	Other	employee standard id :

ECP Eligible : N

ECP Cert.Date :

ECP Exp.Date :

Client provided approximate value of Investable Assets held away from the firm ? : Y

* What is the approximate value of investable assets held away from the firm ? : 100000000.00

Did Client indicate which Asset Classes they are invested in ? : Y

Assets are invested in following Asset Classes :

Asset Class	Assets are invested in	Assets are mostly invested in
Equities	☑	☑
Fixed Income & Cash	☑	☑
Alternative Investment	☑	☑
Other	☐	☐

Comment/Attachment History

Date Entered	Entered by	Comment/Attachment Preview
Mar 13 2013 6:17PM	SCOTT WELLE	Southern Trust-Margin Agreement.pdf
Mar 13 2013 6:15PM	SCOTT WELLE	Adding a margin account. See attached form.

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