

Identification			
Withholding Federal Status		Withholding SSN/TIN Certification Date	
		SSN/TIN/EIN	
Form of government issued identification obtained for the files			
Primary Identification Number		Issued By	
Date Issued		Expiration Date	

Introduction & Wealth	
Introduction Type	
Net Worth of client(\$)	

Source Of Wealth / Financial Information Details	
Source Of Wealth -Identify the Clients Source of Wealth from following list of options	Executive Management

Introduction	
Non Individual Entity Type	Corporation - Not for Profit
<input checked="" type="checkbox"/> How is the charitable entity being funded?	Public Donations
Describe the charitable entity and its Mission/program. Include who benefits from the charitable entity.	Our mission is to increase respect and protection for animals (with an emphasis on wildlife, particularly marine mammals) and their environment through research and education. Scientific endeavors include non-invasive research, academic writing and publication, lectures and facilitation of workshops and participation and collaboration in various academic projects. Educational activities include public presentations for schools and events, providing guidance and assistance to students and teachers, writing and publication in popular magazines and newspapers, and working with the media.
Where is the charity headquartered?	New York City
What geographic locations does the charity serve?	Domestic
How are the charitable funds distributed?	The principal activities of the corporation will be to support organizations and projects that will make the world's oceans cleaner and safer, sponsor educational programs to schools and the public regarding the study and protection of wildlife and the environment with an emphasis on marine mammals and marine ecosystems.
What is the approximate annual income/contribution received by the Charity?	This is a new start-up not-for-profit organization. There is no annual income at this time. The initial contribution will be \$5,000.
Who is the donor base?	The donor base will be from public donations.
Who makes up the entity's volunteer base?	Ghislaine Maxwell, Chairman, of the not-for-profit organization and public volunteers of Ghislaine Maxwell's choosing.
Does this charitable entity have affiliations with any NGOs, Governments, or other organizations?	No
Describe the entity's internal controls:	This is a new not-for-profit start-up corporation. The organization's internal controls will be conducted by the organization's accounting firm, Marks, Paneth & Shron, LLP.
Describe the entity's record keeping controls:	The record keeping controls will be conducted by the not-for-profit organization accounting firm, Marks, Paneth & Shron, LLP - the accounting firm used by the e Chairman, Ghislaine Maxwell.
Are there any authorized signers or any other individuals with authority over the entity?	Yes
What independent background check, in addition to the Global Corporate Security ("GCS") background check, has been carried out? Check all that apply and provide the date in which the background check was conducted. (at least 1 must be selected)	JPMC Network
JPMC Network Date	04/26/2012
JPMC Network Comments	This is another part of existing client relationship with Ghislaine Maxwell. The client has requested the opening of a business checking account for new start-up not-for-profit corporation.

Politically Exposed Person	
Are any of the beneficial owners/authorized signers or other individuals with authority over this entity a current or former Senior United States or Non-USA Political Official / also known as a Politically Exposed Person (PEP) or related to one?	No

Source of Wealth/Financial Information	
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