

GHISLAINE MAXWELL





JPMorgan Chase Bank, N.A.  
GHISLAINE MAXWELL- OAP FDG  
270 Park Avenue, New York, NY 10017-2014  
ACCT. [REDACTED]

For the Period 11/1/12 to 11/30/12

Investment Management Account

J.P. Morgan Team

Kirsten Sichler

Jason Bell

Janet Young

Gina Magliocco

Online access

Banker

Investment Specialist

Client Service Team

Client Service Team

[www.jpmorganonline.com](http://www.jpmorganonline.com)

Please see disclosures located at the end of this statement package for important information relating to each J.P.Morgan account(s).

[REDACTED]  
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Account Summary

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Holdings

Equity

Cash & Fixed Income

Portfolio Activity

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GHISLAINE MAXWELL- OAP FDG ACCT. [REDACTED]

For the Period 11/1/12 to 11/30/12

Account Summary

Asset Allocation

Equity

Cash & Fixed Income

Market Value

Accruals

Market Value with Accruals

Beginning

Market Value

176,822.04

2,473.23

\$179,295.27

369.05

\$179,664.32

Ending

Market Value

177,692.32

1,900.70

\$179,593.02

804.78

\$180,397.80

Current

Portfolio Activity

Beginning Market Value

Withdrawals & Fees

Net Contributions/Withdrawals

Income & Distributions

Change In Investment Value

Ending Market Value

Accruals

Market Value with Accruals

\$0.00

307.23

(9.48)

\$179,593.02

804.78

\$180,397.80

Period Value

179,295.27

Year-to-Date

Value

165,408.94

(1,897.50)

(\$1,897.50)

4,692.20

11,389.38

\$179,593.02

804.78

\$180,397.80

Change  
In Value  
870.28  
(572.53)  
\$297.75  
435.73  
\$733.48  
Estimated  
5,783.57  
0.26  
Current  
Annual Income Allocation  
99%  
1%  
\$5,783.83  
100%  
Cash &  
Fixed Income  
Asset Allocation  
Equity  
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GHISLAINE MAXWELL- OAP FDG ACCT. [REDACTED]

For the Period 11/1/12 to 11/30/12

Account Summary

Tax Summary

Domestic Dividends/Distributions

Interest Income

Taxable Income

CONTINUED

Current

Period Value

307.20

0.03

\$307.23

Year-to-Date

Value

4,691.63

0.57

\$4,692.20

ST Realized Gain/Loss

LT Realized Gain/Loss

Realized Gain/Loss

Current

Period Value

(1,113.02)

1,364.98

\$251.96

Year-to-Date

Value

767.96

3,305.82

\$4,073.78

Unrealized Gain/Loss

To-Date Value

\$21,516.63

Cost Summary

Equity

Cash & Fixed Income

Total

1,900.70

Cost

156,175.69

\$158,076.39

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GHISLAINE MAXWELL- OAP FDG ACCT. [REDACTED]

For the Period 11/1/12 to 11/30/12

Equity Summary

Asset Categories

US Large Cap Equity

Beginning

Market Value

176,822.04

Ending

Market Value

177,692.32

Change

In Value

870.28

Current

Allocation

99%

Current

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Accrued Dividends

Yield

Note:

P indicates position adjusted for Pending Trade Activity.

Equity Detail

Price

US Large Cap Equity

ANALOG DEVICES INC

032654-10-5 ADI

AUTOMATIC DATA PROCESSING INC

053015-10-3 ADP

56.75

150.000

8,511.75

7,264.05

1,247.70

261.00

3.07%

Quantity

Value

Adjusted Tax Cost

Original Cost

Unrealized

Gain/Loss

Est. Annual Inc.

Accrued Div.

