015  
0.04  
0.492  
0.838  
1,046.88  
35.31  
1,450.00  
5,925.00  
4,921.87  
1,252.74  
\$15,904.00  
Page 17 of 31  
22.20  
Quantity  
Cost  
50,000.000  
Per Unit  
Amount  
0.025  
PRINCIPAL  
Amount  
1,250.00  
INCOME  
Amount

GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 10/1/13 to 10/31/13

COST ADJUSTMENTS

Settle Date

10/1

Type

Amortization

Description

MI ST MUNI BOND AUTH ST CLEAN WTR REVOLVING FD  
5% OCT 01 2026 DTD 11/02/2006 HELD BY DTC BOOK  
ENTRY ONLY PAR CALL 10/01/2016 @ 100 MUNICIPAL  
BOND PREMIUM AMORTIZATIO (ID: 59455T-PF-3)

Quantity

50,000.000

Cost Basis

Adjustments

(548.09)

Page 18 of 31

GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 10/1/13 to 10/31/13  
Portfolio Activity Summary - Canadian Dollar  
PRINCIPAL

US Dollar Value

Current

Transactions

Beginning Cash Balance

INFLOWS

Total Inflows

Income

OUTFLOWS \*\*

Total Outflows

Withdrawals

Ending Cash Balance

Period Value

-65.51

\$65.51

(65.51)

(\$65.51)

-Year-To-Date

Value\*

-572.70

\$572.70

(572.70)

(\$572.70)

-Local

Value

Current

Period Value

0.00

67.82

67.82

(67.82)

(67.82)

0.00

INCOME

US Dollar Value

Current

Transactions

Beginning Cash Balance

Ending Cash Balance

Period Value

--Year-To-Date

Value\*

--Local

Value

Current

Period Value

0.00

0.00

Year-To-Date  
Value\*  
--Year-To-Date  
Value\*  
-587.01  
587.01  
(587.01)  
(587.01)  
-Page  
19 of 31

GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 10/1/13 to 10/31/13  
Portfolio Activity Summary - Canadian Dollar  
Current

Securities Transferred In/Out

Securities Transferred In

Securities Transferred Out

Period Value

561,740.35

(561,674.81)

Year-To-Date

Value\*

4,412,785.59

(4,867,076.81)

\* Year to date information is calculated on a calendar year basis.

\*\* Your account's standing instructions use a HIGH COST method for relieving  
assets

from your position

Portfolio Activity Detail - Canadian Dollar

INFLOWS & OUTFLOWS

Per Unit

Type

Settle Date Selection Method

10/2

Foreign Interest

Description

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.5700% DATED 09/25/2013 MATURITY 10/02/2013

HELD BY LONDON TREASURY SERVICES

(ID: 2537A9-9S-9)

10/2

10/9

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT CANADIAN DOLLAR

(ID: 0CADPR-AA-6)

Foreign Interest

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS

0.5700% DATED 10/02/2013 MATURITY 10/09/2013

HELD BY LONDON TREASURY SERVICES

(ID: 2537C9-9P-3)

10/9

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT CANADIAN DOLLAR

(ID: 0CADPR-AA-6)

(12.880)

(12.47)

(12.88)

Page 20 of 31

(12.880)

116,254.420

(12.47)

(12.88)

12.47

12.88  
Quantity  
116,241.540  
Amount USD  
Local Value  
PRINCIPAL  
Amount USD  
Local Value  
12.47  
12.88  
INCOME  
Amount USD  
Local Value  
Currency  
Gain/Loss USD

GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 10/1/13 to 10/31/13  
INFLOWS & OUTFLOWS  
Per Unit

Type  
Settle Date Selection Method  
10/16

Foreign Interest  
Description  
JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS  
0.6200% DATED 10/09/2013 MATURITY 10/16/2013  
HELD BY LONDON TREASURY SERVICES  
(ID: 2537E9-9H-9)

10/16  
10/23  
Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT CANADIAN DOLLAR  
(ID: 0CADPR-AA-6)

Foreign Interest  
JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS  
0.6200% DATED 10/16/2013 MATURITY 10/23/2013  
HELD BY LONDON TREASURY SERVICES  
(ID: 2537G9-9D-6)

10/23  
10/30  
Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT CANADIAN DOLLAR  
(ID: 0CADPR-AA-6)

