

FEDERAL BUREAU OF INVESTIGATION

Date of entry 10/09/2019

On Wednesday, September 25, 2019, Special Agent (SA) [REDACTED] received a phone call from Special Investigative Section (SIS) Lieutenant (LT) [REDACTED] regarding a letter that was received by the Metropolitan Correctional Center (MCC). The letter was a "return to sender" and the following was written at the top left corner of the letter:

J. Epstein
Manhattan Correctional
NYC NY 10007

The letter was postmarked NOVA 220 13 August 2019 and was addressed to Larry Nassar at 9300 S. Wilmot Road, Tucson, Arizona, 85756. This address is that of another Federal Bureau of Prisons facility. The reason for the "return to sender" was the addressee was "no longer at this address".

On Thursday, September 26, 2019, SA [REDACTED] and SA [REDACTED] picked up the letter from SIS LT [REDACTED] at MCC. Scans of the envelope and the outside and inside of the letter are digitally attached to this report. The letter will be placed in a physical 1A envelope.

According to SIS LT [REDACTED], the stamp and the stationary are not available for purchase at MCC. There were no logs kept regarding incoming or outgoing mail for Jeffrey Epstein at MCC.

Investigation on 09/25/2019 at New York, New York, United States (In Person)

File # 90A-NY-3151227

Date drafted 10/08/2019

by [REDACTED]

girls. When a young
beauty walked by he
loved to "grab snatch,"
whereas we ended
up snatching grub in
the mess halls of the
system.

Life is unfair.

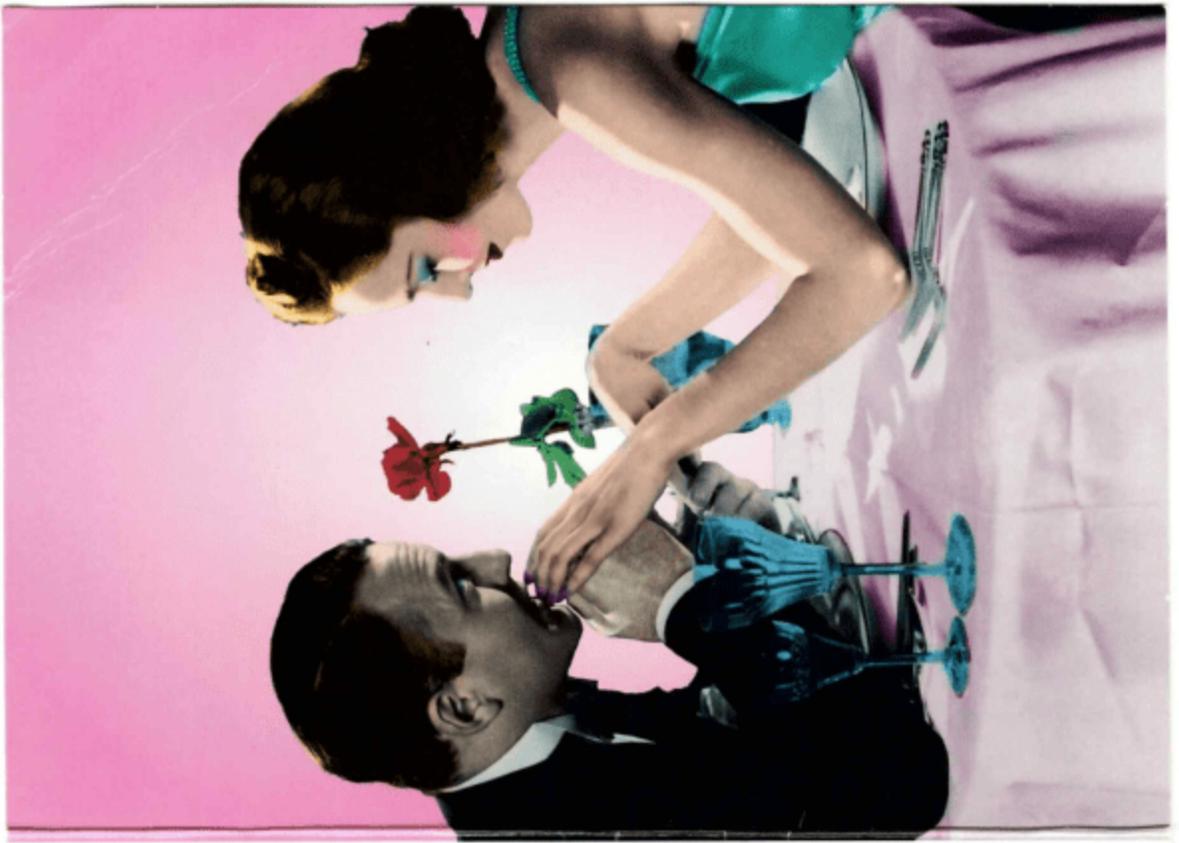
Yours
J. Epstein

Dear J. N.

As you know by now,
I have taken the
"short route" home.

Good luck! We shared
one thing... our love
& caring for young
ladies and the hope
they'd reach their full
potential.

Our president also
shares our love of
young, mobile



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ECN # NYM-19-0238

(Enclose with/attach to evidence)

ITEM # NYM-19-00269

CASE ID NUMBER: _____ SUSPECT (If known) Epstein, Jefferey 76318-054

DESCRIPTION OF ITEM: One returned to sender card to inmate Epstein

DATE/TIME ITEM FOUND: 9/25/19 06:00 A.M

LOCATION: Mail Room

SIGNATURE OF PERSON RECOVERING EVIDENCE: [Redacted]

PRINTED NAME [Redacted]

EVIDENCE PLACED IN OVERNIGHT DROP BOX:

DROP-BOX BY: (printed name) _____

Date & Time: _____

Witness: (printed name) _____

EVIDENCE RECOVERED FROM OVERNIGHT DROP BOX BY:

(printed name) _____

Date & Time: _____

Witness: (printed name) _____

EVIDENCE PLACED EVIDENCE SAFE BY:

(printed name) [Redacted]

Date & Time: 09-25-19 11:00 AM

Witness: (printed name) _____

DISPOSITION:

- Hold as evidence
- Return to finder
- Other
- Return to owner
- Destroy immediately
- Lab Analysis
- FBI

REMARKS (condition of evidence):

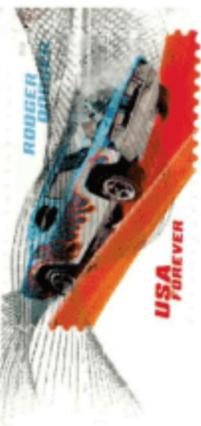
CHAIN OF CUSTODY

EVIDENCE RELEASED BY:	DATE/TIME:	DESTINATION:	EVIDENCE RELEASED TO:
[Redacted]	09-25-19	SIS office	[Redacted]
[Redacted]	09-25-19	SIS Safe	[Redacted]

J. Epstein
Manhattan Correctional
NYC NY 10007

NOVA 220

AUG 19 PM 3L



RETURN TO SENDER
Family Throat
Inmate

- INMATE NAME AND REGISTER NUMBER ARE REQUIRED TO PROPERLY IDENTIFY RECIPIENT
- UNAUTHORIZED INMATE CORRESPONDENCE, NO APPROVAL ON FILE.
- UNAUTHORIZED PACKAGE ITEMS WEIGHING IN EXCESS OF 16 OZ. REQUIRE AUTHORIZATION.
- NO LONGER AT THIS ADDRESS.

3300 Whitefoot Rd

85756

86 ANK 85756-970600



UNCLASSIFIED

Physical 1A/1C Cover Sheet for Serial Export

Created From: 90A-NY-3151227

Serial 147

Package: 1A65

Stored Location: None

Summary: (U) Letter

Acquired By: [REDACTED]

Acquired On: 2019-09-26

Attachment: (U) Letter

FEDERAL BUREAU OF INVESTIGATION

Date of entry 10/09/2019

On August 15, 2019, Office of the Inspector General Special Agent [REDACTED] provided Post Orders for the Control position at Metropolitan Correctional Center (MCC). The Post Orders for this position are attached to this report in the 1A section.

Investigation on 08/15/2019 at New York, New York, United States (Email)

File # 90A-NY-3151227Date drafted 10/08/2019

by [REDACTED]

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

EFTA01688073

**METROPOLITAN CORRECTIONAL CENTER
NEW YORK, NEW YORK
SPECIAL POST ORDERS
CONTROL #1
THIS IS NOT AN ARMED POST**

INTRODUCTION:

All Post Orders, including these Special Instructions are intended as a guideline only and not all circumstances can be anticipated or discussed. All officers are expected to use good judgment in decision making during times of unusual incidents. It is imperative that officers must be notified immediately should a crisis occur. It is also imperative that all officers have broad knowledge concerning the BOP policies and local supplements and procedures governing this post. The security of the institution and the safety of staff, as well as inmates, are the primary function of the institution and the responsibility of all staff. The Control Center has a **critical** responsibility for maintaining institutional security and the prevention of escapes. Officers assigned to this post must be alert for any unusual activities and behaviors that may be occurring throughout the institution.

The Control Center Officer may be one of the first employees a visitor comes into contact with. It is important that the officer presents a neat and professional image. The assigned Control Center Officer must **never** leave the Control Center unless properly relieved or with the Operations Lieutenants permission. While in the Control Center the officer will always have in their possession, the key ring which manually operates the inner door of the control center, in the event the officer is trapped in the Control Center Sally Port.

Although the entrances to the Control Center are primarily electronically operated and cannot be opened at the same time, they can be operated manually; however, **AT NO TIME WILL BOTH DOORS BE OPENED AT THE SAME TIME.** The following are the majority of areas governed by policy that the Control Center Officer has contact with most frequently and must know and follow.

POST ORDERS

All correctional posts have Post Orders describing the officer's duties. The original copy is to remain in the Lieutenant's Office and a copy is to be secured in the Control Center for officer's reference. Each officer is required to review the Post Orders and indicate such review by signing the original copy in the Lieutenant's Office and on their post prior to assuming their post at quarterly changes, monthly rotations, or after being away from the post for a long time.

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EMERGENCY PLANS:

All staff are required to review and indicate such review by signing, the Emergency Plans at least once a year. The Plans are stored in the Control Center. Staff must exchange a chit for the Emergency Plans. These Plans are also considered confidential and the contents are not to be discussed with or given to inmates or non-staff.

It is imperative that all Control Center Officers become thoroughly familiar with the contents of the Emergency Plans and the emergency call-back procedures.

*****Emergency plans are not allowed to enter the secured part of the institution.*****

CONTROL CENTER FORMS:

- **Official Key Count Form:** this form is completed by the Evening Watch Control Center Officer, verifying they counted all the keys on all key rings in the Control Center. This form is forwarded to the Lieutenant=s Office on the Evening Watch.
- **Equipment Inventory:** This form is used to document accountability of all equipment in the Control Center each shift. The Control Center Officer is responsible for all equipment assigned to the Control Center. This form will be forwarded to the Lieutenants Office during the Evening Watch.
- **Restricted Key Issue Form:** All restricted keys must first have the written approval of the Shift Lieutenant, prior to the issuance of these keys. The form is prepared in duplicate with the original sent to the department head of the employee whose keys were drawn and a copy is sent to the Captain for review, an additional copy is placed in the Control Center 30 Day File.
- **Watch Call Log:** This form is used to document watch calls between the hours of 6:00 P.M. and 6:00 A.M. The Control Center Officer is responsible for ensuring all watch calls are conducted. Any late or missed watch calls shall be immediately addressed to the Operations Lieutenant. This form will be retained in the Control Center 30 Day File.

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- **Body Alarm/Radio Daily Log:** This form is utilized by the Control Center to indicate the testing of all issued body alarms and radios. Any discrepancies will be immediately addressed to the Shift Lieutenant. This form will be retained in the Control Center 30 Day File.

- **Daily Security and Fire Inspections:** This form is completed daily by all shifts in the Control Center and forwarded to the Lieutenants Office upon completion.

KEY CONTROL:

The Control Center Officer will be responsible for the issuing of all keys from the Control Center. A chit must be received from the staff member, prior to the issuance of keys. Keys will not be given to inmates or non-staff members or be exposed to inmates where they can observe the key cuts or codes. Reference to keys, key numbers, and areas to which they access, etc., will not be made in the presence of inmates or non-staff. Officers will never give up their keys to other staff members without first receiving a chit from that person. Officers are to count their keys upon issue, prior to turning them into the Control Center, and after receiving them from another employee. Broken or bent keys will be reported immediately to the Operations Lieutenant and/or the Security Officer. The Evening Watch Control Center Officer will physically count all keys on each existing key ring in the Control Center, verifying the number of keys on the ring with the numbered tag attached to the ring. The officer will then place the number of keys in the blank on the official key count form which corresponds with the key ring number. The Evening Watch Control Center Officer will also inspect all keys for cracks, bends, or other damage when counting the keys. Any damage will be reported to the Security Officer in writing. The Control Center Officer, upon assuming the post, will verify that each key hook has either a set of keys or a metal chit from an employee on each hook. This inspection will be noted in the Control Center Log Book. Issued metal chits are the **ONLY** authorized form of chits. Paper chits are not to be used. Temporary chits will be issued from the Lieutenant's Office for those needing them. If a staff member has left the institution with their keys the Operations Lieutenant is to be notified immediately upon the discovery by the Control Center Officer and that staff member is to be called and must return to the institution as fast as possible with the keys.

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A board in the Control Center will house all normally issued keys. They are as follows:

- **WHITE:** General issue and can be given to any employee.
- **BLACK:** Area restricted; these are not restricted to employees assigned in that area, however, these keys are restricted to all other staff.
- **BLUE:** Fully restricted; a restricted key form must be utilized each time the key is issued.
- **RED:** Emergency Keys; these keys will be utilized for emergencies only. Any other issuance of these keys will require a restricted key form.

EMERGENCY KEY RINGS:

Emergency key rings will have a laminated card explaining what each key fits. There will be a sheet on the emergency key board explaining the corresponding location of all emergency key rings.

RED BOX KEYS:

Keys to various areas are secured in red, glass fronted boxes. Only staff assigned to those areas are authorized to draw those keys. Breaking the glass to obtain emergency access must be authorized by the Operations Lieutenant.

FIRST RESPONDER INSTRUCTIONS:

- **The Control Center Officers will not respond to any emergency situations.** You must not vacate your post unless properly relieved by a staff member designated by the Operations Lieutenant. Your primary responsibility in any emergency is to communicate the location and nature of the emergency to other staff. You may be required to facilitate the response by opening certain control doors. You may also be required to issue emergency equipment from your area.

CROSS REFERENCE FILE:

A cross reference file is maintained in the Control Center by key ring number and key numbers detailing to which area each key corresponds.

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EQUIPMENT CHECKS:

Each Control Center Officer will verify all equipment assigned to the Control Center on each shift and will document this by initialing the inventory form. This form will be forwarded to the Captain for review at the conclusion of each day.

EMERGENCY TELEPHONE SYSTEM:

The emergency telephone system is activated whenever the number **222** is dialed. The Control Center Officer will respond immediately to the emergency telephone and obtain all pertinent information from the caller which will include the nature and location of the emergency. The Control Center Officer will relay this information to the Operations Lieutenant and all radio units without delay. The Day Watch Control Center Officer will conduct tests of the 222 system once a week. This test will be recorded in the Operations Lieutenant Log Book. Always notify the Operations Lieutenant prior to, and at the conclusion of every test.

ACCESS TO THE ARMORY:

The following staff are allowed to enter the armory: Security Officer, Captain and all Lieutenants. An entry will be made in the Control Center's Log whenever anyone enters the armory during the Evening or Morning Watches. Any person entering the armory is required to sign the logbook in the armory stating the reason for entrance.

REAR GATE OPERATIONS:

The officer assigned to the Rear Gate is totally responsible for all pedestrian and vehicle traffic entering or departing the institution from that area. The Control Center Officer will always insure that only authorized staff are issuing instructions to the Control Center Officer from the Rear Gate concerning the **OPENING AND CLOSING** of any security gate (s). The Rear Gate Officer must be visually seen prior to opening or closing any gates in the Rear Gate. The Control Center Officer will disregard all orders for opening or closing any gates by the Rear Gate Officer unless the Rear Gate Officer can be seen and is not under duress.

All law enforcement personnel will be required to deposit cell phones, any weapons or ammunition that they may be carrying on their person must be place in the gun locker.

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COUNTS:

The following times have been designated for the institutional counts: 12:01 A.M., 3:00 A.M., 5:00 A.M., 4:00 P.M., 10:00 P.M. and 10:00 A.M. (**weekends and holidays**). Vehicles with inmates will be allowed to enter the Rear Gate during regular count times; however, they will not be permitted out of the Rear Gate.

PAGING:

(Public Address System) The paging system will be utilized for necessary institution calls and announcements including counts, paging staff, trash runs, etc.