Yield

Period Value

177,692.32

156,175.69

21,516.63

5,783.57

804.78

3.25%

40.60

206.000

8,363.60

7,138.40

1,225.20

247.20

2.96%

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GHISLAINE MAXWELL- OAP FDG  
ACCT. [REDACTED]  
For the Period 11/1/12 to 11/30/12  
Price  
US Large Cap Equity  
P  
P  
BAXTER INTERNATIONAL INC  
BLACKROCK INC  
CHEVRON CORP  
071813-10-9 BAX  
197.04  
09247X-10-1 BLK  
105.69  
166764-10-0 CVX  
CLIFFS NATURAL RESOURCES INC  
COLGATE PALMOLIVE CO  
CONOCOPHILLIPS  
GENERAL MILLS INC  
18683K-10-1 CLF  
108.50  
194162-10-3 CL  
56.94  
20825C-10-4 COP  
40.99  
370334-10-4 GIS  
P  
HONEYWELL INTERNATIONAL INC  
ILLINOIS TOOL WORKS INC  
JOHNSON & JOHNSON  
LOCKHEED MARTIN CORP  
MC DONALDS CORP  
NEXTERA ENERGY INC  
438516-10-6 HON  
61.57  
452308-10-9 ITW  
69.73  
478160-10-4 JNJ  
93.30  
539830-10-9 LMT  
87.04  
580135-10-1 MCD  
68.71  
65339F-10-1 NEE  
137.000  
9,413.27  
7,254.34  
2,158.93  
106.000  
9,226.24  
9,021.44

204.80  
102.000  
9,516.60  
7,330.98  
2,185.62  
126.000  
8,785.98  
7,863.57  
922.41  
307.44  
76.86  
469.20  
117.30  
326.48  
75.46  
328.80  
82.20  
3.50%  
4.93%  
3.54%  
3.49%  
138.000  
8,496.66  
6,498.07  
1,998.59  
61.33  
145.000  
8,892.85  
7,124.46  
1,768.39  
237.80  
59.45  
209.76  
2.67%  
2.47%  
196.000  
8,034.04  
7,105.54  
928.50  
151.000  
8,597.94  
7,956.76  
641.18  
398.64  
99.66  
258.72  
4.64%  
3.22%  
89.000  
9,656.50  
7,413.85

2,242.65  
28.75  
33.000  
948.75  
1,273.77  
(325.02)  
88.000  
9,300.72  
8,668.32  
632.40  
44.000  
8,669.76  
8,306.90  
362.86  
264.00  
66.00  
316.80  
72.90  
82.50  
81.25  
220.72  
3.05%  
3.41%  
8.70%  
2.29%  
66.27  
149.000  
9,874.23  
7,720.85  
2,153.38  
268.20  
2.72%  
Quantity  
Value  
Adjusted Tax Cost  
Original Cost  
Unrealized  
Gain/Loss  
Est. Annual Inc.  
Accrued Div.  
Yield  
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GHISLAINE MAXWELL- OAP FDG

ACCT. [REDACTED]

For the Period 11/1/12 to 11/30/12

Price

US Large Cap Equity

PEPSICO INC

713448-10-8 PEP

P

PROCTER & GAMBLE CO

STAPLES INC

742718-10-9 PG

11.70

855030-10-2 SPLS

P

THE TRAVELERS COMPANIES INC.

WALGREEN CO

XILINX CORP

89417E-10-9 TRV

33.91

931422-10-9 WAG

34.65

983919-10-1 XLNX

Total US Large Cap Equity

\$177,692.32

\$156,175.69

\$21,516.63

\$5,783.57

\$804.78

3.26%

209.000

7,241.85

7,245.91

(4.06)

268.000

9,087.88

8,778.72

309.16

294.80

73.70

183.92

3.24%

2.54%

70.82

122.000

8,640.04

7,812.62

827.42

224.48

2.60%

739.000

8,646.30

8,543.03  
103.27  
325.16  
3.76%  
69.83  
121.000  
8,449.43  
7,274.91  
1,174.52  
272.00  
3.22%  
Quantity  
Value  
Adjusted Tax Cost  
Original Cost  
Unrealized  
Gain/Loss  
Est. Annual Inc.  
Accrued Div.  
Yield  
70.21  
133.000  
9,337.93  
8,579.20  
758.73  
285.95  
3.06%  
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GHISLAINE MAXWELL- OAP FDG ACCT. [REDACTED]

For the Period 11/1/12 to 11/30/12

Cash & Fixed Income Summary

Beginning

Asset Categories

Cash

Market Value

2,473.23

Ending

Market Value

1,900.70

Change

In Value

(572.53)

Current

Allocation

1%

Current

Market Value/Cost

Market Value

Tax Cost

Estimated Annual Income

Yield

SUMMARY BY MATURITY

1

Cash & Fixed Income

0-6 months

1

Period Value

1,900.70

1,900.70

0.26

0.01%

SUMMARY BY TYPE

Market

Value

1,900.70

% of Bond

Portfolio

100%

The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

Cash & Fixed Income

Cash

Market

Value

1,900.70

% of Bond

Portfolio

100%



GHISLAINE MAXWELL- OAP FDG ACCT. [REDACTED]

For the Period 11/1/12 to 11/30/12

Note:

1

This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account.

