

GHISLAINE MAXWELL



JPMorgan Chase Bank, N.A.
GHISLAINE MAXWELL- OAP FDG
270 Park Avenue, New York, NY 10017-2014
ACCT. [REDACTED]

For the Period 9/1/11 to 9/30/11

Investment Management Account

J.P. Morgan Team

Paul Morris

Ian Hirschfield

Janet Young

Gina Swetra

Online access

Banker

Investment Specialist

Client Service Team

Client Service Team

www.MorganOnline.com

For important information regarding your accounts refer to the rear of your statement

[REDACTED]
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Holdings

Equity

Cash & Fixed Income

Portfolio Activity

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GHISLAINE MAXWELL- OAP FDG ACCT. [REDACTED]

For the Period 9/1/11 to 9/30/11

Account Summary

Asset Allocation

Equity

Cash & Fixed Income

Market Value

Accruals

Market Value with Accruals

Beginning

Market Value

150,689.08

2,842.48

\$153,531.56

595.05

\$154,126.61

Ending

Market Value

145,237.97

3,437.53

\$148,675.50

153.64

\$148,829.14

Current

Portfolio Activity

Beginning Market Value

Contributions

Net Contributions/Withdrawals

Income & Distributions

Change In Investment Value

Ending Market Value

Accruals

Market Value with Accruals

\$0.00

595.05

(5,451.11)

\$148,675.50

153.64

\$148,829.14

Period Value

153,531.56

Change

In Value

(5,451.11)

595.05

(\$4,856.06)

(441.41)

(\$5,297.47)

Year-to-Date

Value

0.00

150,000.00
\$150,000.00
595.05
(1,919.55)
\$148,675.50
153.64
\$148,829.14
Estimated
5,356.72
1.03
Current
Annual Income Allocation
98%
2%
\$5,357.75
100%
Cash &
Fixed Income
Asset Allocation
Equity
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GHISLAINE MAXWELL- OAP FDG ACCT. [REDACTED]

For the Period 9/1/11 to 9/30/11

Account Summary

Tax Summary

Domestic Dividends/Distributions

Interest Income

Taxable Income

CONTINUED

Current

Period Value

594.23

0.82

\$595.05

Year-to-Date

Value

594.23

0.82

\$595.05

Unrealized Gain/Loss

To-Date Value

(\$1,919.55)

Cost Summary

Equity

Cash & Fixed Income

Total

3,437.53

Cost

147,157.52

\$150,595.05

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GHISLAINE MAXWELL- OAP FDG ACCT. [REDACTED]

For the Period 9/1/11 to 9/30/11

Equity Summary

Asset Categories

US Large Cap Equity

Beginning

Market Value

150,689.08

Ending

Market Value

145,237.97

Change

In Value

(5,451.11)

Current

Allocation

98%

Current

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Accrued Dividends

Yield

Equity Detail

Price

US Large Cap Equity

ABBOTT LABORATORIES

AIR PRODUCTS & CHEMICALS INC

AUTOMATIC DATA PROCESSING INC

002824-10-0 ABT

76.37

009158-10-6 APD

47.15

053015-10-3 ADP

150.000

7,072.50

7,264.05

(191.55)

86.000

6,567.82

7,148.42

(580.60)

199.52

49.88

216.00

54.00

3.04%

3.05%

51.14

148.000
7,568.72
7,308.74
259.98
284.16
3.75%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Inc.
Accrued Div.
Yield
Period Value
145,237.97
147,157.52
(1,919.55)
5,356.72
153.56
3.68%
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GHISLAINE MAXWELL- OAP FDG ACCT. [REDACTED]

For the Period 9/1/11 to 9/30/11

Price

US Large Cap Equity

CHEVRON CORP

166764-10-0 CVX

COLGATE PALMOLIVE CO

CONOCOPHILLIPS

GENERAL MILLS INC

194162-10-3 CL

63.32

20825C-10-4 COP

38.49

370334-10-4 GIS

HONEYWELL INTERNATIONAL INC

ILLINOIS TOOL WORKS INC

INTEL CORP

438516-10-6 HON

41.60

452308-10-9 ITW

21.34

458140-10-0 INTC

JOHNSON & JOHNSON

KELLOGG CO

478160-10-4 JNJ

53.19

487836-10-8 K

LOCKHEED MARTIN CORP

MC DONALDS CORP

539830-10-9 LMT

87.82

580135-10-1 MCD

MICROCHIP TECHNOLOGY INC

NEXTERA ENERGY INC

595017-10-4 MCHP

54.02

65339F-10-1 NEE

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137.000

7,400.74

7,254.34

146.40

301.40

4.07%

31.11

226.000

7,030.86

7,272.67

(241.81)

313.68

4.46%

98.000
8,606.36
8,329.79
276.57
274.40
3.19%
72.64
102.000
7,409.28
7,330.98
78.30
408.00
5.51%
140.000
7,446.60
7,423.95
22.65
240.80
3.23%
63.69
126.000
8,024.94
7,863.57
161.37
287.28
3.58%
380.000
8,107.30
8,040.38
66.92
138.000
5,740.80
6,498.07
(757.27)
198.72
49.68
319.20
3.46%
3.94%
43.91
145.000
6,366.95
7,124.46
(757.51)
192.85
3.03%
196.000
7,544.04
7,105.54
438.50
239.12

