

Is this a Chase Referral Client ? No

New Client

Maxwell                      Ghislaine  
Lastname                      Firstname                      MI

Security Services Check was started by Marisol X Torres-Soler (03/11/2003 07:42:43 AM)

Security Search Type : Full

Select all Checks that have been completed by Security Services:

List Individuals and Corporate Entities Investigated: Ghislaine Noelle Maxwell was fully researched in Florida and New York.

Eric T. Gany was fully researched in New York and Connecticut.

Robert Maxwell was searched through Derogatory News and Sanction records only.

PLEASE NOTE: All research is subject to the availability of electronic database resources.

PLEASE NOTE: Search parameters were adjusted due to the high volume of records on Robert Maxwell.

Attachment 

Search Type                      Is Further Review by CA Required?

Security Service Details:

Company Information	Yes	Please reference the above attached Word document page 2 for a Company record on Eric Gany.
Corporate Records	No	No records were found.
C.D.C. Brokerage	No	No records were found.
Civil Court Action	No	No records were found.

Federal & State Court Action	No	No records were found.
Financial Inquiry Database	No	No records were found.
Personal Particulars	Yes	Social security number [REDACTED] was issued to Ghislaine Noelle Maxwell in New York. Date of birth is listed as 12/25/1961. Last reported address used by Ghislaine Noelle Maxwell was 457 Madison Avenue New York, NY 10022-6843. Ghislaine Noelle Maxwell has had a previous address in Florida.

Ghislaine Noelle Maxwell possesses a Florida Drivers license.

Social security number [REDACTED] was issued to Eric T. Gany in New York. Date of birth is listed as [REDACTED]. Last reported address used by Eric T. Gany was [REDACTED]. Eric T. Gany has had a previous address in New York.

Eric T. Gany possesses a Connecticut Drivers license.

Please reference the above attached Word document. (pages 3-10)  
Publications Yes Please reference the above attached Word document pages 11-31 for publication information on Ghislaine Maxwell and Robert Maxwell.

Several newspaper articles detailing the investigation conducted by Britain's Serious Fraud Office against Robert Maxwell. He allegedly used pension funds to service debts, cover operating losses, gamble in the foreign exchange markets, and support the stock of his publicly held companies. The agency is also looking into a complaint from Swiss Bank Corp., which says it did not receive collateral for a \$100 million loan to a small private Maxwell company.

Newspaper article dated 12/01/2000, which stated that Ghislaine Maxwell's source of wealth is being classified as something of a mystery.

Newspaper article dated 08/09/1996 detailing the arrest of Ghislaine Maxwell on DWI charges.

Numerous newspaper articles detailing the criminal fraud charges brought against Kevin and Ian Maxwell (sons of Robert Maxwell.).  
Regulatory Sanctions No No records were found.

Expense No.: 99/137001  
Total Costs: \$0.00  
Signed by:  
Dated:

Maxwell, Ghislaine ~ New Client



Client Name Maxwell, Ghislaine

Business Name

Legal Entity Individual / Joint

Primary Address Name Ms. Ghislaine Maxwell Attention c/o New York Strategy Group

Primary Address Line 1 Attn: Eric Gany Primary Address Line 2 457

Madison Avenue

Primary Address City New York Primary Address State NY

Primary Address Zip/Postal Code 10022 Country USA

Home Phone [REDACTED] Tax ID [REDACTED]  
Citizenship USA Sex Female  
Passport # N/A Date of Birth

Is this DDR being used for multiple entities? No

☐  
Introduction Type Related Client A/C Specific Information  
Introduced through an existing client  
Met With Principal No  
Mary has not met with Ghislaine Maxwell, but will as soon as possible  
Identification document obtained for the files

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☐

Profession/Business Type Professional Individual's Net Worth  
\$10.00mm  
Source Of Wealth Inheritance and earned Description  
Inheritance and earned  
Specific Information discussion with POA, Eric Gany Trans'n Profile/  
Expected Account Activity: DDA/MMIA checking account

Please check the types of account and expected activity for this legal entity (inflows and outflows of securities and cash) - check ALL that apply:

DDA/MMIA Medium  
SDI  
Investment Management  
Funds  
Other:

☐  
Morgan Network 03/07/2003 New relationship being introduced to Mary from Jeffrey Epstein.

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Summary Of Findings:

Ghislaine is the daughter of the late Robert Maxwell, a British media baron. She lives in New York City and is a companion/long-time friend of Jeffrey Epstein. She is now in the process of opening up a new checking account, which Eric Gany will have POA. Eric works for Jeffrey Epstein.

Anticipated Product          Deposits; MMIA

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Standalone Entity?          Yes

PCN:

Ultimate Customer  
Number (UCN):

SPN Required?    Yes                                  SPN#:

CAS ID:

Client Service Team:    Maria A Hornak/JPMCHASE, Camillo A D'Orazio/JPMCHASE

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Is this entity a high profile client?    No

If this is a high profile client, did you obtain an approval from Senior  
Management?          No

Client Advisor    Mary Rieth                  Senior Manager    Tad C Smith  
OU Manager        Mary Rieth                  OU                  99/31167

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(Not Yet Approved)

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(Not Yet Approved)

Waiver Status Waiver Complete - DDR Pending  
Reason for Waiver Security Services is still performing the client  
background check.  
Waiver Requested By Doreen X Hewitt-Dunn  
Date of Waiver Request 03/07/2003  
Approver for Waiver Tad C Smith  
Waiver Approved On 03/07/2003

Document History:

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Last Modified: 03/17/2003 01:40:26 PM By: Marisol X Torres-Soler  
Submitted: 03/07/2003 By: Doreen X Hewitt-Dunn

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