

GHISLAINE MAXWELL



JPMorgan Chase Bank, N.A.
GHISLAINE MAXWELL- OAP FDG
270 Park Avenue, New York, NY 10017-2014
ACCT. [REDACTED]

For the Period 10/1/11 to 10/31/11

Investment Management Account

J.P. Morgan Team

Paul Morris

Ian Hirschfield

Janet Young

Gina Magliocco

Online access

Banker

Investment Specialist

Client Service Team

Client Service Team

www.MorganOnline.com

For important information regarding your accounts refer to the rear of your statement

[REDACTED]
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Holdings

Equity

Cash & Fixed Income

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Client News

If you plan to make gifts of securities this year, please notify your Client Service Representative before December 1, 2011. This will allow us to process your request before year-end and meet the deadline for tax filing purposes.

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GHISLAINE MAXWELL- OAP FDG ACCT. [REDACTED]

For the Period 10/1/11 to 10/31/11

Account Summary

Asset Allocation

Equity

Cash & Fixed Income

Market Value

Accruals

Market Value with Accruals

Beginning

Market Value

145,237.97

3,437.53

\$148,675.50

153.64

\$148,829.14

Ending

Market Value

156,591.73

3,268.48

\$159,860.21

527.24

\$160,387.45

Current

Portfolio Activity

Beginning Market Value

Contributions

Withdrawals & Fees

Net Contributions/Withdrawals

Income & Distributions

Change In Investment Value

Ending Market Value

Accruals

Market Value with Accruals

(272.81)

(\$272.81)

103.76

11,353.76

\$159,860.21

527.24

\$160,387.45

Period Value

148,675.50

Change

In Value

11,353.76

(169.05)

\$11,184.71

373.60

\$11,558.31

Year-to-Date

Value
0.00
150,000.00
(272.81)
\$149,727.19
698.81
9,434.21
\$159,860.21
527.24
\$160,387.45
Estimated
5,389.64
0.98
Current
Annual Income Allocation
98%
2%
\$5,390.62
100%
Cash &
Fixed Income
Asset Allocation
Equity
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GHISLAINE MAXWELL- OAP FDG ACCT. [REDACTED]

For the Period 10/1/11 to 10/31/11

Account Summary

Tax Summary

Domestic Dividends/Distributions

Interest Income

Taxable Income

CONTINUED

Current

Period Value

103.68

0.08

\$103.76

Year-to-Date

Value

697.91

0.90

\$698.81

Unrealized Gain/Loss

To-Date Value

\$9,434.21

Cost Summary

Equity

Cash & Fixed Income

Total

3,268.48

Cost

147,157.52

\$150,426.00

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GHISLAINE MAXWELL- OAP FDG ACCT. [REDACTED]

For the Period 10/1/11 to 10/31/11

Equity Summary

Asset Categories

US Large Cap Equity

Beginning

Market Value

145,237.97

Ending

Market Value

156,591.73

Change

In Value

11,353.76

Current

Allocation

98%

Current

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Accrued Dividends

Yield

Equity Detail

Price

US Large Cap Equity

ABBOTT LABORATORIES

AIR PRODUCTS & CHEMICALS INC

AUTOMATIC DATA PROCESSING INC

002824-10-0 ABT

86.14

009158-10-6 APD

52.33

053015-10-3 ADP

150.000

7,849.50

7,264.05

585.45

86.000

7,408.04

7,148.42

259.62

53.87

148.000

7,972.76

7,308.74

664.02

284.16

71.04

199.52
49.88
216.00
3.56%
2.69%
2.75%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Inc.
Accrued Div.
Yield
Period Value
156,591.73
147,157.52
9,434.21
5,389.64
527.15
3.44%
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GHISLAINE MAXWELL- OAP FDG ACCT. [REDACTED]

For the Period 10/1/11 to 10/31/11

Price

US Large Cap Equity

CHEVRON CORP

166764-10-0 CVX

COLGATE PALMOLIVE CO

CONOCOPHILLIPS

GENERAL MILLS INC

194162-10-3 CL

69.65

20825C-10-4 COP

38.53

370334-10-4 GIS

HONEYWELL INTERNATIONAL INC

ILLINOIS TOOL WORKS INC

INTEL CORP

438516-10-6 HON

48.63

452308-10-9 ITW

24.54

458140-10-0 INTC

JOHNSON & JOHNSON

KELLOGG CO

478160-10-4 JNJ

54.21

487836-10-8 K

LOCKHEED MARTIN CORP

MC DONALDS CORP

539830-10-9 LMT

92.85

580135-10-1 MCD

MICROCHIP TECHNOLOGY INC

NEXTERA ENERGY INC

595017-10-4 MCHP

56.40

65339F-10-1 NEE

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137.000

7,726.80

7,254.34

472.46

301.40

3.90%

36.18

226.000

8,176.68

7,272.67

904.01

313.68

3.84%

98.000
9,099.30
8,329.79
769.51
274.40
3.02%
75.90
102.000
7,741.80
7,330.98
410.82
408.00
5.27%
140.000
7,589.40
7,423.95
165.45
240.80
3.17%
64.39
126.000
8,113.14
7,863.57
249.57
287.28
3.54%
380.000
9,325.20
8,040.38
1,284.82
319.20
3.42%
138.000
6,710.94
6,498.07
212.87
198.72
2.96%
52.40
145.000
7,598.00
7,124.46
473.54
196.000
7,551.88
7,105.54
446.34
108.000
7,522.20
7,298.55
223.65

