

Call 1-800-937-2000 for 24-hour Bank-by-Phone services or connect to www.tdbank.com

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HBRK ASSOCIATES INC
575 LEXINGTON AVE 4TH FLOOR
NEW YORK NY 10022
STATEMENT OF ACCOUNT

Page: 1 of 3

Statement Period: Feb 05 2019-Feb 28 2019

Cust Ref #: [REDACTED]

Primary Account #: [REDACTED]

T

Bank Deposits FDIC Insured | TD Bank, N.A. | Equal Housing Lender

TD Business Interest Checking

HBRK ASSOCIATES INC

Account # [REDACTED]

ACCOUNT SUMMARY

Beginning Balance 0.00

Deposits 100,000.00

Other Credits 347,845.44

Checks Paid 150.00

Electronic Payments 22,952.89

Other Withdrawals 4,415.00

Ending Balance 420,327.55

Average Collected Balance 215,434.95

Interest Earned This Period 7.08

Interest Paid Year-to-Date 7.08

Annual Percentage Yield Earned 0.05%

Days in Period 24

DAILY ACCOUNT ACTIVITY

Deposits

POSTING DATE DESCRIPTION AMOUNT

02/05 DEPOSIT 100,000.00

Subtotal: 100,000.00

Other Credits

POSTING DATE DESCRIPTION AMOUNT

02/20 WIRE TRANSFER INCOMING, 42953715 - HBRK ASSOCIATES, INC 347,838.36

02/28 INTEREST PAID 7.08

Subtotal: 347,845.44

Checks Paid No. Checks: 1

*Indicates break in serial sequence or check processed electronically and listed under Electronic Payments

DATE SERIAL NO. AMOUNT

02/26 1002 150.00

Subtotal: 150.00

Electronic Payments

POSTING DATE DESCRIPTION AMOUNT

02/12 TD ATM DEBIT, AUT 021219 DDA WITHDRAW

1230 THIRD AVE NEW YORK * NY

4085404022171676

600.00

02/12 ELECTRONIC PMT-WEB, EXTRA VALUE CHEC INTERNET 043000091335220 81.52

02/13 CCD DEBIT, ADP TAX ADP TAX RXPTZ 021404A01 3,762.20

02/13 CCD DEBIT, ADP WAGE PAY WAGE PAY 943309068332PTZ 2,320.46

02/13 CCD DEBIT, ADP PAY-BY-PAYPAY-BY-PAY943309068333PTZ 40.61

02/15 ACH DEBIT, TRAVELERS INSUR CL PAYMENT 9017V91939999 2,434.54

02/19 CCD DEBIT, ADP 401K ADP 401K RXPTZ 021404V02 1,221.15

SDNY_GM_00007337

CONFIDENTIAL

How to Balance your Account

Begin by adjusting your account register as follows:

- Subtract any services charges shown on this statement.
- Subtract any automatic payments, transfers or other electronic withdrawals not previously recorded.
- Add any interest earned if you have an interest-bearing account.
- Add any automatic deposit or overdraft line of credit.
- Review all withdrawals shown on this statement and check them off in your account register.
- Follow instructions 2-5 to verify your ending account balance.

- 1.
- 2.
- 3.
- 4.
- 5.

Your ending balance shown on this statement is:

List below the amount of deposits or credit transfers which do not appear on this statement. Total the deposits and enter on Line 2.

Subtotal by adding lines 1 and 2.

List below the total amount of withdrawals that do not appear on this statement. Total the withdrawals and enter on Line 4.

Subtract Line 4 from 3. This adjusted balance should equal your account balance.

FOR CONSUMER ACCOUNTS ONLY IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS:

If you need information about an electronic fund transfer or if you believe there is an error on your bank statement or receipt relating to an electronic fund transfer, telephone the bank immediately at the phone number listed on the front of your statement or write to:

TD Bank, N.A., Deposit Operations Dept, P.O. Box 1377, Lewiston, Maine 04243-1377

We must hear from you no later than sixty (60) calendar days after we sent you the first statement upon which the error or problem first appeared. When contacting the Bank, please explain as clearly as you can why you believe there is an error or why more information is needed. Please include:

- Your name and account number.
- A description of the error or transaction you are unsure about.
- The dollar amount and date of the suspected error.

When making a verbal inquiry, the Bank may ask that you send us your complaint in writing within ten (10) business days after the first telephone call.

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We will investigate your complaint and will correct any error promptly. If we take more than ten (10) business days to do this, we will credit your account for the amount you think is in error, so that you have the use of the money during the time it takes to complete our investigation.

INTEREST NOTICE

Total interest credited by the Bank to you this year will be reported by the Bank to the Internal Revenue Service and State tax authorities. The amount to be reported will be reported separately to you by the Bank.

FOR CONSUMER LOAN ACCOUNTS ONLY BILLING RIGHTS

SUMMARY

In case of Errors or Questions About Your Bill:

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Page: 2 of 3

Ending
Balance
420,327.55
Total
Deposits
+
Sub Total
Total
Withdrawals
-
Adjusted
Balance
Total Deposits
Total
Withdrawals

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Call 1-800-937-2000 for 24-hour Bank-by-Phone services or connect to www.tdbank.com

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HBRK ASSOCIATES INC

Bank Deposits FDIC Insured | TD Bank, N.A. | Equal Housing Lender

STATEMENT OF ACCOUNT

Page: 3 of 3

Statement Period: Feb 05 2019-Feb 28 2019

Cust Ref #: [REDACTED]

Primary Account #: [REDACTED]

DAILY ACCOUNT ACTIVITY

Electronic Payments (continued)

