USCG Due Diligence Report - Individual
DDR Name: ███████████████ ~
DDR Status: Process Completed
Banker Information
Banker:
Paul V Morris/JPMCHASE
LE/OU:
Banker SID:
Banker BAC:
Banker FNEN:
Banker History:
03/04/2010 11:09:15 PM - CRD / Notrix changed: Banker from Mary C Casey/-
JPMCHASE to Paul V Morris/JPMCHASE.
07/28/2006 11:00:04 PM - CRD / Notrix changed: Banker from Jeffrey M Matusow/-
JPMCHASE to Mary C
Casey/JPMCHASE.
07/13/2006 11:00:44 PM - CRD / Notrix changed: Banker from Mary C Casey/-
JPMCHASE to Jeffrey M
Matusow/JPMCHASE.
07/10/2006 11:00:44 PM - CRD / Notrix changed: Banker from Robert Baynard/-
JPMCHASE to Mary C Casey/JPMCHASE.
07/07/2006 11:00:21 PM - CRD / Notrix changed: Banker from Mary C Casey/-
JPMCHASE to Robert Baynard/JPMCHASE.
01/19/2006 11:00:30 PM - CRD / Notrix changed: Banker from Mary Rieth/-
JPMCHASE to Mary C Casey/JPMCHASE.
04/22/2005 10:10:28 PM - CRD / Notrix changed: Banker GID from 00000043917
to U043917.
DM ID Link Information
The DM for this DDR is DM Name -JEFFREY E EPSTEIN CAS ID - 9710670708.
The following DDR's are associated with this Decision Maker .
DDR Name
116 East 65th St., LLC
Darren K. Indyke PLLC
███████████████
EMMCAC, LLC
Epstein, Jeffrey
Financial Trust Company, Inc.
Financial Trust Company, Inc.
Freedom Air International, Inc.
HBRK Associates Inc.
Hyperion Air, Inc.
I-Correct.com LLC
Indyke, Darren K
Jege, Inc.
LYN & JOJO LLC
███████████████
MAX Foundation
New York Strategy Group, LLC
Plan D, Inc.
The 2007 Jeffrey E Epstein Insurance Trust
#2 - November 1, 2007

The 2007 Jeffrey E Epstein Insurance Trust
#3 dtd November 1, 2007
The 2007 Jeffrey E. Epstein Insurance
Trust #1 Dtd November 1, 2007
The C.O.U.Q. Foundation, Inc.
The Haze Trust
Name/Contact Information
Banker
Morris, Paul V
Morris, Paul V
Morris, Paul V
Morris, Paul V
Morris, Paul V
Morris, Paul V
Morris, Paul V
Morris, Paul V
Morris, Paul V
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Morris, Paul V
Security Services Search Date
02/02/2009
10/17/2008
02/08/2004
08/06/2004
03/07/2011
08/11/1999
05/21/2003
03/11/2010
10/17/2008
02/03/2009
01/30/2009
01/15/2010
02/03/2009
07/31/2006
02/08/2004
12/10/2009
04/16/2003
01/30/2009
12/01/2008

12/02/2008
11/25/2008
01/28/2004
102/29338
N256838
8634--CHBG
01

Is this DDR being used for multiple entities?
Last Name:
First Name:
Middle Name/Initial:
Previous Last Name(s):
Title:
Business Name:
(To Record Individual's Ownership Or Employer)
Primary Address
Addresses
(Legal address on account)
Name:
Attention:
Address Line 1:
Address Line 2:
City:
State:
Province:
Postal/Zip Code:
Country:
Legal Entity:
Industry Code:
NAIC Code:
Citizenship:
Date of Birth:
Gender:
Tax ID # :
Passport/Drivers
License #:
Home Phone:

█████████████

Introduction Information
Introduction Type:
Specific Information:
Met With Principal?
If you did not meet the Principal, give an indication of why you haven't met
the individual and when you will.
Mary will meet with her as soon as possible. She has met several times with
Jeffrey Epstein.
What form of goverment issued identification document did you obtain for the
files?
Other
If Other ,Please Describe:
Financial Information
Occupation, Business or Employer
of the Client:
Model
not yet supplied
Related Client A/C
Jeffrey Epstein
To be confirmed

(Use 4-digit year)
Female
███████████

Remember to collect W-8's or other applicable tax forms for each
jurisdiction.
10021
USA
UNITED STATES
301 East 66th Street
Apt. 10N
New York
NY
Ms. ████████████████
(Other than Primary Address)
Business Address
████████████
███████

