

X89640001

COMPLETED

MAR 14 2013

<b>Header</b>	
Ticket# 104693047	Account# [REDACTED]
Initiating Team : PBA GIO	ECI# [REDACTED]
Client Name : SOUTHERN TRUST COMPANY, INC	LOB PB
Account Title : SOUTHERN TRUST COMPANY, INC	Account Type CORPORATION
Initiated Date : 03/13/2013 06:14 PM	Initiator SCOTT WELLE
SPN : [REDACTED]	[REDACTED]

Add Margin Account - Process Request

2nd VERIFIED  
MAR 19 2013

VERIFIED

MAR 14 2013

Margin accounts are not permitted on IRA/Pension Plan/ UTMA/UGMA/Roth IRA accounts.

Related Account(s) under SPN 0239150

Account No.	Account Type	Account Title	Asset Ownership
[REDACTED]	CUSTODY	SOUTHERN TRUST COMPANY, INC	Corporation

Ticket Details

Account Information

Account Title : SOUTHERN TRUST COMPANY, INC	New Account Title : [REDACTED]
SPN Number : [REDACTED]	New SPN : [REDACTED]
Asset Account : [REDACTED]	New Asset Account Number : [REDACTED]
Other Group Code :	

Addresses

Address Type	Address
Primary	SOUTHERN TRUST COMPANY INC, C/O RICHARD KAHN, 6100 RED HOOK QUARTER, B3, ST THOMAS 008021348, ST THOMAS, 008021348
Both	THOMAS, 008021348

Product Types for Account W89640002

EQUITY	GOVT
MUNI	FOREIGN EXCHANGE
PIERPONT / FUNDS	MBS
CUSTODY	PFS
PRIVATE INVESTMENT	MM
CB	

VERIFIED  
MAR 14 2013  
BY: [Signature]

Coverage

* Sales Trader : Paul S Barrett UD34450	DCAT Reg Rep ID : 3287
FI Reg Rep ID : 463	Equity Reg Rep ID : 4463

Suitability

Speculation : <input checked="" type="radio"/> Is permitted on this account <input type="radio"/> Is not permitted on this account	Asset Ownership : Corporation
Annual Income : 5,000,000.00	Spouse Annual Income : 0
Tax Status : Not Taxable	Investment Horizon : Greater than 10 years
Bene. Own. Liquid Net Worth : 0	Custodian Liquid Net Worth : 0
Bene. Own. Total Net : 0	Custodian Total Net : 0