

Notes

Email

Home

Identification & Tax Info

Tax

Type of Tax ID Social Security Number

Social Security Number [REDACTED]

Identification

Form of Government ID

NONE

Why are you asking for an exception to the policy, and what actions are being taken to mitigate risk?

Attached florida drivers license is poor quality. According to last KYC attached, license number is [REDACTED] Accounts for this client have all closed as they were asked to leave by rick committee, so asking for an ID from client now is not appropriate

Withholding Federal Status

Domestic Certified

Client Coverage

Client Coverage

Decision Maker ECI Decision Maker Full Name

[REDACTED] GHISLAINE MAXWELL

Banker SID	Banker Full Name	Client Service Team ID	Client Service Team Name	Cost Center Code
[REDACTED]	JAMES N BUCKLEY	854	CHBG (DE) 4	008634

Investor SID Investor Full Name

[REDACTED] JASON A BELL

Introduction

Client Introduction Details

How were you introduced to the client?

Maxwell was referred by Jeffrey Epstein, a former client of the Private Bank and advisor to Leslie Wexner, also a longstanding client. They referred her to Jes Staley, who then referred Ghislaine Maxwell to our team.

What additional research have you done on the client, aside from meeting them in person?

JPMC Network: Maxwell was referred by Jeffrey Epstein, a former client of the Private Bank and advisor to Leslie Wexner, also a longstanding client. Jes Staley met with Ghislaine Maxwell; online research; referrals from intermediaries. James Buckley is the latest banker to have met Ghislaine Maxwell in person

Has the banker or other appropriate officer of JPMorgan met the client in person?

Yes

PEP

Is the client a current or former Senior United States or Non-USA Official / also known as a Politically Exposed Person (PEP), related to a PEP, or closely associated with a PEP?

No

Source of Wealth / Financial Information

Which country(ies) is(are) the Clients primary Source of Wealth or Income derived?

UNITED STATES

Is 10% or more of the wealth derived from assets, investments or company ownership in any OFAC Sanctioned Countries?

No

Employment Status

Unemployed

Source(s) of Wealth - identify the clients source(s) of wealth from the following list of options

Inheritance

Inheritance

Provide a description as to how and when the original wealth was created, including the primary individual from whom the wealth came.

JPM Internal Use Only the name of the individual, date of birth, Tax ID if available, and relationship to the client)

CLIENT EXITED THE BANK ON REQUEST OF RISK COMMITTEE AND THE LAST ACCOUNTS CLOSED JUNE 8, 2014

We understand her wealth came from trusts her father Robert Maxwell left behind after he passed away on 5 November 1991

Confidential Treatment Requested by JPMorgan Chase

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