POSTING DATE DESCRIPTION AMOUNT

02/21 TD ATM DEBIT, AUT 022119 DDA WITHDRAW

880 THIRD AVENUE NEW YORK * NY

4085404022171676

600.00

02/22 CCD DEBIT, ADP PAYROLL FEES ADP - FEES 2RPTZ 2166808 72.67

02/26 CCD DEBIT, FRANCHISE TAX BO PAYMENTS 61715214 PM 50.00

02/27 CCD DEBIT, ADP TAX ADP TAX RXPTZ 022805A01 3,754.79

02/27 CCD DEBIT, ADP WAGE PAY WAGE PAY 938809209053PTZ 2,320.49

02/27 CCD DEBIT, ADP PAY-BY-PAYPAY-BY-PAY938809209054PTZ 40.61

Subtotal: 22,952.89

Other Withdrawals

POSTING DATE DESCRIPTION AMOUNT

02/20 WIRE TRANSFER FEE 15.00

02/21 DEBIT 4,400.00

Subtotal: 4,415.00

DAILY BALANCE SUMMARY

DATE BALANCE DATE BALANCE

02/05 0.00 02/20 431,709.03

02/05 100,000.00 02/21 426,709.03

02/12 99,318.48 02/22 426,636.36

02/13 93,195.21 02/26 426,436.36

02/15 90,760.67 02/27 420,320.47

02/19 89,539.52 02/28 420,327.55

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HBRK ASSOCIATES INC

575 LEXINGTON AVE 4TH FLOOR

NEW YORK NY 10022

STATEMENT OF ACCOUNT

Page: 1 of 3

Statement Period: Mar 01 2019-Mar 31 2019

Cust Ref #: [REDACTED]

Primary Account #: [REDACTED]

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Bank Deposits FDIC Insured | TD Bank, N.A. | Equal Housing Lender

TD Business Interest Checking

HBRK ASSOCIATES INC

Account # [REDACTED]

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CONFIDENTIAL

ACCOUNT SUMMARY

Beginning Balance 420,327.55
Electronic Deposits 86.53
Other Credits 500,020.68
Electronic Payments 50,317.28
Other Withdrawals 15.00
Ending Balance 870,102.48
Average Collected Balance 486,919.98
Interest Earned This Period 20.68
Interest Paid Year-to-Date 27.76
Annual Percentage Yield Earned 0.05%
Days in Period 31

DAILY ACCOUNT ACTIVITY

Electronic Deposits

POSTING DATE DESCRIPTION AMOUNT

03/22 CCD DEPOSIT, ADP TAX ADP TAX RXPTZ 9163448VV 86.53

Subtotal: 86.53

Other Credits

POSTING DATE DESCRIPTION AMOUNT

03/26 WIRE TRANSFER INCOMING, 44129244 - SOUTHERN TRUST COMPANY, 500,000.00

03/29 INTEREST PAID 20.68

Subtotal: 500,020.68

Electronic Payments

POSTING DATE DESCRIPTION AMOUNT

03/01 ELECTRONIC PMT-WEB, VIRGO 575 BUSINE 2126002300 7,104.30

03/04 ELECTRONIC PMT-WEB, AMEX EPAYMENT ACH PMT W4200 12,542.56

03/04 CCD DEBIT, ADP 401K ADP 401K RXPTZ 022805V02 1,221.15

03/08 CCD DEBIT, ADP PAYROLL FEES ADP - FEES 662190975407362 165.57

03/08 CCD DEBIT, ADP PAYROLL FEES ADP - FEES 2RPTZ 4180050 72.67

03/12 ACH DEBIT, CHASE CREDIT CRD AUTOPAY 000000000167155 2,730.19

03/13 CCD DEBIT, ADP TAX ADP TAX RXPTZ 031406A01 3,734.19

03/13 CCD DEBIT, ADP WAGE PAY WAGE PAY 697076171774PTZ 2,320.46

03/13 CCD DEBIT, ADP PAY-BY-PAYPAY-BY-PAY697076171775PTZ 121.61

03/18 CCD DEBIT, ADP 401K ADP 401K RXPTZ 031406V02 1,221.15

03/21 ELECTRONIC PMT-TEL, OXFORD HEALTH 2 EBPP PMT Oxford 6,711.04

03/22 CCD DEBIT, ADP PAYROLL FEES ADP - FEES 2RPTZ 7255644 72.67

03/27 ELECTRONIC PMT-WEB, VIRGO 575 BUSINE 2126002300 6,247.29

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INTEREST NOTICE

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FOR CONSUMER LOAN ACCOUNTS ONLY BILLING RIGHTS SUMMARY

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- The dollar amount of the suspected error.
- Describe the error and explain, if you can, why you believe there is an error.

If you need more information, describe the item you are unsure about.

You do not have to pay any amount in question while we are investigating, but you

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are still obligated to pay the parts of your bill that are not in question. While we investigate your question, we cannot report you as delinquent or take any action to collect the amount you question.

FINANCE CHARGES: Although the Bank uses the Daily Balance method to calculate the finance charge on your Moneyline/Overdraft Protection account (the term "ODP" or "OD" refers to Overdraft Protection), the Bank discloses the Average Daily Balance on the periodic statement as an easier method for you to calculate the finance charge. The finance charge begins to accrue on the date advances and other debits are posted to your account and will continue until the balance has been paid in full. To compute the finance charge, multiply the Average Daily Balance times the Days in Period times the Daily Periodic Rate (as listed in the Account Summary section on the front of the statement). The Average Daily Balance is calculated by adding the balance for each day of the billing cycle, then dividing the total balance by the number of Days in the Billing Cycle. The daily balance is the balance for the day after advances have been added and payments or credits have been subtracted plus or minus any other adjustments that might have occurred that day. There is no grace period during which no finance charge accrues. Finance charge adjustments are included in your total finance charge.

Page: 2 of 3

Ending
Balance
870,102.48
Total
Deposits
+
Sub Total
Total
Withdrawals
-
Adjusted
Balance
Total Deposits
Total
Withdrawals

Call 1-800-937-2000 for 24-hour Bank-by-Phone services or connect to www.tdbank.com

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HBRK ASSOCIATES INC

Bank Deposits FDIC Insured | TD Bank, N.A. | Equal Housing Lender

STATEMENT OF ACCOUNT

Page: 3 of 3

Statement Period: Mar 01 2019-Mar 31 2019

Cust Ref #: [REDACTED]

Primary Account #: [REDACTED]

DAILY ACCOUNT ACTIVITY

Electronic Payments (continued)

