

<input checked="" type="checkbox"/> Form of government issued identification obtained for the files	None		
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Introduction & Wealth	
Introduction Type	Existing JPMorgan Client - Inside LOB
Net Worth of client(\$)	

Source Of Wealth / Financial Information Details	
Source Of Wealth -Identify the Clients Source of Wealth from following list of options	Other

Introduction	
Non Individual Entity Type	Limited Liability Company - For Profit
For Profit?	Yes
Locations Served?	Domestic
Are beneficial owners/principal shareholders associated with this business?	Yes
Who owns this entity?	Individual
Please Provide ownership details	Jeffrey Epstein is the sole owner.
Ownership is not equal to 100%?	No
Are there any authorized signers or any other individuals with authority over the entity?	Yes
What independent background check, in addition to the Global Corporate Security ("GCS") background check, has been carried out? Check all that apply and provide the date in which the background check was conducted. (at least 1 must be selected)	JPMC Network
JPMC Network Date	08/06/2013
JPMC Network Comments	This is a 3 year review. Jeffrey Epstein is a long standing client of the PB and Justin Nelson.

Politically Exposed Person	
Are any of the beneficial owners/authorized signers or other individuals with authority over this entity a current or former Senior United States or Non-USA Political Official / also known as a Politically Exposed Person (PEP) or related to one?	No

Source of Wealth/Financial Information	
Client Category(for SOW)	For Profit
Source of Wealth- identify the clients source of wealth from the following list of options	Employment
What is the client's current position? (Include any former positions, if significant to source of wealth)	<p>Jeffrey Epstein (SSN: [REDACTED] DOB: 01/20/1953 US Citizen) is an existing client of PB. He is an active brokerage client across asset classes currently covered by the Firm's GIO desk. Jeffrey Epstein has been an investment advisor for numerous high net worth clients and also a personal investor. Jeffrey is very accessible and well known to the team. We have advised him on investments as well as estate planning opportunities. Jeffrey has continues to be well connected across business channels.</p> <p>Epstein is well known to several JPM PB employees and senior management including Jes Staley. Also, Mr. Epstein was convicted of a felony charge in 2008. Jes Staley conferred with Stephen Cutler and the decision was made to keep Mr. Epstein as a PB client. Mr. Epstein completed his sentence and probation in 2010. The relationship has been reviewed with senior management and compliance/legal on a few occasions over the past year as news stories of Jeffrey's past are picked up. No new claims or stories have surfaced recently.</p>
List the number of years in current position. (Include any former positions, if significant to source of wealth)	20
Approximate yearly income/salary/bonus/distribution and other compensation history (accumulated earned income)	5000000
Which country(ies) is(are) the Client's primary Source of Wealth or Income derived?	UNITED STATES
Is this an Operating Company?	No
Total Net Worth \$	10000000.00
What was the total net worth based on?	Financial Statements
Is this client a Professional Service Provider acting as a financial intermediary (Gatekeeper/Financial Liaison), with assets held on behalf of one or more unidentified underlying clients unknown to the bank?	No