

Vijay S Patel

From:

Sent:

To:

Cc:

Subject:

Completed,
On-Line NAS

Wednesday, March 17, 2010 2:05 PM

Rosalia X Cracchiolo; On-Line NAS

PB-Service1318; PB CI Imaging Requests; csg aig closely held business

RE: [REDACTED]

Screen print.doc

[REDACTED].pdf W23560001a.pdf

For new access requests, please use COL Admin Tool to provide the user with an identification code. Please contact the Private Banking Service Center (private.banking.service.center@jpmorgan.com) for any additional assistance.

Regards,

Bikash

From: Rosalia X Cracchiolo

Sent: Monday, March 15, 2010 11:23 AM

To: On-Line NAS

Cc: PB-Service1318; Bikash X Biswas; csg aig closely held business

Subject: RE: [REDACTED]

please see below:

HARRY BELLER

[REDACTED]

LOGIN CHOICES:

HBELLER123

HARRY123

BELLER123

From: On-Line NAS

Sent: Friday, March 12, 2010 9:04 PM

To: Rosalia X Cracchiolo

Cc: PB-Service1318; Bikash X Biswas

Subject: [REDACTED]

Hi team,

Kindly provide us with the login information with the clients name so that we can proceed with the request

1

<< File: ██████████.pdf >> << File: W23560001a.pdf >>

Thanks & Warm Regards,
Bikash Biswas

=====

Wealth Management Operations
MOL Onboarding Team,
Prism "B", GSC Mumbai
Tel: 91-22-308-53413
Fax:91-22-308-53413
GDP - 308 2533

-----Original Message-----From:
Workflow.Server.PROD@cdc1pbwebprd3z5.jpmchase.net
[mailto:Workflow.Server.PROD@cdc1pbwebprd3z5.jpmchase.net]
Sent: Wednesday, March 10, 2010 4:42 PM
To: On-Line NAS; STREAM Business Analysts
Subject: New account(s) & online request
Account has been opened

Folder Name:
SPN:
Product:
Product:
Product:
FINANCIAL TRUST COMPANY INC
2811138
Asset with Brokerage
Account Title: FINANCIAL TRUST COMPANY INC
Account Number: ██████████
Brokerage w/Asset
Account Title: FINANCIAL TRUST COMPANY INC
Account Number: ██████████
X Account
Account Title: FINANCIAL TRUST COMPANY INC
Account Number: ██████████

Client Service: Young/Doherty III (OJ1)
Onboarding - please process changes to create following new authorized user
Profiles.

*****Authorized Online INFO*****
Authorized OnLine User - HARRY BELLER

J.P. Morgan Entity Account Application

A. Entity Information

Type of Business

Date of Incorporation/Organization

Primary Authorized Contact Person

Mailing Address

City

The following fields are required for Brokerage and IM Accounts:

Revenue (\$)

Gross Annual

Total Assets (\$)

Country

Liquid Net Worth

(investable assets) (\$)

Zip

Total

Net Worth (\$)

B. Account Information

Title of account/Name of business:

Corporation Partnership PIC/PHC

Limited Liability Company

Sole Proprietorship

Foundation

Asset/Custody

Limited Liability Partnership

Check if applicable

Not for profit

I have applied to open the following accounts:

Checking Account Money Market Deposit Account/Savings Investment

Management/Third Party Manager (discretionary)

Asset/Brokerage

I hereby apply for a Line of Credit linked to my Asset Account Yes No

(if Yes, a U-1 form will be required)

Each account I open is subject to the security interest provisions in the

Agreement (defined below) and is pledged as Collateral for all

my Obligations.

C. Ownership Information

Is this a privately held operating company in which any one person or entity has 25% or more of the ownership interest?

Yes (complete owner information below)

No

Controlling

Owner (Primary)

Date of Birth:

m m -

Passport Number

Controlling

Owner

Date of Birth:

Passport Number

D. Authorized Persons for Information Access

The following individuals are authorized to inquire about and receive all information about my accounts and transactions, including access to account information made available on J.P. Morgan websites:

Name

Name

E. Brokerage Information (required for Brokerage accounts only)

By checking the box below I consent to the electronic delivery of all information including, but not limited to, communications, prospectuses, and marketing and sales documents relating to my securities activity for all investment products including both registered and unregistered offerings.

I may be notified by e-mail regarding the above e-Mail Address:

My objective for this account (check one):

Capital Preservation Income Generation

Other

Capital Appreciation

Speculative or aggressive investments that may generate higher returns but may be riskier than other investments because I may

lose all or part of my investment (check one): Are permitted in this account Are not permitted in this account

Primary source of income: Investments Operating income

Please indicate the number of years of personal trading experience for the authorized party(s) on this account:

Stocks

Bonds

Commodities

Foreign

Exchange

I currently have brokerage accounts with the following firms:

J.P. Morgan Use Only

1 of 2

Title

Banker/Investor

Emerging

Markets

Options

SPN

CAS

01/10 1060

-

m m -

d

d

-

-

y

% of

Ownership

y

y
y
-
d
d
-
-
y
y
y
y
Controlling
Owner
Date of Birth:
Passport Number
-
m m -
d
d
-
-
y
y
y
y
% of
Ownership
Controlling
Owner
Date of Birth:
Passport Number
% of
Ownership
-
m m -
d
d
-
-
y
% of
Ownership
y
y
y
Country of Incorporation/Organization
Phone

J.P. Morgan Entity Account Application

E. Continued – Brokerage Information (required for Brokerage accounts only)

Authorized Persons for Brokerage trading

The following individuals shall be authorized to trade in the account (a Power of Attorney or Resolution will be required to grant trading authorization to these individuals).