Cash & Fixed Income Detail

Price

Cash

US DOLLAR

COST OF PENDING PURCHASES

PROCEEDS FROM PENDING SALES

Total Cash

1.00

1.00

1.00

2,613.91

(3,526.94)

2,813.73

2,613.91

(3,526.94)

2,813.73

\$1,900.70

2,613.91

(3,526.94)

2,813.73

\$1,900.70

0.26

0.01% <sup>1</sup>

Quantity

Value

Adjusted Tax Cost

Original Cost

Unrealized

Gain/Loss

Est. Annual Income

Accrued Interest

Yield

\$0.00

\$0.26

0.01%

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GHISLAINE MAXWELL- OAP FDG ACCT. [REDACTED]

For the Period 11/1/12 to 11/30/12

Portfolio Activity Summary

Beginning Cash Balance

Transactions

INFLOWS

Total Inflows

Income

OUTFLOWS \*\*

Total Outflows

Fees & Commissions

\$0.00

TRADE ACTIVITY

Settled Sales/Maturities/Redemptions

Settled Securities Purchased

Total Trade Activity

Ending Cash Balance

5,759.67

(5,692.71)

\$66.96

\$2,613.91

\* Year to date information is calculated on a calendar year basis.

\*\* Your account's standing instructions use a HIGH COST method for relieving assets

from your position

50,478.68

(56,003.97)

(\$5,525.29)

-Current

Period

Value

2,239.72

307.23

\$307.23

Year-To-Date

Value\*

-4,692.20

\$4,692.20

(1,897.50)

(\$1,897.50)

Current

Cost

Adjustments

Cost Adjustments

Total Cost Adjustments

Period Value

\$0.00

Year-To-Date

Value\*

(157.08)

(\$157.08)



GHISLAINE MAXWELL- OAP FDG ACCT. [REDACTED]

For the Period 11/1/12 to 11/30/12

Portfolio Activity Detail

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

Income

11/1

11/1

11/1

11/15

11/15

11/28

Div Domestic

Div Domestic

Interest Income

Div Domestic

Div Domestic

Div Domestic

Total Income

Description

RAYTHEON CO @ 0.50 PER SHARE (ID: 755111-50-7)

GENERAL MILLS INC @ 0.33 PER SHARE

(ID: 370334-10-4)

DEPOSIT SWEEP INTEREST FOR OCT. @ .01% RATE ON

NET AVG COLLECTED BALANCE OF \$3,412.49 AS OF

11/01/12

PROCTER & GAMBLE CO @ 0.562 PER SHARE

(ID: 742718-10-9)

COLGATE PALMOLIVE CO @ 0.62 PER SHARE

(ID: 194162-10-3)

XILINX CORP @ 0.22 PER SHARE (ID: 983919-10-1)

121.000

89.000

172.000

0.562

0.62

0.22

68.00

55.18

37.84

\$307.23

0.03

Quantity

Cost

163.000

196.000

Per Unit

Amount

0.50

0.33

Amount

81.50

64.68

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GHISLAINE MAXWELL- OAP FDG ACCT. [REDACTED]

For the Period 11/1/12 to 11/30/12

TRADE ACTIVITY

Note:

Trade Date

Settle Date

10/31

11/5

10/31

11/5

11/1

11/6

11/1

11/6

11/1

11/6

11/2

11/7

11/5

11/8

11/6

11/9

11/6

11/9

L indicates Long Term Realized Gain/Loss

S indicates Short Term Realized Gain/Loss

\* Settled transaction was initiated in prior statement period and settled in current statement period

Type

Selection Method Description

Settled Sales/Maturities/Redemptions

Sale

High Cost

RAYTHEON CO @ 56.4124 112.82 BROKERAGE 0.04 TAX

&/OR SEC .01 GOLDMAN SACHS & CO.

