

GHISLAINE MAXWELL



JPMorgan Chase Bank, N.A.
GHISLAINE MAXWELL-HY
270 Park Avenue, New York, NY 10017-2014
ACCT. [REDACTED]

For the Period 9/1/12 to 9/30/12

Investment Management Account

J.P. Morgan Team

Paul Morris

Jason Bell

Janet Young

Gina Magliocco

Online access

Banker

Investment Specialist

Client Service Team

Client Service Team

www.jpmorganonline.com

Please see disclosures located at the end of this statement package for important information relating to each J.P.Morgan account(s).

The Combined Terms and Conditions have been modified to reflect this change. Unless otherwise indicated below, all other terms and conditions of the various account agreements still apply. Please contact your J.P. Morgan team if you have questions about this change.

The Second Paragraph of Section 1 of the Asset Account Agreement shall be replaced as follows:

Interest will be compounded, calculated daily using the daily balance method, and credited to your Account on the first day of the month. The daily balance method applies the daily periodic rate to the principal in the account each day. Interest will begin to accrue on the first business day that funds are swept into the Account. Interest is computed on a 365-day basis. We pay interest only in whole cents. Therefore, at the end of each interest payment period, any fractional amount of interest less than half of one cent will be rounded down and any fractional amount of interest equal to half of one cent or more will be rounded up to the next whole cent.

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[REDACTED]
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Account Summary

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Holdings

Cash & Fixed Income

Portfolio Activity

4

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Client News

Please note that a change will be made to how interest is calculated on uninvested cash balances and new cash deposits in your Asset Account if they are transferred automatically to the Deposit Sweep that is described in the Asset Account Agreement. Effective on or about the Deposit Sweep interest calculation November 16th, will be based on the daily balance method, as described below.

GHISLAINE MAXWELL-HY ACCT. [REDACTED]

For the Period 9/1/12 to 9/30/12

Account Summary

Asset Allocation

Cash & Fixed Income

Market Value

Accruals

Market Value with Accruals

Beginning

Market Value

1,063,780.45

\$1,063,780.45

20,421.61

\$1,084,202.06

Ending

Market Value

1,072,402.83

\$1,072,402.83

20,889.52

\$1,093,292.35

Current

Portfolio Activity

Beginning Market Value

Withdrawals & Fees

Net Contributions/Withdrawals

Income & Distributions

Change In Investment Value

Ending Market Value

Accruals

Market Value with Accruals

\$0.00

5,386.06

3,236.32

\$1,072,402.83

20,889.52

\$1,093,292.35

Period Value

1,063,780.45

Change

In Value

8,622.38

\$8,622.38

467.91

\$9,090.29

Year-to-Date

Value

993,756.14

(15,832.06)

(\$15,832.06)

48,880.06

45,598.69

\$1,072,402.83

20,889.52

\$1,093,292.35

Estimated

70,966.28

\$70,966.28

Current

Annual Income Allocation

100%

100%

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GHISLAINE MAXWELL-HY ACCT. [REDACTED]

For the Period 9/1/12 to 9/30/12

Account Summary

Tax Summary

Interest Income

Accrued Interest Current Year

Accrued Interest Subsequent Year

Taxable Income

Cash Receipts

Other Income & Receipts

CONTINUED

Current

Period Value

5,648.24

(262.18)

\$5,386.06

Year-to-Date

Value

50,568.18

(2,451.33)

(293.72)

\$47,823.13

1,056.93

\$1,056.93

Unrealized Gain/Loss

To-Date Value

\$36,920.92

ST Realized Gain/Loss

LT Realized Gain/Loss

Realized Gain/Loss

Current

Period Value

(290.00)

(\$290.00)

Year-to-Date

Value

(9,503.78)

(1,474.50)

(\$10,978.28)

Cost Summary

Cash & Fixed Income

1,028,166.91

Cost

Total

\$1,028,166.91

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GHISLAINE MAXWELL-HY ACCT. [REDACTED]

For the Period 9/1/12 to 9/30/12

Cash & Fixed Income Summary

Beginning

Asset Categories

Cash

US Fixed Income

Global Fixed Income

Total Value

Market Value/Cost

Market Value

Tax Cost

Unrealized Gain/Loss

Estimated Annual Income

Accrued Interest

Yield

SUMMARY BY MATURITY

1

Cash & Fixed Income

0-6 months

1-5 years¹

5-10 years¹

10+ years¹

Total Value

1

Market Value

41,994.99

1,021,785.46

0.00

\$1,063,780.45

Ending

Market Value

18,678.55

1,048,317.38

5,406.90

\$1,072,402.83

Current

Period Value

1,072,402.83

1,028,166.91

36,920.92

70,966.28

20,889.52

5.34%

SUMMARY BY TYPE

Market

Value

37,079.35

281,946.20

669,230.08

84,147.20

\$1,072,402.83

% of Bond

Portfolio

3%

26%

64%

7%

100%

The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

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Cash & Fixed Income

Cash

Corporate Bonds

International Bonds

Total Value

Market

Value

18,678.55

1,021,762.66

31,961.62

\$1,072,402.83

% of Bond

Portfolio

1%

97%

2%

100%

US Fixed Income

Change

In Value

(23,316.44)

26,531.92

5,406.90

\$8,622.38

Current

Allocation

2%

97%

1%

Global Fixed Income

100%

Cash

Asset Categories

Cash & Fixed Income as a percentage of your portfolio - 100%

GHISLAINE MAXWELL-HY

ACCT. [REDACTED]

For the Period 9/1/12 to 9/30/12

Note:

P indicates position adjusted for Pending Trade Activity.

1

**

This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account.

Unrealized Gain/Loss is shown as "N/A" in cases where we do not have appropriate cost information for all tax lots comprising the position. Please contact your J.P. Morgan team for additional information.