CONTROL MAINTENANCE:

Each Control Center Officer is responsible for keeping the area clean. The Morning Watch is responsible for the major cleaning of the Control Center. **Inmates are never allowed to enter the control center for any reason.**

INMATE TELEPHONE SYSTEM:

In the event of an internal disturbance you may be directed to disconnect the inmate telephone system.

DURESS CODES:

A duress code system has been devised for use at the Front Lobby, Rear Gate, and Outside Patrols. This duress code will be a word or sentence that can be interjected into normal radio traffic that would alert the Control Center and Lieutenant's Office that the staff member was under duress and an emergency situation was occurring. In order to ensure that all staff have knowledge of the duress code and proper procedures, the Operations Lieutenant will question staff and conduct occasional tests of the system.

The EPO Lieutenant will be responsible for generating and implementing the duress codes on a quarterly basis or immediately upon the compromise of a duress code. As a Control Room Officer it is your responsibility to ensure you are aware of the Duress Codes and constantly monitor institution radio traffic for their use.

If the Front Lobby, Rear Gate or R&D utilizes a duress code, you will immediately notify the Operations Lieutenant by telephone and begin visually monitoring the affected area by video. You will not open any gates/doors in the affected area.

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TELEPHONE SCREENING:

After normal business hours the Control Center is designated as the Screening Site. The Control Room Officer must follow Screening Site and Locator Center procedures to ensure the security of WITSEC inmates is not violated.

The proper statement when answering the telephone is A Good morning/afternoon, MCC New York, is this call in reference to an inmate?

INMATE INQUIRES:

If the caller states that their phone call is in reference to an inmate the Control Room Officer will check the daily sanitized roster for the inmate. If the inmate is on the roster, the Officer will then refer the call to the appropriate staff and inform that staff member of which inmate is being inquired about. If the inmate is not on the sanitized roster, but the caller knows the inmates register number, then the Control Room Officer will run a PPPI Sentry transaction. If the caller does not know the inmate's register number they will run a PPSS Sentry transaction. If the inmate is indicated as a WITSESC then a highlighted warning will be displayed on the screen. If this happens then the appropriate thing to state to the caller is **I'm sorry. I am unable to locate information concerning this inmate. I suggest that you contact the National Locator Center at [REDACTED]**

The CMC will be notified of all inquiries for WITSEC inmates via a memorandum. (Attachment D NYM 5180.3b). The Operations Lieutenant will also be notified.

When Sentry Is Inoperable/ Unavailable:

In cases when sentry is down and a call regarding an inmate is received, the caller should be referred to the National Locator Center for information. [REDACTED]. Sentry Field support should also be contacted at ([REDACTED]), to obtain the estimated down time.

CALLS FOR STAFF:

If a person calls for staff member, the first statement will be if the call is in reference to an inmate. If the caller says, no, then the call will be put through to the staff member. If, once the staff member answers the call, the caller asks about an inmate, the staff member will immediately transfer the call back to the Screening Site.

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If the Locator Center is unable to locate an inmate after using all available SENTRY transactions then the caller will be referred to the National Locator Center.

OFFICIAL COUNTS:

It is the responsible of the Control Room Officer, to prepare all paperwork for Official Counts. You will ensure that all out-counts are received no later than 45 minutes before count time. You will then load all out-counts into Sentry and then run a PP-E1 SENTRY for the count. It is important that you sign the bottom of the form next to (Person Preparing Count) and (Person Taking the Count). If Sentry is not operational then you will prepare a paper count utilizing the daily running board.

OUT COUNT:

All out-counts must be turned into the Control Room no later than **45 minutes** before count time. All out-counts forms will list inmates being out-counted, attached Sentry list are not authorized, and signed by the Operations Lieutenant before you accept it. You then will load outcounts into Sentry.

SEPARATIONS:

Every inmate will be checked for separations when arriving to this facility. This will be accomplished by utilizing a sentry transaction (PP-10). Any time a change of quarters is needed the Control Room Officer will check for separations before the inmate is to be moved.

ARMORY ADMITTANCE AUTHORITY/PROCEDURES:

Entry into the Armory, Squad Room, Sub Armory Safe or Escort Team Safe will be strictly controlled. The only persons authorized to enter the Armory, Sub Armory Safe or Escort Team Safe are the Warden, Associate Warden Operations, Captain, Lieutenants, Security Officer, Assistant Security Officer and Alternate Security Officer(s). Only those authorized to enter the Armory, Squad Room, Sub Armory Safe or Escort Team Safe will be permitted to break glass.

(Note: After normal working hours or during an emergency two (2) staff members must be present when entering the Armory, Squad Room, Sub Armory Safe or Escort Team Safe.)

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All staff members visiting the Armory will sign the entrance log book stating date, time in, time out, purpose of visit, and signature. After normal working hours or during emergencies, the Operations Lieutenant (**non-acting**) will retrieve the key from the glass box (**L-22**) in Control Center on the Emergency Key Board for entrance into the Armory. Access to the Squad Room after normal working hours or during emergencies, the Operations Lieutenant (**non-acting**) will retrieve the key from the glass box (**L-23**) in Control Center on the Emergency Key Board or authorize the Control Center Officer to retrieve the key from the glass box (**M-8**) to activate the push button to electrically unlock the door for entrance into the Squad Room.

The Control Center Officer must then document this action in the Control Center Log Book and fill out a Restricted Key Form for the Operations Lieutenant's signature. Only the Operations Lieutenant will handle the keys. When the Operations Lieutenant is done with the keys, he/she will seal them in an envelope, sign across the flap, and return them to the Control Center Officer. The Control Center Officer will place the envelope back in the red box. Sub Armory and Escort Team Safes are located in the Rear Gate. These Safes are combination Gun Safes containing weapons and equipment for use during Emergency Situations or Escorted Trips, where weapons are authorized by policy. The combinations for these safes are only given to authorized staff and are not available to the Control Center Officer. During Emergency Situations the Control Center Officer must contact the Captain, Lieutenants or Security Officers for the Sub Armory Safe and the Escort Team Members or the Security Officers for the Escort Safe combination, so it can be disseminated to the appropriate staff member. The Control Center Officer must then document this action in the Control Center Log Book.

(Note: Upon returning to normal operation the combination(s) will be changed and issued to the appropriate authorized personnel immediately.)

ENTRANCE/EXIT PROCEDURES:

All staff entering and/or exiting the Armory, Squad Room, Sub Armory Safe or Escort Team Safe will utilize extreme caution, by insuring that there are no unauthorized individuals in the area and that the entrance to the Security Officer's Complex and Squad Room doors are secured prior to opening the Armory door/grill or the #8 Door and pedestrian grill doors are secured prior to opening the Sub Armory Safe or Escort Team Safe respectively. All items removed from the Armory must be denoted on an Issue of Weapons and Equipment form or the Location Inventory Issue Sign

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Out/In form. Upon returning the equipment, the Issue of Weapons and Equipment form or the Location Inventory Issue Sign Out/In form must be completed by the Operations Lieutenant, then forwarded to the Security Officers.

After the Emergency Situation or Trip is completed great care must be taken to insure all items are accounted for and returned to their respective areas of removal

Remember: The Warden or his designee is the only person who can authorize the use of any firearms, chemical agents or disturbance control equipment inside the institution.)

LOCK SHOP ADMITTANCE AUTHORITY/PROCEDURES

Entry into the Lock shop will be strictly controlled. The only persons authorized to enter the lock shop are: Warden, Associate Warden Operations, Captain, Lieutenants, Security Officer, Assistant Security Officer and Alternate Security Officer(s). All authorized personnel are permitted to break glass. After normal working hours or during an emergency situation, the Operations Lieutenant (non-acting) can order the Control Center Officer to break the glass on the EMERGENCY LOCKSHOP RED BOX (L-14). Then the Control Center Officer will make an entry in the log book and prepare a RESTRICTED KEY FORM for the Operations Lieutenant to sign. Only the Operations Lieutenant will handle the keys to the Lock shop. When the Operations Lieutenant is done with the keys, he/she will seal them in an envelope, sign across the flap, and return them to the Control Center Officer. The Control Center Officer will place the envelope back in the red box.

ENTRANCE/EXIT PROCEDURES:

All staff are advised to use extreme caution when entering or exiting the Lock Shop insuring that the entrance door to the correctional complex is secure and that no unauthorized individuals are in the area. All items removed from the Lock Shop must be denoted on an Issue of Weapons and Equipment form. Upon returning the equipment, the Issue of Weapons and Equipment form must be completed by the Operations Lieutenant, and forwarded to the Security Officers.

_____, Captain

_____, Date

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POST: CONTROL ROOM #1 OFFICER

EQUIPMENT: Key Rings E-1, E-18, Radio

All equipment assigned to this post and will be accountable on the shift to shift inventory form. All 24 hour equipment and key rings will be logged into the daily logbook with type of equipment and key ring numbers.

SHIFT: MORNING WATCH

HOURS OF DUTY: 12:00 A.M. to 8:00 A.M.

NORMAL ROUTINE:

All correctional officers will report to the institution in a timely manner. You are required to utilize the "Staff Chit Board" whenever you enter and/or depart from the secured perimeter of the institution.

12:00 A.M.

Make your presence known to Operations Lieutenant, and receive any pertinent information. Relief the Evening Watch Officer and receive any pertinent information about the previous shift. Announce count and verify the duress code with the Outside Patrol Officers. Check the key board to ensure all keys are present or properly chitted out. Conduct radio check and body alarm tests. Ensure the fire alarm panel, telephones (N.Y.P.D.) test and control panel are operational.

OFFICIAL COUNT TIME

Proceed to take the count if the Operations Lieutenant does not take count at this time

Watch calls will be made by all staff members present in the institution. Watch calls will be made via extension 333. Staff members will identify themselves and post every half hour. Any staff member failing to make a watch call shall be reported to the Operations Lieutenant immediately. Monitor all video screens. Ensure that proper security procedures are followed in regards to entrance procedures into the Special Housing Unit.

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12:45 A.M.

Begin preparing institutional rosters for the units and detail pouches. Conduct audits of all detail crew kits, ensure a current roster, call out and a detail card for every assigned inmate is present in the crew kit. Forward a report of your audit to the Operations Lieutenant.

Check the institution count running board, ensure all inmate movement has been properly recorded and keyed into SENTRY.
***Run sanitized rosters for the following areas: Control, Lobby and Attorney Conference.

Begin normal operations such as equipment accountability, and key ring accountability. Begin making entries in the Lieutenant's Log Book.

2:00 A.M.

Begin preparing the 3:00 A.M. count. Ensure all out count forms have been correctly filled out and signed by the Operations Lieutenant.

3:00 A.M.

OFFICIAL COUNT TIME

Announce count and take the count.

3:45 A.M.

Run a SENTRY PP38 A Daily Log purge inmate cards from the inmate card file for inmates no longer assigned to MCC NY and ensure new cards are made for all new arrivals.

3:45 A.M.:

**(APPROXIMATELY) USP Canaan bus will arrive to the institution.
(Wednesdays)**

4:15 A.M.

Begin preparing the 5:00 A.M. count. Ensure all out count forms have been correctly filled out and signed by the Operations Lieutenant.

5:00 A.M.

OFFICIAL COUNT TIME

Announce count and take the count.

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Upon completion of the count all door keys will be distributed to the Housing unit.

6:00 A.M.:

Watch calls will be completed. **NOTE:** Control Room #2 officer will arrive and assist the Control Room Officer in passing out keys and equipment.

7:30 A.M.

Announce Inmate Work Call at this time

8:00 A.M.

End of Tour

Once properly relieved, your tour of duty ends and you are to proceed directly out of the institution. If you are not relieved on time, you must notify the Operations or Activities Lieutenant before departing the institution.

****DO NOT LEAVE YOUR POST UNTIL THE PROPER RELIEF HAS BEEN PROVIDED.****

Post Orders and log books are confidential documents and should never be left unattended or in an area accessible to inmates. Post Orders must be secured at all times when not in use by staff.

It is the responsibility of the employee to inform the employer of the fact they have not been able or afforded the opportunity to read such orders. The employer will then advise the employee of the general requirements of the post, and answer any questions.

Employees assuming posts under these circumstances still have the responsibility of exercising sound correctional judgment based upon their training and experience and will make reasonable efforts, before assuming your post.

After reading the post orders, the employee must sign and date the Post Order Review Sheet which is located in Section 5 of this document. These post orders are not intended to describe in detail all the officers' responsibilities. Good judgment and common sense are expected in all situations not covered in these post orders.

NOTE: IT IS POLICY OF THE BUREAU OF PRISONS THAT IF AN INMATE(S) SHOULD APPROACH YOU OR YOUR POST WITH A STAFF MEMBER HOSTAGE, YOUR FIRST CONSIDERATION IS THE SECURITY OF THE INSTITUTION AND UNDER NO CIRCUMSTANCES WILL INMATES BE PERMITTED TO ESCAPE. ANY EMPLOYEE TAKEN HOSTAGE, REGARDLESS OF RANK, HAS NO AUTHORITY.

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CONTROL #1

THIS IS NOT AN ARMED POST

POST: CONTROL ROOM #1 OFFICER

EQUIPMENT: Key Rings E-1, E-18, Radio

All equipment assigned to this post and will be accountable on the shift to shift inventory form. All 24 hour equipment and key rings will be logged into the daily logbook with type of equipment and key ring numbers.

SHIFT: DAY WATCH

HOURS OF DUTY: 8:00 A.M. to 4:00 P.M.

LUNCH RELIEF: See Current Lunch relief Schedule

NORMAL ROUTINE: All correctional officers will report to the institution in a timely manner. You are required to utilize the "Staff Chit Board" whenever you enter and/or depart from the secured perimeter of the institution.

8:00 A.M.

Report to your assigned post, make your presence known with the Operations Lieutenant. Receive a briefing from the Morning Watch Officer of any pertinent information. Verify the duress code from the Operations Lieutenant and ensure that the Outside Patrol Officer, Rear Gate Officer and Front Lobby Officer know the duress code. Begin issuing keys and equipment to staff. The chit system will be used to draw equipment from the Control Center. Ensure that all Restricted Key Forms are filled out properly and completely prior to giving a staff member those keys. Identify all persons entering or exiting the institution. Ensure that proper security procedures are enforced in regards to inmate traffic and opening doors. **Do not open the entrance to the Special Housing Unit before staff member requests this door to be opened. Only SHU staff may call for this door to be opened.** Check the key board to ensure all keys are present or properly chitted out. Conduct radio check and body alarm tests. Ensure the fire alarm panel, telephones (N.Y.P.D.) test and control panel are operational.

9:00 A.M.

Conduct equipment inventory.

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9:30 A.M.

On Tuesdays conduct a triple deuce "222" test will be conducted.

10:00 A.M. (Weekends, Federal Holidays)

Announce Count Time (This is a stand-up count)

Between 10:15 A.M. 12:15 P.M. (Weekdays)

LUNCH RELIEF

Contact the department on the current lunch relief schedule to arrange for staff to relieve you for a 30 minute duty free lunch. When being relieved, ensure the staff member has signed the log book designated for lunch reliefs.

12:30 P.M. (APPROXIMATELY)

Announce trash call. Instruct staff to place trash in the Unit Sally port for pick-up.

3:45 P.M.

Inmate Recall

Announce Institutional Lock-Down, All inmates are to report to their cell for the 4:00pm stand up count.

4:00 P.M.

End of Tour

OFFICIAL COUNT TIME

Announce count time and pass on any pertinent information to your relief.

Once properly relieved, your tour of duty ends and you are to proceed directly out of the institution. If you are not relieved on time, you must notify the Operations or Activities Lieutenant before departing the institution.

****DO NOT LEAVE YOUR POST UNTIL THE PROPER RELIEF HAS BEEN PROVIDED.****

Post Orders and log books are confidential documents and should never be left unattended or in an area accessible to inmates. Post Orders must be secured at all times when not in use by staff.

It is the responsibility of the employee to inform the employer of the fact they have not been able or afforded the opportunity to read such orders. The employer will then advise the employee of the general requirements of the post, and answer any questions.

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Employees assuming posts under these circumstances still have the responsibility of exercising sound correctional judgment based upon their training and experience and will make reasonable efforts, before assuming your post.

After reading the post orders, the employee must sign and date the Post Order Review Sheet which is located in Section 5 of this document. These post orders are not intended to describe in detail all the officers' responsibilities. Good judgment and common sense are expected in all situations not covered in these post orders.

NOTE: IT IS POLICY OF THE BUREAU OF PRISONS THAT IF AN INMATE(S) SHOULD APPROACH YOU OR YOUR POST WITH A STAFF MEMBER HOSTAGE, YOUR FIRST CONSIDERATION IS THE SECURITY OF THE INSTITUTION AND UNDER NO CIRCUMSTANCES WILL INMATES BE PERMITTED TO ESCAPE. ANY EMPLOYEE TAKEN HOSTAGE, REGARDLESS OF RANK, HAS NO AUTHORITY.