(ID: 755111-50-7)  
RAYTHEON CO @ 56.5788 396.05 BROKERAGE 0.14 TAX  
&/OR SEC .01 LIQUIDNET INC (ID: 755111-50-7)  
RAYTHEON CO @ 57.218 400.53 BROKERAGE 0.14 TAX  
&/OR SEC .01 MERRILL LYNCH PIERCE FENNER & SMIT  
(ID: 755111-50-7)  
RAYTHEON CO @ 57.1771 285.89 BROKERAGE 0.15 TAX  
&/OR SEC .01 PERSHING & COMPANY  
(ID: 755111-50-7)  
RAYTHEON CO @ 57.305 343.83 BROKERAGE 0.12 TAX  
&/OR SEC .01 LIQUIDNET INC (ID: 755111-50-7)  
RAYTHEON CO @ 57.2669 458.14 BROKERAGE 0.16 TAX  
&/OR SEC .01 MERRILL LYNCH PIERCE FENNER & SMIT  
(ID: 755111-50-7)  
RAYTHEON CO @ 56.4974 621.47 BROKERAGE 0.22 TAX  
&/OR SEC .01 DEUTSCHE BANC ALEX BROWN INC  
(ID: 755111-50-7)  
RAYTHEON CO @ 57.546 1,093.37 BROKERAGE 0.38  
TAX &/OR SEC .02 MERRILL LYNCH PIERCE FENNER &  
SMIT (ID: 755111-50-7)  
RAYTHEON CO @ 57.2012 57.20 BROKERAGE 0.02 TAX  
&/OR SEC .01 LIQUIDNET INC (ID: 755111-50-7)  
(1.000)  
57.17  
57.17  
(41.85)  
15.32 L  
(19.000)  
57.525  
1,092.97  
(795.11)  
297.86 L  
(11.000)  
56.476  
621.24  
(460.32)  
160.92 L  
(6.000)  
(8.000)  
57.283  
57.246  
343.70  
457.97  
(251.09)  
(334.78)  
92.61 L  
123.19 L  
(5.000)  
57.146  
285.73  
(209.24)

76.49 L  
(7.000)  
(7.000)  
56.557  
57.197  
395.90  
400.38  
(292.93)  
(292.93)  
102.97 L \*  
107.45 L  
Quantity  
(2.000)  
Per Unit  
Amount  
56.385  
Proceeds  
112.77  
Tax Cost  
(83.70)  
Realized  
Gain/Loss  
29.07 L \*  
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GHISLAINE MAXWELL- OAP FDG ACCT. [REDACTED]

For the Period 11/1/12 to 11/30/12

Trade Date

Settle Date

11/7

11/7

11/13

11/8

11/14

Type

Selection Method Description

Settled Sales/Maturities/Redemptions

Sale

11/13

High Cost

Sale

High Cost

Sale

High Cost

RAYTHEON CO @ 55.2723 608.00 BROKERAGE 0.22 TAX  
&/OR SEC .01 CREDIT SUISSE FIRST BOSTON LLC  
(ID: 755111-50-7)

RAYTHEON CO @ 55.3082 331.85 BROKERAGE 0.12 TAX  
&/OR SEC .01 GOLDMAN SACHS & CO.  
(ID: 755111-50-7)

RAYTHEON CO @ 55.4077 1,052.75 BROKERAGE 0.38  
TAX &/OR SEC .02 CREDIT SUISSE FIRST BOSTON LLC  
(ID: 755111-50-7)

Total Settled Sales/Maturities/Redemptions

\$5,759.67

(\$4,262.65)

\$1,497.02 L

(19.000)

55.387

1,052.35

(789.29)

263.06 L

(6.000)

55.287

331.72

(251.09)

80.63 L

Quantity

(11.000)

Per Unit

Amount

55.252

Proceeds

607.77

Tax Cost

(460.32)

Realized  
Gain/Loss  
147.45 L  
Trade Date  
Settle Date  
Type  
Description  
Settled Securities Purchased  
10/31  
Purchase  
11/5  
10/31  
11/5  
10/31  
11/5  
10/31  
11/5  
11/1  
11/6  
Purchase  
Purchase  
Purchase  
Purchase  
PEPSICO INC @ 69.3508 69.35 BROKERAGE 0.02  
MERRILL LYNCH PIERCE FENNER & SMIT  
(ID: 713448-10-8)  
STAPLES INC @ 11.507 138.08 BROKERAGE 0.24  
CREDIT SUISSE FIRST BOSTON LLC (ID: 855030-10-2)  
XILINX CORP @ 32.7468 32.75 BROKERAGE 0.02  
GOLDMAN SACHS & CO. (ID: 983919-10-1)  
STAPLES INC @ 11.547 34.64 BROKERAGE 0.06 SJ  
LEVINSON & SONS LLC (ID: 855030-10-2)  
STAPLES INC @ 11.5766 81.04 BROKERAGE 0.14  
MERRILL LYNCH PIERCE FENNER & SMIT  
(ID: 855030-10-2)