Cash & Fixed Income Detail

Price

Cash

US DOLLAR

COST OF PENDING PURCHASES

Total Cash

1.00

1.00

22,822.30

(4,143.75)

22,822.30

(4,143.75)

\$18,678.55

22,822.30

(4,143.75)

\$18,678.55

\$0.00

\$2.28

\$0.35

US Fixed Income

P ROYAL BANK SCOTLAND GRP PLC

5.05% JAN 08 2015

DTD 12/08/2004

780097-AP-6 BB+ /BA3

LENNAR CORPORATION

5.6% MAY 31 2015

DTD 4/28/2005

526057-AS-3 B+ /B2

CITYCENTER HLDGS/FINANCE

7 5/8% JAN 15 2016

DTD 07/15/2011

178760-AB-0 B /B1

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107.00

5,000.00

5,350.00

5,275.00
75.00
381.25
80.48
5.27%
103.48
10,000.00
10,348.10
10,336.25
11.85
505.00
69.01
106.75
10,000.00
10,675.00
9,905.00
770.00
560.00
186.66
3.44%
0.01%
2.28
0.35
0.01% ¹
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Income
Accrued Interest
Yield
2.95%

GHISLAINE MAXWELL-HY ACCT. [REDACTED]

For the Period 9/1/12 to 9/30/12

Price

US Fixed Income

D R HORTON INC

6 1/2% APR 15 2016

DTD 04/17/2006

23331A-AZ-2 BB- /BA2

HEALTH MANAGEMENT ASSOCIATION

6 1/8% APR 15 2016

DTD 04/21/2006

421933-AH-5 BB- /NR

SUPERVALU INC

8% MAY 01 2016

DTD 05/07/2009

868536-AT-0 B- /CAA

CRICKET COMMUNICATIONS INC

7 3/4% MAY 15 2016

DTD 11/15/2009

226566-AK-3 B+ /BA2

HOST HOTELS & RESORTS LP

6 3/4% JUN 01 2016

DTD 04/04/2006

44108E-BA-5 BB+ /BA1

BANK OF AMERICA CORP

3 3/4% JUL 12 2016

DTD 07/12/2011

06051G-EK-1 A- /BAA

SEAGATE TECHNOLOGY HDD H

SR NOTES 6.80% OCT 1 2016

DTD 9/20/2006

81180R-AE-2 BB+ /BA1

111.42

10,000.00

11,141.80

10,600.00

541.80

680.00

340.00

3.70%

106.43

5,000.00

5,321.40

4,581.50

739.90

187.50

41.14

1.98%

102.75

8,000.00

8,220.00

8,300.00
(80.00)
540.00
180.00
5.90%
105.88
15,000.00
15,881.25
16,050.00
(168.75)
1,162.50
439.15
5.92%
89.50
10,000.00
8,950.00
10,375.00
(1,425.00)
800.00
333.33
11.66%
109.04
10,000.00
10,903.90
10,400.00
503.90
612.50
282.43
3.39%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Income
Accrued Interest
Yield
111.44
10,000.00
11,143.70
10,495.00
648.70
650.00
299.72
3.06%
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GHISLAINE MAXWELL-HY ACCT. [REDACTED]

For the Period 9/1/12 to 9/30/12

Price

US Fixed Income

SPRINT NEXTEL CORP

6% DEC 1 2016

DTD 11/20/2006

852061-AD-2 B+ /B3

HANESBRANDS INC

8% DEC 15 2016

DTD 12/10/2009

410345-AF-9 BB- /B1

RITE AID CORP

7 1/2% MAR 01 2017

DTD 02/21/2007

767754-BL-7 B- /CAA

AIRCASTLE LTD

6.75% APR 15 2017

DTD 06/06/2012

00928Q-AF-8 BB+ /BA3

JARDEN CORP

SR SUB NOTES 7 1/2% MAY 1 2017

DTD 2/13/2007

471109-AB-4 B /B2

CONSTELLATION BRANDS INC

7 1/4% MAY 15 2017

DTD 11/15/2007

21036P-AF-5 BB+ /BA1

INGLES MARKETS INC

8 7/8% MAY 15 2017

DTD 05/12/2009

457030-AG-9 BB- /B1

117.13

15,000.00

17,568.75

16,500.00

1,068.75

1,087.50

410.82

108.04

10,000.00

10,803.50

10,775.00

28.50

887.50

335.27

3.23%

108.63

3,000.00

3,258.75

3,177.50

81.25
202.50
64.68
115.00
15,000.00
17,250.00
16,052.50
1,197.50
1,125.00
468.75
4.62%
103.00
20,000.00
20,600.00
20,150.00
450.00
1,500.00
125.00
6.70%
110.75
10,000.00
11,075.00
10,825.00
250.00
800.00
235.55
5.12%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Income
Accrued Interest
Yield
103.75
20,000.00
20,750.00
17,385.00
3,365.00
1,200.00
400.00
4.99%
3.89%
6.82%
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GHISLAINE MAXWELL-HY ACCT. [REDACTED]

