

Please state your rationale for conducting business with this client	We are in the process of exiting the relationship.
Please respond to any issue(s) identified in the GS&I grid that either needs further review, or red dot which is not already covered in a Business Case within this KYC	We are in the process of exiting the relationship.
Comments	

Primary Information			
Client Type	Non Individual	Front Office Restricted?	Normal
Client Status	Client	Active Indicator	Yes
Confidential Names?	No		
Legal Business Name	116 EAST 65TH ST LLC	Insider Code (Reg. O)	
Country of Primary Domicile	UNITED STATES	Primary Domicile State	New York
Primary Country of Assets	UNITED STATES	WebSite	
Country of Organization	UNITED STATES	Organization State	New York
Industry Code (NAIC)	Personal Investment Companies [525997]	Secondary Industry Code (NAIC)	NAIC NOT PROVIDED []
Client Legal Entity Type	Limited Liability Company	Client Category	Profit
Date of Incorporation/Situs (Date of Trust)	09/15/2000		
Documentary Evidence	LLC - State Certificate		
What is the nature/purpose of this entity?	The purpose of this entity is to allow it's owner to make personal investments.	Is the entity a Personal Holding Company (PHC) or Personal Investment Company (PIC) located in an offshore jurisdiction?	No
High Risk Jurisdiction	Yes		

Address(es)								
Type	Notes	Address	City, State, Postal Code	Country	Tools	Entered by	Date of Update	Preferred
Legal		47 MADISON AVENUE	NEW YORK, New York, 10022	UNITED STATES	Map it	BATCH	09/08/2007	Yes

Phone(s)/Fax(s)						
Type	Notes	Phone Number	Tools	Entered by	Date of Update	Preferred
Phone address not available						

Email(s)					
Type	Notes	Email	Entered by	Date of Update	Preferred
Email address not available					

Cost Center & Hierarchy			
Client Status	Client	Client Type	Non Individual
Client Sponsor	WILLIAM M SHERIDAN	New or Existing Client	Existing
Cost Center	143360 - USPB UHNW NYC TRI STATE EXEC		
Client Service Team	CHBG (DE) 4	Entity Type	Entity
GIO	Paul S Barrett	Portfolio Manger	
Trust Advisor		Investor	
Decision Maker	JEFFREY E EPSTEIN	DM ECI	

Identification			
Withholding Federal Status	Domestic Certified	Withholding SSN/TIN Certification Date	10/07/2013
		SSN/TIN/EIN	

Introduction & Wealth	
Introduction Type	Existing JPMorgan Client - Inside LOB
Net Worth of client(\$)	10,000,000.00