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12.000  
1.000  
3.000  
7.000  
11.527  
32.77  
11.567  
11.597  
(138.32)  
(32.77)  
(34.70)  
(81.18)

\*  
\*  
\*

Quantity  
1.000  
Per Unit  
Amount  
69.37  
Market Cost  
(69.37) \*

GHISLAINE MAXWELL- OAP FDG ACCT. [REDACTED]

For the Period 11/1/12 to 11/30/12

Trade Date

Settle Date

Type

Settled Securities Purchased

11/1

Purchase

11/6

11/1

11/6

11/1

11/6

11/1

11/6

11/1

11/6

11/1

11/6

11/2

11/7

11/2

11/7

11/5

11/8

11/5

11/8

11/5

11/8

11/6

11/9

Purchase

Description

STAPLES INC @ 11.6577 536.25 BROKERAGE 0.92

CREDIT SUISSE FIRST BOSTON LLC (ID: 855030-10-2)

STAPLES INC @ 11.5759 382.00 BROKERAGE 0.99

PERSHING & COMPANY (ID: 855030-10-2)

STAPLES INC @ 11.575 11.58 BROKERAGE 0.02

LIQUIDNET INC (ID: 855030-10-2)

XILINX CORP @ 33.3417 66.68 BROKERAGE 0.04 SJ

LEVINSON & SONS LLC (ID: 983919-10-1)

XILINX CORP @ 33.5017 33.50 BROKERAGE 0.02  
GOLDMAN SACHS & CO. (ID: 983919-10-1)  
XILINX CORP @ 33.5132 33.51 BROKERAGE 0.02  
CREDIT SUISSE FIRST BOSTON LLC (ID: 983919-10-1)  
STAPLES INC @ 11.5322 357.50 BROKERAGE 0.62  
GOLDMAN SACHS & CO. (ID: 855030-10-2)  
XILINX CORP @ 33.5294 33.53 BROKERAGE 0.02  
CREDIT SUISSE FIRST BOSTON LLC (ID: 983919-10-1)  
STAPLES INC @ 11.5694 80.99 BROKERAGE 0.14  
GOLDMAN SACHS & CO. (ID: 855030-10-2)  
STAPLES INC @ 11.4455 354.81 BROKERAGE 0.62  
DEUTSCHE BANC ALEX BROWN INC (ID: 855030-10-2)  
XILINX CORP @ 33.1731 33.17 BROKERAGE 0.02  
DEUTSCHE BANC ALEX BROWN INC (ID: 983919-10-1)  
PEPSICO INC @ 69.3466 69.35 BROKERAGE 0.02  
MERRILL LYNCH PIERCE FENNER & SMIT  
(ID: 713448-10-8)

Quantity

46.000

33.000

1.000

2.000

1.000

1.000

31.000

1.000

7.000

31.000

1.000

1.000

Per Unit

Amount

11.678

11.606

11.60

33.36

33.52

33.53

11.552

33.55

11.59

11.465

33.19

69.37

Market Cost

(537.17)

(382.99)

(11.60)

(66.72)

(33.52)

(33.53)

(358.12)

(33.55)

(81.13)

(355.43)

(33.19)

(69.37)

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GHISLAINE MAXWELL- OAP FDG ACCT. [REDACTED]

For the Period 11/1/12 to 11/30/12

Trade Date

Settle Date

Type

Settled Securities Purchased

11/6

Purchase

11/9

11/6

11/9

11/6

11/9

11/7

11/13

11/7

11/13

11/7

11/13

11/7

11/13

11/7

11/13

11/8

11/14

11/8

11/14

11/8

11/14

11/8

11/14

Purchase

Description

STAPLES INC @ 11.801 601.85 BROKERAGE 1.02

MERRILL LYNCH PIERCE FENNER & SMIT

(ID: 855030-10-2)

XILINX CORP @ 33.865 101.60 BROKERAGE 0.06

MERRILL LYNCH PIERCE FENNER & SMIT

(ID: 983919-10-1)