For the Period 9/1/12 to 9/30/12

Price

US Fixed Income

RRI ENERGY INC

7 7/8% JUN 15 2017

DTD 06/13/2007

74971X-AC-1 B- /B3

SERVICE CORP INTL

7% JUN 15 2017

DTD 06/15/2006

MULTI CPN

817565-BF-0 BB- /BA3

SMITHFIELD FOODS INC

SR NOTES 7 3/4% JUL 1 2017

DTD 6/22/2007

832248-AQ-1 BB /B1

PINNACLE ENTERTAINMENT

8 5/8% AUG 01 2017

DTD 02/01/2010

723456-AK-5 BB- /B1

CHESAPEAKE ENERGY CORP

6 1/2% AUG 15 2017

DTD 8/16/2005

165167-BS-5 BB- /BA3

CCO HLDGS LLC CAP CORP

7 1/4% OCT 30 2017

DTD 09/27/2010

1248EP-AQ-6 BB- /B1

WINDSTREAM CORP

7 7/8% NOV 01 2017

DTD 10/08/2009

97381W-AJ-3 B /BA3

112.50

20,000.00

22,500.00

21,450.00

1,050.00

1,575.00

656.24

5.05%

109.31

9,000.00

9,838.08

9,495.00

343.08

652.50

273.68

5.14%

103.91

15,000.00

15,585.75
15,975.00
(389.25)
975.00
124.57
5.57%
108.50
10,000.00
10,850.00
10,850.00
862.50
143.75
6.54%
112.75
10,000.00
11,275.00
10,475.00
800.00
775.00
193.75
4.72%
116.46
20,000.00
23,291.40
21,700.00
1,591.40
1,400.00
412.22
3.20%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Income
Accrued Interest
Yield
108.64
15,000.00
16,296.00
15,112.50
1,183.50
1,181.25
347.80
5.75%
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GHISLAINE MAXWELL-HY ACCT. [REDACTED]
For the Period 9/1/12 to 9/30/12
Price
US Fixed Income
CLEAR CHANNEL WORLDWIDE
9 1/4% DEC 15 2017
DTD 06/15/2010
18451Q-AD-0 B /B1
EQUINIX INC
SR NOTES 8 1/8% MAR 01 2018
DTD 03/03/2010
29444U-AJ-5 BB- /BA2
AVIS BUDGET CAR RENTAL
9 5/8% MAR 15 2018
DTD 03/10/2010
053773-AL-1 B /B2
CIT GROUP INC
5 1/4% MAR 15 2018
DTD 03/15/2012
125581-GL-6 BB- /B1
CABLEVISION SYSTEMS CORP
SR NOTES 7 3/4% APR 15 2018
DTD 04/15/2010
12686C-AZ-2 B+ /B1
MERRILL LYNCH & CO
MEDIUM TERM NOTES 6 7/8% APR 25 2018
DTD 04/25/2008
59018Y-N6-4 A- /BAA
ALLBRITTON COMMUNICATION
8% MAY 15 2018
DTD 04/30/2010
016745-AL-5 B+ /B2
109.25
10,000.00
10,925.00
10,300.00
625.00
800.00
302.22
6.03%
119.87
10,000.00
11,986.80
11,080.41
906.39
687.50
297.91
2.98%
110.50
10,000.00
11,050.00

10,775.00
275.00
775.00
357.36
5.52%
107.50
20,000.00
21,500.00
20,140.00
1,360.00
1,050.00
46.66
3.72%
111.50
5,000.00
5,575.00
5,470.00
105.00
481.25
21.38
7.05%
111.25
10,000.00
11,125.00
10,772.50
352.50
812.50
67.70
5.68%
108.13
10,000.00
10,812.50
11,000.00
(187.50)
925.00
272.36
7.34%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Income
Accrued Interest
Yield
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GHISLAINE MAXWELL-HY ACCT. [REDACTED]

For the Period 9/1/12 to 9/30/12

Price

US Fixed Income

CKE RESTAURANTS INC

11 3/8% JUL 15 2018

DTD 07/12/2010

12561E-AK-1 B- /B2

METROPCS WIRELESS INC

SR NOTES 7 7/8% SEP 01 2018

DTD 09/21/2010

591709-AK-6 B /B2

FRONTIER COMMUNICATIONS

SR NOTES 8 1/8% OCT 01 2018

DTD 10/01/2009

35906A-AB-4 BB /BA2

BURGER KING CORP

9 7/8% OCT 15 2018

DTD 10/19/2010

121207-AA-2 B /B3

NIELSEN FINANCE LLC/CO

7 3/4% OCT 15 2018

DTD 04/15/2011

65409Q-AY-8 BB- /B2

DAVITA INC

6 3/8% NOV 01 2018

DTD 10/20/2010

23918K-AL-2 NR /B2

TENET HEALTHCARE CORP

6.25% NOV 01 2018

DTD 11/21/2011

88033G-BP-4 BB- /B1

110.38

5,000.00

5,518.75

5,400.00

118.75

312.50

130.20

4.29%

107.00

10,000.00

10,700.00

10,200.00

500.00

637.50

265.62

5.02%

113.05

10,000.00

11,305.30

11,040.00
265.30
775.00
357.36
5.20%
116.30
5,000.00
5,815.15
5,762.50
52.65
493.75
227.67
6.56%
113.00
20,000.00
22,600.00
21,950.00
650.00
1,625.00
812.50
5.55%
108.00
15,000.00
16,200.00
16,012.50
187.50
1,181.25
98.43
6.24%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Income
Accrued Interest
Yield
116.07
7,000.00
8,124.97
7,735.00
389.97
796.25
168.09
7.86%
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GHISLAINE MAXWELL-HY ACCT. [REDACTED]

For the Period 9/1/12 to 9/30/12

Price

US Fixed Income

CSC HOLDINGS LLC

8 5/8% FEB 15 2019

DTD 02/12/2009

126307-AC-1 BB+ /BA3

HCA INC

8 1/2% APR 15 2019

DTD 04/15/2010

404119-BF-5 BB /BA3

FELCOR LODGING LP

6 3/4% JUN 01 2019

DTD 05/10/2011

31430Q-BB-2 B- /B2

CINEMARK USA INC

8 5/8% JUN 15 2019

DTD 12/15/2009

172441-AS-6 B+ /B2

LIMITED BRANDS INC

8 1/2% JUN 15 2019

DTD 12/15/2009

532716-AR-8 BB+ /BA1

REGAL CINEMAS CORP

8 5/8% JUL 15 2019

DTD 07/15/2009

758753-AD-9 B- /B2

DISH DBS CORP

7 7/8% SEP 01 2019

DTD 08/17/2009

25470X-AB-1 BB- /BA2

116.00

15,000.00

17,400.00

16,462.50

937.50

1,181.25

98.43

5.10%

119.75

20,000.00

23,950.00

23,000.00

950.00

1,700.00

500.54

111.00

5,000.00

5,550.00

5,640.00

(90.00)
431.25
91.04
5.00%
111.25
10,000.00
11,125.00
11,205.00
(80.00)
862.50
253.95
6.52%
107.25
5,000.00
5,362.50
5,057.50
305.00
337.50
112.50
5.44%
112.88
20,000.00
22,575.00
22,250.00
325.00
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Income
Accrued Interest
Yield
118.50
10,000.00
11,850.00
11,425.00
425.00
862.50
110.20
1,700.00
783.88
5.18%
6.08%
6.59%
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GHISLAINE MAXWELL-HY ACCT. [REDACTED]