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POST: CONTROL ROOM #1 OFFICER

EQUIPMENT: Key Rings E-1, E-18, Radio

All equipment assigned to this post and will be accountable on the shift to shift inventory form. All 24 hour equipment and key rings will be logged into the daily logbook with type of equipment and key ring numbers.

SHIFT: EVENING WATCH

HOURS OF DUTY: 4:00 P.M. to 12:00 P.M.

NORMAL ROUTINE: All correctional officers will report to the institution in a timely manner. You are required to utilize the "Staff Chit Board" whenever you enter and/or depart from the secured perimeter of the institution.

4:00 P.M.

Verify your presence with the Operations Lieutenant. Relief the Day Watch Control Center Officer, and receive any pertinent information. Verify the duress code with the Outside Patrol and Front Lobby Officers. Prepare the watch call and body alarm test sheets.

OFFICIAL COUNT TIME

Announce count time over the P.A. system. Retrieve and issue keys and equipment to staff members as they arrive. The chit system will be used for all keys and equipment. Ensure all Restricted Key Forms are filled out correctly and completely. Conduct a test of the fire alarm system. Identify all persons entering or exiting the institution. Assist staff moving through the institution in the elevators. Ensure that security procedures are followed in regard to inmate traffic and opening of doors. Do not open the entrance to the Special Housing Unit without SHU staff calling for the door to be opened. Door #7 will not be opened at the same time the outer vehicle door is opened. This is to ensure a sally port effect is in place.

5:00 P.M.

Conduct equipment checks and equipment inventory.

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6:00 P.M.

Watch calls begin. All staff present in the institution will make watch calls between the hours of 6:00 P.M. and 6:00 A.M.. Begin conducting a key count.

8:30 P.M.

After completion of visiting perform an inventory equipment check.

9:00 P.M.:

(Approximately) Announce trash call. All units will place trash in the sally port for pick up by staff.

All Housing Unit Door Keys and Detail Pouches will be collected by Internal Security Officer.

10:00 P.M.

OFFICIAL COUNT TIME.

Announce count over the P.A. system and advise this is a stand-up count.

12:00 A.M.:

End of Tour

OFFICIAL COUNT TIME

Announce count time and pass on any pertinent information to your relief.

Once properly relieved, your tour of duty ends and you are to proceed directly out of the institution. If you are not relieved on time, you must notify the Operations or Activities Lieutenant before departing the institution.

****DO NOT LEAVE YOUR POST UNTIL THE PROPER RELIEF HAS BEEN PROVIDED.****

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Employees assuming posts under these circumstances still have the responsibility of exercising sound correctional judgment based upon their training and experience and will make reasonable efforts, before assuming your post.

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These Post Orders are guidelines, not orders to work before or after regularly scheduled shifts.

There will be no additions or deletions to these post orders without the written consent of the Captain.

██████████, Captain

Date

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INTRODUCTION:

All Post Orders, including these Special Instructions are intended as a guideline only and not all circumstances can be anticipated or discussed. All officers are expected to use good judgment in decision making during times of unusual incidents. It is imperative that officers must be notified immediately should a crisis occur.

It is also imperative that all officers have broad knowledge concerning the BOP policies and local supplements and procedures governing this post. The security of the institution and the safety of staff, as well as inmates, is the primary function of the institution and the responsibility of all staff. The Control Center has a **critical** responsibility for maintaining institutional security and the prevention of escapes. Officers assigned to this post must be alert for any unusual activities and behaviors that may be occurring throughout the institution.

The Control Center Officer may be one of the first employees a visitor comes into contact with. It is important that the officer presents a neat and professional image. The assigned Control Center Officer must **never** leave the Control Center unless properly relieved or with the Operations Lieutenants permission. While in the Control Center the officer will always have in their possession, the key ring which manually operates the inner door of the control center, in the event the officer is trapped in the Control Center Sally Port.

Although the entrances to the Control Center are primarily electronically operated and cannot be opened at the same time, they can be operated manually; however, **AT NO TIME WILL BOTH DOORS BE OPENED AT THE SAME TIME**. The following are the majority of areas governed by policy that the Control Center Officer must know, follow and has contact with most frequently.

POST ORDERS:

All correctional posts have Post Orders describing the officer's duties. The original copy is to remain in the Lieutenant's Office and a copy is to be secured in the Control Center for officer's reference. Each officer is required to review the Post Orders and indicate such review by signing the original copy in the Lieutenant's Office and on their post prior to assuming their post at quarterly changes, monthly rotations, or after being away from the post for a long time.

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EMERGENCY PLANS:

All staff are required to review and indicate such review by signing, the Emergency Plans at least once a year. The Plans are stored in the Control Center. Staff must exchange a chit for the Emergency Plans. These Plans are also considered confidential and the contents are not to be discussed with or given to inmates or non-staff. It is imperative that all Control Center Officers become thoroughly familiar with the contents of the Emergency Plans and the emergency call-back procedures.

*****Emergency plans are not allowed to enter the secured part of the institution.****

CONTROL CENTER FORMS:

1. **Official Key Count Form:** this form is completed by the Evening Watch Control Center Officer, verifying they counted all the keys on all key rings in the Control Center. This form is forwarded to the Lieutenant's Office on the Evening Watch.
2. **Equipment Inventory:** This form is used to document each shift's accountability of all equipment in the Control Center. The Control Center Officer is responsible for all equipment assigned to the Control Center. This form will be forwarded to the Lieutenant's Office during the Evening Watch.
3. **Restricted Key Issue Form:** All restricted keys must first have the written approval of the Shift Lieutenant, prior to the issuance of these keys. The form is prepared in duplicate with the original sent to the department head of the employee whose keys were drawn and a copy is sent to the Captain for review, an additional copy is placed in the Control Center 30 Day File.
4. **Watch Call Log:** This form is used to document watch calls between the hours of 6:00 P.M. and 6:00 A.M.. The Control Center Officer is responsible for ensuring all watch calls are conducted. Any late or missed watch calls shall be immediately addressed to the Operations Lieutenant. This form will be retained in the Control Center 30 Day File.
5. **Body Alarm/Radio Daily Log:** This form is utilized by the Control Center to indicate a test of all issued body alarms and radios have been tested. Any discrepancies will be immediately addressed to the Shift Lieutenant. This form will be retained in the Control Center 30 Day File.
6. **Daily Security and Fire Inspections:** This form is completed daily by all shifts in the Control Center and forwarded to the Lieutenant's Office upon completion.

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KEY CONTROL:

The Control Center Officer will be responsible for the issuing of all keys from the Control Center. A chit must be received from the staff member, prior to the issuance of keys.

Keys will not be given to inmates or non-staff members or be exposed to inmates where they can observe the key cuts or codes. Reference to keys, key numbers, and areas to which they access, etc., will not be made in the presence of inmates or non-staff. Officers will never give up their keys to other staff members without first receiving a chit from that person. Officers are to count their keys upon issue, prior to turning them into the Control Center, and after receiving them from another employee. Broken or bent keys will be reported immediately to the Operations Lieutenant and/or the Security Officer. The Evening Watch Control Center Officer will physically count all keys on each existing key ring in the Control Center, verifying the number of keys on the ring with the numbered tag attached to the ring. The officer will then place the number of keys in the blank on the official key count form which corresponds with the key ring number. The Evening Watch Control Center Officer will also inspect all keys for cracks, bends, or other damage when counting the keys. Any damage will be reported to the Security Officer in writing.

The Control Center Officer, upon assuming the post, will verify that each key hook has either a set of keys or an employee's chit on the hook. This inspection will be noted in the Control Center Log Book.

All key or equipment hooks will have the keys, equipment, or an employee's chit on them. Issued metal chits are the **ONLY** authorized form of chits. Paper chits are not to be used. Temporary chits will be issued from the Lieutenant's Office for those needing them.

A board in the Control Center will house all normally issued keys. They are as follows:

1. **WHITE:** General issue and can be given to any employee.
2. **BLACK:** Area restricted; these are not restricted to employees assigned in that area, however, these keys are restricted to all other staff.
3. **BLUE:** Fully restricted; a restricted key form must be utilized each time the key is issued.
4. **RED:** Emergency Keys; these keys will be utilized for emergencies only. Any other issuance of these keys will require a restricted key form.

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EMERGENCY KEY RINGS: Emergency key rings will have a laminated card explaining what each key fits. There will be a sheet on the emergency key board explaining the corresponding location of all emergency key rings.

RED BOX KEYS: Keys to various areas are secured in red, glass fronted boxes. Only staff assigned to those areas are authorized to draw those keys. Breaking the glass to obtain emergency access must be authorized by the Operations Lieutenant.

CROSS REFERENCE FILE:

A cross reference file is maintained in the Control Center by key ring number and key numbers detailing to which area each key corresponds.

EQUIPMENT CHECKS:

Each Control Center Officer will verify all equipment assigned to the Control Center on each shift and will initial the inventory of equipment stored in the Control Center. This form will be forwarded to the Captain for review at the conclusion of each day.

EMERGENCY TELEPHONE SYSTEM:

The emergency telephone system is activated whenever the number **222** is dialed. The Control Center Officer will respond immediately to the emergency telephone and obtain all pertinent information from the caller which will include the nature and location of the emergency.

The Control Center Officer will relay this information to the Operations Lieutenant and all radio units without delay.

The Day Watch Control Center Officer will conduct tests of the 222 system once a week. This test will be recorded in the Operations Lieutenant Log Book. Always notify the Operations Lieutenant prior to, and at the conclusion of every test.

ACCESS TO THE ARMORY:

The following staff are allowed to enter the armory: Security Officer, Captain and all Lieutenants. An entry will be made in the Control Center's Log whenever anyone enters the armory during the Evening or Morning Watches. Any person entering the armory is required to sign the logbook in the armory stating the reason for entrance.

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REAR GATE OPERATIONS:

The officer assigned to the Rear Gate is totally responsible for all pedestrian and vehicle traffic entering or departing the institution from that area. The Control Center Officer will always insure that only authorized staff are issuing instructions to the Control Center Officer from the Rear Gate concerning the **OPENING AND CLOSING** of any security gate (s). The Rear Gate Officer must be visually seen prior to opening or closing any gates in the Rear Gate. The Control Center Officer will disregard all orders for opening or closing any gates by the Rear Gate Officer unless the Rear Gate Officer can be seen and is not under duress.

All law enforcement personnel will be required to deposit any weapons or ammunition that they may be carrying on their person or in the gun locker.

COUNTS:

The following times have been designated for the institutional counts: 12:00 A.M., 3:00 A.M., 5:00 A.M., 4:00 P.M., 10:00 P.M. and 10:00 A.M. on weekends and holidays. Vehicles with inmates will be allowed to enter the Rear Gate during regular count times; however, they will not be permitted out of the Rear Gate.

PAGING:

(Public Address System)- The paging system will be utilized for necessary institution calls and announcements including counts, paging staff, trash runs, etc..

CONTROL MAINTENANCE:

Each Control Center Officer is responsible for keeping the area clean. The Morning Watch is responsible for the major cleaning of the Control Center. **INMATES ARE NEVER ALLOWED TO ENTER THE CONTROL CENTER FOR ANY REASON.**

INMATE TELEPHONE SYSTEM:

In the event of an **INTERNAL DISTURBANCE** you may be directed to disconnect the inmate telephone system.

DURESS CODES:

A duress code system has been devised for use at the Front Lobby, Rear Gate, and Outside Patrols. This duress code will be a word or sentence that can be interjected into normal radio traffic that would alert the Control Center and Lieutenant's Office that the staff member was under duress and an emergency situation was occurring. In order to ensure that all staff have a thorough knowledge of the duress codes and proper procedures, the Operations Lieutenant will

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question staff and conduct occasional tests of the system. The EPO Lieutenant will be responsible for generating and implementing the duress codes on a quarterly basis or immediately upon the compromise of a duress code. As a Control Room Officer it is your responsibility to ensure you are aware of the Duress Codes and constantly monitor institution radio traffic for their use.

If the Front Lobby , Rear Gate or R&D utilizes a duress code, you will immediately notify the Operations Lieutenant by telephone and begin visually monitoring the affected area by video. You **will not** open any gates/doors in the affected area.

FIRST RESPONDER INSTRUCTIONS:

The Control Center Officers will not respond to any emergency situations. You must not vacate your post unless properly relieved by a staff member designated by the Operations Lieutenant. Your primary responsibility in any emergency is to communicate the location and nature of the emergency to other staff. You may be required to facilitate the response by opening certain control doors. You may also be required to issue emergency equipment from your area.

TELEPHONE SCREENING:

After normal business hours the Control Center is designated as the Screening Site. The Control Room Officer must follow Screening Site and Locator Center procedures to ensure the security of WITSEC inmates is not violated. **The proper statement when answering the telephone is " Good morning/afternoon, MCC New York, is this call in reference to an inmate?"**.

INMATE INQUIRES: If the caller stats that their phone call is in reference to an inmate the Control Room Officer will check the daily sanitized roster for the inmate. If the inmate is on the roster, the Officer will then refer the call to the appropriate staff and inform that staff member of which inmate is being inquired about.

If the inmate is not on the sanitized roster, but the caller knows the inmates 's register number, then the Control Room Officer will run a PPPI Sentry transaction. If the caller does not know the inmate's register number they will run a PPSS Sentry transaction. If the inmates is indicated as a WITSESC then a highlighted warning will be displayed on the screen. If this happens then the appropriate thing to state to the caller is **"I'm sorry, I am unable to locate information concerning this inmate. I suggest that you contact the National Locator Center at(202)-3073126**

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The CMC will be notified of all inquiries for WITSEC inmates via a memorandum. (Attachment D NYM 5180.3b). The Operations Lieutenant will also be notified.

When Sentry Is Inoperable/ Unavailable:

In cases when sentry is down and a call regarding an inmate is received, the caller should be referred to the National Locator Center for information. [REDACTED]. Sentry Field support should also be contacted at ([REDACTED]), to obtain the estimated down time.

CALLS FOR STAFF:

If a person calls for staff member, the first statement will be if the call is in reference to an inmate. If the caller says, no, then the call will be put through to the staff member. If, once the staff member the call, the caller asks about an inmate, the staff member will immediately transfer the call back to the Screening Site.

OFFICIAL COUNTS:

It is the responsible of the Control Room Officer, to prepare all paperwork for Official Counts. You will ensure that all out-counts are received no later than 45 minutes before count time. You will then load all out-counts into Sentry and then run a PPPE1 SENTRY for the count. It is important that you sign the bottom of the form next to "Person Preparing Count" and "Person Taking The Count" (if applicable). If Sentry is not operational then you will prepare a "paper count" utilizing the daily running board.

OUT COUNT:

All out-counts must be turned into the Control Room no later than 45 minutes before count time. All out-counts forms will list inmates being out-counted, attached Sentry list are not authorized, and signed by the Operations Lieutenant before you accept it. You then will load the out-counts into Sentry.

SEPARATIONS: Every inmate will be checked for separations when arriving to this facility. This will be accomplished by utilizing a sentry transaction PP10. Any time a change of quarters is needed the Control Room Officer will check for separations before the inmate if to be moved.

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ARMORY Admittance Authority/Procedures:

Entry into the Armory, Squad Room, Sub Armory Safe or Escort Team Safe will be strictly controlled. The only persons authorized to enter the Armory, Sub Armory Safe or Escort Team Safe are the Warden, Associate Warden Operations, Captain, Lieutenants, Security Officer, Assistant Security Officer and Alternate Security Officer(s). Only those authorized to enter the Armory, Squad Room, Sub Armory Safe or Escort Team Safe will be permitted to break glass.

(Note: After normal working hours or during an emergency two (2) staff members must be present when entering the Armory, Squad Room, Sub Armory Safe or Escort Team Safe.)

All staff members visiting the Armory will sign the entrance log book stating date, time in, time out, purpose of visit, and signature. After normal working hours or during emergencies, the Operations Lieutenant (non-acting) will retrieve the key from the glass box (L-22) in Control Center on the Emergency Key Board for entrance into the Armory.

Access to the Squad Room after normal working hours or during emergencies, the Operations Lieutenant (non-acting) will retrieve the key from the glass box (L-23) in Control Center on the Emergency Key Board or authorize the Control Center Officer to retrieve the key from the glass box (M-8) to activate the push button to electrically unlock the door for entrance into the Squad Room.

(Note: The Control Center Officer must then document this action in the Control Center Log Book and fill out a Restricted Key Form for the Operations Lieutenant's signature. Only the Operations Lieutenant will handle the keys. When the Operations Lieutenant is done with the keys, he/she will seal them in an envelope, sign across the flap, and return them to the Control Center Officer. The Control Center Officer will place the envelope back in the red box.)

