

			press articles, we have no reason to believe that she had any direct influence on or participation in the situations in which her brothers were involved. She is a high profile client given her family background and media coverage surrounding her father's death.
State Court Searches	No	Not researched.	
Federal Court Searches	No	Not researched.	
Personal Particulars	No	Not researched.	
Publications	No	Reference the attached Word document (pages 2-3) to view publications.  This information was originally reported under the Ghislaine Maxwell DDR # 1185/03.  Several newspaper articles detailing the investigation conducted by Britain's Serious Fraud Office against Robert Maxwell. He allegedly used pension funds to service debts, cover operating losses, gamble in the foreign exchange markets, and support the stock of his publicly held companies. The agency is also looking into a complaint from Swiss Bank Corp., which says it did not receive collateral for a \$100 million loan to a small private Maxwell company.  Newspaper article dated 12/01/2000, which stated that "Ghislaine Maxwell's source of wealth is being classified as something of a mystery."  Newspaper article dated 08/09/1996 detailing the arrest of Ghislaine Maxwell on DWI charges.  Numerous newspaper articles detailing the criminal fraud charges brought against Kevin and Ian Maxwell (sons of Robert Maxwell).	see above
Regulatory Sanctions	No	Not researched.	
OFAC/Control List Search	No	Reference the attached Word document (page 4) to view OFAC search results. No matches were found.	

Has this been identified as a Red Dot DDR? :  Yes  No

Expense No.:	99/31167
Total Costs:	\$0.00
Signed by:	Witold X Cyrek
Dated:	03/31/2005 06:05:36 PM

**Individual Checks**

Background Check	Date (Required If Check Carried Out)	Comments (Required If Check Carried Out)
<input checked="" type="checkbox"/> Morgan Network	03/31/2005	Maxwell was referred by Jeffrey Epstein, a longstanding client of the Private Bank and advisor to Leslie Wexner, also a longstanding client. Jes Staley has met with Ghislaine Maxwell.
<input type="checkbox"/> TRW/CIC		