

Debit A/C# [REDACTED]

TECS CASE# 3264036 o.f JS.

Number	Account Name	Description	Ticker	Cusip	Quantity	Price	Base Price	De
[REDACTED]	SOUTHERN FINANCIAL, LLC-MGN	US DOLLAR		0USDPRAA7	33.49	0	1	
[REDACTED]	SOUTHERN FINANCIAL, LLC-MGN	US DOLLAR		0USDPRAA7	-33.49	0	1	
[REDACTED]	MGN	US DOLLAR		0USDPRAA7	314,640.75	0	1	
W91	SOUTHERN FINANCIAL, LLC	LLOYDS BANKING GROUP PLC ISIN GB0009709128 SEDOL 0870612	LLOY LN	G91101108	291,000	0.762	1.218	
W91	SOUTHERN FINANCIAL, LLC	CANADIAN DOLLAR		0CADPRAA8	0.01	1	0.989	
W91	SOUTHERN FINANCIAL, LLC	SWISS FRANC		0CHFPRAA4	0.01	1	1.081	
W91	SOUTHERN FINANCIAL, LLC	US DOLLAR		0USDPRAA7	5,573,313.57	0	1	
W91	SOUTHERN FINANCIAL, LLC	ARIAD/PHARMACEUTICALS INC	ARIA	04933A180	15,535	0	20.86	
W91	SOUTHERN FINANCIAL, LLC	GENERAL ELECTRIC CO	GE	369804103	23,000	0	24.86	
W91	SOUTHERN FINANCIAL, LLC	EURO PRINCIPAL CURRENCY		0EURPRAA8	283,178.22	1	1.335	
W91	SOUTHERN FINANCIAL, LLC	ACCOR SA ISIN FR0000120404 SEDOL 5852842	AC FP	F00189906	8,750	30.825	41.145	
W91	SOUTHERN FINANCIAL, LLC	BNP PARIBAS ISIN FR0000131104 SEDOL 7309681	BNP FP	F07875003	5,000	50.53	67.447	
W91	SOUTHERN FINANCIAL, LLC	CARREFOUR SUPERMARCHE ISIN FR0000120172 SEDOL 5841567	CA FP	F13923903	10,500	25.54	34.091	
W91	SOUTHERN FINANCIAL, LLC	RENAULT SA ISIN FR0000131806/SEDOL 4712796	RENA	759994908	4,000	59.15	78.957	
W91	SOUTHERN FINANCIAL, LLC	AUTOGRILL SA ISIN IT000113734 SEDOL 5256206	AGL IM	T8347V915	21,400	11.91	15.997	
W91	SOUTHERN FINANCIAL, LLC	SANGAM BIOSCIENCES INC	SGMO	800677106	50,000	0	11.09	
W91	SOUTHERN FINANCIAL, LLC	ADECCO SA-REG ISIN CH0012138605 SEDOL 7110720	ADEN	H00392820	4,900	63.7	68.831	
W91	SOUTHERN FINANCIAL, LLC	JC DECAUX INTERNATIONAL ISIN FR0000077919 SEDOL 7136663	DEC FP	F5333N010	10,000	26.98	36.013	
W91	SOUTHERN FINANCIAL, LLC	FRAPORT AG ISIN DE0005773303 SEDOL 7107551	FRA GR	D5856U900	5,000	51.545	68.802	
W91	SOUTHERN FINANCIAL, LLC	VOLKSWAGEN AG PFD ISIN DE0007654039 SEDOL 5497168	VOW3 GY	929862923	1,400	180.88	241.439	
W91	SOUTHERN FINANCIAL, LLC	JPM LATIN AMERICA FD - SEL FUND 3815	JLTSX	4812A3478	40,504.95	0	10.06	
W91	SOUTHERN FINANCIAL, LLC	DEUTSCHE BK AG LDN BRH DBL LONG ETN38	DAG	25154H558	50,000	0	8.51	
W91	SOUTHERN FINANCIAL, LLC	JPM VALUE ADVANTAGE FD - INSTL FUND 1400	JVAIX	4812A2587	78,789.17	0	26.23	
W91	SOUTHERN FINANCIAL, LLC	ALLY FINANCIAL INC 8 1/2% PFD	ALLY PRB	02096N308	126,800	0	26.89	
W91	SOUTHERN FINANCIAL, LLC	EARTH LINK INC 8 7/8% MAY 15 2019 DTD 05/17/2011	ELNK	270321A06	1,000,000	0	96.53	
W91	SOUTHERN FINANCIAL, LLC	LLOYDS TSB BANK PLC MTN 9 7/8% DEC 16 2021 DTD 12/16/2011						
W91	SOUTHERN FINANCIAL, LLC	HELD BY EUROCLEAR ISIN XS0717735622 SEDOL B7FQXT1		G4715J9D3	1,500,000	0	119.813	
W91	SOUTHERN FINANCIAL, LLC	FACEBOOK INC-A	FB	30303M102	17,500	0	45.23	
W91	SOUTHERN FINANCIAL, LLC	SERVICEMASTER COMPANY 6% FEB 15 2020 DTD 02/13/2012	ZZZZ	81780NAN9	2,500,000	0	100	
W91	SOUTHERN FINANCIAL, LLC	HEADWATERS INC 7.625 04/01/2019 DTD 03/11/2011		42210PAQ5	1,000,000	0	105.75	
W91	SOUTHERN FINANCIAL, LLC	BARCLAYS BANK PLC 7.750% 04/10/2023 DTD 04/10/2013		86739FHK0	300,000	0	102.927	
W91	SOUTHERN FINANCIAL, LLC	COCA-COLA HBC AG ISIN CH0198251306 SEDOL B989987	CCH LN	588874917	11,300	19.7	31.46	
W91	SOUTHERN FINANCIAL, LLC	LBG CAPITAL NO.1 PLC 144A VAR RT 12/29/2049 DTD 12/15/2009		50176R9A1	3,000,000	0	104.67	
W91	SOUTHERN FINANCIAL, LLC	HELD BY EUROCLEAR ISIN XS0473106283 SEDOL B51C139						
W91	SOUTHERN FINANCIAL, LLC	HSBC CONT BUFF EQ SX5E 08/29/14 80% EKO BARRIER- 4%CPN		40432XFP1	2,000,000	0	102.36	
W91	SOUTHERN FINANCIAL, LLC	17% CAP INITIAL LEVEL-05/24/13 SX5E-2764.29						
W91	SOUTHERN FINANCIAL, LLC	GENERAL ELSC CAP CORP 5.250% 12/31/2049 DTD 05/29/2013		389622ST3	1,400,000	0	89.749	