Sub Armory and Escort Team Safes are located in the Rear Gate. These Safes are combination Gun Safes containing weapons and equipment for use during Emergency Situations or Escorted Trips, where weapons are authorized by policy. The combinations for these safes are only given to authorized staff and are not available to the Control Center Officer. During Emergency Situations the Control Center Officer must contact the Captain, Lieutenants or Security Officers for the Sub Armory Safe and the Escort Team Members or the Security Officers for the Escort Safe combination, so it can be disseminated to the appropriate staff member. The Control Center Officer must then

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document this action in the Control Center Log Book.

(Note: Upon returning to normal operation the combination(s) will be changed and issued to the appropriate authorized personnel immediately.)

Entrance/Exit Procedures:

All staff entering and/or exiting the Armory, Squad Room, Sub Armory Safe or Escort Team Safe will utilize extreme caution, by insuring that there are no unauthorized individuals in the area and that the entrance to the Security Officer's Complex and Squad Room doors are secured prior to opening the Armory door/grill or the #8 Door and pedestrian grill doors are secured prior to opening the Sub Armory Safe or Escort Team Safe respectively. All items removed from the Armory must be denoted on an Issue of Weapons and Equipment form or the Location Inventory Issue Sign Out/In form. Upon returning the equipment, the Issue of Weapons and Equipment form or the Location Inventory Issue Sign Out/In form must be completed by the Operations Lieutenant, then forwarded to the Security Officers.

(Note: After the Emergency Situation or Trip is completed great care must be taken to insure all items are accounted for and returned to their respective areas of removal.)

(Note: The Warden or his designee is the only person who can authorize the use of any firearms, chemical agents or disturbance control equipment inside the institution.)

LOCKSHOP Admittance Authority/Procedures:

Entry into the Lockshop will be strictly controlled. The only persons authorized to enter the lock shop are: Warden, Associate Warden Operations, Captain, Lieutenants, Security Officer, Assistant Security Officer and Alternate Security Officer(s). All authorized personnel are permitted to break glass. After normal working hours or during an emergency situation, the Operations Lieutenant (non-acting) can order the Control Center Officer to break the glass on the **EMERGENCY LOCKSHOP RED BOX (L-14)**. Then the Control Center Officer will make an entry in the log book and prepare a **RESTRICTED KEY FORM** for the Operations Lieutenant to sign. Only the Operations Lieutenant will handle the keys to the Lock shop.

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When the Operations Lieutenant is done with the keys, he/she will seal them in an envelope, sign across the flap, and return them to the Control Center Officer. The Control Center Officer will place the envelope back in the red box.

Entrance/Exit Procedures:

All staff are advised to use extreme caution when entering or exiting the Lockshop insuring that the entrance door to the correctional complex is secure and that no unauthorized individuals are in the area. All items removed from the Lock shop must be denoted on an Issue of Weapons and Equipment form. Upon returning the equipment, the Issue of Weapons and Equipment form must be completed by the Operations Lieutenant, then forwarded to the Security Officers.

Keys:

The Control Center Officer on evening watch is responsible for completing the daily institution key count. Any discrepancies must be noted on the key count form and reported to the Operations Lieutenant immediately. If a staff member has left the institution with their keys the Operations Lieutenant is to be notified immediately upon the discovery by the Control Center Officer and that staff member is to be called and must return to the institution as fast as possible with the keys.

All staff members issued keys must have them secured on an institution approved chain, which must be connected to an approved clip and attached to a belt or around the waist. Staff that are not in compliance will be referred to the Warden for disciplinary action. The Security Officer or Assistant Security Officer will review all restricted key forms daily and report any discrepancies to the Captain.

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POST: CONTROL #2

SHIFT: A.M. SHIFT

EQUIPMENT:

All equipment assigned to this post and will be accountable on the shift to shift inventory form. All 24 hour equipment and key rings will be logged into the daily logbook with type of equipment and key ring numbers.

HOURS OF DUTY: 6:00 A.M. to 2:30 P.M. (Monday Through Friday)
6:00 A.M. to 2:00 P.M. (Weekends and Holidays)

LUNCH RELIEF: See Current Lunch relief Schedule

NORMAL ROUTINE: All correctional officers will report to the institution in a timely manner. You are required to utilize the "Staff Chit Board" whenever you enter and/or depart from the secured perimeter of the institution.

6:00 A.M.

Report to your assigned post; make your presence known with the Operations Lieutenant. Receive a briefing from the Morning Watch Officer for any pertinent information. Verify the institution count and the accuracy of all paperwork. Assist the Control # 1 with key issue, door controls and radio traffic.

Maintain the institution count running board, you must maintain contact with R&D, SHU and the General Housing Units to ensure you are aware of all inmate movement and have an accurate count at all times.

9:15 A.M.

(Weekends and holidays only) Begin preparing the 10:00 A.M. count. Ensure all out count forms have been correctly filled out and signed by the Operations Lieutenant.

10:00 A.M.

Official count time (weekends and holidays only). Announce count over the P.A. system.

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Between 10:15 A.M. 12:15 P.M. (Weekdays)

LUNCH RELIEF

Contact the department on the current lunch relief schedule to arrange for staff to relieve you for a 30 minute duty free lunch. When being relieved, ensure the staff member has signed the log book designated for lunch reliefs.

12:30 P.M.

Continue recording all inmate movement; ensure the institution count running board is accurate at all times. Complete any remaining tasks that have not been completed.

1:30 P.M.

Begin preparing the 4:00 P.M. count. Ensure all out count forms have been correctly filled out and signed by the Operations Lieutenant.

2:00 P.M. Weekdays (2:30 P.M. Weekends)

End of Shift

Once properly relieved, your tour of duty ends and you are to proceed directly out of the institution. If you are not relieved on time, you must notify the Operations or Activities Lieutenant before departing the institution.

****DO NOT LEAVE YOUR POST UNTIL THE PROPER RELIEF HAS BEEN PROVIDED.****

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After reading the post orders, the employee must sign and date the Post Order Review Sheet which is located in Section 5 of this document. These post orders are not intended to describe in detail all the officers' responsibilities. Good judgment and common sense are expected in all situations not covered in these post orders.

NOTE: IT IS POLICY OF THE BUREAU OF PRISONS THAT IF AN INMATE(S) SHOULD APPROACH YOU OR YOUR POST WITH A STAFF MEMBER HOSTAGE, YOUR FIRST CONSIDERATION IS THE SECURITY OF THE INSTITUTION AND UNDER NO CIRCUMSTANCES WILL INMATES BE PERMITTED TO ESCAPE. ANY EMPLOYEE TAKEN HOSTAGE, REGARDLESS OF RANK, HAS NO AUTHORITY.

METROPOLITAN CORRECTIONAL CENTER
NEW YORK, NEW YORK
SPECIFIC POST ORDERS

CONTROL #2

THIS IS NOT AN ARMED POST

POST: CONTROL #2

SHIFT: P.M. SHIFT

EQUIPMENT:

All equipment assigned to this post and will be accountable on the shift to shift inventory form. All 24 hour equipment and key rings will be logged into the daily logbook with type of equipment and key ring numbers.

HOURS OF DUTY: 2:00 P.M. to 10:00 A.M.

NORMAL ROUTINE: All correctional officers will report to the institution in a timely manner. You are required to utilize the "Staff Chit Board" whenever you enter and/or depart from the secured perimeter of the institution.

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2:00 P.M.

Verify your presence with the Operations Lieutenant. Relief the A.M Shift Control #2 Officer and receive any pertinent information. Verify the institution count and the accuracy of all paperwork. Assist the Control # 1 with key issue, door controls and radio traffic.

3:00 P.M.

Begin preparing the 4:00 P.M. count. Ensure all out count forms have been correctly filled out and signed by the Operations Lieutenant.

3:45 P.M.

Inmate Recall

Announce Institutional Lock-Down, All inmates are to report to their cell for the 4:00 P.M. stand up count.

METROPOLITAN CORRECTIONAL CENTER
NEW YORK, NEW YORK
SPECIFIC POST ORDERS

CONTROL #2

THIS IS NOT AN ARMED POST

4:00 P.M.

OFFICIAL COUNT TIME.

Announce count time over the P.A. system. Verify with the E/W Operations Lieutenant if he/she is going to take the 4:00 P.M. count.

Assist the Control Room Officer # 1 with key issue, door controls and radio traffic

5:00 P.M.

Maintain the institution count running board, you must maintain contact with R&D, SHU and the General Housing Units to ensure you are aware of all inmate movement and have an accurate count at all times.

6:00 P.M.

Run a SENTRY PP38 Daily Log, purge inmate cards from the inmate card file for inmates no longer assigned to MCC NY and ensure new cards are made for all new arrivals.

9:15 P.M

Begin preparing the 10:00 P.M. count. Ensure all out count forms have been correctly filled out and signed by the Operations Lieutenant.

9:30 P.M.

Complete and sign all paperwork, ensure the SENTRY PP-E1 matches the institution count running board. Verify the unit count with each unit. Pass on any pertinent information to your relief and the Operations Lieutenant.

10:00 P.M.

End of Shift

Once properly relieved, your tour of duty ends and you are to proceed directly out of the institution. If you are not relieved on time, you must notify the Operations or Activities Lieutenant before departing the institution.

****DO NOT LEAVE YOUR POST UNTIL THE PROPER RELIEF HAS BEEN PROVIDED.****

METROPOLITAN CORRECTIONAL CENTER
NEW YORK, NEW YORK
SPECIFIC POST ORDERS

CONTROL #2

THIS IS NOT AN ARMED POST

Post Orders TRUSCOPE program and log books are confidential documents and should never be left unattended or in an area accessible to inmates. Post Orders must be secured at all times when not in use by staff. It is the responsibility of the employee to inform the employer of the fact they have not been able or afforded the opportunity to read such orders. The employer will then advise the employee of the general requirements of the post, and answer any questions.

Employees assuming posts under these circumstances still have the responsibility of exercising sound correctional judgment based upon their training and experience and will make reasonable efforts, before assuming your post.

After reading the post orders, the employee must sign and date the Post Order Review Sheet which is located in Section 5 of this document. These post orders are not intended to describe in detail all the officers' responsibilities. Good judgment and common sense are expected in all situations not covered in these post orders.

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These Post Orders are guidelines, not orders to work before or after regularly scheduled shifts.

There will be no additions or deletions to these post orders without the written consent of the Captain.

██████████, Captain

Date

FEDERAL BUREAU OF INVESTIGATION

Date of entry 10/10/2019

Between the approximate dates of August 12 - August 20, 2019, Office of the Inspector General Special Agent [REDACTED] served Federal Grand Jury Subpoenas to the following Metropolitan Correctional Center (MCC) employees:

[REDACTED]

A digital copy of the subpoenas are attached to this report in the 1A section.

Investigation on 08/15/2019 at New York, New York, United States (In Person)

File # 90A-NY-3151227 Date drafted 10/08/2019

by [REDACTED]

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

United States District Court
SOUTHERN DISTRICT OF NEW YORK

TO: [REDACTED]

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, 40 Foley Square, Room 220, in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place:

Appearance Date: August 20, 2019 Appearance Time: 10:00 a.m.

to testify and give evidence in regard to an alleged violation of :

18 U.S.C. §§ 371, 1001, 1519

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

Personal appearance is required unless excused by the U.S. Attorney's Office for the Southern District of New York. Please see the attached riders.

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
August 15, 2019

Geoffrey S. Berman

GEOFFREY S. BERMAN
*United States Attorney for the
Southern District of New York*

[REDACTED]
Assistant United States Attorneys
One St. Andrew's Plaza
New York, New York 10007
Telephone: [REDACTED]
Email: [REDACTED]



RIDER

(Grand Jury Subpoena to [REDACTED], dated August 15, 2019)

Advice of Rights

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Materials to be Produced:

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[REDACTED] / [REDACTED]
Assistant United States Attorneys
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August 15, 2019

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[REDACTED]
[REDACTED] / [REDACTED]
Assistant United States Attorneys
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SOUTHERN DISTRICT OF NEW YORK

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GEOFFREY S. BERMAN
*United States Attorney for the
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[Redacted]
[Redacted] / [Redacted]
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United States District Court
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United States District Court
SOUTHERN DISTRICT OF NEW YORK

TO: [REDACTED]

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DATED: New York, New York
August 15, 2019

Geoffrey S. Berman

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*United States Attorney for the
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[REDACTED]
Assistant United States Attorneys
One St. Andrew's Plaza
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Telephone: [REDACTED]
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FEDERAL BUREAU OF INVESTIGATION

Date of entry 10/15/2019

On September 18, 2019, Office of the Inspector General Special Agent [REDACTED] provided Suicide Prevention Training Material from the Metropolitan Correctional Center (MC). The documents are attached in the 1A section of this report.

Investigation on 09/18/2019 at New York, New York, United States (Email)

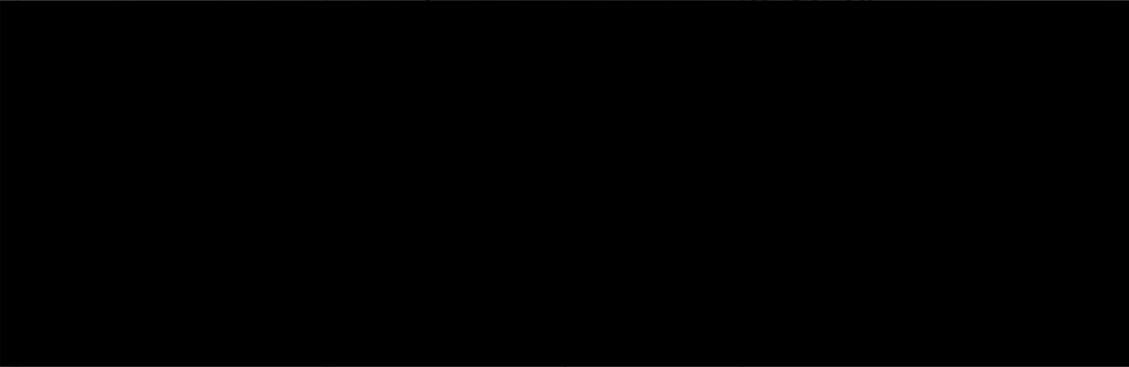
File # 90A-NY-3151227Date drafted 10/10/2019

by [REDACTED]

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

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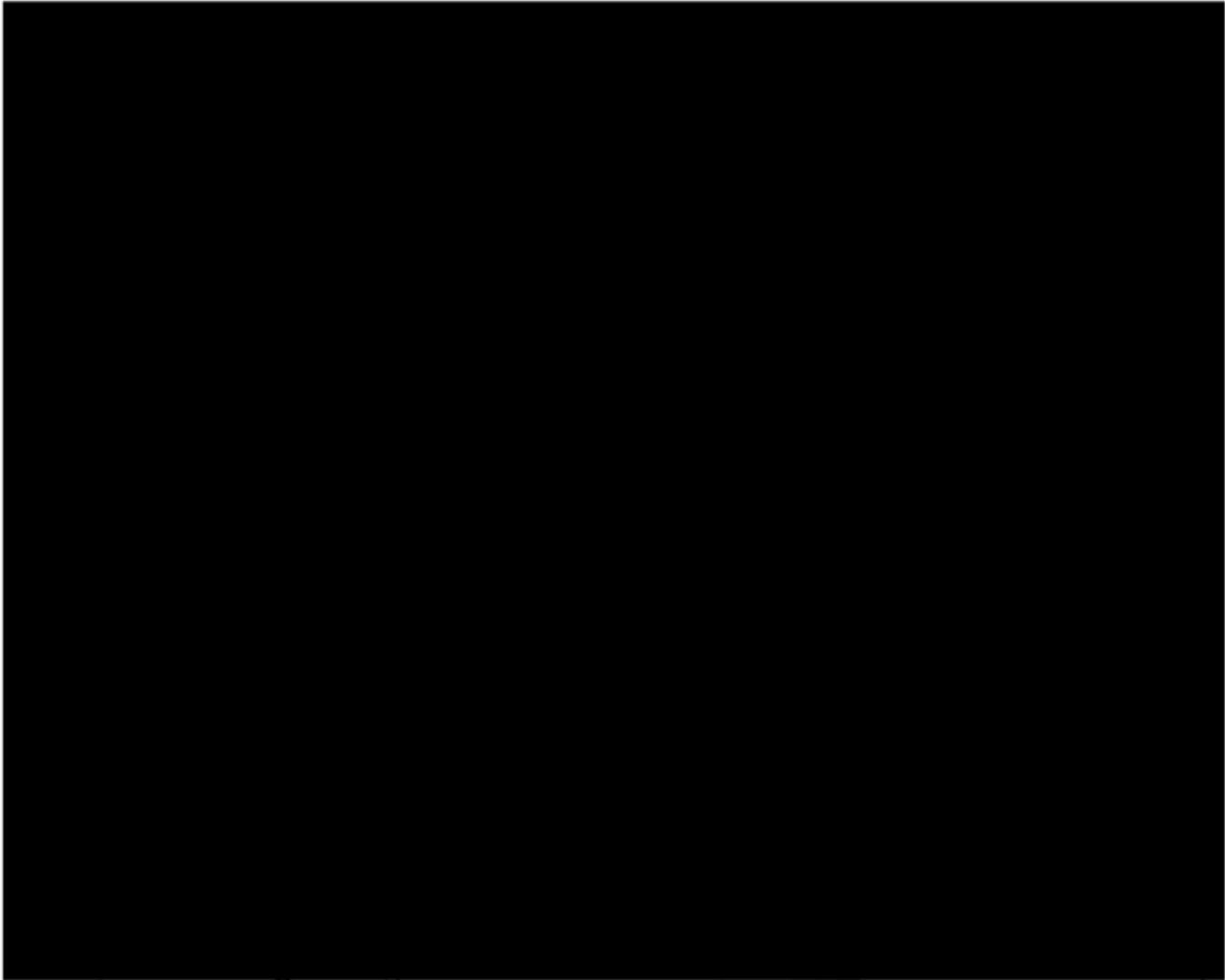
Training Sign-In-Sheet