For the Period 9/1/12 to 9/30/12

Price

US Fixed Income

WEYERHAEUSER CO

7 3/8% OCT 01 2019

DTD 10/01/2009

962166-BV-5 BBB /BA1

UNITED RENTALS NORTH AM

9 1/4% DEC 15 2019

DTD 11/17/2009

911365-AU-8 B+ /B3

PIONEER NATURAL RESOURCE

7 1/2% JAN 15 2020

DTD 11/30/2009

723787-AJ-6 NR /BAA

NEWFIELD EXPLORATION CO

6 7/8% FEB 01 2020

DTD 01/25/2010

651290-AN-8 NR /BA2

LEAR CORP

8 1/8% MAR 15 2020

DTD 03/26/2010

521865-AS-4 BB /BA2

MGM RESORTS INTERNATIONAL

9% MAR 15 2020

DTD 03/15/2011

55303Q-AD-2 B+ /BA2

CB STEEL DYNAMICS INC

7.625% MAR 15 2020

DTD 03/17/2010

858119-AR-1 BB+ /BA2

108.50

5,000.00

5,425.00

5,568.75

(143.75)

381.25

16.94

6.18%

111.75

15,000.00

16,762.50

16,612.50

150.00

1,350.00

60.00

6.95%

112.50

9,000.00

10,125.00

9,850.50
274.50
731.25
32.49
6.02%
109.50
20,000.00
21,900.00
21,450.00
450.00
1,375.00
229.16
5.29%
124.50
20,000.00
24,900.00
22,800.00
2,100.00
1,500.00
316.66
3.64%
112.50
15,000.00
16,875.00
16,687.50
187.50
1,387.50
408.54
7.01%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Income
Accrued Interest
Yield
124.39
10,000.00
12,439.10
11,509.70
929.40
737.50
368.75
3.43%
Page 12 of 24

GHISLAINE MAXWELL-HY ACCT. [REDACTED]

For the Period 9/1/12 to 9/30/12

Price

US Fixed Income

BOISE PAPER HDG CO ISSR

8% APR 01 2020

DTD 03/19/2010

09747G-AB-9 BB /BA3

CONSOL ENERGY INC

8 1/4% APR 01 2020

DTD 10/01/2010

20854P-AF-6 BB /B1

US STEEL CORP

SR NOTES 7 3/8% APR 01 2020

DTD 03/19/2010

912909-AF-5 BB /B1

AIRCASTLE LTD

7.625% APR 15 2020

DTD 06/06/2012

00928Q-AG-6 BB+ /BA3

CF INDUSTRIES INC

7 1/8% MAY 01 2020

DTD 04/23/2010

12527G-AB-9 NR /BAA

OMNICARE INC

7 3/4% JUN 01 2020

DTD 05/18/2010

681904-AM-0 BB /BA3

ADVANCE MICRO DEVICES

7 3/4% AUG 01 2020

DTD 08/04/2010

007903-AU-1 BB- /BA3

101.50

10,000.00

10,150.00

10,310.00

(160.00)

775.00

129.16

7.49%

110.06

15,000.00

16,509.30

16,320.00

189.30

1,162.50

387.49

6.09%

125.50

10,000.00

12,550.00

11,675.00
875.00
111.88
2,000.00
2,237.62
2,185.00
52.62
152.50
48.71
712.50
296.87
5.67%
99.91
10,000.00
9,991.40
10,437.50
(446.10)
737.50
368.75
7.39%
104.50
15,000.00
15,675.00
16,556.25
(881.25)
1,237.50
618.75
7.46%
110.00
10,000.00
11,000.00
10,862.50
137.50
800.00
400.00
6.31%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Income
Accrued Interest
Yield
3.30%
Page 13 of 24

GHISLAINE MAXWELL-HY ACCT. [REDACTED]

For the Period 9/1/12 to 9/30/12

Price

US Fixed Income

RANGE RESOURCES CORP

6 3/4% AUG 01 2020

DTD 08/12/2010

75281A-AL-3 BB /BA3

WYNN LAS VEGAS LLC CORP

7 3/4% AUG 15 2020

DTD 08/04/2010

983130-AR-6 BBB /BA2

NRG ENERGY INC

8 1/4% SEP 01 2020

DTD 03/01/2011

629377-BJ-0 BB- /B1

BALL CORP

SR NOTES 6 3/4% SEP 15 2020

DTD 03/22/2010

058498-AP-1 BB+ /BA1

ARCH COAL INC

7 1/4% OCT 01 2020

DTD 08/09/2010

039380-AC-4 B- /B3

BE AEROSPACE INC

SR NOTES 6 7/8% OCT 01 2020

DTD 09/16/2010

055381-AR-8 BB /BA2

ENERGY TRANSFER EQUITY

7 1/2% OCT 15 2020

DTD 09/20/2010

29273V-AC-4 BB /BA2

113.43

20,000.00

22,685.20

21,450.00

1,235.20

1,500.00

691.66

5.42%

110.25

15,000.00

16,537.50

16,012.50

525.00

1,031.25

515.62

5.29%

84.25

3,000.00

2,527.50

3,090.00
(562.50)
110.00
15,000.00
16,500.00
16,050.00
450.00
1,012.50
45.00
217.50
108.75
5.20%
111.25
10,000.00
11,125.00
10,950.00
175.00
775.00
99.02
109.31
15,000.00
16,396.80
15,637.50
759.30
1,237.50
103.12
5.94%
110.63
9,000.00
9,956.97
9,720.00
236.97
607.50
101.25
5.09%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Income
Accrued Interest
Yield
6.71%
10.17%

GHISLAINE MAXWELL-HY ACCT. [REDACTED]

