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- :730 Marto!' C-tristiana Ra-ad,
DE /9713
I; ow-vom,-.1.,310 I r: C00- l -U1 Janet. e.you I:4J lP110fl..fl1 COM
Alternate contacts: [REDACTED]
[REDACTED]

from Sylvester, Michael
sent Wednesday, April 17, 2013 9:08 AM
To: Yourkj, Janet E-, Baky, Drew X
Cc <urrar, Schrtiid, ',f)chael
Subject RE: TE.CS Case submitted "IFF - Short Sakl Journal vs. cash -
Financsal Tru:
Tccs Case 'J2022.020 has teiti Eubriiited
This is a Nil transfer to 242 75109 ? to Soathern Finance, LLC -
I Mithael Sylvester I Margin Analyst I J.P. Morgar Irvestmeit Rank I 3
I Metrolech teeter 8th Floor Brooklya, NY 11245 T: (347) 643-1024
F: (917) 849-0227 I ird chael .sv:veitelipPoryan ,com I JPHorgan .com
From: Young, Janet E
Sent: Wedre5day, April 17, 2013 S:03 AM
To: Bailey, Drew X; Sylvester, Mictael
Cc: (J0 Middle Office,: P9-Service1318
Subject: recs Case submitted i t*- - Shot Sale Journal vs.
Case 3202000 has been submitted • Firaricial T-ust 0:), to .Southern ar.caI
LLC lnrs
Drew and Mike,
TECS Case 3202C00 has been sub -flitted to move the *ion oositior of
Telermlica from fri.,vgin # [REDACTED]-
financial Trost Co., Inc. (phase 3 rr 742006E63 tc margin A/C 4 W9185.0735 -
Southern Fina !Kid
, 1.1.0 I phase 3
74.2751093 }. Please process this journal request.

Thank VOL,
Janet Young
Janet E. Young I Vice P-esldent I Private Balk I J.P. Morgan I NMLS ID
#832072 - 50C Stanton Christiana Road, Newark
DE 19113
T1800-634-1_418 I r: 888-131-b6U/j Aanet.e.veLnealcmozan.com
Alternate contacts: [REDACTED]

From: Da-ey, Drew X
Sent 'Ti..e;riey_Aprl 16, 2013 12:49 PM
To: Young, Janet E; Pfi-5service1316
Cc: •610 Middle Office
Subject: FW: U - Short Sale Journal vs. cash - Financial Trust CO. to
Southern LLC

Hi Janet – Sorry to do toop vou Dink in on tnis request bat if you :mild
assist with suomitting a I ECS case for aurft
purpoSeS Mike Sylvester' and h4 team should be fine to make the iournaI for
is. Details are summarized below. f you
have any questions or 'ssues feei free 10 give me a tall

Thanks again for your help,
Crase 302.45

Client is transferring all assets froli their current account Financial
Trust Co. [REDACTED] to a new criti!y that vi,7is
recently established - Southern financial [REDACTED] -kind would like
to also move the below TEF short position,

The client moved in \$15k tn Cash to rece`vting margin account to
collateralize the KEG T req jirem.rnt for the short.

C.an you please review and if you have no issues approve Mike or Ira to
process this 35 a Journuf vs cash:

Moving 3 Short of 1,539 rt F vs. \$21,761.46 (today's real-time ho ledger
balance

FROM: [REDACTED]
TO: [REDACTED]

T13 nks

Drew

Winer spriley

ASS4Xsate, J.P. Morgan Private Bank
Gobat Investment Opportunities Group
320 Park Ave, 14th Floor
New York, NY 1.3022 Eg15

T:21,-622-1207

F: A66,2204575

J.P. Morgan Chase Bank, N.A.

J.P. Morgan Securities LIC

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From: Batley, (New X
Sent: Tuesday, Asprl 16, 2013 1143 AN
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tfughes,
Cc: 6:0 Middle Office
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Frörn: r2rri9on, William X

Sent: Vorclay, Aril' 15, 2013 8.48 AM
To: Flaile,f, Draw X;)PMS Ccredit; Kate, Ira I; Sylvester, Miciael; Hughes, William
Cc: GIO Middle Office
Subject TEF - Short Sale 'Puma' vs- cash - Firancial Trust CO, to Soutk-crn Finance' LLC

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ST THOMAS ST THOMAS VI "0802

From: Ba ley, Drew X
Sent: Molday, April 15, 1013 8:39 AM
To: JF:M5 Credit; Katz, Ira ,_; Sylvester, Michae!: Hughes, lAidarn
Cc: GIO Mido e Orce
Subject: -ET Short Sale)ournal Y5. ash • Finance' Trust CO, to Southern 9oaricial LLC

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short position
The client moved \$15k in Cari t ceivre margin account to dolieteratize the AEG T requirement far the short.
Can you pleaSe reittiew and if ydu ave rn 11PC approve Mike or Ira, to process this as a Journal vs cash:
Mriving short of 1,539 TO- vs S21,761.46 toolay's real-tirne short ledger balance)

FROM: 242-00686
TO 742 751L09
Let me kilo

Thanks

Drew t yot. have any quest;ons_
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