MCC New York		Hours: 1	Date:	September 6, 2019
Course Name	SHU Suicide Prevention Training		Course Code	
	Last Name	First Name	BOP#	Signature
1.				
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Signature				

ANNOUNCEMENT OF SUICIDE

6/6/19

Sign-In Sheet



J. NOEL

6-26-2019

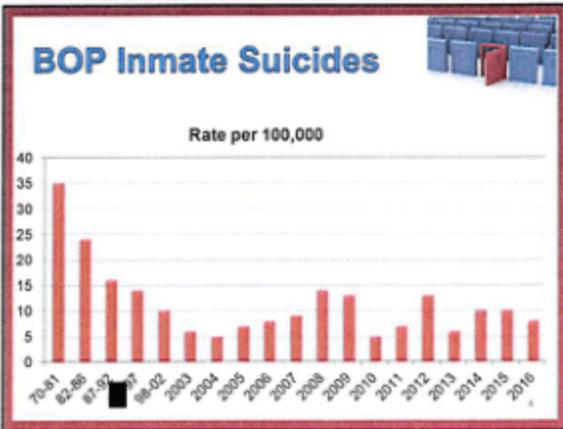


Objectives

- Understand suicide risk associated with locked units and single cells
- Identify high risk groups
 - mentally ill inmates
 - behavior disordered inmates
 - sex offender and protective custody inmates

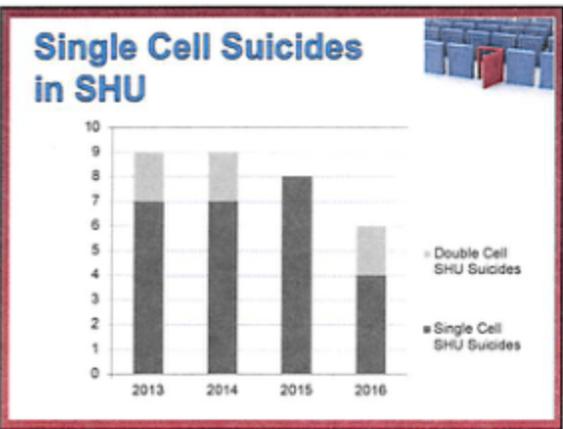
Objectives

- Discuss management strategies for specific at risk inmates in this SHU
- Review emergency response procedures



Locked Units

- Locked units include SHUs, SMUs, ADX, Seclusion, Extended lock down units, etc.
- Every year between 30 and 80% of inmate suicides occur on a locked unit
- Single Cells in locked units are especially risky for high-risk inmates



Single Cells



It is recommended that all SHU inmates be double-celled unless there is a compelling reason not to do so.

- Reduces isolation
- Reduces privacy
- Provides distraction
- Provides rescue opportunity

Single Cells



When an inmate cannot be double celled:

- Place at-risk inmates in higher visibility cells
- Reduce or eliminate tie-off points
- Increased monitoring of property
- Additional out of cell contacts with Psychology, Health Services, Unit Team, Recreation, Education, and Religious Services

High Risk Inmates



Discuss local policies to ensure specific inmates are not single celled. These may include:

- Psychology Advisory List (TRU-SCOPE)
- Special notation on cell door
- Special notation on SHU board
- SHU Program
- Other



Good SHU Management is Good Suicide Prevention



- Complete SHU rounds as directed by policy and document them accurately
- Observe inmates & report concerns to the SHU Lieutenant, Psychology Services, and/or the next shift, as appropriate
- Respond to inmate concerns and accommodate reasonable requests promptly

Good SHU Management is Good Suicide Prevention



- Prior to entering a SHU cell to provide assistance staff should ensure their safety which may include waiting for assistance
- Cut down tools should never be used for any purpose other than responding to a suicide emergency
- Know the location of the AED and how to use it

Behavior Disordered Inmates



- 30% suicides are committed by behaviorally disordered inmates in SHU
- At risk for suicide AND accidental death
- Must be assessed by psychology **EVERY** time they make a new threat of self-harm
- Must be taken seriously!

Suicide Risk Management Plan 

The goal of a Suicide Risk Management Plan is to increase inmate safety by decreasing behaviors that create risk for suicide or accidental death when the inmate cannot be engaged in positive change behaviors

Suicide Risk Management Plan 

- A Suicide Risk Management Plan **IS**:
 - **Feedback**: immediate and frequent
 - **Reinforcement**: of positive behaviors or neutral behaviors that replace harmful behaviors
 - **Collaboration**: between psychology, custody, other departments, and executive staff
 - **Targeted**: self-harm behaviors and other behaviors that place the inmate in danger (cutting, cell fires, etc.)

Creating a Suicide Risk Management Plan 

Psychology Services identifies key issues through observation of the inmate and input from staff:

- High risk behaviors
- Elements of the environment that perpetuate dangerous behavior
- Reinforcers that may be used to reward positive behavior

Creating a Suicide Risk Management Plan 

- These are combined into a brief, individualized plan that indicates:
 - Management strategies
 - When reinforcers will be provided
 - What harmful behaviors will trigger more intensive risk management strategies

Enacting a Suicide Risk Management Plan 

- Present the plan to the inmate; this is usually done collaboratively by the Captain and Chief Psychologist
- Be prepared: Behaviors usually get worse before they get better
- All staff need to adhere to the plan
- Discuss concerns and issues along the way to ensure staff members are being consistent

Behavior Disordered Inmates 

<ul style="list-style-type: none"> • Place PDS Photo Here 	<ul style="list-style-type: none"> • Inmate's Name & Location • List Risk Factors & Warning Signs Specific to the Inmate • Discuss Helpful Interventions: Especially Preventative Interventions
--	--

Mentally Ill Inmates



- Approximately 30 to 60% of BOP suicides are completed by mentally ill inmates
- Disorders most frequently include Depression, Bipolar Disorder, and Schizophrenia
- Symptoms may include psychosis, poor hygiene, lack of energy, poor appetite, insomnia, agitation, and lack of interest in things that were once of interest

Mentally Ill Inmates



- Monitor these inmates closely; look for changes in mood and behavior and report them to Psychology
- Build positive rapport with these inmates to assist them with problem solving and meeting their needs
- During shake downs, ensure medications are not being hoarded and property has not been modified to allow self-harm

Mentally Ill Inmates



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Sex Offenders and Protective Custody



- Both of these groups are at heightened risk for suicide
 - Both groups may be fearful of other inmates
 - Both groups may be experiencing shame
- Double-cell all inmates whenever possible
- Convey requests to speak to Psychology immediately
- Place in higher visibility cells

Emergency Response



- Always initiate life saving measures
- Ensure the response reflects the emergent nature of the situation
- All staff should carry personal protective gear



Lesson Learned From Local Mock Drills



Psychology Advisory List

- The Advisory List
 - identifies inmates with mental health conditions who may become dangerous, self-destructive, or suicidal when placed into the SHU.




PSY Alert

- PSY Alert is an enhanced tracking and monitoring system to ensure:
 - Special psychological needs are reviewed and considered by Psychology Services
 - Safety and security concerns are highlighted for non-psychology staff



Phone a Friend

- You are required to refer an inmate to Psychology Services if you observe behaviors that indicate she or he may be at risk for suicide
- Call to collaborate in managing high risk inmates
- Call to discuss small problems before they get big



Review of Objectives



- Understand suicide risk associated with locked units and single cells
- Identify high risk groups
 - mentally ill inmates
 - behavior disordered inmates
 - sex offender and protective custody inmates
- Discuss management strategies for specific at risk inmates in this SHU
- Review emergency response procedures

QUESTIONS?



LESSON PLAN	SUICIDE PREVENTION
	<ul style="list-style-type: none"> - Signs of Suicide Risks/Supervision of Inmates - Types of Suicide Intervention/Counseling Techniques - Suicide Precautions
TIME FRAME	1 Hour (Recommended)
PURPOSE	To increase staff knowledge about the factors related to inmate suicides and to raise awareness concerning the fundamental care and custody responsibilities of all staff. To provide basic information, skills, and techniques for recognizing, responding, follow-up and monitoring inmates in time of crisis. Meets ACA and BOP requirement.
OBJECTIVES	<ul style="list-style-type: none"> • Identify common myths and accurate information about suicide • List high risk groups, settings, and locations for suicide in your institution • Identify risk factors and warning signs which may identify a potential suicide attempt • State the four basic responses of a staff member who believes an inmate is at risk of suicide • Describe the location of the suicide watch room(s) at your institution and what staff should/should not do when assigned to work a suicide watch post
STUDENT MATERIALS	None Noted
INSTRUCTOR MATERIALS	<ul style="list-style-type: none"> • Lesson Plan • PowerPoint Presentation
REFERENCES	<ul style="list-style-type: none"> • PS 5324.08, Suicide Prevention Program • PS 5324.07, SENTRY Psychology Alert Function • American Correctional Association (ACA) Standard 4-4373, Suicide Prevention and Intervention • Inmate Suicide Roster, 2018 • Summary of Inmate Suicides, 2018 • Suicide Myths and Facts. Centre for Suicide Prevention. • Why is Suicide Prevention Education Important? Centre for Suicide Prevention. www.suicideinfo.ca • We Educate for Life. Centre for Suicide Prevention.

**RECOMMENDED
INSTRUCTORS**

Psychology Department Staff

CONTACTLearning and Career Development Branch (LCDB)
Curriculum Development at BOP-HRM/Curriculum~**SPECIAL NOTES**

Local Modification: The portion, "Our High Risk Inmates" on Page 17 requires modification at the local institution. Please enter the photo and the requested information on PowerPoint slide #29. Add the number of slides needed.

Be prepared to review local inmates (if any) from the Inmate Suicide Roster, 2018, and the Summary of Suicides, 2018.

Special Note:

Curriculum will be updated and resent for the statistical data at the end of Fiscal Year 2018. This includes Page 4 and 5 of the lesson plan and Slides 3, 4, 5, and 6 of the PowerPoint presentation. Updated information will also be added regarding inmate deaths by suicide beginning on Slide 33.

I. INTRODUCTION

Slide 1 – Suicide Prevention (Header Slide)

Note: Introduce self and topic.

II. OBJECTIVES

Slide 2 - Objectives

At the end of the lesson, participants should be able to:

- Identify common myths and accurate information about suicide.
- List high risk groups and locations for suicide in their institution.
- Identify risk factors and warning signs which may identify a potential suicide attempt.
- State the four basic responses of a staff member who believes an inmate is at risk of suicide.
- Describe the location of the suicide watch room(s) at their institution and what staff should/should not do when assigned to work a suicide watch post.

As you know, everyone in the Bureau of Prisons, regardless of their specific job description, must first function as a correctional worker, ensuring the security of the institution. We all also have an equal responsibility to ensure that each inmate receives adequate and timely care for their physical, spiritual and emotional needs. During this session, we will discuss a number of facts and procedures that each of you as correctional workers should know about managing offenders.

We will be talking about a number of situations where you must critically weigh the care needs of the inmate with the custody needs of the institution. One of the most important situations of this kind is in preventing suicides.

This training session will assist you in recognizing the important signs indicative of potential suicidal behavior. It will acquaint you with a number of facts about inmates who have committed suicide in federal prisons, and help you identify high risk groups of inmates. It will also provide you with critical information about how best to respond during a suicide crisis situation.

It is important that you openly express your concerns to psychology or medical staff about inmates who are experiencing troubles. It is also very important to remember that psychology or medical staff do not see inmates as regularly as other staff, and therefore, may not be as aware of changes in their behavior or how various courses of treatment are affecting the inmate.

It is also very important that all employees, regardless of job specialty, feel empowered to inform the appropriate staff if inmates are not receiving treatment or are obviously deteriorating even if they are receiving treatment.

This is not to say you should be trying to diagnose inmates or should dispute or debate the merits of treatment decisions made by the professional staff. However, in many cases you are with the inmates most of the day and are probably better able to see problems or changes than a health care professional who may only see the inmate for a relatively shorter period of time.

Your responsibility to ensure an inmate is receiving appropriate care does not stop simply because you have referred him or her to another staff member, or because the inmate is receiving treatment. If you are actively involved with that inmate on a regular basis and you have good, supportable reasons to feel that a particular course of action does not seem to be working, you should express those concerns to appropriate supervisors.

III. COMMON MYTHS AND FACTS ABOUT SUICIDE

Slide 3 – Inmate Suicides in 2018

Note: Numbers should be entered in this section at the end of Fiscal Year 2018.

Slide 4 – Inmate Suicides in 2018

- There were 28 inmate suicides in Fiscal Year 2018.
- The rate of inmate suicides was 15 per 100,000.
- This is significantly higher than last year's rate and most similar to 1995.
- Inmates who died by suicide reflect traditional BOP high risk groups.

Slide 5 – Trends in 2018

- 11 % of suicides were by inmates in pre-trial status, compared to 17% in 2017 and 19% in 2016.
- 43% of inmates who died by suicide were in the first 36 months of their incarceration compared to 35% in 2017.
- 50% of suicides were by inmates in single cell status. Similar to the 57% in 2017.
- 46% of suicides occurred in restrictive housing as compared to 52% in 2016.

Slide 6 – Trends in 2018

- 4% of inmates who died by suicide did so by exsanguination.
- 39% of inmate deaths by suicide involved inmates with a conviction or charge for a sex offense, a significant increase over 26% in 2017.

- No suicides occurred at a private contract facility.
- 79% of inmates who died had a history of mental health problems.

Overall, our rates continue to track changes in the community and in the decade from 2000 – 2009, the average rate was just below 10/100,000. In FY2010, our rate of 5/100,000 was as low as it has ever been; the rate for FY2012 was 13/100,000, which represents 29 suicides and the rate for FY2013 was 6/100,000. The rate for FY2014 was 10/100,000. This tracks with higher years such as 2000, 2001, 2008, 2009 and 2012. The Bureau's rate remains well below the average rate of 20.2/100,000 for men in the community.

Unfortunately, it is difficult to know exactly why the rates have declined over the last 40 years or why they spike and decline for any given year. Part of the decline has to be attributed to the Suicide Prevention Program, and particularly to staff who have learned to recognize and refer inmates before they make attempts. Rather than focusing on a particular year, it is useful to monitor trends.

Knowing how often suicide occurs can be helpful because it can make us more sensitive to the issue. It is also important to know where and with whom the risk is greatest.

Slide 7-8 – Video – Suicide Myths and Facts

Note: The video has no sound. It is the responsibility of the instructor to read the myths and facts aloud to the audience, if desired. Depending upon the view of the audience, it may not be necessary to read aloud.

Note: Play video by clicking reel. Video will play automatically if in presentation mode. The content of the video are below:

Myth: Talking about suicide can cause suicide.

Fact: Talking about suicide does not cause people to think about killing themselves.

Myth: People who are suicidal want to die.

Fact: People who are suicidal do not want to die, they want the pain of living to end.

Myth: Teens die by suicide most often.

Fact: Middle-aged men, ages 40-60, die by suicide more than any other group.

Myth: Suicide rates are highest around Christmas.

Fact: The rate of suicide is fairly consistent throughout the year and slightly peaks in early spring.

Myth: Suicide occurs without any warning.

Fact: Learning to identify the warning signs of someone who is suicidal can prevent harm.

Myth: Suicide prevention should be left to professionals.

Fact: Anyone can learn how to intervene with someone at risk of suicide.,

Myth: People who are suicidal cannot be stopped.

Fact: Thoughts of suicide can exist for limited amounts of time, but have the ability to re-occur.

Myth: Not talking about suicide means we are not liable.

