

Is this entity a Private Equity Fund (Venture Capital Fund or Leveraged Buyout)?	No
Is this a Hedge Fund? (Pooled investment vehicles (often partnerships) that primarily invest financial capital of third party investors in enterprises that are too risky for the standard capital markets or bank loans.)	No
Is this a Cash Intensive business? (Cash-intensive businesses/entities exist in various industry sectors and include, but are not limited to convenience stores, grocery stores, restaurants, bars, retail stores, liquor stores, cigarette distributors, privately owned ATMs, vending machine operators and parking garage operators.)	No
Is this entity any of the following business types?	aa. None of the above
Is the Entity a Personal Holding Company (PHC) or Personal Investment Company (PIC) located in an offshore jurisdiction?	No

Expected Activity

Deposit Account(s)

Transaction Types	Expected Activity Levels	Anticipated Dollar Amount Totals per Month
Cash Deposits	Low (1-10)	\$10,000 TO \$50,000
Cash Withdrawals	Low (1-10)	\$10,000 TO \$50,000
Check Deposits	Low (1-10)	\$10,000 TO \$50,000
Checks Paid	Low (1-10)	\$10,000 TO \$50,000
Incoming Wires (Domestic)		
Outgoing Wires (Domestic)		
Incoming Wires (International)		
Outgoing Wires (International)		
ACH Deposits		
ACH Payments		
Internal Transfer (Debits/Credits)		
Asset Purchases/Sales		

What is the initial/ongoing source of funding for the client's Deposit Account(s) ?

The initial source of funding will be \$100,000 and will be from an internal transfer from the client's savings account. The ongoing source of funding will be from the payment of recruiting services performed for clients.

What is the purposed/intended use of account(s)? Please provide a detailed description of how the Deposit Account(s) will be used by the client.

The client has started a personnel recruitment consulting business. Checks received from clients for recruiting services performed will be deposited into a checking account and will be used for everyday living expenses and monthly bills.

Additional Client Information

Please include any additional information that would further explain your knowledge of the client (family tree/history, public information, websites, etc.)

Approval History

Stage Name	Signoff Date	Owner SID	Owner Name
Banker Attestation	10/14/2011 1:38 PM		PAUL V MORRIS
Market Manager Approval	10/21/2011 4:42 PM		THOMAS K SOUTHMAYD