Individual / Joint

Net Worth of Client:
$ 0.1 (USD millions)
Documentation/ Information
obtained to confirm stated net
worth of the Client:
Source Of Wealth:
Please Describe:
personal information provided by Epstein advisor, Eric Ganey
Earned
through modelling assignments
Transaction Profile/Expected Account Activity
What is the
purpose/intended use of
this account?
What is the expected
source of account
funding ?
Approximate $ amount
to fund the account :
Please check the types of account and expected activity for this legal entity
(inflows and outflows of securities and cash) - check ALL that apply:
Activity Level:
Account
DDA/MMIA:
SDI:
Investment Management:
Funds:
Other:
Transaction Profile/Expected Account Activity
Checking account
Required Security Services Checks
Security Search Type:
Note for Security Services:
Please list any specific search
requests/instructions to Security
Services here
Security Services Information
A Security Services Check is REQUIRED for New Clients and Prospects
This section to be completed by the Security Services Group:
List Individuals and Corporate Entities Investigated:
█████████████ was fully researched in New York only.
Reference the Due Diligence Report titled (███████████) for a (FULL) report
on (█████████). No research was conducted
on (█████████) under this particular DDR.
Please Note: All research is subject to the availability of electronic
database resources.
Attachment:
Search Type
DDR # 061104 (no attachment)
Is
Further

Review
by
Security Service Details:
Banker
Response:
Low (1-5 transactions/month)
Medium (6-12 trs/month)
High (over 12 trs/month)
Full

Banker
Require
d?
Company Information
Corporate Records
Other Database Searches
(RDC/CDC/MIS)
Civil Court Action
Federal & State Court
Action
Personal Particulars
No
No
No
No
No
No records were found.
No records were found.
No records were found.
No records were found.
Social security number ████████ could not be confirmed as
belonging to ███████████. A check with the social security
administrations lists social security number ██████████ as a valid
number, but not yet issued.
Publications
Regulatory Sanctions
OFAC/Control List Search
Has this been identified as a Red Dot DDR? :
No
No
Nothing derogatory was found.
No records were found.
Expense No.:
Total Costs:
99/137001
$0.00
Signed by:
Dated:
Vanessa A Budhu
02/08/2004 09:42:46 AM
Individual Checks
Date
Comments
Background Check
(Required If Check
Carried Out)
01/28/2004
(Required If Check Carried Out)
██████████████ is a Slovakian citizen who has come to the
US for modelling work. Jeffrey Epstein knows the model
personally. Epstein is a longstanding client of JPMPB.

Summary
Summary Of Findings:
Jeffrey Epstein often provides support to emerging models. In this case, Ms.
███████
has arrived from the Slovak Republic and Epstein has asked us the favor of opening a
checking account for her and he will guarantee her credit card application.
The selection of
Slovakia as her citizenship in the DDR system was not available to be chosen and needs to
be rectified.
Anticipated Product(s)
Deposits

Number Assignments
Standalone Entity?
If this legal entity is or will be a Client (PCN) or
Prospect answer YES.
If this legal entity is or will be part of an Existing
PCN relationship answer NO.
If "No" above, then indicate the Client (PCN):
Ultimate Customer Number (UCN):
Optionally, you may
indicate the Affiliation Number:
SPN Required?
SPN#:
█████████
CAS ID:
Client Service Team:
0123837908
Camillo A D'Orazio/JPMCHASE, Maria A
Hornak/JPMCHASE, Ethan J Hornbecker/JPMCHASE
Please type in the name of the CSS person/persons
that supports your region.
1405152
Approval Information
Public/High Profile Figure
No
High Risk Jurisdiction
High Risk Business
Banker:
LE/OU:
Senior Manager:
No
No
Paul V Morris/JPMCHASE
102/29338
Tad C Smith
Annual / Periodic Review Information
Annual/Periodic Review
Due in:
36 months
Periodic Review Comments :
This annual/periodic review is for DMID # █████████ - DMID Name: JEFFREY E
EPSTEIN
The actual review is being conducted within the Ranch Lake III, Inc. DDR.
Banker Approval Information
Based on my due diligence and the information provided , I approve the
acceptance of █████████ ~ as a Client.
Mary Rieth 02/09/2004 08:33:37 AM
Senior Manager Approval Information
Based on the information provided and the approval granted by Mary Rieth, I
approve the acceptance of █████████ ~
as a Client.
Tad C Smith 02/09/2004 09:23:31 AM

Admin Comments

Admin Comments:
By:
Date:
Admin Comments History :
Document History
Created: 01/29/2004
Last Modified: 02/09/2004 09:23:42 AM
Submitted: 01/29/2004
Audit History
Converted Tracking Document History:
1. Security Services Sign-Off by: Vanessa A Budhu on 02/08/2004 09:42:51 AM.
2. Client Manager Approval by: Mary Rieth on 02/09/2004 08:33:37 AM.
3. Awaiting Security Services Research by: Doreen X Hewitt-Dunn on 01/29/2004 11:09:35 AM.
4. Senior Manager Approval by: Tad C Smith on 02/09/2004 09:23:34 AM.
By: Doreen X Hewitt-Dunn
By: Tad C Smith
By: Doreen X Hewitt-Dunn