Foreign Interest  
JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS  
0.6200% DATED 10/23/2013 MATURITY 10/30/2013  
HELD BY LONDON TREASURY SERVICES  
(ID: 2537J9-9C-5)

10/30  
Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT CANADIAN DOLLAR  
(ID: 0CADPR-AA-6)

Total Inflows & Outflows  
(14.020)

(13.42)  
(14.02)  
\$0.00  
(14.020)  
116,295.340

(13.63)  
(14.02)  
13.42  
14.02

(14.020)  
116,281.320  
(13.52)  
(14.02)  
13.63  
14.02

Quantity  
116,267.300  
Amount USD  
Local Value  
PRINCIPAL  
Amount USD  
Local Value  
13.52  
14.02  
INCOME  
Amount USD  
Local Value  
Currency  
Gain/Loss USD  
Page 21 of 31

GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 10/1/13 to 10/31/13  
SECURITIES TRANSFERRED IN/OUT

Notes:

Settle Date Selection Method

Securities Transferred In

10/2

Receipt of Assets

Description

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS  
0.5700% DATED 10/02/2013 MATURITY 10/09/2013  
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD  
(ID: 2537C9-9P-3)

10/9

Receipt of Assets

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS  
0.6200% DATED 10/09/2013 MATURITY 10/16/2013  
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD  
(ID: 2537E9-9H-9)

10/16

Receipt of Assets

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS  
0.6200% DATED 10/16/2013 MATURITY 10/23/2013  
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD  
(ID: 2537G9-9D-6)

10/23

Receipt of Assets

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS  
0.6200% DATED 10/23/2013 MATURITY 10/30/2013  
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD  
(ID: 2537J9-9C-5)

10/30

Receipt of Assets

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS  
0.6200% DATED 10/30/2013 MATURITY 11/06/2013  
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD  
(ID: 2537L9-9L-2)

Total Securities Transferred In

\$561,740.35

116,309.360

113,903.11

111,338.11

116,295.340

113,889.69

113,072.77

116,281.320

113,876.06

112,148.64

116,267.300

113,862.54

112,591.20

\* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Quantity

Cost

116,254.420

113,850.07

Transaction

Market Value \*

112,589.63

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GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 10/1/13 to 10/31/13

Notes:

\* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Settle Date Selection Method

Securities Transferred Out

10/2

Free Delivery

Description

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS  
0.5700% DATED 09/25/2013 MATURITY 10/02/2013  
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD  
(ID: 2537A9-9S-9)

10/9

Free Delivery

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS  
0.5700% DATED 10/02/2013 MATURITY 10/09/2013  
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD  
(ID: 2537C9-9P-3)

10/16

Free Delivery

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS  
0.6200% DATED 10/09/2013 MATURITY 10/16/2013  
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD  
(ID: 2537E9-9H-9)

10/23

Free Delivery

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS  
0.6200% DATED 10/16/2013 MATURITY 10/23/2013  
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD  
(ID: 2537G9-9D-6)

10/30

Free Delivery

JP MORGAN CHASE BANK - CAD LONDON TIME DEPOSITS  
0.6200% DATED 10/23/2013 MATURITY 10/30/2013  
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD  
(ID: 2537J9-9C-5)

Total Securities Transferred Out

(\$561,674.81)

(116,295.340)

113,889.69

(111,324.69)

(116,281.320)

113,876.06

(113,059.13)

(116,267.300)

113,862.54

(112,135.12)  
(116,254.420)  
113,850.07  
(112,578.72)  
Quantity  
Cost  
(116,241.540)  
113,837.60  
Transaction  
Market Value \*  
(112,577.15)  
Page 23 of 31

GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 10/1/13 to 10/31/13  
Portfolio Activity Summary - Norwegian Krone

PRINCIPAL

US Dollar Value

Current

Transactions

Beginning Cash Balance

INFLOWS

Total Inflows

Income

OUTFLOWS \*\*

Total Outflows

Withdrawals

Ending Cash Balance

Period Value

-183.02

\$183.02

(183.02)

(\$183.02)

-Year-To-Date

Value\*

-1,645.11

\$1,645.11

(1,645.11)

(\$1,645.11)

-Local

Value

Current

Period Value

0.00

1,088.64

1,088.64

(1,088.64)

(1,088.64)