Fact: Not discussing suicide does not protect against liability, nor will it prevent a suicide.

Note: End of video. Continue with the lesson.

For much of history suicide has been considered shameful or sinful. The stigma associated with suicide is slowly lifting and suicide is more commonly being viewed as a behavior associated with mental illness and emotional pain. However, many myths about suicidal behavior and its causes remain. It is important to base our decisions about suicide on the facts. Here are additional common misperceptions and facts about suicide.

- **“If a person decides to commit suicide, he or she will find a way regardless of what we do.”** Suicidal impulses are often brief. Most suicidal people have mixed feelings about dying. The methods available to commit suicide can influence the occurrence and outcome of suicidal acts.
- **“Asking a person about suicide might give them the idea.”** Asking about suicidal thoughts will not result in a suicide. Showing concern will likely assist the inmate. Open dialogue will assist in identifying problems and attaining help.
- **“Inmates who threaten to kill themselves don’t really want to die.”** Individuals who threaten suicide are at higher risk. Suicidal intent can change quickly. The lethality of self-harm acts can be misjudged. Accidental death can occur.
- **“If we ask about suicide and the inmate denies it, we’ve done our part.”** There are many ways individuals may communicate suicidal intent. If individuals could always know and communicate their own risk, there would be no risk. Psychologists in the Bureau of Prisons (BOP) are trained to examine all the risk factors and make informed recommendations.

IV. HIGH SUICIDE RISK INMATES AND LOCATIONS

Slide 9 – Which Inmates Are At Risk? (Header Slide)

A. At Risk Groups

Note: Discuss the groups of inmates that have the highest risk of suicide in the BOP. If the institution has any inmates in high risk groups, it is important to personalize this data to the institution.

Over the years, we have identified only four groups of federal inmates that appear to be at higher risk for suicide than the general inmate population.

Slide 10 – At-Risk Groups

The four groups are:

1. Pre-trial Inmates

Pretrial inmates are at a higher risk for suicide. Several factors can contribute to the stress level of these inmates. For example, withdrawal symptoms from the use of drugs or alcohol, fear of a long sentence, sudden loss of freedom, and/or shame and embarrassment.

2. Mentally Ill Inmates

The second high risk group is mentally ill inmates. Sixty-eight percent (68%) of the inmates who died by suicide had a history of or present mental illness.

3. Inmates in Restrictive Housing and Secure Mental Health Housing Units

The third high risk group is inmates in the Special Housing Unit (SHU) who have protective custody/separation concerns. Historically, one third of all suicides occur in SHU. Many of those have been in protective custody (PC), and the majority of them killed themselves within the first 72 hours in SHU. Other restrictive housing environments include the Special Management Units (SMUs), mental health seclusion, the Administrative Maximum Security Facility (ADX), and Secure Treatment Programs.

4. Sex Offenders

Although it is a recent trend, sex offenders are also an easily identified group of inmates that have an increased suicide risk. Their risk is highest at the beginning of their sentence or at the time of a transfer. They made up **forty-one percent (41%)** of those inmates who completed suicide during fiscal year 2014. These inmates are considered to be at higher risk due to the stigma associated with their charges, many receive lengthy sentences, and many end up in SHU after requesting protective custody.

B. High Risk Settings

Slide 11 – At-Risk Settings

Note: Discuss the location and methods of suicides, generally in the BOP. Be specific about the locations in your institution which are potential high risk locations.

1. Restrictive Housing

A common location for suicides is a lock down unit, typically in the SHU, SMU, or a psychiatric seclusion unit in the ADX.

2. Single Cells

Within all units, single cell status raises the risk of inmate suicide more than any other factor. It is important to minimize the use of single cells when possible, especially for inmates who have other risk factors present such as mental illness or sex offender status.

With this in mind, double cell all inmates unless there is a compelling reason not to do so. It reduces isolation, reduces privacy, and provides rescue opportunity. Place at-risk inmates in higher visibility cells and finally, reduce or eliminate the presence of tie-off points.

3. Private Spaces

There has, however, been a recent trend of more suicides occurring in the general population, so it is important for staff to be vigilant in all areas. Of those that occurred in the general population, most occurred in the inmate's cell. The remainder occurred in common inmate areas such as showers, mop closets, stairs, etc. where the inmate could gain privacy. With that in mind, important prevention measures include frequent rounds, not allowing inmates to cover windows, and establishing professional and meaningful relationships.

Note: At this point, ask for questions regarding the material or about responsibilities in dealing with suicidal inmates.

To summarize, a disproportionate number of inmate suicides occurred in some type of restrictive housing or while in a single cell. This finding is consistent with most research findings on prison suicides and indicates why high risk inmates should not be placed in isolation without a higher than normal level of monitoring.

C. Methods

Slide 12 – Methods

In the Bureau, inmates also use the most lethal means available to them. Unquestionably, hanging represents the most frequently chosen and most potentially lethal method of suicide available to federal inmates' intent on committing suicide. It is important to know that an inmate can commit suicide by hanging without actually hanging. Death in most cases occurs because the blood supply in the neck is stopped from reaching the brain. This can happen if an inmate is standing or even sitting. Loss of consciousness may happen within seven (7) seconds. Although death takes significantly longer, from this point on, the inmate is no longer able to save him or herself.

As long as a noose can be tightened enough to stop the blood flow, and it does not take much, the inmate will slowly lose consciousness; brain cells will begin to stop functioning, and the inmate will eventually die. Significant brain damage can begin to occur in 4 to 5 minutes.

This is important because even minor attempts or gestures can result in death simply because it is much easier than many people might think to die in this manner.

A new trend in prison has been overdose. Because of this, the use of "pill line" is a recommendation for some high risk inmates.

Finally, staff access to firearms in Residential Reentry Centers (RRCs) also increases risk of death.

V. RISK FACTORS AND WARNING SIGNS OF A POTENTIAL SUICIDE ATTEMPT

Slide 13 – What is Suicide Risk? (Header Slide)

We must stay alert and in touch with the inmates under our supervision and be observant to detect any behavioral changes that might suggest the possibility of suicide. Next, we will discuss risk factors and warning signs that may assist you in identifying inmates at risk for suicide as you work with them throughout the day.

A. Risk Factors

Slide 14 – Risk Factors

Note: Discuss risk factors. Ask questions as appropriate.

Risk factors are empirically derived from population studies. They tend to be longstanding, unchangeable and predispose individuals to suicidal behavior. Risk factors cannot determine the risk level of a particular individual.

SAD PERSONS is a mnemonic device to help staff remember risk factors for suicide.

- **Sex** - 73% of US suicides are committed by white males. Men are nearly four (4) times as likely to die by suicide as are women. 60% of these suicides are by gunshot.
- **Age** - Suicide is the third leading cause of death for males between 15-24. Individuals over the age of 65 are also at significant risk.
- **Depression** - 30 – 40% of people who die by suicide are diagnosed with depression.
- **Previous Attempt** - Approximately 15% of individuals who have attempted suicide will eventually complete suicide. Individuals who have made a life threatening attempt are at greater risk. A recent attempt followed by remorse that the attempt was not successful, is a warning sign.
- **Ethanol Abuse** - Alcohol has been consumed by 15-25 % of those who complete suicide and 55% of individuals who attempt suicide. Alcoholics are an especially high risk group in that 15% of them die by suicide or 270/100,000.
- **Rational Thought Loss** – Rational thought loss may refer to psychosis- schizophrenia and depression with psychotic features.
- **Social Support Lacking** – This includes limited social support or the recent loss of important support.
- **No Spouse** – No spouse refers to inmates who are separated, divorced, or widowed. Single individuals are at greater risk.
- **Sickness** – Individuals diagnosed with life threatening illnesses are at increased risk. Medical illness plays a role in 25% of completed suicides and this percentage rises with age.

There are some risk factors specific to inmates that are of special importance. Some indications that stress factors such as legal problems, sentence length and inmate-related conflicts were related to increased suicide.

Stress factors include:

- ongoing legal problems.
- history of past suicide attempt in custody.
- lengthy sentences.
- existence of mental disorder or history of psychiatric treatment.
- having charges related to a sex offense.

Slide 15 – Adverse Childhood Experiences (ACEs) – Building a Vulnerability

The adverse childhood experiences (ACE) study is a retrospective cohort study of 17,337 adult HMO members from San Diego who attended a primary care clinic between 1995-1997. Increasing number of ACE's are associated with illness, death, and poor quality of life. ACEs are emotional, physical, sexual abuse, battered mother, household substance abuse, mental illness in household, parental separation/divorce, and/or incarcerated household members.

Experiencing adverse childhood experiences such as emotional, physical, sexual abuse; household substance abuse; mental illness in the household, etc., is associated with illness, death and poor quality of life. As the number of ACEs increase so does the risk for suicide. This reinforces the complex nature of the development of a vulnerability to suicide. Our interactions are most effective when they focus on reinforcing individual strengths and facilitating resilience.

Slide 16 – The Perfect Storm

This is a pictorial representation of factors coming together which result in suicidal behaviors and attempts.

Every individual has specific vulnerabilities. This is why it is so important to have a professional relationship with and understand inmates. Examples could be poor coping resources, susceptibility to anxiety, an inability to occupy themselves, not being future oriented, isolated from family, impulsivity, or any number of other concerns.

The prison setting is an environmental factor that impacts inmates. These include things such as uncertainty and fear, potential victimization by other inmates, isolation, poor facilities and breakdown of relationships.

We all experience situational triggers that cause us to resort to old habits or in some cases to give up. These may include any of the identified factors on the slide. By communicating and interacting with staff and inmates we can frequently obtain this information. Unfortunately, these are often seen as just a part of life in prison. How we assist and model dealing with these things can be the difference between the inmate who resorts to suicide or one who resorts to a healthier coping skill.

Slide 17-18 – Video (1 minute 40 seconds) – Why is Suicide Prevention Important?

Note: Play video by clicking reel. Video will play automatically if in presentation mode. After video, ask audience for other reasons and/or examples of why suicide prevention is important.

B. Warning Signs

Slide 19 – Warning Signs

A warning sign is a behavior exhibited by some individuals who are considering suicide.

There are a number of warning signs that can be observed in inmates who may be suicidal. It is important, however, to caution against relying on these behaviors because some inmates who commit suicide do not show any evidence of this behavior, at least to staff, prior to their death. So, while behavior is important to be aware of, a number of other factors such as prior attempts, mood, housing status and mental health history must also be considered at the same time.

Warning signs are changeable and point to a current suicidal crisis. They suggest near-term (hours to a few days) risk. Examples include:

- withdrawal from friends
- suspiciousness
- saying “good-bye”
- giving away property
- hoarding medications
- signs of depression

Some of these behaviors are also associated with other special needs inmates who have severe mental health problems.

If inmates begin to show some of these behaviors, although they may not seem suicidal, it is important to contact psychology or medical staff and refer the inmate for evaluation.

1. Inmate-Specific Warning Signs

There are some warning signs specific to inmates that we are more likely to see in a correctional setting. Just as before, it is important to refer inmates exhibiting any of these behaviors to Psychology Services.

Knowing common symptoms or behaviors of suicidal inmates helps us recognize a potentially suicidal inmate.

By combining this information with what we know about their history, their current life stress, and the extent to which they are similar to high-risk inmates who have committed suicide, we are much more likely to be able to identify and refer those inmates who may be at significant risk for suicide.

If an inmate has been referred and the professional staff is aware of their behavior, it is still important to maintain some regular contact with that inmate to determine if the behavior is responding satisfactorily to treatment.

If unusual behaviors persist, or get worse, do not hesitate to contact the appropriate staff again to voice your concerns. Some of these behaviors include the following:

- A suicidal threat anytime
- Rehearsal behaviors observed by staff
- Trying to obtain a single cell
- Hoarding medication

As mentioned earlier in the session, your responsibility to provide adequate care for inmates does not stop because the inmate was referred to someone else.

VI. STAFF RESPONSES

Slide 20 – How Should Staff Respond to the Risk of Inmate Suicide? (Header Slide)

Note: Ask the question. Encourage discussion.

Let's assume an inmate fits the pattern we have just described, and their behavior suggests to us the potential for suicide.

How should we respond? What precautions should we take?

A. Staff Responsibilities

Slide 21 – Four Staff Responsibilities

There are four staff responsibilities when dealing with suicide. They include the following:

- **Recognize warning signs** that tell us inmates may be experiencing problems.
- **Communicate concern and empathy** to the behavior and take appropriate actions.
- **Respond correctly** to those problems.
- **Follow-up and monitor** inmates who have been identified and treated.

B. Basic Staff Responses

Slide 22-23 – Video – We Educate for Life

Note: Play video by clicking reel. Video will play automatically if in presentation mode. Upon completion, ask participants for feedback to the question.

What are some of the ways to respond to suicidal behavior?

Slide 24 – Four Basic Responses

There are four basic ways to respond to suicidal behavior. They are:

- Listen and hear.
- Take thoughts and feelings seriously.
- Support and affirm.
- Refer the inmate to the Psychologist, medical professional, shift supervisor, or Lieutenant.

The first three responses are good practices to follow in any situation and using them will improve your communication skills and be beneficial whether an inmate is suicidal, mentally ill, or simply wants to talk.

If an inmate talks about suicidal feelings, however, it is important to give your undivided attention and not to dispute, ignore, or ridicule their claim that they are feeling suicidal.

We should not try to minimize the inmate's statements about thinking of suicide by telling them not to worry so much, or that many people think of suicide.

Your response to these initial statements or behaviors is very important because it is often the first time that staff becomes aware of the potentially suicidal inmates. Once you have identified an inmate as suicidal, it is critical that you respond in a manner that is appropriate, adequate, and timely.

C. Reducing the Risk of Suicide

Slide 25 – What Works?

The risk of suicide can be reduced. These are some of the ways:

- Inmate participation in psychotherapy for as few as four sessions.
- Staff interactions characterized by caring and hope.
- Ensuring that relationships with inmates are genuine.
- Training in basic skills (i.e., how to communicate, manage intense feelings, etc.).
- Investing in meaningful roles (i.e., renewed identities such as parent, employee, etc.)

Slide 26 – What Else Can You Do?

Suicide prevention can be effective. Use the SENTRY assignment of PsycAlert and the Psychology Advisory List in TRUSCOPE to stay up to date on those inmates who may be at risk.

Also,

- Foster hope.
- Help the person remember times they did not feel suicidal.
- Foster a belief that times are temporary and things can improve.
- Help the person experience successes.
- Limit access to means (i.e., weapons, medications, etc.).

VIII. SUICIDE WATCH ROOM

Slide 27 – Suicide Watch Rooms and Procedures

After you have referred the inmate, a psychologist or medical professional will evaluate their suicide risk using many of the same signs and concepts we have just discussed. If the inmate is viewed as an acute suicide risk, a Suicide Watch will be initiated.

Note: State the identified location of suicide watches and the time frame for checking on the inmate. Discuss what staff should and should not do.

A Suicide Watch can last several hours to several days depending on the inmate's intent to harm him or herself.

A. Suicide Watch Post

1. Inmate Observers

Slide 28 – Inmate Observers

In the BOP, inmate observers may be used to help watch a potentially suicidal inmate. These inmates will be selected and trained by the Psychology Services staff and will rotate in shifts for as long as the inmate is on formal Suicide Watch.

Inmate observers are not trained to be therapists or to provide any type of treatment, but rather, they are only used to observe the potentially suicidal inmate and to immediately inform staff if the suicidal inmate's actions are inappropriate.

While it is fine for them to talk to the inmate and provide companionship, they are never to be placed in the cell with the suicidal inmate and they should not be allowed to step outside their very limited role in dealing with the suicidal inmate.

Note: Indicate whether or not your institution uses inmate observers.

In our institution, we do (do not) use inmate observers.

In many cases, whether the institution uses the inmate observer program or not, staff may also be called upon to function on a Suicide Watch. In those cases, you must read the post orders and should ask the psychologist any questions you may have.

Note: Discuss the specifics of what staff should and should not do when they are assigned to work a Suicide Watch.

2. Inmate Companions

Slide 29 – Inmate Companions

Inmate Companions are used at many institutions and complement the services that staff provide. Given their different overall role in the institution, when working as a companion, adjustments need to be made.

The use of inmate companions must be explicitly approved by the Warden.

Inmate companions may only work a maximum of four (4) hours in any 24-hour period. This helps them to remain alert and requires that this job assignment is shared amongst a number of inmates.

When inmate companions are working, they will have a means to summon help. Frequently, this is a direct line to the Control Center who can alert staff in case of an emergency situation.

Behavioral observations of the inmate on watch will be made in a Suicide Watch log book. Staff will use this record as a means of assessing the inmate on watch.

Prior to working as an inmate suicide companion, inmates will undergo initial training, then receive it on a regular basis thereafter. An important element of this training will be to recognize signs of stress or agitation.