STAPLES INC @ 11.7928 306.61 BROKERAGE 0.52

LIQUIDNET INC (ID: 855030-10-2)

PEPSICO INC @ 69.1312 69.13 BROKERAGE 0.02  
GOLDMAN SACHS & CO. (ID: 713448-10-8)  
STAPLES INC @ 11.5629 254.38 BROKERAGE 0.44  
GOLDMAN SACHS & CO. (ID: 855030-10-2)  
XILINX CORP @ 34.0028 68.01 BROKERAGE 0.04  
GOLDMAN SACHS & CO. (ID: 983919-10-1)  
XILINX CORP @ 33.8871 33.89 BROKERAGE 0.02  
JEFFERIES & COMPANY (ID: 983919-10-1)  
STAPLES INC @ 11.5779 555.74 BROKERAGE 0.96 SJ  
LEVINSON & SONS LLC (ID: 855030-10-2)  
STAPLES INC @ 11.648 34.94 BROKERAGE 0.06  
GOLDMAN SACHS & CO. (ID: 855030-10-2)  
STAPLES INC @ 11.4261 651.29 BROKERAGE 1.14 RBC  
CAPITAL MARKETS CORPORATION (ID: 855030-10-2)  
STAPLES INC @ 11.6519 349.56 BROKERAGE 0.60  
CREDIT SUISSE FIRST BOSTON LLC (ID: 855030-10-2)  
XILINX CORP @ 33.8424 33.84 BROKERAGE 0.02  
CREDIT SUISSE FIRST BOSTON LLC (ID: 983919-10-1)  
26.000  
1.000  
22.000  
2.000  
1.000  
48.000  
3.000  
57.000  
30.000  
1.000  
11.813  
69.15  
11.583  
34.025  
33.91  
11.598  
11.667  
11.446  
11.672  
33.86  
(307.13)  
(69.15)  
(254.82)  
(68.05)  
(33.91)  
(556.70)  
(35.00)  
(652.43)  
(350.16)  
(33.86)  
3.000  
33.887  
(101.66)

Quantity  
51.000  
Per Unit  
Amount  
11.821  
Market Cost  
(602.87)  
Page 14 of 17

GHISLAINE MAXWELL- OAP FDG ACCT. [REDACTED]

For the Period 11/1/12 to 11/30/12

Trade Date

Settle Date

Type

Settled Securities Purchased

11/8

Purchase

11/14

11/8

11/14

Total Settled Securities Purchased

Trade Date

Type

Est. Settle Date Selection Method Description

Pending Sales, Maturities, Redemptions

Sale

11/28

12/3

11/28

12/3

11/28

12/3

11/28

12/3

11/29

12/4

11/29

12/4

11/29

12/4

11/30

12/5

Page 15 of 17

Sale

Sale

Sale

Sale

Sale

Sale

Sale

CLIFFS NATURAL RESOURCES INC (ID: 18683K-10-1)

Quantity

(2.000)

(4.000)  
(1.000)  
(2.000)  
(19.000)  
(5.000)  
(2.000)  
(34.000)

Per Unit

Amount

29.265

29.408

29.602

29.155

29.35

29.051

29.185

28.952

Purchase

Description

PEPSICO INC @ 69.0683 138.14 BROKERAGE 0.04

DEUTSCHE BANC ALEX BROWN INC (ID: 713448-10-8)

XILINX CORP @ 34.0114 136.05 BROKERAGE 0.08

DEUTSCHE BANC ALEX BROWN INC (ID: 983919-10-1)

Quantity

2.000

4.000

Per Unit

Amount

69.09

34.033

Market Cost

(138.18)

(136.13)

(\$5,692.71)

Proceeds

58.48

117.54

29.57

58.26

557.27

145.15

58.32

983.68

Tax Cost

(91.90)

(183.80)

(45.95)

(91.90)

(791.94)

(202.43)

(80.97)

(1,347.08)  
Realized  
Gain/Loss  
(33.42) S  
(66.26) S  
(16.38) S  
(33.64) S  
(234.67) S  
(57.28) S  
(22.65) S  
(363.40) S

GHISLAINE MAXWELL- OAP FDG ACCT. [REDACTED]

For the Period 11/1/12 to 11/30/12

Trade Date

Type

Est. Settle Date Selection Method Description

Pending Sales, Maturities, Redemptions

Sale

11/30

12/5

11/30

12/5

Total Pending Sales, Maturities, Redemptions

\$2,813.73

(\$3,926.75)

