

**Magliocco, Gina X**

**From:** Dubov, Anastasia X  
**Sent:** Friday, September 20, 2013 1:15 PM  
**To:** PB-Service1318  
**Cc:** GIO Middle Office; Barrett Team  
**Subject:** RE: moving securities in Southern Financial, Trust & Haze Trust

Janet & Team – is the below request clear? Do you need to discuss further or did you already confirm with Jeffrey?

Thx  
Stacy

**From:** Dubov, Anastasia X  
**Sent:** Thursday, September 19, 2013 9:34 PM  
**To:** PB-Service1318  
**Cc:** GIO Middle Office; Barrett Team  
**Subject:** moving securities in Southern Financial, Trust & Haze Trust

Team,

As discussed yesterday, attached please find the securities that we're transferring over to DB and the ones that are to remain at JPM. Please ensure that cost basis gets included in the ACAT (not sure if this is a given, but want to double check?). The Color Key is at the bottom of each spreadsheet. Let me know if you have any questions!

I will send through Haze Trust tomorrow – I want to confirm that there is no tax consequences/any other issues in transferring securities out of a trust and into a non trust.

Instructions

Southern Financial



account will hold positions that will remain with JPM  
account will hold positions that will be sent via ACAT to DB

account will hold positions that will remain with JPM  
account will hold positions that will be sent via ACAT to DB

Also on the phone  
Anastasia Dubov  
Paul Barrett

Thanks!  
Stacy

Stacy Dubov  
Global Investment Opportunities  
J.P. Morgan



Initiator's Name & Ext. No.	Initiator's Signature
Authorizer's Name & Ext. No.	Authorizer's Signature
Client/Caller Name: Jeffrey Epstein	
Client Telephone: Incoming call w/ Jeffrey Epstein	
Call Back Name: J. E. YOUNG	
Call Back Signature: [Signature]	
Call Back Date/Time: 9/24/13 @ 3:25pm	
CMS/Global Hold Approval	
Obtained <input type="checkbox"/>	Not Applicable <input type="checkbox"/>
DDA Central Approval	
Obtained <input type="checkbox"/>	Not Applicable <input type="checkbox"/>