90.37
89.000
8,042.93
7,413.85
629.08
206.48
51.62
285.12
71.28
239.12
59.78
216.05
2.57%
3.79%
3.17%
2.84%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Inc.
Accrued Div.
Yield
105.05
81.000
8,509.05
7,927.41
581.64
262.44
3.08%

GHISLAINE MAXWELL- OAP FDG ACCT. [REDACTED]

For the Period 10/1/11 to 10/31/11

Price

US Large Cap Equity

PEOPLE'S UNITED FINANCIAL INC

PEPSICO INC

712704-10-5 PBCT

62.95

713448-10-8 PEP

PROCTER & GAMBLE CO

RAYTHEON CO

742718-10-9 PG

44.19

755111-50-7 RTN

Total US Large Cap Equity

\$156,591.73

\$147,157.52

\$9,434.21

163.000

7,202.97

6,815.36

387.61

63.99

121.000

7,742.79

7,274.91

467.88

254.10

63.53

280.36

70.09

\$5,389.64

\$527.15

3.28%

3.89%

3.44%

118.000

7,428.10

7,546.72

(118.62)

12.75

571.000

7,280.25

6,915.76

364.49

359.73

89.93

243.08

4.94%

3.27%

Quantity

Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Inc.
Accrued Div.
Yield
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GHISLAINE MAXWELL- OAP FDG ACCT. [REDACTED]

For the Period 10/1/11 to 10/31/11

Cash & Fixed Income Summary

Beginning

Asset Categories

Cash

Market Value

3,437.53

Ending

Market Value

3,268.48

Change

In Value

(169.05)

Current

Allocation

2%

Current

Market Value/Cost

Market Value

Tax Cost

Estimated Annual Income

Accrued Interest

Yield

SUMMARY BY MATURITY

1

Cash & Fixed Income

0-6 months

1

Period Value

3,268.48

3,268.48

0.98

0.09

0.03%

SUMMARY BY TYPE

Market

Value

3,268.48

% of Bond

Portfolio

100%

The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

Cash & Fixed Income

Cash

Market

Value

3,268.48

% of Bond

GHISLAINE MAXWELL- OAP FDG ACCT. [REDACTED]

For the Period 10/1/11 to 10/31/11

Note:

1

This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account.

Cash & Fixed Income Detail

Price

Cash

US DOLLAR

1.00

3,268.48

3,268.48

3,268.48

0.98

0.09

0.03% ¹

Quantity

Value

Adjusted Tax Cost

Original Cost

Unrealized

Gain/Loss

Est. Annual Income

Accrued Interest

Yield

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GHISLAINE MAXWELL- OAP FDG ACCT. [REDACTED]

For the Period 10/1/11 to 10/31/11

Portfolio Activity Summary

Beginning Cash Balance

Transactions

Income

INFLOWS

103.76

Contributions

Total Inflows

OUTFLOWS **

Total Outflows

Fees & Commissions

TRADE ACTIVITY

Total Trade Activity

\$103.76

(272.81)

(\$272.81)

Settled Securities Purchased

\$0.00

Ending Cash Balance

\$3,268.48

* Year to date information is calculated on a calendar year basis.

** Your account's standing instructions use a HIGH COST method for relieving assets from your position

698.81

150,000.00

\$150,698.81

(272.81)

(\$272.81)

(147,157.52)

(\$147,157.52)

-Current

Period

Value

3,437.53

Year-To-Date

Value*

-Page

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GHISLAINE MAXWELL- OAP FDG ACCT. [REDACTED]

For the Period 10/1/11 to 10/31/11

Portfolio Activity Detail

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

Income

10/3

10/3

10/12

Div Domestic

Interest Income

Div Domestic

Total Income

Type

Settle Date Selection Method

Fees & Commissions

10/19

Description

Fees & Commissions JPMORGAN CHASE INVESTMENT MANAGEMENT FEE FOR THE PERIOD 08-03-2011 TO 09-30-2011

Quantity

Cost

Description

AUTOMATIC DATA PROCESSING INC @ 0.36 PER SHARE

(ID: 053015-10-3)

DEPOSIT SWEEP INTEREST FOR SEPT @ .03% RATE ON

NET AVG COLLECTED BALANCE OF \$3,164.78 AS OF

10/01/11

ILLINOIS TOOL WORKS INC @ 0.36 PER SHARE

(ID: 452308-10-9)

138.000

0.36

49.68

\$103.76

Quantity

Cost

150.000

Per Unit

Amount

0.36

Amount

54.00

0.08

Amount

(272.81)

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For the Period 10/1/11 to 10/31/11

IMPORTANT INFORMATION ABOUT YOUR STATEMENT(S)

Please take the steps indicated below if you think statements are incorrect or contact your J.P.Morgan team if you require additional information about a transaction on your statement(s).

In case of other errors or questions about other account statements Please review your account statement and promptly report any inaccuracy or discrepancy including possible unauthorized trading activity, unrecorded dividend payments, unaccounted cash positions, improper payments or transfers in writing to JPMCB both the introducing broker at the addresses shown on your statement. Any oral communication should be re-confirmed in writing to further protect your rights. If you have any questions please contact your J.P. Morgan team. In your written communication, please provide the following information: (1) your name and account number; (2) the dollar amount of the suspected error; and (3) a description of the error and explanation, if you can, why you believe there is an error. If you need more information, you must describe the item you are unsure about. We must receive your written communication no later than 30 days after the statement on which the error or problem appeared is sent or made available. If you do not so notify us, you agree that the statement activity and account balance(s) are correct.

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Offshore Deposits - London and Nassau
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For the Period 10/1/11 to 10/31/11

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