3. Staff Roles

Slide 30 – Staff Roles

1. Your role is simply to be available AT ALL TIMES providing DIRECT OBSERVATION of the inmate.
2. During your time and on watch, your ONLY responsibility is to watch that identified inmate and to summon help if the inmate makes a suicide attempt.
3. Consequently, you should NEVER become involved in any other activity, either voluntarily or by direct order, that does not permit you to observe the inmate at all times.

4. While you are not responsible for the actions of the inmate, you are responsible for observing and responding appropriately to those actions. You cannot fulfill that responsibility if you are not observing the inmate at all times.
5. Policy clearly states that NO ONE except the Suicide Prevention Program Coordinator or designee has the authority to terminate a suicide watch once it has begun.
6. Even when inmate observers are working, you are still responsible for making hourly rounds.

Following suicide watch, an inmate may be transferred to a medical referral center, or more typically, returned to general population or other pre-watch status.

Often, other inmates may have heard that the inmate was placed on a suicide watch and respond in an unfavorable manner that creates more problems and concerns for the inmate.

When an inmate returns to the general population, we should attempt to be supportive and to help the inmate retain as much dignity as possible. Staff should not share information about an inmate's emotional problems with other inmates nor express the same type of negative reactions they are receiving from other inmates.

The risk of suicide is not over simply because the inmate has been removed from Suicide Watch and returned to population.

4. Local High Risk Inmates

Slide 31 – Our High Risk Inmates (Header Slide)

Slide 32 – Name, Register Number (Our High Risk Inmates)

Note: This slide requires modification at the local institution. Please enter the photo and the requested information. Copy the slide as many times as necessary to accommodate all high risk inmates at the local level. Review additional local inmates of particular concern.

VIII. SUICIDES OF FY2018

We will now apply knowledge of suicides to actual cases.

Slide 33 – Examples of Inmate Deaths by Suicide FY 2018 (Header Slide)

In order to assist you in applying the information in this presentation, we will review some of the inmate suicides that occurred in Fiscal Year 2018. As we discuss the cases, please focus on listening for risk factors and warning signs.

Note: The presenter should review at least 2-5 of the suicides from the Inmate Suicide Roster FY18. These should be selected based on similarities between the case and the institution in which the presentation is being offered, with the goal of identifying relevant risk factors and warning signs.

Slides 34-37 – FY2018 Inmate Death by Suicide

Note: Present information on each of the selected cases. After overview, ask participants to identify risk factors.

IX. REVIEW OF OBJECTIVES

Slide 38 – Review of Objectives

Now that we have completed the lesson, participants should be able to:

- Identify common myths and accurate information about suicide.
- List high risk groups and locations for suicide in their institution.
- Identify risk factors and warning signs which may identify a potential suicide attempt.
- State the four basic responses of a staff member who believes an inmate is at risk of suicide.
- Describe the location of the suicide watch room(s) at their institution and what staff should/should not do when assigned to work a suicide watch post.

X. SUMMARY

Slide 39 – Summary

Note: Conduct a summary of the important points.

As stated earlier, it is as much our responsibility to provide care and treatment for an inmate's basic physical and emotional needs as it is to maintain them in custody.

Like the role of custody, the role of providing care does not fall to only a few. If we remain observant and remember many of the topics discussed in this section, we will not only be fulfilling our basic correctional responsibilities, but we may be a significant force in preserving a life that may have been otherwise lost.

In conclusion, each of us has four responsibilities where suicidal inmates are concerned. Those responsibilities are that we must:

- be able to recognize the warning signs that tell us inmates may be considering suicide.
- consider the inner world of these inmates and communicate concern and empathy.
- be able to respond correctly to the behavior and take the appropriate precautions.

- follow-up on and monitor inmates who have been identified and referred.

Thank you for your attention and participation today. Just as your input has helped me in this presentation, the Bureau's continued success with the Suicide Prevention Program depends on your daily participation and involvement.

Note: Ask for any final questions. Encourage discussion.

Slide 40 – Thank You

Thank you.

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FEDERAL BUREAU OF INVESTIGATION**Import Form****Form Type:** OTHER - Other**Date:** 10/29/2019**Title:** (U) Jeffrey Epstein's Probate Petition and Will**Approved By:** SSA [REDACTED]**Drafted By:** [REDACTED]**Case ID #:** 90A-NY-3151227 (U) UNSUB(S);
JEFFREY EPSTEIN - VICTIM;
DEATH INVESTIGATION**Synopsis:** (U) On August 18, 2019, Assistant United States Attorney [REDACTED] provided a copy of Jeffrey Epstein's Probate Petition and Will.

◆◆

UNCLASSIFIED

SUPERIOR COURT
THE VIRGIN ISLANDS

IN THE SUPERIOR COURT OF THE VIRGIN ISLANDS
DIVISION OF ST. THOMAS AND ST. JOHN



COPY

IN THE MATTER OF THE ESTATE OF)	
JEFFREY E. EPSTEIN)	PROBATE NO. ST-19-PB-____
)	
Deceased.)	ACTION FOR TESTATE
_____)	ADMINISTRATION

PETITION FOR PROBATE AND FOR LETTERS TESTAMENTARY

COME NOW Petitioners **DARREN K. INDYKE and RICHARD D. KAHN**, Executors of the Estate of Jeffrey E. Epstein, by and through **KELLERHALS FERGUSON KROBLIN PLLC**, and petition this Honorable Court to grant the instant petition pursuant to V.I. CODE ANN. tit. 15, §161 and Rule 3 of the Virgin Islands Rules for Probate and Fiduciary Proceedings. In support thereof, Petitioners state the following:

9/15/19 PM 4:09
SUPERIOR COURT
THE VIRGIN ISLANDS

- 1) That Petitioners Darren K. Indyke and Richard D. Kahn ("Petitioners") are citizens of the United States of America and residents of Florida and New York, respectively.
- 2) The original Last Will and Testament of Decedent, dated August 8, 2019, which is attached hereto, appoints Petitioners as Executors of the Estate.
- 3) The Decedent, Jeffrey E. Epstein, died testate on August 10, 2019 in New York, New York, and was domiciled in and a resident of St. Thomas, Virgin Islands at the time of his death, as supported by the copy of Certificate of Death attached hereto.¹
- 4) Decedent left certain assets in trust.
- 5) The Decedent died possessed of certain property within the Territory of the U.S. Virgin Islands and within the jurisdiction of the Court as herein described:

¹ The original death certificate, or a certified copy thereof, will be filed with the Court upon Counsel's receipt of the same.

<u>PERSONAL PROPERTY</u> ²	<u>VALUE</u>
Cash	\$ 56,547,773.00
Fixed Income Investments	\$ 14,304,679.00
Equities	\$ 112,679,138.00
Aviation Assets, Automobiles and Boats	\$ 18,551,700.00
Fine Arts, Antiques, Collectibles, Valuables & Other Personal Property	TBD subject to appraisal/valuation
Hedge Funds & Private Equity Investments	\$ 194,986,301.00
10,000 shares of Maple, Inc., a U.S. Virgin Islands corporation which holds title to 9 East 71 st Street New York, NY 10021	\$ 55,931,000.00
10,000 shares of Cypress, Inc., a U.S. Virgin Islands Corporation, which holds title to 49 Zorro Ranch Road Stanley, New Mexico 87056	\$ 17,246,208.00
10,000 shares of Laurel, Inc., a U.S. Virgin Islands Corporation, which holds title to 358 El Brillo Way Palm Beach, Florida 33480	\$ 12,380,209.00
999 shares of SCI JEP, a French Company which holds title to units 47 with mezzanine, 48 and 81 on the 2nd floor, units 63 and 74 on the 5 th floor and units 5 and 22 (cellars) in the basement 22 Avenue Foch Paris, France 75116	\$ 8,672,823.00
10,000 shares of Poplar, Inc., a U.S. Virgin Islands Corporation, which holds title to Great St. James Island No. 6A Red Hook Quarter St. Thomas, Virgin Islands	\$ 22,498,600.00
10,000 shares of Nautilus, Inc., a U.S. Virgin Islands Corporation, which holds title to Little St. James Island No. 6B Red Hook Quarter Parcels A, B & C St. Thomas, Virgin Islands	\$ 63,874,223.00
TOTAL PERSONAL PROPERTY:	\$ 577,672,654.00
TOTAL ESTATE PROPERTY:	\$ 577,672,654.00

² Values are subject to appraisal and/or update to their date of death valuation, which will be confirmed in verified inventory to be filed with the Court.

- 6) Petitioners are investigating potential debts and claims of the Estate and at this time they are unknown.
- 7) That the names and addresses, insofar as known to Petitioners, of the heirs and next of kin of the deceased, who would be entitled to share the estate if he had left no will; the relation of each such person to him; the proportion due each such person, and whether each of them is an adult or an infant are as follows:

<u>NAMES</u>	<u>RELATIONSHIP</u>	<u>CAPACITY</u>	<u>SHARE</u>
Mark Epstein	Brother	Adult	100%

- 8) That Petitioners Darren K. Indyke and Richard D. Kahn be appointed Executors. They are adults of sound mind, are not convicted of any crime involving moral turpitude, and are not judicial officers of this Honorable Court.
- 9) Although Petitioners are not residents of the Virgin Islands, they are qualified to serve as Executors pursuant to 15 V.I.C. § 235(c) because they otherwise qualify under 15 V.I.C. § 235(a) and they have appointed the law firm of Kellerhals Ferguson Kroblin PLLC, which has offices on St. Thomas, Virgin Islands, to accept service of all papers for purposes of the probate of Decedent's estate.
- 10) The Decedent's Last Will and Testament provides that "No bond or other security shall be required of any Executor in any jurisdiction." See original Last Will and Testament attached hereto at Article THIRD, subsection B on page 2. Accordingly, Petitioners pray that the bond be waived pursuant to V.I. R. Prob. 3.

WHEREFORE, Petitioners pray:

- A. That the Estate of Jeffrey E. Epstein be entered into Probate;
- B. That a citation be issued to any heir or next of kin who has not signed a Waiver;
- C. That this Honorable Court order the issuance of a notice to creditors and claims procedure order in substantial form of that which will be separately proposed to the court forthwith;
- D. That the Last Will and Testament of Jeffrey E. Epstein, attached hereto, be admitted to Probate;
- E. That Darren K. Indyke and Richard D. Kahn be appointed Executors and Letters Testamentary be issued to them;
- F. That the bond be waived; and
- G. That the Petition be granted.

Respectfully,

DATED: August 15, 2019



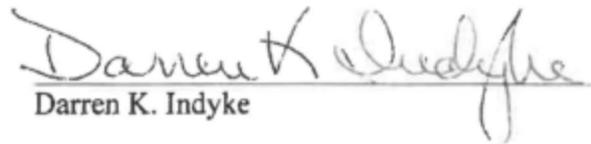
WILLIAM BLUM, ESQ., Of Counsel
V.I. Bar No. 136
KELLERHALS FERGUSON KROBLIN PLLC
Royal Palms Professional Building
9053 Estate Thomas, Suite 101
St. Thomas, V.I. 00802-3602



VERIFICATION OF PETITION

I, Darren K. Indyke, Executor of the Last Will and Testament of Jeffrey E. Epstein, hereby verify I have read and do hereby certify that the statements contained in the Petition for Probate and for Letters Testamentary are accurate in so far as my knowledge and insofar as my own records show.

DATED: August 15, 2019


Darren K. Indyke

SUBSCRIBED AND SWORN to before me

this 15 day of August, 2019.

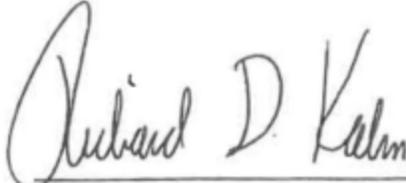




VERIFICATION OF PETITION

I, Richard D. Kahn, Executor of the Last Will and Testament of Jeffrey E. Epstein, hereby verify I have read and do hereby certify that the statements contained in the Petition for Probate and for Letters Testamentary are accurate in so far as my knowledge and insofar as my own records show.

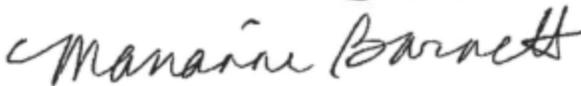
DATED: August 15th, 2019



Richard D. Kahn

SUBSCRIBED AND SWORN to before me

this 15th day of August, 2019.



MARIANNE BARNETT
NOTARY PUBLIC, STATE OF NEW YORK
NO. 01BA6276845
Qualified in Suffolk County
Term expires February 25, 2021

THE CITY OF NEW YORK

VITAL RECORDS CERTIFICATE

DEATH TRANSCRIPT

DATE FILED THE CITY OF NEW YORK - DEPARTMENT OF HEALTH AND MENTAL HYGIENE
 AUG-11-2019 07:36 PM **CERTIFICATE OF DEATH** Certificate No. 156-19-032838

1. DECEDENT'S LEGAL NAME JEFFREY EDWARD EPSTEIN
 (First, Middle, Last)

Place Of Death	2a. New York City	2c. Type of Place	4 <input type="checkbox"/> Nursing Home/Long Term Care Facility	2d. Any Hospice care in last 30 days	2e. Name of hospital or other facility (if not facility, street address)
	2b. Borough Manhattan	1 <input type="checkbox"/> Hospital Inpatient 2 <input checked="" type="checkbox"/> Emergency Dept./Outpatient 3 <input type="checkbox"/> Dead on Arrival	5 <input type="checkbox"/> Hospice Facility 6 <input type="checkbox"/> Decedent's Residence 7 <input type="checkbox"/> Other Specify	1 <input type="checkbox"/> Yes 2 <input checked="" type="checkbox"/> No 3 <input type="checkbox"/> Unknown	New York-Presbyterian-Lower Manhattan Hospital
Date and Time of Death or Found Dead		3a. (Month) August	(Day) 10	(Year-yyyy) 2019	3b. Time 7:36
				4. Sex Male	5. OCME Case No. M19019432
6. CAUSE OF DEATH	PART I	a. Immediate cause Pending Further Study			
	PART II	b. Due to or as a consequence of			
	c. Due to or as a consequence of				
Other significant conditions contributing to death but not resulting in the underlying cause given in Part I. Include operation information.					
7a. Injury Date (mm dd yyyy)		7b. Time	7c. At Work	7d. Place of Injury - At home, factory, street, etc.	
***		<input type="checkbox"/> AM <input type="checkbox"/> PM	1 <input type="checkbox"/> Yes 2 <input type="checkbox"/> No	7e. Location	
7f. How injury Occurred					
7g. If Transportation Injury Specify		8. Manner of Death		9. Autopsy	
<input type="checkbox"/> Driver/Operator <input type="checkbox"/> Pedestrian <input type="checkbox"/> Passenger <input type="checkbox"/> Other Specify		<input checked="" type="checkbox"/> Pending further study <input type="checkbox"/> Natural <input type="checkbox"/> Homicide <input type="checkbox"/> Accident <input type="checkbox"/> Suicide <input type="checkbox"/> Undetermined		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Autopsy Pursuant to Law <input type="checkbox"/> No Autopsy	
10. On the basis of examination and/or investigation, in my opinion, death occurred due to the causes and manner as stated.					
				Certifier Signature <i>Kristin Roman</i> D.O. M.D. Date AUG-11-2019 Certifier Name (Print) KRISTIN ROMAN Medical Examiner (Medical Investigator) (Deputy Chief) (Chief) (Medical Examiner)	
11a. Usual Residence State	11b. County	11c. City or Town	11d. Street and Number	Apt. No.	ZIP Code
US Virgin Islands	St. Thomas	St. Thomas	Little Saint James Island		00802
12. Date of Birth (Month) (Day) (Year-yyyy)		13. Age at last birthday (years)		14. Social Security No.	
January 20 1953		66		***-**-3348	
15a. Usual Occupation (Type of work done during most of working life. Do not use "retired")		15b. Kind of business or industry		15. Aliases or AKAs	
Consultant		Finance		*** **	
17. Birthplace (City & State or Foreign Country)		18. Education (Check the box that best describes the highest degree or level of school completed at the time of death)			
Brooklyn, New York		<input type="checkbox"/> 8th grade or less; none <input type="checkbox"/> 9th - 12th grade; no diploma <input type="checkbox"/> High school graduate or GED <input checked="" type="checkbox"/> Some college credit, but no degree <input type="checkbox"/> Associate degree (e.g., AA, AS) <input type="checkbox"/> Bachelor's degree (e.g., BA, BS)			
19. Ever in U.S. Armed Forces?		20. Marital/Partnership Status at time of death		21. Surviving Spouse's/Partner's Name (if wife, name prior to first marriage) (First, Middle, Last)	
1 <input type="checkbox"/> Yes 2 <input checked="" type="checkbox"/> No		1 <input type="checkbox"/> Married 2 <input type="checkbox"/> Domestic Partnership 3 <input type="checkbox"/> Divorced 4 <input type="checkbox"/> Married, but separated 5 <input checked="" type="checkbox"/> Never Married 6 <input type="checkbox"/> Widowed 7 <input type="checkbox"/> Other, Specify		*** **	
22. Father's Name (First, Middle, Last)		23. Mother's Maiden Name (Prior to first marriage) (First, Middle, Last)			
Seymour Epstein		Paula Stolofsky			
24a. Informant's Name		24b. Relationship to Decedent		24c. Address (Street and Number - Apt. No. - City & State - ZIP Code)	
Mark Epstein		Brother		33411-9219	
25a. Method of Disposition		25b. Place of Disposition (Name of cemetery, crematory, other place)			
1 <input type="checkbox"/> Burial 2 <input type="checkbox"/> Cremation 3 <input checked="" type="checkbox"/> Entombment 4 <input type="checkbox"/> City Cemetery 5 <input type="checkbox"/> Other Specify					
25c. Location of Disposition (City & State or Foreign Country)		25d. Date of Disposition			
		08 13 2019			
26a. Funeral Establishment		26b. Address (Street and Number - City & State - ZIP Code)			
		1076 Madison Ave New York, NY 10028-0237			

(To be filled in by the OCME)
 (To be filled in by Funeral Director or, in case of City Burial, by OCME)

EVT201908377726

Gretchen Van Wye
 Gretchen Van Wye, Ph.D., City Registrar as of 9/1/18

August 11, 2019

Steven P. Schwartz
 Steven P. Schwartz, Ph.D., City Registrar



This is to certify that the foregoing is a true copy of a record on file in the Department of Health and Mental Hygiene. The Department of Health and Mental Hygiene does not certify to the truth of the statements made thereon, as no inquiry as to the facts has been provided by law.

