

<p>fraud charges brought against Kevin and Ian Maxwell (sons of Robert Maxwell).</p> <p>The following information was previously reported to Mary Reith on 10/20/2005 under the account Forums, LLC (DDR # 11374/04):</p> <p>Newspaper article dated 6/23/2005 detailing Robert Maxwell misappropriated funds from the companies under his control. According to the newspaper article, "He pledged assets as security for additional loans", but instead of delivering the assets to the lender, he would simply sell the assets for cash. "For example, Berlitz language school was supposedly sold to a Japanese publishing company, but th</p>		
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Expense No.: 99/31167

Total Costs: \$0.00

Signed by: Marisol X Torres-Soler

Dated: 12/10/2009 11:44:56 AM

**Background Checks conducted in addition to Security Services**

Background Check	Date (Required If Check Carried Out)	Comments (Required If Check Carried Out)
<input checked="" type="checkbox"/> Morgan Network	12/11/2009	Ghislaine Maxwell is an existing client.
<input type="checkbox"/> TRW/CIC		
<input type="checkbox"/> Lexis Nexis		
<input type="checkbox"/> Dun_Bradstreet		
<input type="checkbox"/> Bank References		
<input type="checkbox"/> Directories		
<input type="checkbox"/> Bus Social Contacts		
<input type="checkbox"/> Other		

**Summary**

Summary Of Findings: This is an existing account which is being transferred from Retail into PB.

Anticipated Product(s) Deposits

**Client Hierarchy Assignments**

GRAY SHADED AREA CONTAINS SPN/CAS ID ASSIGNMENT INFORMATION			
Banker SID	N256838		
Banker BAC:	8634--CHBG		
FNEN:	01		
Does the DDR have Multiple Entities?	No		
Client Hierarchy and Additional Entity Assignment:			
Name	Tax ID #	Existing CAS Id	Linking to DM Id