

Doyle, Valencia G

From: Goicuria, Lisa I
Sent: Tuesday, July 09, 2013 9:53 AM
To: Doyle, Valencia G; Account Opening Tax Specialists
Subject: RE: W9 form for and International Client Southern Trust Company

Valencia,

Based on a review of the account docs we have on file, legally, Southern Trust Company, Inc. is a foreign corporation that appears to have obtained an EIN from the IRS to help in making its tax obligations easier from a compliance perspective.

You can leverage the W-9 on file for them to tax certify its accounts.

Regards,

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From: Doyle, Valencia G
Sent: Tuesday, July 09, 2013 9:43 AM
To: Account Opening Tax Specialists
Subject: W9 form for and International Client Southern Trust Company

Hello,

In reviewing the Southern Trust Company INC paperwork it looks like the client is an International client but using a W9 form. The client opened up another brokerage account back in February as well using the attached documents. Is it ok to use the current W9 form to proceed with this request? I have also attached a screenshot of the client below as well as a screen shot of the W9 form.