(\$1,113.02) S

Sale

CLIFFS NATURAL RESOURCES INC (ID: 18683K-10-1)

CLIFFS NATURAL RESOURCES INC (ID: 18683K-10-1)

Quantity

(23.000)

(5.000)

Per Unit

Amount

28.759

28.913

Proceeds

661.00

144.46

Tax Cost

(896.97)

(193.81)

Realized

Gain/Loss

(235.97) S

(49.35) S

Trade Date

Est. Settle Date Type

Pending Securities Purchased

11/28

Purchase

12/3

11/28

12/3

11/29

12/4

11/29

12/4

11/29

12/4

11/29

12/4

11/29

12/4

Purchase

Purchase

Purchase

Purchase

Purchase

Purchase

Description

XILINX CORP (ID: 983919-10-1)

XILINX CORP (ID: 983919-10-1)

MC DONALDS CORP (ID: 580135-10-1)

XILINX CORP (ID: 983919-10-1)

XILINX CORP (ID: 983919-10-1)

CHEVRON CORP (ID: 166764-10-0)

STAPLES INC (ID: 855030-10-2)

Quantity

4.000

3.000

8.000

15.000

3.000

7.000

26.000

Per Unit

Amount

34.292

34.388

86.436

34.563

34.471

105.824

11.758

Market Cost

(137.25)

(103.23)

(691.65)

(518.75)

(103.48)

(740.91)

(306.24)

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GHISLAINE MAXWELL- OAP FDG ACCT. [REDACTED]

For the Period 11/1/12 to 11/30/12

Trade Date

Est. Settle Date Type

Pending Securities Purchased

11/29

Purchase

12/4

11/29

12/4

11/30

12/5

11/30

12/5

11/30

12/5

11/30

12/5

Total Pending Securities Purchased

(\$3,526.94)

Purchase

Purchase

Purchase

Purchase

Purchase

Description

STAPLES INC (ID: 855030-10-2)

Quantity

8.000

6.000

37.000

11.000

16.000

1.000

Per Unit

Amount

11.758

11.745

11.694

11.668

11.657

11.725

Market Cost

(94.23)

(70.59)

(433.45)

(128.57)

(186.84)

(11.75)

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For the Period 11/1/12 to 11/30/12

For your convenience we have combined statement(s) for activity you conduct through J.P. Morgan in one package. Below are important disclosures relating to these different accounts.

These statements may relate to various account types. Some of the disclosures are applicable to all of your accounts. For ease of reference the disclosures applicable to a particular type of account have been grouped together by descriptive headers.

**IMPORTANT GENERAL INFORMATION APPLICABLE TO ALL OF YOUR ACCOUNT(S)**

**Important Information about Pricing ,Valuations, Estimated Annual Income, and Estimated Yield**

Market value information (including without limitation, prices, exchange rates, accrued income and bond ratings) furnished herein, some of which has been provided by pricing sources that J.P. Morgan believes to be reliable, is not guaranteed for accuracy but provided for informational purposes and is furnished for the exclusive use of the client. The current price is the value of the financial asset share, unit or contract as priced at the close of the market on the last day of the statement period or the last available price. All values provided for structured yield deposits (for example, JPMorgan London Time Deposits) reflect the original deposit amount only. The value for Real Estate, Mineral Interests and Miscellaneous Assets may not reflect the most current value of the asset.

Important information regarding Auction Rate Securities (ARS). ARS are debt or preferred securities with an interest or dividend rate reset periodically in an auction. Although there may be daily, weekly and monthly resets, there is no guarantee that there will be liquidity. If there are not enough bids at an auction to redeem the securities available for sale, the result may be a failed auction. In the event of a failed auction, there is no assurance that a secondary market will develop or that the security will trade at par or any other price reflected on statements and online. Accordingly, investors should not rely on pricing information appearing in their statements or online with respect to ARS. When J.P. Morgan is unable to obtain a price from an internal or outside source for a particular ARS, the price column on your statement will indicate "unpriced".

Valuations of over-the-counter derivative transactions, including certain derivatives-related deposit products, have been prepared on a mid-market basis. These valuations are sourced from the various issuers of the securities or they are sourced from a third party valuation provider. J.P. Morgan expressly disclaims any responsibility for (1) the accuracy of the models or estimates used in deriving the valuations, (2) any errors or omissions in computing or disseminating the valuations, and (3) any uses to which the valuations are put. Valuations are provided for information purposes only and are intended solely for your own use. Please refer to the trade confirmation for details of each transaction.