POSTING DATE DESCRIPTION AMOUNT

03/27 CCD DEBIT, ADP TAX ADP TAX RXPTZ 032807A01 3,691.34

03/27 CCD DEBIT, ADP WAGE PAY WAGE PAY 939209548840PTZ 2,320.48

03/27 CCD DEBIT, ADP PAY-BY-PAYPAY-BY-PAY939209548841PTZ 40.61

Subtotal: 50,317.28

Other Withdrawals

POSTING DATE DESCRIPTION AMOUNT

03/26 WIRE TRANSFER FEE 15.00

Subtotal: 15.00

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DAILY BALANCE SUMMARY

| DATE | BALANCE | DATE | BALANCE |
|-------|------------|-------|------------|
| 02/28 | 420,327.55 | 03/18 | 389,093.70 |
| 03/01 | 413,223.25 | 03/21 | 382,382.66 |
| 03/04 | 399,459.54 | 03/22 | 382,396.52 |
| 03/08 | 399,221.30 | 03/26 | 882,381.52 |
| 03/12 | 396,491.11 | 03/27 | 870,081.80 |
| 03/13 | 390,314.85 | 03/29 | 870,102.48 |

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HBRK ASSOCIATES INC
575 LEXINGTON AVE 4TH FLOOR
NEW YORK NY 10022

STATEMENT OF ACCOUNT

Page: 1 of 3

Statement Period: Apr 01 2019-Apr 30 2019

Cust Ref #: [REDACTED]

Primary Account #: [REDACTED]

T

Bank Deposits FDIC Insured | TD Bank, N.A. | Equal Housing Lender

TD Business Interest Checking

HBRK ASSOCIATES INC

Account # [REDACTED]

ACCOUNT SUMMARY

Beginning Balance 870,102.48
 Other Credits 250,043.60
 Checks Paid 518.00
 Electronic Payments 289,289.85
 Other Withdrawals 30.00
 Ending Balance 830,308.23
 Average Collected Balance 1,061,002.28
 Interest Earned This Period 43.60
 Interest Paid Year-to-Date 71.36
 Annual Percentage Yield Earned 0.05%

Days in Period 30

DAILY ACCOUNT ACTIVITY

Other Credits

| POSTING DATE | DESCRIPTION | AMOUNT |
|--------------|--|------------|
| 04/05 | WIRE TRANSFER INCOMING, AVIATION DEVELOPMENT GROUP LLC | 240,000.00 |
| 04/05 | WIRE TRANSFER INCOMING, AVIATION DEVELOPMENT GROUP LLC | 10,000.00 |
| 04/30 | INTEREST PAID | 43.60 |
| Subtotal: | | 250,043.60 |

Checks Paid No. Checks: 2

*Indicates break in serial sequence or check processed electronically and listed under Electronic Payments

| DATE | SERIAL NO. | AMOUNT |
|-----------|------------|--------|
| 04/02 | 1004 | 218.00 |
| 04/04 | 1005 | 300.00 |
| Subtotal: | | 518.00 |

Electronic Payments

| POSTING DATE | DESCRIPTION | AMOUNT |
|--------------|--|----------|
| 04/01 | CCD DEBIT, ADP 401K ADP 401K RXPTZ 032807V02 | 1,221.15 |

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04/05 CCD DEBIT, ADP PAYROLL FEES ADP - FEES 662190978876053 165.57
04/05 CCD DEBIT, ADP PAYROLL FEES ADP - FEES 2RPTZ 8382435 72.67
04/10 CCD DEBIT, ADP TAX ADP TAX RXPTZ 041108A01 3,691.34
04/10 CCD DEBIT, ADP WAGE PAY WAGE PAY 740036696808PTZ 2,320.48
04/10 CCD DEBIT, ADP PAY-BY-PAYPAY-BY-PAY740036696809PTZ 40.61
04/12 ACH DEBIT, CHASE CREDIT CRD AUTOPAY 00000000166439 2,153.16
04/15 CCD DEBIT, ADP 401K ADP 401K RXPTZ 041108V02 1,221.15
04/15 CCD DEBIT, NYS DTF CT TAX PAYMNT 000000042826440 643.00
04/16 CCD DEBIT, NYC DEPT OF FINA TAXPAYMENT 947753472 500.00
04/17 ELECTRONIC PMT-TEL, OXFORD HEALTH 2 EBPP PMT Oxford 6,731.04
04/18 CCD DEBIT, OXFORD HEALTH PREMIUMS HA12399 6,731.04
04/19 CCD DEBIT, ADP PAYROLL FEES ADP - FEES 2RPTZ 0535248 72.67

How to Balance your Account

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CONFIDENTIAL

TD Bank, N.A., Deposit Operations Dept, P.O. Box 1377, Lewiston,
Maine 04243-1377

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Ending
Balance
830,308.23
Total
Deposits
+

SDNY_GM_00007346

CONFIDENTIAL

HBRK ASSOCIATES INC
575 LEXINGTON AVE 4TH FLOOR
NEW YORK NY 10022
STATEMENT OF ACCOUNT

Page: 1 of 3

Statement Period: May 01 2019-May 31 2019

Cust Ref #: [REDACTED]

Primary Account #: [REDACTED]

T

Bank Deposits FDIC Insured | TD Bank, N.A. | Equal Housing Lender

TD Business Interest Checking

HBRK ASSOCIATES INC

Account # [REDACTED]

ACCOUNT SUMMARY

Beginning Balance 830,308.23

Deposits 626.00

Other Credits 32.41

Checks Paid 150.00

Electronic Payments 137,519.90

Other Withdrawals 50,000.00

Ending Balance 643,296.74

Average Collected Balance 763,167.17

Interest Earned This Period 32.41

Interest Paid Year-to-Date 103.77

Annual Percentage Yield Earned 0.05%

Days in Period 31

DAILY ACCOUNT ACTIVITY

Deposits

POSTING DATE DESCRIPTION AMOUNT

05/23 DEPOSIT 626.00

Subtotal: 626.00

Other Credits

POSTING DATE DESCRIPTION AMOUNT

05/31 INTEREST PAID 32.41

Subtotal: 32.41

Checks Paid No. Checks: 1

* Indicates break in serial sequence or check processed electronically and listed under Electronic Payments