0.00

INCOME

US Dollar Value

Current

Transactions

Beginning Cash Balance

Ending Cash Balance

Period Value

--Year-To-Date

Value\*

--Local

Value

Current

Period Value

0.00

0.00

Year-To-Date  
Value\*  
--Year-To-Date  
Value\*  
-9,580.00  
9,580.00  
(9,580.00)  
(9,580.00)  
-Page  
24 of 31

GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 10/1/13 to 10/31/13  
Portfolio Activity Summary - Norwegian Krone  
Current

Securities Transferred In/Out

Securities Transferred In

Securities Transferred Out

Period Value

1,046,005.82

(1,045,822.80)

Year-To-Date

Value\*

8,948,064.34

(9,372,838.15)

\* Year to date information is calculated on a calendar year basis.

\*\* Your account's standing instructions use a HIGH COST method for relieving  
assets

from your position

Portfolio Activity Detail - Norwegian Krone

INFLOWS & OUTFLOWS

Per Unit

Type

Settle Date Selection Method

10/2

Foreign Interest

Description

HELD BY LONDON TREASURY SERVICES

(ID: 2537B9-9B-5)

10/2

10/9

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT NORWEGIAN KRONE

(ID: 0NOKPR-AA-1)

Foreign Interest

HELD BY LONDON TREASURY SERVICES

(ID: 2537C9-9V-0)

10/9

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT NORWEGIAN KRONE

(ID: 0NOKPR-AA-1)

(217.690)

(36.56)

(217.69)

Page 25 of 31

(217.650)

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS 1,243,944.980

0.9000% DATED 10/02/2013 MATURITY 10/09/2013

(36.33)

(217.65)

36.56

217.69

Quantity

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS 1,243,727.330

0.9000% DATED 09/25/2013 MATURITY 10/02/2013

Amount USD

Local Value

PRINCIPAL

Amount USD

Local Value

36.33

217.65

INCOME

Amount USD

Local Value

Currency

Gain/Loss USD

GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 10/1/13 to 10/31/13  
INFLOWS & OUTFLOWS  
Per Unit

Type  
Settle Date Selection Method  
10/16

Foreign Interest  
Description  
HELD BY LONDON TREASURY SERVICES  
(ID: 2537E9-9S-5)

10/16  
10/23

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT NORWEGIAN KRONE  
(ID: 0NOKPR-AA-1)

Foreign Interest  
HELD BY LONDON TREASURY SERVICES  
(ID: 2537G9-9P-9)

10/23  
10/30

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT NORWEGIAN KRONE  
(ID: 0NOKPR-AA-1)

Foreign Interest  
HELD BY LONDON TREASURY SERVICES  
(ID: 2537J9-9U-5)

10/30

Misc Disbursement REP: ROLLOVER TO TIME DEPOSIT NORWEGIAN KRONE  
(ID: 0NOKPR-AA-1)

Total Inflows & Outflows  
(217.800)  
(37.00)  
(217.80)  
\$0.00  
(217.770)

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS 1,244,598.170  
0.9000% DATED 10/23/2013 MATURITY 10/30/2013  
(36.95)

(217.77)  
37.00

217.80  
(217.730)

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS 1,244,380.400  
0.9000% DATED 10/16/2013 MATURITY 10/23/2013  
(36.18)

(217.73)  
36.95

217.77  
Quantity

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS 1,244,162.670  
0.9000% DATED 10/09/2013 MATURITY 10/16/2013

Amount USD

Local Value  
PRINCIPAL  
Amount USD  
Local Value  
36.18  
217.73  
INCOME  
Amount USD  
Local Value  
Currency  
Gain/Loss USD  
Page 26 of 31

GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 10/1/13 to 10/31/13  
SECURITIES TRANSFERRED IN/OUT

Notes:

Settle Date Selection Method

Securities Transferred In

10/2

Receipt of Assets

Description

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

0.9000% DATED 10/02/2013 MATURITY 10/09/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2537C9-9V-0)

10/9

Receipt of Assets

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

0.9000% DATED 10/09/2013 MATURITY 10/16/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2537E9-9S-5)

10/16

Receipt of Assets

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

0.9000% DATED 10/16/2013 MATURITY 10/23/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2537G9-9P-9)

10/23

Receipt of Assets

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

0.9000% DATED 10/23/2013 MATURITY 10/30/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2537J9-9U-5)

