

	by Banker Required?		
Company Information	No	No additional research necessary.	
Corporate Records	No	No additional research necessary.	
Other Database Searches (RDC/CDC/MIS)	No	No additional research necessary.	
Internal Database Search (CSSS/FPS)	No	Information confirmed; details included in the attachment.	
State Court Searches	No	No additional research necessary.	
Federal Court Searches	No	No additional research necessary.	
Personal Particulars	No	No additional research necessary.	
Publications	No	Publications revealed nothing derogatory.	
Regulatory Sanctions	No	No records were found.	
OFAC/Control List Search	No	No records were found.	

Has this been identified as a Red Dot DDR? :  Yes  No

Expense No.: 99/31167

Total Costs: \$0.00

Signed by: Aaron X Radvanyi

Dated: 02/02/2009 09:03:25 AM

#### Background Checks conducted in addition to Security Services

Background Check	Date (Required If Check Carried Out)	Comments (Required If Check Carried Out)
<input checked="" type="checkbox"/> Morgan Network	02/17/2009	Ghislaine Maxwell is an existing client and a DDR was completed on her in 2003. This real estate account was created in the International DDR database in 2006, and this US DDR is to update her information on the US database. Ms. Maxwell is known to Jes Staley.
<input type="checkbox"/> TRW/CIC		
<input type="checkbox"/> Lexis Nexis		
<input type="checkbox"/> Dun_Bradstreet		
<input type="checkbox"/> Bank References		
<input type="checkbox"/> Directories		
<input type="checkbox"/> Bus Social Contacts		
<input type="checkbox"/> Other		

#### Summary

##### Summary Of Findings:

Ghislaine Maxwell is an existing client and a referral from Jeffrey Epstein. This account pays her real estate taxes and other expenses related to her NY residence of the same address as the account title.

Ghislaine Maxwell is a "high profile figure" for two reasons: i) she is the daughter of the late Robert Maxwell, who died under suspicious circumstances; and ii) she is a close friend of Jeffrey Epstein and his office manages her affairs. On the latter, Mr. Epstein was convicted of a felony and is currently serving a jail term. Jes Staley conferred with Steven Cutler and the decision was made to keep him as a PB client but only for banking and custody. On the former, we have no reason to believe that she had any participation in or influence over the fraudulent activities of which her late father and brothers were once