DATE SERIAL NO. AMOUNT

05/06 1006 150.00

Subtotal: 150.00

Electronic Payments

POSTING DATE DESCRIPTION AMOUNT

05/03 CCD DEBIT, ADP PAYROLL FEES ADP - FEES 662190972010680 165.57

05/06 eTransfer Debit, Online Xfer

Transfer to CK 4332212771

8,000.00

05/08 CCD DEBIT, ADP TAX ADP TAX RXPTZ 050910A01 3,691.36

05/08 CCD DEBIT, ADP WAGE PAY WAGE PAY 561036078569PTZ 2,320.46

05/08 CCD DEBIT, ADP PAY-BY-PAYPAY-BY-PAY561036078570PTZ 40.61

05/13 ACH DEBIT, CHASE CREDIT CRD AUTOPAY 000000000369315 8,408.83

05/13 CCD DEBIT, ADP 401K ADP 401K RXPTZ 050910V02 1,221.15

05/17 CCD DEBIT, ADP PAYROLL FEES ADP - FEES 2RPTZ 4158225 72.67

05/22 CCD DEBIT, ADP TAX ADP TAX RXPTZ 052311A01 3,691.33

05/22 CCD DEBIT, ADP WAGE PAY WAGE PAY 718073435542PTZ 2,320.49

05/22 CCD DEBIT, ADP PAY-BY-PAYPAY-BY-PAY718073435543PTZ 40.61

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CONFIDENTIAL

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When making a verbal inquiry, the Bank may ask that you send us your complaint in writing within ten (10) business days after the first telephone call.

We will investigate your complaint and will correct any error promptly. If we take more than ten (10) business days to do this, we will credit your account for the amount you think is in error, so that you have the use of the money during the time it

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takes to complete our investigation.

INTEREST NOTICE

Total interest credited by the Bank to you this year will be reported by the Bank to the Internal Revenue Service and State tax authorities. The amount to be reported will be reported separately to you by the Bank.

FOR CONSUMER LOAN ACCOUNTS ONLY BILLING RIGHTS SUMMARY

In case of Errors or Questions About Your Bill:

If you think your bill is wrong, or if you need more information about a transaction on your bill, write us at P.O. Box 1377, Lewiston, Maine 04243-1377 as soon as possible. We must hear from you no later than sixty (60) days after we sent you the FIRST bill on which the error or problem appeared. You can telephone us, but doing so will not preserve your rights. In your letter, give us the following information:

- Your name and account number.
- The dollar amount of the suspected error.
- Describe the error and explain, if you can, why you believe there is an error.

If you need more information, describe the item you are unsure about.

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FINANCE CHARGES: Although the Bank uses the Daily Balance method to calculate the finance charge on your Moneyline/Overdraft Protection account (the term "ODP" or "OD" refers to Overdraft Protection), the Bank discloses the Average Daily Balance on the periodic statement as an easier method for you to calculate the finance charge. The finance charge begins to accrue on the date advances and other debits are posted to your account and will continue until the balance has been paid in full. To compute the finance charge, multiply the Average Daily Balance times the Days in Period times the Daily Periodic Rate (as listed in the Account Summary section on the front of the statement). The Average Daily Balance is calculated by adding the balance for each day of the billing cycle, then dividing the total balance by the number of Days in the Billing Cycle. The daily balance is the balance for the day after advances have been added and payments or credits have been subtracted plus or minus any other adjustments that might have occurred that day. There is no grace period during which no finance charge accrues. Finance charge adjustments are included in your total finance charge.

Page: 2 of 3

Ending
Balance
643,296.74
Total
Deposits
+
Sub Total
Total
Withdrawals
-
Adjusted
Balance
Total Deposits
Total
Withdrawals

Call 1-800-937-2000 for 24-hour Bank-by-Phone services or connect to www.tdbank.com

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HBRK ASSOCIATES INC
Bank Deposits FDIC Insured | TD Bank, N.A. | Equal Housing Lender

STATEMENT OF ACCOUNT

Page: 3 of 3

Statement Period: May 01 2019-May 31 2019

Cust Ref #: [REDACTED]

Primary Account # [REDACTED]

DAILY ACCOUNT ACTIVITY

Electronic Payments (continued)

POSTING DATE DESCRIPTION AMOUNT

05/28 ELECTRONIC PMT-WEB, VIRGO 575 BUSINE 2126002300 6,253.00

05/28 CCD DEBIT, ADP 401K ADP 401K RXPTZ 052311V02 1,221.15

05/30 eTransfer Debit, Online Xfer

Transfer to CK 4332217771

100,000.00

05/31 CCD DEBIT, ADP PAYROLL FEES ADP - FEES 2RPTZ 6163847 72.67

Subtotal: 137,519.90

Other Withdrawals

POSTING DATE DESCRIPTION AMOUNT

05/07 DEBIT 50,000.00

Subtotal: 50,000.00

DAILY BALANCE SUMMARY

DATE BALANCE DATE BALANCE

04/30 830,308.23 05/17 756,237.58

05/03 830,142.66 05/22 750,185.15

05/06 821,992.66 05/23 750,811.15

05/07 771,992.66 05/28 743,337.00

05/08 765,940.23 05/30 643,337.00

05/13 756,310.25 05/31 643,296.74

Call 1-800-937-2000 for 24-hour Bank-by-Phone services or connect to www.tdbank.com

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HBRK ASSOCIATES INC

575 LEXINGTON AVE 4TH FLOOR

NEW YORK NY 10022

STATEMENT OF ACCOUNT

Page: 1 of 3

Statement Period: Jun 01 2019-Jun 30 2019

Cust Ref #: [REDACTED]

Primary Account # [REDACTED]

E

Bank Deposits FDIC Insured | TD Bank, N.A. | Equal Housing Lender

Business Analysis

HBRK ASSOCIATES INC

Account # 433-2216963

ACCOUNT SUMMARY

Beginning Balance 643,296.74

Other Credits 14.56

Checks Paid 150.00

Electronic Payments 31,589.60

Other Withdrawals 53,227.73

Ending Balance 558,343.97

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Average Collected Balance 603,999.86
Interest Earned This Period 14.56
Interest Paid Year-to-Date 118.33
Annual Percentage Yield Earned 0.03%
Days in Period 30

DAILY ACCOUNT ACTIVITY

Other Credits

POSTING DATE DESCRIPTION AMOUNT

06/18 INTEREST PAID 14.56

Subtotal: 14.56

Checks Paid No. Checks: 1

*Indicates break in serial sequence or check processed electronically and listed under Electronic Payments