10/30

Receipt of Assets

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS

0.9000% DATED 10/30/2013 MATURITY 11/06/2013

HELD BY LONDON TREASURY SERVICES CLIENT REF FTD

(ID: 2537L9-9V-0)

Total Securities Transferred In

\$1,046,005.82

1,244,815.970

210,116.64

211,448.08

1,244,598.170

210,079.64

211,181.49

1,244,380.400

210,042.69

206,778.12

1,244,162.670

210,006.51

208,965.99

\* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Quantity

Cost

1,243,944.980

209,969.95

Transaction

Market Value \*

207,632.14

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GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 10/1/13 to 10/31/13

Notes:

\* Transaction Market Value is representative of the prior trading day's market value. This is for informational purposes only and is not to be used for any financial or tax purposes. The Transaction Market Value shown is in USD.

Type

Settle Date Selection Method

Securities Transferred Out

10/2

Free Delivery

Description

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS  
0.9000% DATED 09/25/2013 MATURITY 10/02/2013  
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD  
(ID: 2537B9-9B-5)

10/9

Free Delivery

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS  
0.9000% DATED 10/02/2013 MATURITY 10/09/2013  
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD  
(ID: 2537C9-9V-0)

10/16

Free Delivery

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS  
0.9000% DATED 10/09/2013 MATURITY 10/16/2013  
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD  
(ID: 2537E9-9S-5)

10/23

Free Delivery

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS  
0.9000% DATED 10/16/2013 MATURITY 10/23/2013  
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD  
(ID: 2537G9-9P-9)

10/30

Free Delivery

JP MORGAN CHASE BANK - NOK LONDON TIME DEPOSITS  
0.9000% DATED 10/23/2013 MATURITY 10/30/2013  
HELD BY LONDON TREASURY SERVICES CLIENT REF FTD  
(ID: 2537J9-9U-5)

Total Securities Transferred Out

(\$1,045,822.80)

(1,244,598.170 )

210,079.64

(211,411.08)

(1,244,380.400 )

210,042.69

(211,144.54)

(1,244,162.670 )

210,006.51

(206,741.94)  
(1,243,944.980 )  
209,969.95  
(208,929.43)  
Quantity  
Cost  
(1,243,727.330 )  
209,933.62  
Transaction  
Market Value \*  
(207,595.81)  
Page 28 of 31

GHISLAINE MAXWELL ACCT. XXXXXXXXXX  
 For the Period 10/1/13 to 10/31/13  
 Portfolio Activity Summary - Pound Sterling  
 PRINCIPAL  
 US Dollar Value  
 Current  
 Transactions  
 Beginning Cash Balance  
 INFLOWS  
 Total Inflows  
 Income  
 OUTFLOWS \*\*  
 Total Outflows  
 Tax Payments  
 \$0.00  
 TRADE ACTIVITY  
 Total Trade Activity  
 Settled Securities Purchased  
 Ending Cash Balance  
 (925.05)  
 (\$925.05)  
 -Period  
 Value  
 -1,540.57  
 \$1,540.57  
 Year-To-Date  
 Value\*  
 -8,002.01  
 \$8,002.01  
 (21.35)  
 (\$21.35)  
 (2,588.16)  
 (\$2,588.16)  
 -0.00  
 (571.23)  
 (571.23)  
 9,544.49  
 INCOME  
 US  
 Dollar Value  
 Current  
 Transactions  
 Beginning Cash Balance  
 Ending Cash Balance  
 Period Value  
 --Year-To-Date  
 Value\*  
 --Local  
 Value  
 Current  
 Period Value

0.00  
0.00  
Year-To-Date  
Value\*  
--Local  
Value  
Current  
Period Value  
9,161.55  
954.17  
954.17  
Year-To-Date  
Value\*  
-5,155.09  
5,155.09  
(13.37)  
(13.37)  
(1,666.50)  
(1,666.50)  
-Page  
29 of 31

GHISLAINE MAXWELL ACCT. [REDACTED]

For the Period 10/1/13 to 10/31/13

Portfolio Activity Summary - Pound Sterling

\* Year to date information is calculated on a calendar year basis.