For the Period 9/1/12 to 9/30/12

Price

US Fixed Income

SUNGARD DATA SYSTEMS INC

SR SUB 7 5/8% NOV 15 2020

DTD 11/16/2010

CALLABLE 11/15/2015 @ 103.81

867363-AU-7 B /CAA

COVANTA HOLDING CORP

SR NOTES 7 1/4% DEC 01 2020

DTD 12/01/2010

22282E-AD-4 B+ /BA3

INTL LEASE FINANCE CORP

8 1/4% DEC 15 2020

DTD 12/07/2010

459745-GF-6 BBB /BA3

CROWN AMER/CAP CORP III

6 1/4% FEB 01 2021

DTD 08/01/2011

22818V-AB-3 BB /BA2

KEY ENERGY SERVICES INC

6 3/4% MAR 01 2021

DTD 03/04/2011

492914-AS-5 BB- /B1

AMERIGAS PART FIN CORP

SR NOTES 6 1/2% MAY 20 2021

DTD 01/20/2011

030981-AF-1 NR /BA3

AMKOR TECHNOLOGIES INC

6 5/8% JUN 01 2021

DTD 05/20/2011

031652-BE-9 BB /BA3

102.07

5,000.00

5,103.45

4,925.00

178.45

331.25

110.41

6.31%

104.50

7,000.00

7,315.00

N/A **

N/A

455.00

165.56

5.83%

103.23

5,000.00

5,161.55
5,127.50
34.05
337.50
28.12
6.25%
112.73
15,000.00
16,909.95
16,262.50
647.45
937.50
156.24
4.41%
118.50
15,000.00
17,775.00
16,540.00
1,235.00
1,237.50
364.36
5.42%
111.07
3,000.00
3,332.16
3,255.00
77.16
217.50
72.49
5.54%
109.07
5,000.00
5,453.45
5,375.00
78.45
381.25
144.02
6.19%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Income
Accrued Interest
Yield
Page 15 of 24

GHISLAINE MAXWELL-HY ACCT. [REDACTED]

For the Period 9/1/12 to 9/30/12

Price

US Fixed Income

IRON MOUNTAIN INC

8 3/8% AUG 15 2021

DTD 08/10/2009

46284P-AM-6 B+ /B1

CCO HLDGS LLC/CAP CORP

6 5/8% JAN 26 2012

DTD 01/31/2022

1248EP-AX-1 BB- /B1

ARCELORMITTAL

6 1/4% FEB 25 2022

DTD 02/28/2012

03938L-AX-2 BB+ /BAA

CHESAPEAKE MIDSTREAM PT

6.125% JUL 15 2022

DTD 01/11/2012

16524R-AE-3 BB- /BA3

COVANTA HOLDING CORP

6 3/8% OCT 01 2022

DTD 03/19/2012

22282E-AE-2 B+ /BA3

QEP RESOURCES INC

5 3/8% OCT 01 2022

DTD 03/01/2012

74733V-AB-6 BB+ /BA1

FORD MOTOR COMPANY

GLOBAL LANDMARK SECURITIES NOTES

7.45% JUL 16 2031

DTD 7/16/99

345370-CA-6 BB+ /BAA

103.25

20,000.00

20,650.00

19,861.25

788.75

1,075.00

627.08

124.28

10,000.00

12,428.20

11,475.00

953.20

745.00

155.20

4.96%

109.00

7,000.00

7,630.00

7,210.00
420.00
446.25
238.00
5.21%
106.38
4,000.00
4,255.28
3,930.00
325.28
245.00
51.72
5.28%
99.51
5,000.00
4,975.35
5,025.00
(49.65)
312.50
31.25
6.32%
109.25
6,000.00
6,555.00
5,970.00
585.00
397.50
67.35
5.35%
Quantity
Value
Adjusted Tax Cost
Original Cost
Unrealized
Gain/Loss
Est. Annual Income
Accrued Interest
Yield
111.57
15,000.00
16,735.95
15,900.00
835.95
1,256.25
160.51
6.63%
5.38%
Page 16 of 24

GHISLAINE MAXWELL-HY ACCT. [REDACTED]

For the Period 9/1/12 to 9/30/12

Price

US Fixed Income

GMAC

8% NOV 01 2031

DTD 12/31/2008

36186C-BY-8 B+ /B1

CAPITAL ONE CAPITAL IV

6.745% FEB 17 2037

DTD 02/05/2007

140422-AA-4 BB+ /BAA

AMERICAN INTL GROUP

8.175% MAY 15 2058

DTD 05/15/2009

026874-BS-5 BBB /BAA

Total US Fixed Income

\$1,048,317.38

\$1,004,125.86

\$36,876.52

100.69

20,000.00

20,138.00

20,275.00

(137.00)

1,349.00

164.86

122.67

15,000.00

18,400.80

16,428.75

1,972.05

1,226.25

463.24

\$70,701.50

\$20,833.76

Global Fixed Income

WPX ENERGY INC

5.25% JAN 15 2017

DTD 06/25/2012

98212B-AC-7 BB+ /BA1

6.69%

Quantity

Value

Adjusted Tax Cost

Original Cost

Unrealized

Gain/Loss

Est. Annual Income

Accrued Interest

Yield

116.51
20,000.00
23,301.00
21,912.50
1,388.50
1,600.00
666.66
6.48%
6.60%
5.45%
108.14
5,000.00
5,406.90
5,362.50
44.40
262.50
55.41
3.20%
Page 17 of 24

GHISLAINE MAXWELL-HY ACCT. [REDACTED]

For the Period 9/1/12 to 9/30/12

Portfolio Activity Summary

Beginning Cash Balance

Transactions

INFLOWS

Total Inflows

Income

OUTFLOWS **

Fees & Commissions

Interest Purchased

Total Outflows

TRADE ACTIVITY

Settled Sales/Maturities/Redemptions

Settled Securities Purchased

Total Trade Activity

Ending Cash Balance

11,902.50

(32,458.75)

(\$20,556.25)

\$22,822.30

* Year to date information is calculated on a calendar year basis.