Name

Name

Affiliations

1. I, my spouse or another family member is, or has been, a director, corporate officer, control person, affiliate or an owner of 10% of a public corporation's stock: Yes No

If yes, name of person

Name of corporation

If yes, is the corporation traded publicly on a U.S. stock exchange? Yes No

2. I, my spouse or another family member or an individual controlling the account is employed by or associated with a U.S.

Broker-Dealer: Yes No

If yes, Broker-Dealer must provide written permission on corporate letterhead to open a Brokerage or Margin Account.

Margin Account Requested?

Yes No

F. Agreement

I have read, understand and agree to this application and either the General Terms for Accounts and Services and the Account Agreement or, for certain checking or savings deposit accounts, the Account Rules and Regulations (in each case, our "Agreement").

The Agreement, with amendments from time to time, generally will apply to any future account, product or service that we agree upon orally, electronically or otherwise, although certain accounts, products or services may require additional documentation. This Agreement (including this application) is a security agreement under Article 9 of the Uniform Commercial Code, as amended from time to time. I have read and consent to the terms of J.P. Morgan's Private Bank and Private Wealth Management Privacy Policy, including the manner in which my information is received and used, and that upon opening an account with J.P. Morgan's Private Bank or Private Wealth Management (together described as the "Private Client Businesses" in the Policy) my information will be used by one or more members of the Private Client Businesses' family of companies (as listed in the Policy) in order to make available to me the products and services available through the Private Client Businesses.

G. Pre-dispute Arbitration

By signing below, I acknowledge my agreement to arbitrate any controversies arising out of the Margin or Brokerage

Agreements with J.P. Morgan Securities Inc., in accordance with paragraph 6 on page 28 of the General Terms

that I have received.

H. Signature

All authorized signers are required to sign below unless the entity's governing documents permit otherwise:

鈽
鈽
鈽
鈽

Signature

Signature

Signature

Signature

Date

Print Name

Options Trading (required for exchange traded options, overthe-counter traded options or structured products)

Yes (a Derivatives Approval form will be required) No

Date

Print Name

Date

Print Name

Date

Print Name

J.P. Morgan Use Only

2 of 2

Title

Banker/Investor

SPN

CAS

06/09 1060

NAR for FINANCIAL TRUST COMPANY INC (SPN: 2811138)
Status: Canceled
Accounts:
Asset
Brokerage
(██████████)
(NA)
Updates:
Supplementary Forms:
Options Agreement
Entity Resolutions
Additional Trustee
Cover Page
Power of Attorney Corporation
Tax Certification Substitute W-8BEN
Corporate/Partnership Profile : Core Information
SPN:
2811138
SPN Name:
PCN:
SIC:
SID:
Legal Entity:
Branch Location Code:
LOB Indicator:
LOB Sub Indicator:
Corporate/Partnership Profile : CAS Core Information
NAIC:
551112
Booking Bank:
New York
FINANCIAL TRUST COMPANY INC
1405152
6719 - HOLDING COMPANIES NEC 1987
JPMCB
US CLIENT MGMT
PB
Corporate/Partnership Profile : Account Information
Account Title:
Short Title:
Account Type:
PHC/PIC:
Regional Indicator:
FINANCIAL TRUST COMPANY INC
FINANCIAL TRUST COMPANY INC
Corporation
Domestic
Corporate/Partnership Profile : Authorized MOL Users
Corporate/Partnership Profile : Relationship Team

Banker:
Client Service Team:
BAC #:
Banker Officer #:
Account BAC #:
Cost Center:
Branch:
Overdraft Officer:
Treasury Officer:
Casey Mary C(CLOSELY HELD BUSINESS GROUP/1179)
Young/Doherty III (0J1) (854)
8634
U043917
0950
0814
U036592
R055312

Edit History

Modified Date

Tue Mar 02 08:55:53 EST 2010

Wed Mar 10 14:27:22 EST 2010

User

ROSALIA CRACCHIOLO

ROSALIA CRACCHIOLO

Description

Pre-filled documents sent to client

Account opening is canceled

9707980808

FINANCIAL TRUST COMPANY INC

2811138

20

20

20

20

Yes

Off

Yes

Off

Off

Off

Yes

0

0

0

0

Off

Yes

Off

Off

Off

Off

Off

Off

Off

Yes

FINANCIAL TRUST COMPANY INC, C/O AMERICAN YACHT HARBOR

ST THOMAS

F0

00802

6100 RED HOOK, QUARTERS B-3

340-775-2525

DARREN INDYKE

11/06/1998

VIRGIN ISLANDS (U.S.)

INVESTMENTS

Casey Mary C

Yes

Off

Off
Yes
Off
Yes
Yes
Off