\*\* Your account's standing instructions use a HIGH COST method for relieving assets

from your position

Portfolio Activity Detail - Pound Sterling

INFLOWS & OUTFLOWS

Per Unit

Type

Settle Date Selection Method

10/1

10/7

Foreign Dividend

Foreign Dividend

Total Inflows & Outflows

Description

JPM MANAGED RESERVES FD - GBP-C-DIST ISIN  
LU0539309053 AS OF 09/30/13 (ID: 051398-91-5)

BLUEBAY HIGH YIELD BD FD GBP HDG-DB ISIN  
LU0222772518 @ 2.038 PER SHARE CONV. @ "CONV  
RATE" (ID: G13289-92-4)

\$1,540.57

\$0.00

Quantity

7.647

187.898

Amount USD

Local Value

120.969

74.70

3.276

2.038

PRINCIPAL

Amount USD

Local Value

925.05

571.23

615.52

382.94

INCOME

Amount USD

Local Value

Currency

Gain/Loss USD

Page 30 of 31

GHISLAINE MAXWELL ACCT. [REDACTED]  
For the Period 10/1/13 to 10/31/13  
TRADE ACTIVITY - Pound Sterling

Note:

Trade Date

Settle Date

Type

Settled Securities Purchased

9/30

Purchase

10/1

\* Settled transaction was initiated in prior statement period and settled in current statement period

Per Unit

Description

JPM MANAGED RESERVES FD - GBP-C-DIST ISIN  
LU0539309053 AS OF 09/30/13 (ID: 051398-91-5)

Quantity

0.090

Amount USD

Local Value

10,278.333

6,366.13

Market

Cost USD

Local Value

(925.05)

(571.23)

Currency

Gain/Loss USD

24.99 \*

Page 31 of 31

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For the Period 10/1/13 to 10/31/13

For your convenience we have combined statement(s) for activity you conduct through J.P. Morgan in one package. Below are important disclosures relating to these different accounts.

These statements may relate to various account types. Some of the disclosures are applicable to all of your accounts. For ease of reference the disclosures applicable to a particular type of account have been grouped together by descriptive headers.

**IMPORTANT GENERAL INFORMATION APPLICABLE TO ALL OF YOUR ACCOUNT(S)**

**Important Information about Pricing ,Valuations, Estimated Annual Income, and Estimated Yield**

Market value information (including without limitation, prices, exchange rates, accrued income and bond ratings) furnished herein, some of which has been provided by pricing sources that J.P. Morgan believes to be reliable, is not guaranteed for accuracy but provided for informational purposes and is furnished for the exclusive use of the client. The current price is the value of the financial asset share, unit or contract as priced at the close of the market on the last day of the statement period or the last available price. All values provided for structured yield deposits (for example, JPMorgan London Time Deposits) reflect the original deposit amount only. The value for Real Estate, Mineral Interests and Miscellaneous Assets may not reflect the most current value of the asset.

Important information regarding Auction Rate Securities (ARS). ARS are debt or preferred securities with an interest or dividend rate reset periodically in an auction. Although there may be daily, weekly and monthly resets, there is no guarantee that there will be liquidity. If there are not enough bids at an auction to redeem the securities available for sale, the result may be a failed auction. In the event of a failed auction, there is no assurance that a secondary market will develop or that the security will trade at par or any other price reflected on statements and online. Accordingly, investors should not rely on pricing information appearing in their statements or online with respect to ARS. When J.P. Morgan is unable to obtain a price from an internal or outside source for a particular ARS, the price column on your statement will indicate "unpriced".

Valuations of over-the-counter derivative transactions, including certain derivatives-related deposit products, have been prepared on a mid-market basis. These valuations are sourced from the various issuers of the securities or they are sourced from a third party valuation provider. J.P. Morgan expressly disclaims any responsibility for (1) the accuracy of the models or estimates used in deriving the valuations, (2) any errors or omissions in computing or disseminating the valuations, and (3) any uses to which the valuations are put. Valuations are provided for information purposes only and are intended solely for your own use. Please refer to the trade confirmation for details of each transaction.

Certain assets, including but not limited to, pooled and private investments, non-publicly traded and infrequently traded securities, derivatives, partnership interests and tangible assets are generally illiquid, the value of such asset may have been provided to us by third

parties who may or may not be independent of the issuer or manager. Such information is reflected as of the last date provided to us, and is not independently verified.