DATE SERIAL NO. AMOUNT

06/10 1007 150.00

Subtotal: 150.00

Electronic Payments

POSTING DATE DESCRIPTION AMOUNT

06/06 CCD DEBIT, ADP TAX ADP TAX RXPTZ 060612A01 3,691.35

06/06 CCD DEBIT, ADP WAGE PAY WAGE PAY 936010636625PTZ 2,320.47

06/06 CCD DEBIT, ADP PAY-BY-PAYPAY-BY-PAY936010636626PTZ 30.57

06/07 CCD DEBIT, OXFORD HEALTH PREMIUMS HA12399 6,691.04

06/07 CCD DEBIT, ADP PAYROLL FEES ADP - FEES 662190977022564 165.57

06/10 CCD DEBIT, ADP 401K ADP 401K RXPTZ 060612V02 1,221.15

06/12 ACH DEBIT, CHASE CREDIT CRD AUTOPAY 000000000173676 3,734.75

06/14 CCD DEBIT, ADP PAYROLL FEES ADP - FEES 2RPTZ 7895952 72.67

06/19 CCD DEBIT, ADP TAX ADP TAX RXPTZ 062013A01 3,691.34

06/19 CCD DEBIT, ADP WAGE PAY WAGE PAY 624071942037PTZ 2,320.48

06/19 CCD DEBIT, ADP PAY-BY-PAYPAY-BY-PAY624071942038PTZ 27.15

06/24 CCD DEBIT, ADP 401K ADP 401K RXPTZ 062013V02 1,221.15

06/26 ELECTRONIC PMT-WEB, VIRGO 575 BUSINE 2126002300 6,329.24

06/28 CCD DEBIT, ADP PAYROLL FEES ADP - FEES 2RPTZ 0303563 72.67

Subtotal: 31,589.60

How to Balance your Account

Begin by adjusting your account register as follows:

- Subtract any services charges shown on this statement.
- Subtract any automatic payments, transfers or other electronic withdrawals not previously recorded.
- Add any interest earned if you have an interest-bearing account.
- Add any automatic deposit or overdraft line of credit.
- Review all withdrawals shown on this statement and check them off in your account register.
- Follow instructions 2-5 to verify your ending account balance.

- 1.
- 2.
- 3.
- 4.
- 5.

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Your ending balance shown on this statement is:

List below the amount of deposits or credit transfers which do not appear on this statement. Total the deposits and enter on Line 2.

Subtotal by adding lines 1 and 2.

List below the total amount of withdrawals that do not appear on this statement. Total the withdrawals and enter on Line 4.

Subtract Line 4 from 3. This adjusted balance should equal your account balance.

FOR CONSUMER ACCOUNTS ONLY IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS:

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FOR CONSUMER LOAN ACCOUNTS ONLY BILLING RIGHTS SUMMARY

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- Describe the error and explain, if you can, why you believe there is an error.

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FINANCE CHARGES: Although the Bank uses the Daily Balance method to calculate the finance charge on your Moneyline/Overdraft Protection account (the term "ODP"

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or "OD" refers to Overdraft Protection), the Bank discloses the Average Daily Balance on the periodic statement as an easier method for you to calculate the finance charge. The finance charge begins to accrue on the date advances and other debits are posted to your account and will continue until the balance has been paid in full. To compute the finance charge, multiply the Average Daily Balance times the Days in Period times the Daily Periodic Rate (as listed in the Account Summary section on the front of the statement). The Average Daily Balance is calculated by adding the balance for each day of the billing cycle, then dividing the total balance by the number of Days in the Billing Cycle. The daily balance is the balance for the day after advances have been added and payments or credits have been subtracted plus or minus any other adjustments that might have occurred that day. There is no grace period during which no finance charge accrues. Finance charge adjustments are included in your total finance charge.

Page: 2 of 3

Ending
Balance
558,343.97
Total
Deposits
+
Sub Total
Total
Withdrawals
-
Adjusted
Balance
Total Deposits
Total
Withdrawals

Call 1-800-937-2000 for 24-hour Bank-by-Phone services or connect to www.tdbank.com

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HBRK ASSOCIATES INC

Bank Deposits FDIC Insured | TD Bank, N.A. | Equal Housing Lender

STATEMENT OF ACCOUNT

Page: 3 of 3

Statement Period: Jun 01 2019-Jun 30 2019

Cust Ref #: [REDACTED]

Primary Account [REDACTED]

DAILY ACCOUNT ACTIVITY

Other Withdrawals

POSTING DATE DESCRIPTION AMOUNT

06/14 WIRE TRANSFER OUTGOING, Gihe Uk Ltd 26,188.27

06/14 WIRE TRANSFER OUTGOING, Roehampton University 5,687.46

06/14 WIRE TRANSFER FEE 40.00

06/14 WIRE TRANSFER FEE 40.00

06/26 WIRE TRANSFER OUTGOING, Walch Schurti Attys At Law Ltd 21,272.00

Subtotal: 53,227.73

DAILY BALANCE SUMMARY

DATE BALANCE DATE BALANCE

05/31 643,296.74 06/18 593,278.00

06/06 637,254.35 06/19 587,239.03

06/07 630,397.74 06/24 586,017.88

06/10 629,026.59 06/26 558,416.64

06/12 625,291.84 06/28 558,343.97

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06/14 593,263.44

Call 1-800-937-2000 for 24-hour Bank-by-Phone services or connect to www.tdbank.com

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HBRK ASSOCIATES INC
575 LEXINGTON AVE 4TH FLOOR
NEW YORK NY 10022
STATEMENT OF ACCOUNT

Page: 1 of 3

Statement Period: Jul 01 2019-Jul 31 2019

Cust Ref #: [REDACTED]

Primary Account [REDACTED]

E

Bank Deposits FDIC Insured | TD Bank, N.A. | Equal Housing Lender

Business Analysis

HBRK ASSOCIATES INC

Account # 433-2216963

ACCOUNT SUMMARY

Beginning Balance 558,343.97

Checks Paid 5,000.00

Electronic Payments 37,355.49

Other Withdrawals 135.00

Ending Balance 515,853.48

Average Collected Balance 538,479.84

Interest Earned This Period 0.00

Interest Paid Year-to-Date 118.33

Annual Percentage Yield Earned 0.00%

Days in Period 31

DAILY ACCOUNT ACTIVITY

Checks Paid No. Checks: 1

* Indicates break in serial sequence or check processed electronically and listed under Electronic Payments