3.17%
108.000
6,838.56
7,298.55
(459.99)
285.12
4.17%
88.68
89.000
7,892.52
7,413.85
478.67
206.48
2.62%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Inc.
Accrued Div.
Yield
92.59
81.000
7,499.79
7,927.41
(427.62)
252.72
3.37%

GHISLAINE MAXWELL- OAP FDG ACCT. [REDACTED]

For the Period 9/1/11 to 9/30/11

Price

US Large Cap Equity

PEOPLE'S UNITED FINANCIAL INC

PEPSICO INC

712704-10-5 PBCT

61.90

713448-10-8 PEP

PROCTER & GAMBLE CO

RAYTHEON CO

742718-10-9 PG

40.87

755111-50-7 RTN

Total US Large Cap Equity

\$145,237.97

\$147,157.52

(\$1,919.55)

\$5,356.72

\$153.56

3.69%

163.000

6,661.81

6,815.36

(153.55)

280.36

4.21%

63.18

121.000

7,644.78

7,274.91

369.87

254.10

3.32%

118.000

7,304.20

7,546.72

(242.52)

243.08

3.33%

11.40

571.000

6,509.40

6,915.76

(406.36)

359.73

5.53%

Quantity

Value

Adjusted Tax Cost

Original Cost

Unrealized
Gain/Loss
Est. Annual Inc.
Accrued Div.
Yield
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GHISLAINE MAXWELL- OAP FDG ACCT. [REDACTED]

For the Period 9/1/11 to 9/30/11

Cash & Fixed Income Summary

Beginning

Asset Categories

Cash

Market Value

2,842.48

Ending

Market Value

3,437.53

Change

In Value

595.05

Current

Allocation

2%

Current

Market Value/Cost

Market Value

Tax Cost

Estimated Annual Income

Accrued Interest

Yield

SUMMARY BY MATURITY

1

Cash & Fixed Income

0-6 months

1

Period Value

3,437.53

3,437.53

1.03

0.08

0.03%

SUMMARY BY TYPE

Market

Value

3,437.53

% of Bond

Portfolio

100%

The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

Cash & Fixed Income

Cash

Market

Value

3,437.53

% of Bond

GHISLAINE MAXWELL- OAP FDG ACCT. [REDACTED]

For the Period 9/1/11 to 9/30/11

Note:

1

This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account.

Cash & Fixed Income Detail

Price

Cash

US DOLLAR

1.00

3,437.53

3,437.53

3,437.53

1.03

0.08

0.03% ¹

Quantity

Value

Adjusted Tax Cost

Original Cost

Unrealized

Gain/Loss

Est. Annual Income

Accrued Interest

Yield

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GHISLAINE MAXWELL- OAP FDG ACCT. [REDACTED]

For the Period 9/1/11 to 9/30/11

Portfolio Activity Summary

Beginning Cash Balance

Transactions

Income

INFLOWS

595.05

Contributions

Total Inflows

TRADE ACTIVITY

Total Trade Activity

Settled Securities Purchased

\$0.00

Ending Cash Balance

\$3,437.53

* Year to date information is calculated on a calendar year basis.

Your account's standing instructions use a HIGH COST method for relieving assets from your position

Portfolio Activity Detail

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

Income

9/1

9/1

Interest Income

Div Domestic

Description

DEPOSIT SWEEP INTEREST FOR AUG. @ .03% RATE ON

NET AVG COLLECTED BALANCE OF \$32,058.26 AS OF

09/01/11

MICROCHIP TECHNOLOGY INC @ 0.347 PER SHARE

(ID: 595017-10-4)

226.000

0.347

78.42

Quantity

Cost

Per Unit

Amount

Amount

0.82

\$595.05

595.05

150,000.00

\$150,595.05

(147,157.52)

(\$147,157.52)

-Current

Period

Value
2,842.48
Year-To-Date
Value*
-Page
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GHISLAINE MAXWELL- OAP FDG ACCT. [REDACTED]

For the Period 9/1/11 to 9/30/11

Type

Settle Date Selection Method

Income

9/9

9/12

9/13

9/15

9/15

9/16

9/23

9/30

Div Domestic

Total Income

Description

HONEYWELL INTERNATIONAL INC @ 0.3325 PER SHARE
(ID: 438516-10-6)

CHEVRON CORP @ 0.78 PER SHARE (ID: 166764-10-0)

JOHNSON & JOHNSON @ 0.57 PER SHARE
(ID: 478160-10-4)

NEXTERA ENERGY INC @ 0.55 PER SHARE
(ID: 65339F-10-1)

KELLOGG CO @ 0.43 PER SHARE (ID: 487836-10-8)

MC DONALDS CORP @ 0.61 PER SHARE
(ID: 580135-10-1)

LOCKHEED MARTIN CORP @ 0.75 PER SHARE
(ID: 539830-10-9)

PEPSICO INC @ 0.515 PER SHARE (ID: 713448-10-8)

102.000

118.000

0.75

0.515

76.50

60.77

\$595.05

137.000

140.000

98.000

0.55

0.43

0.61

75.35

60.20

59.78

Quantity

Cost

145.000

81.000

126.000

Per Unit

Amount

0.332

0.78

0.57

Amount

48.21

63.18

71.82

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For the Period 9/1/11 to 9/30/11

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Offshore Deposits - London and Nassau
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For the Period 9/1/11 to 9/30/11

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