** Your account's standing instructions use a HIGH COST method for relieving assets

from your position

179,931.22

(211,707.91)

(\$31,776.69)

-(262.18)

(\$262.18)

(15,832.06)

(293.72)

(\$16,125.78)

Current

Period

Value

37,992.49

5,648.24

\$5,648.24

Year-To-Date

Value*

-49,173.78

\$49,173.78

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GHISLAINE MAXWELL-HY ACCT. [REDACTED]

For the Period 9/1/12 to 9/30/12

Portfolio Activity Detail

INFLOWS & OUTFLOWS

Type

Settle Date Selection Method

Income

9/4

9/4

9/4

9/4

9/4

9/4

9/4

9/4

9/5

9/14

9/14

Corporate Interest

Corporate Interest

Corporate Interest

Corporate Interest

Corporate Interest

Corporate Interest

Interest Income

Accrued Int Recd

Corporate Interest

Accrued Int Recd

Accrued Int Recd

Description

DISH DBS CORP 7 7/8% SEP 01 2019 DTD 08/17/2009

(ID: 25470X-AB-1)

EQUINIX INC SR NOTES 8 1/8% MAR 01 2018 DTD

03/03/2010 (ID: 29444U-AJ-5)

KEY ENERGY SERVICES INC 6 3/4% MAR 01 2021 DTD

03/04/2011 (ID: 492914-AS-5)

METROPCS WIRELESS INC SR NOTES 7 7/8% SEP 01

2018 DTD 09/21/2010 (ID: 591709-AK-6)

NRG ENERGY INC 8 1/4% SEP 01 2020 DTD

03/01/2011 (ID: 629377-BJ-0)

RITE AID CORP 7 1/2% MAR 01 2017 DTD 02/21/2007

(ID: 767754-BL-7)

DEPOSIT SWEEP INTEREST FOR AUG. @ .01% RATE ON

NET AVG COLLECTED BALANCE OF \$30,725.10 AS OF

09/01/12

FOREST OIL CORPORATION 7 1/4% JUN 15 2019 DTD

12/15/2007 (ID: 346091-AZ-4)

HOST HOTELS & RESORTS LP 6 3/4% JUN 01 2016 DTD

04/04/2006 (ID: 44108E-BA-5)

FOREST OIL CORPORATION 7 1/4% JUN 15 2019 DTD

12/15/2007 (ID: 346091-AZ-4)

FOREST OIL CORPORATION 7 1/4% JUN 15 2019 DTD
12/15/2007 (ID: 346091-AZ-4)

2,000.000

2,000.000

3,000.000

2,000.000

0.016

0.018

0.018

0.018

31.82

35.25

53.77

35.85

Quantity

Cost

15,000.000

10,000.000

5,000.000

15,000.000

15,000.000

20,000.000

Per Unit

Amount

0.039

0.041

0.034

0.039

0.041

0.038

Amount

590.63

406.25

168.75

590.63

618.75

750.00

0.26

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GHISLAINE MAXWELL-HY ACCT. [REDACTED]

For the Period 9/1/12 to 9/30/12

Type

Settle Date Selection Method

Income

9/14

9/17

9/17

9/17

9/17

9/17

Accrued Int Recd

Corporate Interest

Corporate Interest

Corporate Interest

Corporate Interest

Corporate Interest

Total Income

Type

Settle Date Selection Method

Interest Purchased

9/14

9/25

9/25

Accrued Int Paid

Accrued Int Paid

Accrued Int Paid

Description

WPX ENERGY INC 5.25% JAN 15 2017 DTD 06/25/2012

@ 107.25 BARCLAYS CAPITAL INC FIXED INCOME

TRADE DATE 09/11/12 (ID: 98212B-AC-7)

ARCELORMITTAL 6 1/4% FEB 25 2022 DTD 02/28/2012

@ 100.50 GOLDMAN SACHS & CO. TRADE DATE

09/20/12 (ID: 03938L-AX-2)

ADVANCE MICRO DEVICES 7 3/4% AUG 01 2020 DTD

08/04/2010 @ 103.25 GOLDMAN SACHS & CO. TRADE

DATE 09/20/12 (ID: 007903-AU-1)

Page 20 of 24

(46.50)

0.00

(27.08)

0.00

Quantity

Cost

Description

FOREST OIL CORPORATION 7 1/4% JUN 15 2019 DTD

12/15/2007 (ID: 346091-AZ-4)

AVIS BUDGET CAR RENTAL 9 5/8% MAR 15 2018 DTD

03/10/2010 (ID: 053773-AL-1)

BALL CORP SR NOTES 6 3/4% SEP 15 2020 DTD

03/22/2010 (ID: 058498-AP-1)

CIT GROUP INC 5 1/4% MAR 15 2018 DTD 03/15/2012

(ID: 125581-GL-6)

LEAR CORP 8 1/8% MAR 15 2020 DTD 03/26/2010

(ID: 521865-AS-4)

MGM RESORTS INTERNATIONAL 9% MAR 15 2020 DTD

03/15/2011 (ID: 55303Q-AD-2)

Quantity

Cost

3,000.000

5,000.000

15,000.000

20,000.000

9,000.000

15,000.000

Per Unit

Amount

0.018

0.048

0.034

0.026

0.041

0.045

Amount

53.77

240.63

506.25

525.00

365.63

675.00

\$5,648.24

Amount

(43.02)

0.00

GHISLAINE MAXWELL-HY ACCT. [REDACTED]

For the Period 9/1/12 to 9/30/12

Type

Settle Date Selection Method

Interest Purchased

9/25

Accrued Int Paid

Description

ROYAL BANK SCOTLAND GRP PLC 5.05% JAN 08 2015

DTD 12/08/2004 @ 103.20 GREENWICH CAPITAL

MARKETS INC TRADE DATE 09/20/12

(ID: 780097-AP-6)

9/26

9/26

9/27

Accrued Int Paid

Accrued Int Paid

Accrued Int Paid

CB STEEL DYNAMICS INC 7.625% MAR 15 2020 DTD

03/17/2010 @ 111.375 MLPFS INC/FIXED INCOME

TRADE DATE 09/20/12 (ID: 858119-AR-1)

ADVANCE MICRO DEVICES 7 3/4% AUG 01 2020 DTD

08/04/2010 @ 103.00 BARCLAYS CAPITAL INC FIXED

INCOME TRADE DATE 09/20/12 (ID: 007903-AU-1)

ROYAL BANK SCOTLAND GRP PLC 5.05% JAN 08 2015

DTD 12/08/2004 @ 103.25 BARCLAYS CAPITAL INC

FIXED INCOME TRADE DATE 09/20/12

(ID: 780097-AP-6)

9/28

Accrued Int Paid

Total Interest Purchased

ADVANCE MICRO DEVICES 7 3/4% AUG 01 2020 DTD

08/04/2010 @ 103.00 CITIGROUP GLOBAL MARKETS

INC/SALOM TRADE DATE 09/21/12 (ID: 007903-AU-1)