Certain assets, including but not limited to, pooled and private investments, non-publicly traded and infrequently traded securities, derivatives, partnership interests and tangible assets are generally illiquid, the value of such asset may have been provided to us by third

parties who may or may not be independent of the issuer or manager. Such information is reflected as of the last date provided to us, and is not independently verified.

In cases where we are unable to obtain a current market value from an internal or outside source for a particular security, the price column on your statement will indicate "unpriced". Although such securities may have value, please note that the value of a security indicated as "unpriced" will not be included in your overall current market value as reflected on the statement.

J.P. Morgan makes no representation, warranty or guarantee, express or implied, that any quoted value represents the actual terms at which securities could be bought or sold or new transactions could be entered into, or the actual terms on which existing transactions or securities could be liquidated. Such values may only be indicative.

When we are unable to obtain a current value from an internal or outside source for a particular security, the price column on your statement will indicate "unpriced".

If a partial call is made with respect to an issue of securities included in your Accounts we will allocate the call by a method we deem fair and equitable.

To the extent applicable, please note the following regarding estimated annual income (EAI) and estimated yield (EY): EAI and EY for certain types of securities could include a return of principal or capital gains in which case the EAI and EY would be overstated. EAI and EY are estimates and the actual income and yield might be lower or higher than the estimated amounts. EY reflects only the income generated by an investment. It does not reflect changes in its price, which may fluctuate.

Offshore Deposits - London and Nassau

Disclosures Page 1 of 2

For the Period 11/1/12 to 11/30/12

Deposits in Foreign Branches are not insured by the FDIC or any other Agency of the Federal Government; amounts in such foreign accounts do not have the benefit of any domestic preference applicable to U.S Banks; certain Foreign accounts are considered reportable to the Internal Revenue Service on a Report of Foreign Bank and Financial Accounts (TD F 90-22.1).

Bank products and services are offered through JPMorgan Chase Bank, N.A. ("JPMCB") and its banking affiliates. Securities are offered by J.P.Morgan Securities LLC ("JPMS") and, to the extent noted below, cleared through J.P. Morgan Clearing Corp. ("JPMCC"). Neither JPMS, nor JPMCC is a bank and are each separate legal entities from its bank or thrift affiliates.

Investment Products: Not FDIC Insured

-No Bank Guarantee -May Lose Value

Fund manager disclosure information available upon request

If you have an investment account that is managed by an SEC-Registered Investment Advisor, J.P. Morgan will provide a copy of the advisor's Form ADV II or brochure upon written request.

These statements are not official documents for income tax reporting purposes and should not be relied upon for such purposes, including determination of income, cost basis, amortization or accretion, or gain/loss. Such information, which may be inaccurate, incomplete or subject to updating, should be confirmed with your records and your tax advisor.

Please take the steps indicated below if you think statement(s) are incorrect or contact your J.P.Morgan team if you require additional information about a transaction on your statement(s).

IMPORTANT ADDITIONAL INFORMATION APPLICABLE ONLY TO YOUR INVESTMENT MANAGEMENT ACCOUNT(S) WHICH REFLECT ASSETS HELD AT JPMORGAN CHASE BANK, N.A. In case of other errors or questions about other account statement(s)

Please review your account statement(s) and promptly report any inaccuracy or discrepancy including possible unauthorized trading activity, unrecorded dividend payments, unaccounted cash positions, improper payments or transfers in writing to JPMCB at the addresses shown on your statement(s). Any oral communication should be re-confirmed in writing to further protect your rights. If you have any questions please contact your J.P. Morgan team.

In your written communication, please provide the following information: (1) your name and account number; (2) the dollar amount of the suspected error; and (3) a description of the error and explanation, if you can, why you believe there is an error. If you need more information, you must describe the item you are unsure about. We must receive your written communication no later than 30 days after the statement on which the error or problem appeared is sent or made available. If you do not so notify us, you agree that the statement activity and account balance(s) are correct.

You must promptly advise your J.P.Morgan representative of material changes in your investment objectives or financial situation or if you wish to

modify the management of your account. Unless you inform otherwise, your J.P.Morgan representative will consider the information currently in its files to be complete and accurate.  
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