

Swetra, Gina M

From: Giuffrida, David J
Sent: Thursday, September 29, 2011 11:04 AM
To: PB-Service1318
Cc: Shankar, Sukrutha; Bookman, Stacey; Htoon, Wai; Katz, Ira L; Sylvester, Michael; Morrissey, Kevin; JPMS Credit; PB Credit Risk Management; Hsiao, Dennis; Hughes, William; Kumar, B.B.; Barrett, Paul S; Morris, Paul V; Baron, Stephen P; Weissend, Renee E
Subject: RE: Immediate Action Required - REG T - FED/HOUSE CALL - 242 00686 25* 91H*FINANCIAL TRUST COMP

Importance: High

Client service team,

Please move AMT \$28,320 from financial trust W account Debit: [REDACTED] to the margin account to cure this call. Credit: [REDACTED]

Thanks

David J. Giuffrida
J.P. Morgan | Global Investment Opportunities Group
40 West 57th Street, Floor 33
New York, NY 10019
T: [REDACTED]
F: [REDACTED]

From: Kumar, B.B.
Sent: Thursday, September 29, 2011 10:15 AM
To: Barrett, Paul S; Morris, Paul V; Giuffrida, David J; Baron, Stephen P; Weissend, Renee E
Cc: Shankar, Sukrutha; Bookman, Stacey; Htoon, Wai; Katz, Ira L; Sylvester, Michael; Morrissey, Kevin; JPMS Credit; PB Credit Risk Management; Hsiao, Dennis; Hughes, William
Subject: Immediate Action Required - REG T - FED/HOUSE CALL - [REDACTED]*91H*FINANCIAL TRUST COMP
Importance: High

Team: Activities in the account yesterday generated this Federal/House call as noted below. Please provide us your action how to cure these calls before 2:00 PM today so that it can be updated to under report.

REGULATION T FEDERAL/HOUSE CALL

Date: 09/29/2011

RE: [REDACTED] 25*91H*FINANCIAL TRUST COMP

Transaction(s) on 09/28/2011 in subject account created a **House Call for \$28,320** (with imbedded **Federal Call for \$28,320** due no later than 10/05/2011) due no later than 10/04/2011.

The Fed call will automatically be cleared by satisfying the House call.