(\$262.18)

(23.68)

0.00

0.00

(46.50)

0.00

(10.80)

(10.59)

0.00

Quantity

Cost

Amount

(54.01)

0.00

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GHISLAINE MAXWELL-HY ACCT. [REDACTED]

For the Period 9/1/12 to 9/30/12

TRADE ACTIVITY

Note:

L

*

Trade Date

Settle Date

8/29

9/4

9/5

9/5

9/11

9/14

9/11

9/14

9/11

9/14

indicates Long Term Realized Gain/Loss

Settled transaction was initiated in prior statement period and settled in current statement period

Type

Selection Method Description

Settled Sales/Maturities/Redemptions

Sale

High Cost

Redemption

Pro Rata

Sale

High Cost

Sale

High Cost

Sale

High Cost

FOREST OIL CORPORATION 7 1/4% JUN 15 2019 DTD

12/15/2007 @ 97.875 CITIGROUP GLOBAL MARKETS

INC/SALOM (ID: 346091-AZ-4)

HOST HOTELS & RESORTS LP 6 3/4% JUN 01 2016 DTD

04/04/2006 PARTIAL ISSUE CALLED @ 102.25

(ID: 44108E-BA-5)

FOREST OIL CORPORATION 7 1/4% JUN 15 2019 DTD

12/15/2007 @ 98.75 MLPFS INC/FIXED INCOME

(ID: 346091-AZ-4)

FOREST OIL CORPORATION 7 1/4% JUN 15 2019 DTD

12/15/2007 @ 98.75 BARCLAYS CAPITAL INC FIXED

INCOME (ID: 346091-AZ-4)

FOREST OIL CORPORATION 7 1/4% JUN 15 2019 DTD

12/15/2007 @ 98.75 MORGAN STANLEY & CO.

INCORPORATED (ID: 346091-AZ-4)

Total Settled Sales/Maturities/Redemptions

\$11,902.50

(\$12,275.00)
(\$372.50) L
(3,000.000)
98.80
2,962.50
(3,060.00)
(97.50) L
(2,000.000)
98.80
1,975.00
(2,040.00)
(65.00) L
(3,000.000)
98.80
2,962.50
(3,060.00)
(97.50) L
(2,000.000)
102.30
2,045.00
(2,075.00)
(30.00) L
Quantity
(2,000.000)
Per Unit
Amount
97.90
Proceeds
1,957.50
Tax Cost
(2,040.00)
Realized
Gain/Loss
(82.50) L *
Trade Date
Settle Date
Type
Description
Settled Securities Purchased
9/11
Purchase
9/14
WPX ENERGY INC 5.25% JAN 15 2017 DTD 06/25/2012
@ 107.25 BARCLAYS CAPITAL INC FIXED INCOME
(ID: 98212B-AC-7)
Page 22 of 24
Quantity
5,000.000
Per Unit
Amount
107.30

Market Cost
(5,362.50)

GHISLAINE MAXWELL-HY ACCT. [REDACTED]

For the Period 9/1/12 to 9/30/12

Trade Date

Settle Date

Type

Settled Securities Purchased

9/20

Purchase

9/25

9/20

9/25

9/20

9/25

9/20

9/26

9/20

9/26

9/20

9/27

9/21

9/28

Purchase

Purchase

Purchase

Purchase

Purchase

Total Settled Securities Purchased

Trade Date

Est. Settle Date Type

Pending Securities Purchased

9/27

Purchase

10/2

Description

ROYAL BANK SCOTLAND GRP PLC 5.05% JAN 08 2015

DTD 12/08/2004 (ID: 780097-AP-6)

Quantity

2,000.000

Per Unit

Amount

103.437

Purchase

Description

ARCELORMITTAL 6 1/4% FEB 25 2022 DTD 02/28/2012

@ 100.50 GOLDMAN SACHS & CO. (ID: 03938L-AX-2)

ADVANCE MICRO DEVICES 7 3/4% AUG 01 2020 DTD

08/04/2010 @ 103.25 GOLDMAN SACHS & CO.

(ID: 007903-AU-1)

ROYAL BANK SCOTLAND GRP PLC 5.05% JAN 08 2015

DTD 12/08/2004 @ 103.20 GREENWICH CAPITAL

MARKETS INC (ID: 780097-AP-6)

CB STEEL DYNAMICS INC 7.625% MAR 15 2020 DTD
 03/17/2010 @ 111.375 MLPFS INC/FIXED INCOME
 (ID: 858119-AR-1)
 ADVANCE MICRO DEVICES 7 3/4% AUG 01 2020 DTD
 08/04/2010 @ 103.00 BARCLAYS CAPITAL INC FIXED
 INCOME (ID: 007903-AU-1)
 ROYAL BANK SCOTLAND GRP PLC 5.05% JAN 08 2015
 DTD 12/08/2004 @ 103.25 BARCLAYS CAPITAL INC
 FIXED INCOME (ID: 780097-AP-6)
 ADVANCE MICRO DEVICES 7 3/4% AUG 01 2020 DTD
 08/04/2010 @ 103.00 CITIGROUP GLOBAL MARKETS
 INC/SALOM (ID: 007903-AU-1)
 (\$32,458.75)
 2,000.000
 103.00
 (2,060.00)
 1,000.000
 103.30
 (1,032.50)
 4,000.000
 103.00
 (4,120.00)
 5,000.000
 111.40
 (5,568.75)
 5,000.000
 103.20
 (5,160.00)
 Quantity
 5,000.000
 4,000.000
 Per Unit
 Amount
 100.50
 103.30
 Market Cost
 (5,025.00)
 (4,130.00)
 Market Cost
 (2,068.75)
 Page 23 of 24

GHISLAINE MAXWELL-HY ACCT. [REDACTED]

For the Period 9/1/12 to 9/30/12

Trade Date

Est. Settle Date Type

Pending Securities Purchased

9/28

Purchase

10/3

Description

ROYAL BANK SCOTLAND GRP PLC 5.05% JAN 08 2015

DTD 12/08/2004 (ID: 780097-AP-6)

Total Pending Securities Purchased

Quantity

2,000.000

Per Unit

Amount

103.75

Market Cost

(2,075.00)

(\$4,143.75)

Page 24 of 24

For the Period 9/1/12 to 9/30/12

For your convenience we have combined statement(s) for activity you conduct through J.P. Morgan in one package. Below are important disclosures relating to these different accounts.

