

Sep-29-2011 04:36 PM JPMcrgan Chase 302-634-5955 1/2

Swetra, Gina M

From: Giuffrida, David J
Sent: Thursday, September 29, 2011 11:04 AM
To: PB-Servicel318
Cc: Shankar, Sukrutha; Bookman, Stacey; Htoon, Wai; Katz, Ira L; Sylvester, Michael; Morrissey, Kevin; JPMS Credit; PB Credit Risk Management; Hsiao, Dennis; Hughes, William; Kumar, B.B.; Barrett, Paul S; Morris, Paul V; Baron, Stephen P; Weissend, Renee E
Subject: RE: Immediate Action Required - REG T - FED/HOUSE CALL - 242 00686 25*

91H*FINANCIAL TRUST COMP

Importance: High

Client service team,
41(1/CT-- CPULLA" CN024(%
Please move \$28,320 from financial trust W account (W23560001) to the margin account to cure this call.

Thanks

David J. Giuffrida
J.1), Morgan Global Investment Oppormnities Group
40 West 57th Street, Floor 33
New York, NY 10019
T: 212-622-2972
F: 212-310-0-108

....
From: Kumar, B.B.
Sent: Thursday, September 29, 2011 10:15 AM
To: Barrett, Paul S; Morris, Paul V; Giuffrida, David Baron, Stephen P; Weissend, Renee E
Cc: Shankar, Sukrutha; Bookman, Stacey; Htoon, Wai; Katz, Ira L; Sylvester, Michael; Morrissey, Kevin; JPMS Credit; PB Credit Risk Management; Hsiao, Dennis; Hughes, William
Subject: Immediate Action Required - REG T - FED/HOUSE CALL - 242 00686 25*91H*FINANCIAL TRUST COMP
Importance: High

EFTA01591617

Team: Activities in the account yesterday generated this Federal/House call as noted below. Please provide us your action how to cure these calls before 2:00 PM today so that it can be updated to under report,

REGULATION T FEDERAL/HOUSE CALL

Date: 09/29/2011

RE: 242 00686 25*91H*FINANCIAL TRUST COMP

Transaction(s) on 09/28/2011 in subject account created a House Call for \$28,320 (with imbedded Federal Call for \$28,320 due no later than 10/05/2011) due no later than 10/04/2011.

The Fed call will automatically be cleared by satisfying the House call.

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ID: 036109293131741 DATE: 09/29/2011 20:37:00 Page 1 of 2 DID: 8887316607 CSID: 302-634-5955 INDEX1: INDEX2:

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If this Federal Reserve Regulation T Call is not met in time, we must be supplied with a reason, as it will be necessary to apply for an extension of time from the Financial Industry Regulatory Authority (FINRA).

Please let us know promptly how the call is being addressed by email to Private Banking Risk Management at PbcreditriskmanagementApmorgan.com and/or JPMS Credit at limns creditAipmorgan.com.

If you have questions please call BB Kumar 212-272-3284, Jonathan Adwar 212- 272-5969 or Bill Harrison 212 -272 - 6232.

Thank You.

Bhaskara Kumar l Vice President I J.P. Morgan Securities - Credit I 277

EFTA01591618

Park Avenue, 2nd Floor, New York, NY 10172 I T: 212-272-3284 f F: 917-464-2432 f [jpmorgan.com](http://www.jpmorgan.com)

J.P. Morgan Securities LLC
JPMorgan Chase Bank, N.A.

ID: 036109293131741 DATE: 09/29/2011 20:37:00 Page 2 of 2 DID:
8887316607 CSID: 302-634-5955 INDEX1: INDEX2: