

Print Form – Service Request

Attachments Y/N N

Comments/Instructions

Please advise on the fee schedule for all circled accounts on the attached list - Entered On : Aug 03 2011

10:27PM By : JANET E YOUNG

A/C # [REDACTED] - Darren Indyke IRA Rollover

A/C # [REDACTED] - Financial Trust Company

A/C # [REDACTED] - The Haze Trust

- Entered On : Aug 03 2011 10:30PM By : JANET E YOUNG

[REDACTED] - standard brokerage (client only charged if trades away)

[REDACTED] - Custody >100MM, minimum waived, excludes cash and JPM MM Funds

[REDACTED] - Custody >100MM, minimum waived, excludes cash and JPM MM Funds

[REDACTED] - standard brokerage (client only charged if trades away)

[REDACTED] - standard brokerage (client only charged if trades away)

For additional information, please review fee calculation details in WS Fee Portal. Search for account, click on DM CAS, click on Fees. - Entered On : Aug 04 2011 08:46AM By : IRINA NICHOL

Irina, on 7/21/11, A/C # [REDACTED] was charged \$452.63 for:

JPMORGAN CHASE

CUSTODY FEES FOR THE PERIOD

FROM 04-01-2011 THROUGH 06-30-2011

MARKET VALUE: \$4,526,300.62

If this account has a fee schedule of Custody >100MM, minimum waived, excludes cash and JPM MM Funds, then what compromised the charge of \$452.63? It seems like all charges have been excluded.

- Entered On : Aug 31 2011 06:02PM By : JANET YOUNG

The Custody >100 MM schedule starts at 4 bps - so its 4 bps times the MV of 4.5MM. Please review the fee calculation details in Fee Portal. - Entered On : Sep 01 2011 08:50AM By : IRINA NICHOL

Irina, sorry but this account has the minimum waived. Shouldn't this minimum also be waived? - Entered On : Sep 01 2011 10:57AM By : JANET YOUNG