DATE SERIAL NO. AMOUNT

07/24 1030 5,000.00

Subtotal: 5,000.00

Electronic Payments

POSTING DATE DESCRIPTION AMOUNT

07/02 CCD DEBIT, ADP TAX ADP TAX RXPTZ 070314A01 3,691.34

07/02 CCD DEBIT, ADP WAGE PAY WAGE PAY 633071759627PTZ 2,320.48

07/02 CCD DEBIT, ADP PAY-BY-PAYPAY-BY-PAY633071759628PTZ 27.15

07/05 CCD DEBIT, ADP PAYROLL FEES ADP - FEES 662190972591159 165.57

07/08 CCD DEBIT, OXFORD HEALTH PREMIUMS HA12399 6,711.04

07/08 CCD DEBIT, ADP 401K ADP 401K RXPTZ 070314V02 1,221.15

07/12 ACH DEBIT, CHASE CREDIT CRD AUTOPAY 000000000177774 3,373.53

07/12 CCD DEBIT, ADP PAYROLL FEES ADP - FEES 2RPTZ 3178950 72.67

07/17 CCD DEBIT, ADP TAX ADP TAX RXPTZ 071815A01 3,691.34

07/17 CCD DEBIT, ADP WAGE PAY WAGE PAY 932611149051PTZ 2,322.91

07/17 CCD DEBIT, ADP PAY-BY-PAYPAY-BY-PAY932611149052PTZ 33.06

07/22 CCD DEBIT, ADP 401K ADP 401K RXPTZ 071815V02 1,221.15

07/26 CCD DEBIT, ADP PAYROLL FEES ADP - FEES 2RPTZ 5153573 72.67

07/29 ELECTRONIC PMT-WEB, VIRGO 575 BUSINE 2126002300 6,384.12

07/31 CCD DEBIT, ADP TAX ADP TAX RXPTZ 080116A01 3,691.35

07/31 CCD DEBIT, ADP WAGE PAY WAGE PAY 941910746135PTZ 2,327.83

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07/31 CCD DEBIT, ADP PAY-BY-PAYPAY-BY-PAY941910746136PTZ 28.13
Subtotal: 37,355.49

How to Balance your Account

Begin by adjusting your account register as follows:

- Subtract any services charges shown on this statement.
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- Add any automatic deposit or overdraft line of credit.
- Review all withdrawals shown on this statement and check them off in your account register.
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- 1.
- 2.
- 3.
- 4.
- 5.

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- A description of the error or transaction you are unsure about.
- The dollar amount and date of the suspected error.

When making a verbal inquiry, the Bank may ask that you send us your complaint in

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writing within ten (10) business days after the first telephone call. We will investigate your complaint and will correct any error promptly. If we take more than ten (10) business days to do this, we will credit your account for the amount you think is in error, so that you have the use of the money during the time it takes to complete our investigation.

INTEREST NOTICE

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FOR CONSUMER LOAN ACCOUNTS ONLY BILLING RIGHTS

SUMMARY

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Page: 2 of 3

Ending
Balance
515,853.48
Total
Deposits
+
Sub Total
Total
Withdrawals
-
Adjusted
Balance
Total Deposits
Total
Withdrawals

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Call 1-800-937-2000 for 24-hour Bank-by-Phone services or connect to www.tdbank.com

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HBRK ASSOCIATES INC

Bank Deposits FDIC Insured | TD Bank, N.A. | Equal Housing Lender

STATEMENT OF ACCOUNT

Page: 3 of 3

Statement Period: Jul 01 2019-Jul 31 2019

Cust Ref #: [REDACTED]

Primary Account # [REDACTED]

DAILY ACCOUNT ACTIVITY

Other Withdrawals

POSTING DATE DESCRIPTION AMOUNT

07/15 SERVICE CHARGE, ANALYSIS FEES 135.00

Subtotal: 135.00

DAILY BALANCE SUMMARY

DATE BALANCE DATE BALANCE

06/30 558,343.97 07/17 534,578.73

07/02 552,305.00 07/22 533,357.58

07/05 552,139.43 07/24 528,357.58

07/08 544,207.24 07/26 528,284.91

07/12 540,761.04 07/29 521,900.79

07/15 540,626.04 07/31 515,853.48

Call 1-800-937-2000 for 24-hour Bank-by-Phone services or connect to www.tdbank.com

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HBRK ASSOCIATES INC

575 LEXINGTON AVE 4TH FLOOR

NEW YORK NY 10022

STATEMENT OF ACCOUNT

Page: 1 of 3

Statement Period: Aug 01 2019-Aug 31 2019

Cust Ref #: 4 [REDACTED]

Primary Account # [REDACTED]

E

Bank Deposits FDIC Insured | TD Bank, N.A. | Equal Housing Lender

Business Analysis

HBRK ASSOCIATES INC

Account # [REDACTED]

TD now accepts Real Time Payments!

We're pleased to announce that TD will soon accept Real Time Payments (RTPs), which means you can receive certain

electronic payments sent through RTP almost immediately. This is good news for consumers, businesses, and government

agencies who use RTPs. As a TD Customer, you are automatically enrolled. Please be advised that you may not send or receive

RTPs on behalf of a person who is not a resident of, or otherwise residing in, the United States. Please visit tdbank.com/RTP to

learn more.

