

A Security Services Check is REQUIRED for New Clients and Prospects
 This section to be completed by the Security Services Group:

List Individuals and Corporate Entities Investigated:

Please Note: All research is subject to the availability of electronic database resources.

Attachment:



Search Type	Is Further Review by Banker Required?	Security Service Details:	Banker Response:
Company Information	No	No additional research necessary.	
Corporate Records	No	No additional research necessary.	
Other Database Searches (RDC/CDC/MIS)	No	No additional research necessary.	
Internal Database Search (CSSS/FPS)	No	Information confirmed; details included in the attachment.	
State Court Searches	No	No additional research necessary.	
Federal Court Searches	No	No additional research necessary.	
Personal Particulars	No	No additional research necessary.	
Publications	No	Publications revealed nothing derogatory.	
Regulatory Sanctions	No	No records were found.	
OFAC/Control List Search	No	No records were found.	

Has this been identified as a Red Dot DDR? : Yes No

Expense No.: 99/31167

Total Costs: \$0.00

Signed by: Aaron X Radvanyi

Dated: 02/02/2009 09:03:25 AM

Background Checks conducted in addition to Security Services

Background Check	Date (Required If Check Carried Out)	Comments (Required If Check Carried Out)
<input checked="" type="checkbox"/> Morgan Network	02/17/2009	Ghislaine Maxwell is an existing client and a DDR was completed on her in 2003. This real estate account was created in the International DDR database in 2006, and this US DDR is to update her information on the US database. Ms. Maxwell is known to Jes Staley.
<input type="checkbox"/> TRW/CIC		
<input type="checkbox"/> Lexis Nexis		
<input type="checkbox"/> Dun_Bradstreet		
<input type="checkbox"/> Bank References		
<input type="checkbox"/> Directories		
<input type="checkbox"/> Bus Social Contacts		
<input type="checkbox"/> Other		