These statements may relate to various account types. Some of the disclosures are applicable to all of your accounts. For ease of reference the disclosures applicable to a particular type of account have been grouped together by descriptive headers.

IMPORTANT GENERAL INFORMATION APPLICABLE TO ALL OF YOUR ACCOUNT(S)

Important Information about Pricing ,Valuations, Estimated Annual Income, and Estimated Yield

Market value information (including without limitation, prices, exchange rates, accrued income and bond ratings) furnished herein, some of which has been provided by pricing sources that J.P. Morgan believes to be reliable, is not guaranteed for accuracy but provided for informational purposes and is furnished for the exclusive use of the client. The current price is the value of the financial asset share, unit or contract as priced at the close of the market on the last day of the statement period or the last available price. All values provided for structured yield deposits (for example, JPMorgan London Time Deposits) reflect the original deposit amount only. The value for Real Estate, Mineral Interests and Miscellaneous Assets may not reflect the most current value of the asset.

Important information regarding Auction Rate Securities (ARS). ARS are debt or preferred securities with an interest or dividend rate reset periodically in an auction. Although there may be daily, weekly and monthly resets, there is no guarantee that there will be liquidity. If there are not enough bids at an auction to redeem the securities available for sale, the result may be a failed auction. In the event of a failed auction, there is no assurance that a secondary market will develop or that the security will trade at par or any other price reflected on statements and online. Accordingly, investors should not rely on pricing information appearing in their statements or online with respect to ARS. When J.P. Morgan is unable to obtain a price from an internal or outside source for a particular ARS, the price column on your statement will indicate "unpriced".

Valuations of over-the-counter derivative transactions, including certain derivatives-related deposit products, have been prepared on a mid-market basis. These valuations are sourced from the various issuers of the securities or they are sourced from a third party valuation provider. J.P. Morgan expressly disclaims any responsibility for (1) the accuracy of the models or estimates used in deriving the valuations, (2) any errors or omissions in computing or disseminating the valuations, and (3) any uses to which the valuations are put. Valuations are provided for information purposes only and are intended solely for your own use. Please refer to the trade confirmation for details of each transaction.

Certain assets, including but not limited to, pooled and private investments, non-publicly traded and infrequently traded securities, derivatives, partnership interests and tangible assets are generally illiquid, the value of such asset may have been provided to us by third

parties who may or may not be independent of the issuer or manager. Such information is reflected as of the last date provided to us, and is not independently verified.

In cases where we are unable to obtain a current market value from an internal or outside source for a particular security, the price column on your statement will indicate "unpriced". Although such securities may have value, please note that the value of a security indicated as "unpriced" will not be included in your overall current market value as reflected on the statement.

J.P. Morgan makes no representation, warranty or guarantee, express or implied, that any quoted value represents the actual terms at which securities could be bought or sold or new transactions could be entered into, or the actual terms on which existing transactions or securities could be liquidated. Such values may only be indicative.

When we are unable to obtain a current value from an internal or outside source for a particular security, the price column on your statement will indicate "unpriced".

If a partial call is made with respect to an issue of securities included in your Accounts we will allocate the call by a method we deem fair and equitable.

To the extent applicable, please note the following regarding estimated annual income (EAI) and estimated yield (EY): EAI and EY for certain types of securities could include a return of principal or capital gains in which case the EAI and EY would be overstated. EAI and EY are estimates and the actual income and yield might be lower or higher than the estimated amounts. EY reflects only the income generated by an investment. It does not reflect changes in its price, which may fluctuate.

Offshore Deposits - London and Nassau

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For the Period 9/1/12 to 9/30/12

Deposits in Foreign Branches are not insured by the FDIC or any other Agency of the Federal Government; amounts in such foreign accounts do not have the benefit of any domestic preference applicable to U.S Banks; certain Foreign accounts are considered reportable to the Internal Revenue Service on a Report of Foreign Bank and Financial Accounts (TD F 90-22.1).

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Investment Products: Not FDIC Insured

-No Bank Guarantee -May Lose Value

Fund manager disclosure information available upon request

If you have an investment account that is managed by an SEC-Registered Investment Advisor, J.P. Morgan will provide a copy of the advisor's Form ADV II or brochure upon written request.

These statements are not official documents for income tax reporting purposes and should not be relied upon for such purposes, including determination of income, cost basis, amortization or accretion, or gain/loss. Such information, which may be inaccurate, incomplete or subject to updating, should be confirmed with your records and your tax advisor.

Please take the steps indicated below if you think statement(s) are incorrect or contact your J.P.Morgan team if you require additional information about a transaction on your statement(s).

IMPORTANT ADDITIONAL INFORMATION APPLICABLE ONLY TO YOUR INVESTMENT MANAGEMENT ACCOUNT(S) WHICH REFLECT ASSETS HELD AT JPMORGAN CHASE BANK, N.A. In case of other errors or questions about other account statement(s)

Please review your account statement(s) and promptly report any inaccuracy or discrepancy including possible unauthorized trading activity, unrecorded dividend payments, unaccounted cash positions, improper payments or transfers in writing to JPMCB at the addresses shown on your statement(s). Any oral communication should be re-confirmed in writing to further protect your rights. If you have any questions please contact your J.P. Morgan team.

In your written communication, please provide the following information: (1) your name and account number; (2) the dollar amount of the suspected error; and (3) a description of the error and explanation, if you can, why you believe there is an error. If you need more information, you must describe the item you are unsure about. We must receive your written communication no later than 30 days after the statement on which the error or problem appeared is sent or made available. If you do not so notify us, you agree that the statement activity and account balance(s) are correct.

You must promptly advise your J.P.Morgan representative of material changes in your investment objectives or financial situation or if you wish to

modify the management of your account. Unless you inform otherwise, your J.P.Morgan representative will consider the information currently in its files to be complete and accurate.
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