

### GCS Red Dot

Has this been identified as Red Dot KYC?	No
Negative Information Found	Yes

### Banker Attestation Details

As the client sponsor, I understand that I am primarily responsible for protecting the firm's reputation from inappropriate business relationships, as well as from fraud and money laundering. By approving this KYC, I am sponsoring this client as to their character and reputation and from a legal/regulatory standpoint.	Yes
Please state your rationale for conducting business with this client	Long-term clients opening up additional accounts.
Please respond to any issue(s) identified in the GS&I grid that either needs further review, or red dot which is not already covered in a Business Case within this KYC	reviewed
Comments	

### Primary Information

Client Type	Non Individual	Front Office Restricted?	Normal
Client Status	Client	Active Indicator	
Confidential Name?	No		
Legal Business Name	POT AND KETTLE	Insider Code (Reg. O)	
Country of Primary Domicile	UNITED STATES	Primary Domicile State	Delaware
Primary Country of Assets	UNITED STATES	WebSite	
Country of Organization	UNITED STATES	Organization State	Delaware
Industry Code (NAIC)	ALL OTHER MISC CHEM PRODUCT AND PREPARED (28999)	Secondary Industry Code (NAIC)	
Client Legal Entity Type	Limited Liability Company	Client Category	Profit
Date of Incorporation/Situs (Date of Trust)	12/21/2009		
Documentary Evidence	LLC - State Certificate		
What is the nature/purpose of this entity?	The client owns a patent for a hand cleaning product/device. The client is looking to buy a company that holds patents for anti microbial technology.	Is the entity a Personal Holding Company (PHC) or Personal Investment Company (PIC) located in an offshore jurisdiction?	No
High Risk Jurisdiction	No		

### Address(es)

Type	Notes	Address	City, State, Postal Code	Country	Tools	Entered by	Date of Update	Preferred
Legal		[REDACTED]	[REDACTED]	UNITED STATES	Map it	BATCH	08/19/2011	Yes