ACCOUNT SUMMARY

Beginning Balance 515,853.48

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Electronic Deposits 100,083.97
Checks Paid 100,000.00
Electronic Payments 31,580.23
Ending Balance 484,357.22
Average Collected Balance 478,519.58
Interest Earned This Period 0.00
Interest Paid Year-to-Date 118.33
Annual Percentage Yield Earned 0.00%
Days in Period 31

DAILY ACCOUNT ACTIVITY

Electronic Deposits
POSTING DATE DESCRIPTION AMOUNT

08/08 eTransfer Credit, Online Xfer
Transfer from CK 4332217771
100,000.00
08/14 CCD DEPOSIT, ADP PAY-BY-PAYPAY-BY-PAY939810853563PTZ 83.97

Subtotal: 100,083.97

Checks Paid No. Checks: 2

* Indicates break in serial sequence or check processed electronically and listed under Electronic Payments

DATE SERIAL NO. AMOUNT

08/01 1008 50,000.00

08/01 1009 50,000.00

Subtotal: 100,000.00

Electronic Payments

POSTING DATE DESCRIPTION AMOUNT

08/02 CCD DEBIT, ADP PAYROLL FEES ADP - FEES 662190975737699 165.57

08/05 CCD DEBIT, ADP 401K ADP 401K RXPTZ 080116V02 1,221.15

08/07 CCD DEBIT, OXFORD HEALTH PREMIUMS HA12399 6,711.04

08/09 CCD DEBIT, ADP PAYROLL FEES ADP - FEES 2RPTZ 6229249 72.67

08/12 ACH DEBIT, CHASE CREDIT CRD AUTOPAY 000000000179562 3,477.71

08/14 CCD DEBIT, ADP TAX ADP TAX RXPTZ 081517A01 3,691.36

08/14 CCD DEBIT, ADP WAGE PAY WAGE PAY 939810853562PTZ 2,327.82

08/19 CCD DEBIT, ADP 401K ADP 401K RXPTZ 081517V02 1,221.15

08/23 CCD DEBIT, ADP PAYROLL FEES ADP - FEES 2RPTZ 9712925 72.67

How to Balance your Account

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- Add any automatic deposit or overdraft line of credit.
- Review all withdrawals shown on this statement and check them off in your account register.
- Follow instructions 2-5 to verify your ending account balance.

- 1.
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4.

5.

Your ending balance shown on this statement is:

List below the amount of deposits or credit transfers which do not appear on this statement. Total the deposits and enter on Line 2.

Subtotal by adding lines 1 and 2.

List below the total amount of withdrawals that do not appear on this statement. Total the withdrawals and enter on Line 4.

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Page: 2 of 3

Ending
Balance
484,357.22
Total
Deposits
+
Sub Total
Total
Withdrawals
-
Adjusted
Balance
Total Deposits
Total
Withdrawals

Call 1-800-937-2000 for 24-hour Bank-by-Phone services or connect to www.tdbank.com

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HBRK ASSOCIATES INC

Bank Deposits FDIC Insured | TD Bank, N.A. | Equal Housing Lender

STATEMENT OF ACCOUNT

Page: 3 of 3

Statement Period: Aug 01 2019-Aug 31 2019

Cust Ref #: [REDACTED]

Primary Acc [REDACTED]

DAILY ACCOUNT ACTIVITY

Electronic Payments (continued)

POSTING DATE DESCRIPTION AMOUNT

08/27 ELECTRONIC PMT-WEB, VIRGO 575 BUSINE 2126002300 6,571.78

08/28 CCD DEBIT, ADP TAX ADP TAX RXPTZ 082918A01 3,691.33

08/28 CCD DEBIT, ADP WAGE PAY WAGE PAY 669055305601PTZ 2,327.85

08/28 CCD DEBIT, ADP PAY-BY-PAYPAY-BY-PAY669055305602PTZ 28.13

Subtotal: 31,580.23

DAILY BALANCE SUMMARY

DATE BALANCE DATE BALANCE

07/31 515,853.48 08/12 504,205.34

08/01 415,853.48 08/14 498,270.13

08/02 415,687.91 08/19 497,048.98

08/05 414,466.76 08/23 496,976.31

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08/07 407,755.72 08/27 490,404.53
08/08 507,755.72 08/28 484,357.22
08/09 507,683.05

Call 1-800-937-2000 for 24-hour Bank-by-Phone services or connect to www.tdbank.com

xxxxxx

HBRK ASSOCIATES INC
575 LEXINGTON AVE 4TH FLOOR
NEW YORK NY 10022
STATEMENT OF ACCOUNT

Page: 1 of 3

Statement Period: Sep 01 2019-Sep 30 2019

Cust Ref #: [REDACTED]

Primary Account: [REDACTED]

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Bank Deposits FDIC Insured | TD Bank, N.A. | Equal Housing Lender

TD now accepts Real Time Payments!

We're pleased to announce that TD will soon accept Real Time Payments (RTPs), which means you can receive certain

electronic payments sent through RTP almost immediately. This is good news for consumers, businesses, and government

agencies who use RTPs. As a TD Customer, you are automatically enrolled. Please be advised that you may not send or receive

RTPs on behalf of a person who is not a resident of, or otherwise residing in, the United States. Please visit tdbank.com/RTP to

learn more.

Business Analysis

HBRK ASSOCIATES INC

Account # 433-2216963

ACCOUNT SUMMARY

Beginning Balance 484,357.22

Electronic Payments 79,795.44

Ending Balance 404,561.78

Average Collected Balance 450,220.71

Interest Earned This Period 0.00

Interest Paid Year-to-Date 118.33

Annual Percentage Yield Earned 0.00%

Days in Period 30

DAILY ACCOUNT ACTIVITY

Electronic Payments

POSTING DATE DESCRIPTION AMOUNT

09/03 CCD DEBIT, ADP 401K ADP 401K RXPTZ 082918V02 1,221.15

09/06 CCD DEBIT, ADP PAYROLL FEES ADP - FEES 662190972437763 165.57

09/06 CCD DEBIT, ADP PAYROLL FEES ADP - FEES 2RPTZ 2296488 72.67

09/09 CCD DEBIT, OXFORD HEALTH PREMIUMS HA12399 6,711.04

09/09 ELECTRONIC PMT-WEB, CHASE CREDIT CRD EPAY 4289377930 1,716.67

09/11 CCD DEBIT, ADP TAX ADP TAX RXPTZ 091219A01 3,691.35

09/11 CCD DEBIT, ADP WAGE PAY WAGE PAY 592036663509PTZ 2,327.83

09/11 CCD DEBIT, ADP PAY-BY-PAYPAY-BY-PAY592036663510PTZ 28.13

09/16 CCD DEBIT, ADP 401K ADP 401K RXPTZ 091219V02 1,221.15

09/19 CCD DEBIT, ADP 401K ADP 401K RXPTZ 1473833VV 49,376.94

09/20 CCD DEBIT, ADP PAYROLL FEES ADP - FEES 2RPTZ 4212037 72.67

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09/26 ELECTRONIC PMT-WEB, VIRGO 575 BUSINE 2126002300 5,921.81
09/26 CCD DEBIT, ADP TAX ADP TAX RXPTZ 092620A01 3,742.38
09/26 CCD DEBIT, ADP WAGE PAY WAGE PAY 559071303811PTZ 3,497.95
09/26 CCD DEBIT, ADP PAY-BY-PAYPAY-BY-PAY559071303812PTZ 28.13
Subtotal: 79,795.44