In cases where we are unable to obtain a current market value from an internal or outside source for a particular security, the price column on your statement will indicate "unpriced". Although such securities may have value, please note that the value of a security indicated as "unpriced" will not be included in your overall current market value as reflected on the statement.

J.P. Morgan makes no representation, warranty or guarantee, express or implied, that any quoted value represents the actual terms at which securities could be bought or sold or new transactions could be entered into, or the actual terms on which existing transactions or securities could be liquidated. Such values may only be indicative.

When we are unable to obtain a current value from an internal or outside source for a particular security, the price column on your statement will indicate "unpriced".

If a partial call is made with respect to an issue of securities included in your Accounts we will allocate the call by a method we deem fair and equitable.

To the extent applicable, please note the following regarding estimated annual income (EAI) and estimated yield (EY): EAI and EY for certain types of securities could include a return of principal or capital gains in which case the EAI and EY would be overstated. EAI and EY are estimates and the actual income and yield might be lower or higher than the estimated amounts. EY reflects only the income generated by an investment. It does not reflect changes in its price, which may fluctuate.

Offshore Deposits - London and Nassau

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For the Period 10/1/13 to 10/31/13

Deposits in Foreign Branches are not insured by the FDIC or any other Agency of the Federal Government; amounts in such foreign accounts do not have the benefit of any domestic preference applicable to U.S. Banks; certain Foreign accounts are considered reportable to the Internal Revenue Service on a Report of Foreign Bank and Financial Accounts (TD F 90-22.1).

Bank products and services are offered through JPMorgan Chase Bank, N.A. ("JPMCB") and its banking affiliates. Securities are offered by J.P.Morgan Securities LLC ("JPMS") and, to the extent noted below, cleared through J.P. Morgan Clearing Corp. ("JPMCC"). Neither JPMS, nor JPMCC is a bank and are each separate legal entities from its bank or thrift affiliates.

Investment Products: Not FDIC Insured

-No Bank Guarantee -May Lose Value

Fund manager disclosure information available upon request

If you have an investment account that is managed by an SEC-Registered Investment Advisor, J.P. Morgan will provide a copy of the advisor's Form ADV II or brochure upon written request.

These statements are not official documents for income tax reporting purposes and should not be relied upon for such purposes, including determination of income, cost basis, amortization or accretion, or gain/loss. Such information, which may be inaccurate, incomplete or subject to updating, should be confirmed with your records and your tax advisor.

Please take the steps indicated below if you think statement(s) are incorrect or contact your J.P.Morgan team if you require additional information about a transaction on your statement(s).

IMPORTANT ADDITIONAL INFORMATION APPLICABLE ONLY TO YOUR ASSET ACCOUNT(S)  
(LINKED TO JPMS)

Your Asset Account consists of a bank account that custodies assets linked to a brokerage account through which securities transactions are executed. As a result, the Asset Account statement(s) reflect brokerage transactions executed through JPMS but (except for exchange listed options) held in custody at JPMCB. Securities purchased or sold through JPMS in U.S. markets (other than mutual funds) are cleared through an affiliate of JPMS, in non-U.S. markets securities are cleared through JPMS. Positions in exchange-listed options are held by JPMCC. For your convenience, however, positions in exchange-listed options are presented in Asset Account statement(s) together with other assets held in such account(s). All pertinent information about your settled and pending purchases and sales effected through your JPMS account during the period covered by these statement(s), is summarized in the "Trade Activity" portion of the statement(s).

In Case of Other Errors or Questions About Your Asset Account Statement(s)  
Please review your statement(s) and promptly report any inaccuracy or discrepancy including possible unauthorized trading activity, unrecorded dividend payments, unaccounted cash positions,

improper payments or transfers in writing to both the introducing broker, JPMS and the clearing firm, JPMCC at the addresses shown on your statement(s). Any oral communication should be re-confirmed in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA). If you have any questions please contact your J.P.Morgan team.

In your written communication, please provide the following information: (1) your name and account number; (2) the dollar amount of the suspected error; and (3) a description of the error and explanation, if you can, why you believe there is an error. If you need more information, you must describe the item you are unsure about. We must receive your written communication no later than 30 days after the statement on which the error or problem appeared is sent or made available. If you do not so notify us, you agree that the statement activity and account balance(s) are correct.

