

ACH Payments		
Internal Transfer (Debits/Credits)		
Asset Purchases/Sales	Low (1-10)	\$10,000 TO \$50,000
What is the initial/ongoing source of funding for the client's Client Directed Asset / Brokerage Account(s) ?		
This is a long-standing existing brokerage relationship. Continued source of funding is from investment proceeds.		
What is the purpose/intended use of account(s)? Please provide a detailed description of how the Client Directed Asset / Brokerage Account(s) will be used by the client.		
To preserve and grow wealth		
Managed Fiduciary/Trust Account(s)		
Transaction Types	Expected Activity Levels	Anticipated Dollar Amount Totals per Month
Cash Deposits		
Cash Withdrawals		
Check Deposits		
Checks Paid		
Incoming Wires (Domestic)		
Outgoing Wires (Domestic)		
Incoming Wires (International)		
Outgoing Wires (International)		
ACH Deposits		
ACH Payments		
Internal Transfer (Debits/Credits)		
Asset Purchases/Sales	Low (1-10)	<\$10,000
What is the initial/ongoing source of funding for the client's Managed Fiduciary/Trust Account(s) ?		
We recently opened and funded (from cash) TAP ARFI, TAP DYS and OAP, IHD strategies - fully managed by JPM. Continued source of funding (if any) would come from investment proceeds.		
What is the purpose/intended use of account(s)? Please provide a detailed description of how the Managed Fiduciary/Trust Account(s) will be used by the client.		
To preserve and grow wealth		

Additional Client Information
Please include any additional information that would further explain your knowledge of the client (family tree/history, public information, websites, etc.)
As requested, completing 3-year update on this long-standing PB client.

Comment History			
Stage Name	Creation Date	Entered By	Comment Preview
There are no comments entered at this time.			

Approval History			
Stage Name	Signoff Date	Owner SID	Owner Name
Banker Attestation	03/15/2013 11:14 AM	I095550	KIRSTEN A SICHLER
Market Manager Approval	03/15/2013 11:16 AM	U037276	THOMAS K SOUTHMAYD