How to Balance your Account

Begin by adjusting your account register as follows:

- Subtract any services charges shown on this statement.
- Subtract any automatic payments, transfers or other electronic withdrawals not previously recorded.
- Add any interest earned if you have an interest-bearing account.
- Add any automatic deposit or overdraft line of credit.
- Review all withdrawals shown on this statement and check them off in your account register.
- Follow instructions 2-5 to verify your ending account balance.

- 1.
- 2.
- 3.
- 4.
- 5.

Your ending balance shown on this statement is:

List below the amount of deposits or credit transfers which do not appear on this statement. Total the deposits and enter on Line 2.

Subtotal by adding lines 1 and 2.

List below the total amount of withdrawals that do not appear on this statement. Total the withdrawals and enter on Line 4.

Subtract Line 4 from 3. This adjusted balance should equal your account balance.

FOR CONSUMER ACCOUNTS ONLY IN CASE OF ERRORS OR QUESTIONS ABOUT YOUR ELECTRONIC FUNDS TRANSFERS:

If you need information about an electronic fund transfer or if you believe there is an error on your bank statement or receipt relating to an electronic fund transfer, telephone the bank immediately at the phone number listed on the front of your statement or write to:

TD Bank, N.A., Deposit Operations Dept, P.O. Box 1377, Lewiston, Maine 04243-1377

We must hear from you no later than sixty (60) calendar days after we sent you the first statement upon which the error or problem first appeared. When contacting the Bank, please explain as clearly as you can why you believe there is an error or why more information is needed. Please include:

- Your name and account number.

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- A description of the error or transaction you are unsure about.
- The dollar amount and date of the suspected error.

When making a verbal inquiry, the Bank may ask that you send us your complaint in writing within ten (10) business days after the first telephone call.

We will investigate your complaint and will correct any error promptly. If we take more than ten (10) business days to do this, we will credit your account for the amount you think is in error, so that you have the use of the money during the time it takes to complete our investigation.

INTEREST NOTICE

Total interest credited by the Bank to you this year will be reported by the Bank to the Internal Revenue Service and State tax authorities. The amount to be reported will be reported separately to you by the Bank.

FOR CONSUMER LOAN ACCOUNTS ONLY BILLING RIGHTS

SUMMARY

In case of Errors or Questions About Your Bill:

If you think your bill is wrong, or if you need more information about a transaction on your bill, write us at P.O. Box 1377, Lewiston, Maine 04243-1377 as soon as possible. We must hear from you no later than sixty (60) days after we sent you the FIRST bill on which the error or problem appeared. You can telephone us, but doing so will not preserve your rights. In your letter, give us the following information:

- Your name and account number.
- The dollar amount of the suspected error.
- Describe the error and explain, if you can, why you believe there is an error.

If you need more information, describe the item you are unsure about.

You do not have to pay any amount in question while we are investigating, but you are still obligated to pay the parts of your bill that are not in question. While we investigate your question, we cannot report you as delinquent or take any action to collect the amount you question.

FINANCE CHARGES: Although the Bank uses the Daily Balance method to calculate the finance charge on your Moneyline/Overdraft Protection account (the term "ODP" or "OD" refers to Overdraft Protection), the Bank discloses the Average Daily Balance on the periodic statement as an easier method for you to calculate the finance charge. The finance charge begins to accrue on the date advances and other debits are posted to your account and will continue until the balance has been paid in full. To compute the finance charge, multiply the Average Daily Balance times the Days in Period times the Daily Periodic Rate (as listed in the Account Summary section on the front of the statement). The Average Daily Balance is calculated by adding the balance for each day of the billing cycle, then dividing the total balance by the number of Days in the Billing Cycle. The daily balance is the balance for the day after advances have been added and payments or credits have been subtracted plus or minus any other adjustments that might have occurred that day. There is no grace period during which no finance charge accrues. Finance charge adjustments are included in your total finance charge.

Page: 2 of 3

Ending
Balance
404,561.78
Total
Deposits
+
Sub Total
Total
Withdrawals
-
Adjusted
Balance
Total Deposits

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Total
Withdrawals

Call 1-800-937-2000 for 24-hour Bank-by-Phone services or connect to www.tdbank.com

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HBRK ASSOCIATES INC

Bank Deposits FDIC Insured | TD Bank, N.A. | Equal Housing Lender

STATEMENT OF ACCOUNT

Page: 3 of 3

Statement Period: Sep 01 2019-Sep 30 2019

Cust Ref #: [REDACTED]

Primary Acct [REDACTED]

DAILY BALANCE SUMMARY

DATE BALANCE DATE BALANCE

08/31 484,357.22 09/16 467,201.66

09/03 483,136.07 09/19 417,824.72

09/06 482,897.83 09/20 417,752.05

09/09 474,470.12 09/26 404,561.78

09/11 468,422.81

Command ==> IMI2 Page 10/04/19

ACCOUNT HISTORY INQUIRY 15:40:24

Account 00004332216963 Ctl2 004 Ctl3 000 Ctl4 0000 Ctl1 94 Curr

Prod Type

| Post Date | Serial Number | Batch Nbr | Seq Nbr | Tran Code | Amount | TP | Tran Description | Ret Days |
|-----------|---------------|-----------|---------|-----------|--------|----|------------------|----------|
|-----------|---------------|-----------|---------|-----------|--------|----|------------------|----------|

PF1-Fwd PF2-Bkwd PF12-Help PA2-Prompt
TSTSBRI1 TS1396 F: NO MATCH ON KEY ENTERED

LAST

Date: 10/4/2019 Time: 3:40:25 PM

Page: 1 Document Name: untitled

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