JPMCC and JPMS are members of the Securities Investor Protection Corp ("SIPC"), a not-for-profit membership corporation funded by broker-dealers registered with the Securities and Exchange Commission. Securities and cash held for a customer at JPMCC are protected by SIPC up to \$500,000 per customer, which includes up to \$250,000 of protection for cash. SIPC does not protect against losses from fluctuations in the value of the securities. Assets held in custody by JPMCB are not subject to SIPC. You may obtain information about SIPC, including the SIPC Brochure, on their website, at "[www.sipc.org](http://www.sipc.org)" or by contacting them at (202) 371-8300.

In Case of Errors or Questions About Your Electronic Transfers.

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For the Period 10/1/13 to 10/31/13

Contact your J.P. Morgan Team at one of the telephone numbers on the front of your statements or write us at J.P. Morgan, 500 Stanton Christiana Road, 1/OPS3, Newark, DE 19713-2107 as soon as you can, if you think your statement is wrong or if you need more information about a transfer on the statement. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared. (1) Tell us your name and account number. (2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information. (3) Tell us the dollar amount of the suspected error. We will investigate your complaint and will correct any error promptly. If we take more than 10 business days (or 20 business days for new accounts) to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

**In Case of Errors or Questions About Non-Electronic Transfers (Checks or Deposits)**

Contact JPMorgan Chase Bank, N.A. ("JPMCB") Member FDIC immediately if a statement is incorrect or if you need more information about any non-electronic transactions (checks or deposits) on this statement. If any such error appears, you must notify the bank in writing as soon as possible after your statement was made available to you. For more complete details, see the applicable account agreements and appendices that govern your account.

Deposit products and services are offered by JPMCB Member FDIC.

You must promptly advise your J.P.Morgan representative of material changes in your investment objectives or financial situation or if you wish to modify the management of your account. Unless you inform otherwise, your J.P.Morgan representative will consider the information currently in its files to be complete and accurate.

With reference to JPMS and JPMCC: A financial statement of this organization is available to you for personal inspection at its offices, or a copy will be mailed to you upon written request.

You should have received (or have made available to you) separate confirmations for each securities transaction. All transactions are subject to the terms and conditions stated on the reverse side of such confirmations and are subject to the constitution, by-laws, customs and interpretations of the marketplace where executed and governed by and construed in accordance with the laws of the State of New York and all applicable federal laws and regulations. Further information with respect to commissions and other charges related to the execution of transactions, including options transactions, has been included in confirmations that were previously furnished or have made available to you. Upon written request, JPMS will promptly supply you with the latest information.

**PRODUCT RELATED DISCLOSURE: IMPORTANT INFORMATION ABOUT ALTERNATIVE INVESTMENTS: FOOTNOTES 1 - 4**

Private Equity, Hedge Funds, Exchange Funds, Real Estate and Other Alternative Assets

1. Direct private equity investments and pooled private investments (e.g., interests in limited partnerships and limited liability companies) are generally illiquid securities. Values are estimates only and are not warranted for accuracy or completeness. Values do not represent the actual terms at which transactions or securities could be bought or sold or new transactions could be entered into or the actual terms on which existing transactions could be liquidated as of the date of this statement.

Direct private equity investments are generally valued at cost, unless there is an active secondary trading market in the securities, in which case, direct private equity investments are valued using market prices as of the close of the last business day for this statement period. Pooled private equity investments are valued according to the General Partner's or Manager's stated methodology. Please refer to the limited partnership agreement, limited liability company agreement or other operative documentation for each investment for the specific valuation methodology used by the General Partner or Manager for that investment or for your Investment Management or Trust account contact your J.P. Morgan team. J.P. Morgan expressly disclaims any responsibility for (1) the accuracy of the information and the methodology used by the General Partner or Manager in deriving valuations, (2) any errors or omissions in compiling or disseminating the valuations, and (3) any use to which the valuations are put. Valuations are provided for information purposes only and are intended solely for your own use.

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For the Period 10/1/13 to 10/31/13

Hedge funds generally calculate the price (the "Net Asset Value" or "NAV"), 10-15 business days following the last business day of the month. For that reason, hedge fund NAVs shown will generally be

the NAV of the month preceding this statement period. In general, the NAV is stated net of management and incentive fees. The NAV is calculated according to the General Partner's or Manager's

stated methodology. Please refer to the limited partnership agreement, limited liability company agreement or other operative documentation for each hedge fund for the specific methodology used by

the General Partner or Manager for that hedge fund or for your Investment Management or Trust account contact your J.P. Morgan team. J.P. Morgan expressly disclaims any responsibility for (1) the

accuracy of the information and the methodology used by the General Partner or Manager in deriving valuations, (2) any errors or omissions in compiling or disseminating the valuations, and (3) any use

to which the valuations are put. Valuations are provided for information purposes only and are intended solely for your own use.

These statements do not provide tax reporting on private investments. Please continue to rely upon the General Partner or Manager of the investment vehicle for this information or for your Investment

Management or Trust account contact your J.P. Morgan team.

2. The 'Capital Called Since Inception USD' and 'Cash/Security distributions Since Inception USD' columns are updated monthly to reflect activity (capital calls and distributions), if any.

3. Hedge funds generally allow subscriptions on a monthly or quarterly basis. An interest in a hedge fund or hedge fund of funds is generally as of the first business day of the subscription period. Due

to early funding requirements by the applicable fund, your account may be debited for the subscription amount prior to the subscription date. Please refer to the applicable hedge fund's operative

documentation for further details or for your Investment Management or Trust account contact your J.P. Morgan team.

Hedge fund redemptions are generally on a monthly, quarterly or annual basis but can sometimes be subject to a multi-year lockup before a redemption is permitted. For redemptions, there is generally

a notification period that can be a long interval before the actual redemption date. Redemption proceeds are generally paid 15 calendar days after the final NAV is issued, but can be subject to a

holdback of a portion of the proceeds until an annual audit of the Fund has been completed. Please refer to the applicable hedge fund's operative documentation for further details or for your Investment

Management or Trust account contact your J.P. Morgan team.

Global Access Portfolios share certain characteristics with hedge funds. In particular, an investor who withdraws from a Global Access Portfolio will not receive the withdrawal proceeds in their entirety

until 30-60 days after completion of the Portfolio's audit, which may be 18 months or longer after the redemption date. Interest might not be paid on any proceeds pending distribution. These restrictions

apply even if the investor's account at JPMorgan is closed. The Confidential Private Placement Memorandum and applicable Supplements contain other

important information about the Global Access Portfolios and are available upon request or for your Investment Management or Trust account contact your J.P. Morgan team.

4. Alternative assets may include publicly available mutual funds that utilize non-traditional investment management strategies, for example, strategies commonly employed by hedge funds. Mutual funds generally calculate the price (the "Net Asset Value" or "NAV") on a daily basis and mutual fund NAVs shown on your statement generally will be the NAV as of the close of the last business day for this statement period. Please refer to the applicable mutual fund prospectus for further details. If the applicable mutual fund is a JPMorgan Fund, please refer to disclosures on this statement concerning JPMorgan Funds for other important information.

**PRODUCT RELATED DISCLOSURE: THE JPMORGAN FUNDS OR THIRD PARTIES**

Shares of the funds referenced above are not bank deposits and are not guaranteed by any bank, government entity, or the FDIC. Return and share price will fluctuate and redemption value may be more or less than original cost. While the money market funds seek to maintain a stable net asset value of \$1.00 per share, there is no assurance that they will continue to do so. The estimated annual income and dividend yield figures for mutual funds represent the funds' most recent income dividend annualized.

Prospectuses and other fund information for JPMorgan funds may be obtained by calling your J.P. Morgan team or JPMorgan Distribution Services, Inc. at (800) 480-4111. You also may view and order materials online for JPMorgan funds at [www.jpmorganfunds.com](http://www.jpmorganfunds.com).

J.P. Morgan affiliates may receive compensation from the JPMorgan funds for providing investment advisory services to the funds. J.P. Morgan affiliates may also provide administrative, custodial, sales, distribution, shareholder or other services to the JPMorgan Funds or funds established, sponsored, advised, or managed by third parties, and J.P. Morgan affiliates may be compensated for such services as allowed by applicable law. The distributor of the JPMorgan Funds is JPMorgan Distribution Services, Inc., which is an affiliate of JPMCB.

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For the Period 10/1/13 to 10/31/13

Assets may be reflected herein even though they may be held by a third party unaffiliated with J.P. Morgan. In such cases, unless J.P. Morgan otherwise agrees, J.P. Morgan has no responsibility for the verification, valuation, safekeeping or management of those assets.  
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