Do not accept this transcript unless it bears the security features listed on the back. Reproduction or alteration of this transcript is prohibited by §3.19(b) of the New York City Health Code if the purpose is the evasion or violation of any provision of the Health Code or any other law.



IN THE SUPERIOR COURT OF THE VIRGIN ISLANDS
DIVISION OF ST. THOMAS AND ST. JOHN

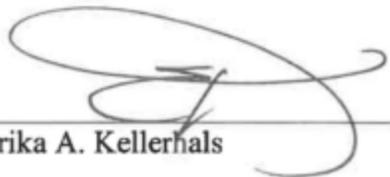
IN THE MATTER OF THE ESTATE OF)
JEFFREY E. EPSTEIN)
)
Deceased.)
)
)
)
)
)

PROBATE NO. ST-19-PB-____

ACTION FOR TESTATE
ADMINISTRATION

AFFIDAVIT

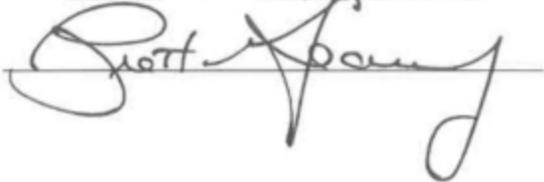
I, Erika A. Kellerhals, hereby depose or otherwise state that I do not know of any will or codicil of Jeffrey E. Epstein, deceased, other than the instrument in writing dated August 8, 2019; that I received the Last Will and Testament of Jeffrey E. Epstein from Jeffrey E. Epstein, and the said Jeffrey E. Epstein died on or about August 10, 2019.



Erika A. Kellerhals

SUBSCRIBED AND SWORN to before me

This 15th day of August, 2019.



Brett A. Geary
Notary Public: NP-144-15
Commission Expires: December 24, 2019
St. Thomas / St. John, USVI

LAST WILL AND TESTAMENT

OF

JEFFREY E. EPSTEIN

I, JEFFREY E. EPSTEIN, a resident and domiciliary of St. Thomas, United States Virgin Islands, do make, publish and declare this to be my Will hereby revoking all prior Wills and Codicils made by me.

1: I direct my Executor to pay from my estate all expenses of my last illness, my funeral and burial expenses, the administration expenses of my estate and all of my debts duly proven and allowed against my estate.

A. I direct my Executor to pay from my estate, as compensation to each Executor for serving as Executor hereunder, the sum of Two Hundred Fifty Thousand Dollars (\$250,000) to each Executor upon the completion of probate of my estate. No Executor shall receive any other compensation for serving as Executor hereunder; provided, however, that my Executor shall be reimbursed from my estate for all reasonable costs, expenses, charges, and liabilities incurred or paid in respect thereto, including fees and expenses of counsel or any other agents hired by my Executor, and my Executor shall not be liable therefor individually.

B. I direct my Executor to pay from my estate the federal and state transfer taxes described in Paragraph B(1) of Article SEVENTH.

C. I direct my Executor to pay from my estate all expenses of storing, insuring, packing, shipping and delivering my tangible personal property in accordance with the provisions of Article SECOND.

2: I give all of my property, real and personal, wherever situated, after the payments and distributions provided in Article FIRST, to the then acting Trustees of The 1953 Trust ("Trust") created under that certain Trust Agreement of The 1953 Trust (the "Trust Agreement") dated August 8, 2019, as the same may be amended from time to time, to be held in accordance with the provisions comprising the Trust Agreement at the time of my death.

3: I appoint DARREN K. INDYKE and RICHARD D. KAHN to serve as the Executors of this Will. If any one or more of my Executors fails to qualify, is unable or unwilling to serve or ceases to act, I appoint BORIS NIKOLIC, as successor Executor. If any successor Executor fails to qualify, is unable or unwilling to serve or ceases to act, I authorize the last acting Executor to designate his or her successor. Such designation shall be made by written instrument delivered to such designated successor Executor.

A. If my estate must be administered in whole or in part in any jurisdiction other than the state or territory of my domicile at the date of my death, and if my Executor is unable or unwilling to serve in such jurisdiction, then I appoint the successor Executor of my estate designated in Paragraph A of Article THIRD as successor Executor in such



jurisdiction only, provided that he or she is able and willing to serve in such jurisdiction. If no Executor or successor Executor is able and willing to serve in such jurisdiction, my Executor shall designate a successor Executor to serve in such jurisdiction. Such designation shall be made by written instrument delivered to such successor Executor.

B. No bond or other security shall be required of any Executor in any jurisdiction.

C. Any Executor may resign in the manner provided for by the governing statutes of the state or territory having jurisdiction of the administration of my estate or in the absence of such statutory guidance, by filing a written notice of resignation with the Court having jurisdiction of the administration of my estate. Any Executor who resigns shall not be entitled to any compensation hereunder for any services rendered as Executor prior to his or her resignation.

D. My Executor shall not be accountable or responsible to any person interested in my estate for the manner in which my Executor in good faith exercises or declines to exercise any discretionary authority or power of my Executor. My Executor shall not be liable for any loss or depreciation in value occasioned by reason of any negligence, error or mistake of judgment in entering into any transaction, in making any sale or investment, in continuing to hold any property or by reason of any action or omission, whether by my Executor or any other fiduciary, unless my Executor has acted in bad faith. In the absence of proof by affirmative evidence to the contrary, each Executor shall be deemed to have acted within the scope of my Executor's authority, to have exercised reasonable care, diligence and prudence and to have acted impartially as to all interested persons. An Executor shall not be liable for the acts or defaults of another Executor.

4: The following provisions shall apply to my estate and to my Executor, except as is otherwise specifically provided in this Will:

A. My Executor has the entire care and custody of all assets of my estate. My Executor has the power to do everything my Executor in good faith deems advisable without necessity of any judicial authorization or approval, even though but for this power it would not be authorized or appropriate for fiduciaries under any statutory or other rule of law. My Executor shall exercise my Executor's best judgment and discretion for what my Executor believes to be in the best interests of the beneficiaries hereunder. Except as otherwise specifically provided in this Will, if two Executors are empowered to participate in the decision to exercise or not to exercise any fiduciary power granted by this Will or by law, such decision shall be made by such Executors acting unanimously. If more than two Executors are empowered to participate in the decision to exercise or not exercise any fiduciary power granted by this Will or by law, a majority of such Executors shall be empowered to make such decision.

B. Except as otherwise provided herein, my Executor shall have the power:

(1) to enter upon and take possession of the assets of my estate and collect the income and profits from such assets, and to invest and reinvest such assets in real, personal or mixed assets (including the common trust funds of a corporate

fiduciary) or in undivided interests therein without being limited by any present or future investment laws;

(2) to retain all or any part of the assets of my estate (without regard to the proportion that any one asset or class of assets may bear to the whole) in the form in which such assets were received or acquired by my Executor;

(3) to sell or dispose of, exchange, transfer, invest or loan all or any part of the assets of my estate which may, at any time, be held by my Executor for such sums or upon such terms as to payment, security or otherwise as my Executor determines, either by public or private transactions;

(4) to buy and sell options, warrants, puts, calls or other rights to purchase or sell (collectively "options") relating to any security or securities, regardless of whether such security or securities are then held by my Executor, and whether such options are purchased or sold on a national securities exchange, and to exercise with respect to such options all powers which an individual owner thereof could exercise, including, without limitation, the right to allow the same to expire;

(5) with respect to oil, natural gas, minerals, and all other natural resources and rights to and interests therein (together with all equipment pertaining thereto), including, without limiting the generality of the foregoing, oil and gas royalties, leases, or other oil and gas interests of any character, whether owned in fee, as lessee, lessor, licensee, concessionaire or otherwise, or alone or jointly with others as partner, joint tenant, or joint venture in any other noncorporate manner, (a) to make oil, gas and mineral leases or subleases; (b) to pay delay rentals, lease bonuses, royalties, overriding royalties, taxes, assessments, and all other charges; (c) to sell, lease, exchange, mortgage, pledge or otherwise hypothecate any or all of such rights and interests; (d) to surrender or abandon, with or without consideration, any or all of such rights and interests; (e) to make farm-out, pooling, and unitization agreements; (f) to make reservations or impose conditions on the transfer of any such rights or interests; (g) to employ the most advantageous business form in which properly to exploit such rights and interests, whether as corporations, partnerships, limited partnerships, mining partnerships, limited liability companies, joint ventures, co-tenancies, or otherwise exploit any and all such rights and interests; (h) to produce, process, sell or exchange all products recovered through the exploitation of such rights and interests, and to enter into contracts and agreements for or in respect of the installation or operation of absorption, reprocessing or other processing plants; (i) to carry any or all such interests in the name or names of a nominee or nominees; (j) to delegate, to the extent permitted by law, any or all of the powers set forth herein to the operator of such property; and (k) to employ personnel, rent office space, buy or lease office equipment, contract and pay for geological surveys and studies, procure appraisals, and generally to conduct and engage in any and all activities incident to the foregoing powers, with full power to borrow and pledge in order to finance such activities; together with the power to allocate between principal and income any net proceeds received as consideration, whether as royalties or otherwise, for the permanent severance from lands of oil, natural gas, minerals, and all other natural resources;

(6) to hold all or any part of the assets of my estate in cash or in bank accounts without the necessity of investing the same;

(7) to improve, repair, partition, plat or subdivide all or any part of the assets of my estate;

(8) to litigate, defend, compromise, settle, abandon or submit to arbitration on such terms and conditions as my Executor determines any claims in favor of or against my estate or the assets of my estate;

(9) to loan or borrow money in such amounts and upon such terms and conditions as my Executor determines, assume such obligations or give such guarantees as my Executor determines, for the purpose of the acquisition, improvement, protection, retention or preservation of the assets of my estate, or for the welfare of the beneficiaries of my estate;

(10) to carry on for as long and in such manner as my Executor determines any business enterprise in which I owned any interest at my death, either individually, or as a partner, member, joint venture, stockholder or trust beneficiary; to sell such business enterprise as an ongoing business; to consolidate, merge, encumber, dissolve, liquidate or undertake any other extraordinary corporate transaction relating to such business enterprise;

(11) to vote in person or by proxy any and all stock or securities and to become a party to any voting trusts, reorganization, consolidation or other capital or debt readjustment of any corporation, association, partnership, limited liability partnership, limited liability company or individual with respect to stocks, securities or debts held by my estate;

(12) except as provided for in Sections (20) and (21) of this Article Fourth, to enter into any good faith transactions with any Executor individually or with any corporation, partnership or other entity in which any Executor has an ownership interest;

(13) To purchase from my estate any stocks, bonds, securities, real or personal property, or other assets, or make loans to my estate even though the same person or persons occupy the office of my Trustee and the office of the Executor of my estate;

(14) to lease, mortgage, pledge, grant a security interest in or otherwise encumber all or any part of the assets of my estate for any term of years whether or not beyond the duration of my estate (including, without limitation, any such action for the benefit of any of the beneficiaries of my estate);

(15) to abandon any property of my estate, real or personal, which my Executor may deem worthless or not of sufficient value to warrant keeping or protecting; to abstain from the payment of taxes, water rents or assessments and to forego making repairs, maintaining or keeping up any such property; and to permit such property to be lost by tax sale or other proceedings or to convey any such property for a nominal consideration or without consideration so as to prevent the imposition of any liability by reason of the continued ownership thereof;



(16) to elect the mode of distribution of the proceeds payable to my estate from any profit-sharing plan, pension plan, employee benefit plan, individual retirement plan, insurance contract or annuity contract pursuant to the terms of such plan;

(17) to allocate, in my Executors discretion, any adjustment to basis provided to my estate under the provisions of Federal and State law with respect to property comprising my estate, without any obligation to make a compensatory adjustment among the beneficiaries hereunder on account of such allocation;

(18) to conduct any audit, assessment or investigation with respect to any asset of my estate regarding compliance with any law or regulation having as its object protection of public health, natural resources or the environment ("Environmental Laws"); to pay from the assets of my estate to remedy any failure to comply with any Environmental Law (even to the exhaustion of all of the assets of my estate); and, as may be required in my Executor's judgment by any Environmental Law, to notify any governmental authority of any past, present or future non-compliance with any Environmental Law; and

(19) to sell to the Trustee under the Trust Agreement any stocks, bonds, securities, real or personal property or other assets or borrow from the Trustee under the Trust Agreement even though the same person or persons occupy the office of the Executor of my estate and the Trustee under the Trust Agreement.

(20) No executor shall directly or indirectly buy or sell any property for the estate from or to himself, or from or to his relative, employer, employee, partner, or other business associate.

(21) No executor shall lend estate funds to himself, or to his relative, employer, employee, partner, or other business associate.

C. Except as otherwise provided herein, my Executor shall have the power:

(1) to employ agents, attorneys-at-law, consultants, investment advisers (to whom my Executor has discretion to delegate my Executor's investment authority and responsibility), other executors and other fiduciaries in the administration of my Executor's duties; to delegate to such persons, or to one or more of my Executors, the custody, control or management of any part of my estate as my Executor determines and to pay for such services from the assets of my estate, without obtaining judicial authorization or approval;

(2) to delegate, in whole or in part, to any person or persons the authority and power to (a) sign checks, drafts or orders for the payment or withdrawal of funds, securities and other assets from any bank, brokerage, custody or other account in which funds, securities or other assets of my estate shall be deposited, (b) endorse for sale, transfer or delivery, or sell, transfer or deliver, or purchase or otherwise acquire, any and all property, stocks, stock warrants, stock rights, options, bonds or other securities whatsoever, (c) gain access to any safe deposit box or boxes in which my assets or assets of my estate may be located or which may be in the name of my Executor and remove part or all of the contents of any such safe

deposit box or boxes and release and surrender the same, and (d) take any other action that my Executor may have the power to take with respect to my estate and the property thereof; no person or corporation acting in reliance on any such delegation shall be charged with notice of any revocation or change of such delegation unless such person or corporation receives actual notice thereof;

(3) to pay any property distributable to a beneficiary under a legal disability or who has not attained the age of 21, without liability to my Executor, by paying such property (a) to such beneficiary, (b) for the use of such beneficiary, (c) to a legal representative of such beneficiary appointed by a court or if none, to a relative for the use of such beneficiary, or (d) to a custodian for such beneficiary designated by my Executor, to hold until age 21 or such earlier age as shall be the maximum permitted under applicable law;

(4) to distribute to any of the beneficiaries of my estate in kind or in cash, or partly in kind and partly in cash, and to allocate different kinds or disproportionate shares of assets or undivided interests in assets among all of such beneficiaries;

(5) to have evidence of ownership of any security maintained in the records of a Federal Reserve Bank under the Federal Reserve Book Entry System; to deposit funds in any bank or trust company; to carry in the name of my Executor or the nominee or nominees of my Executor and with or without designation of fiduciary capacity, or to hold in bearer form, securities or other property requiring or permitting of registration; and to cause any securities to be held by a depository corporation of which an Executor is a member or by an agent under a safekeeping contract; provided, however, that the books and records of my Executor shall at all times show that such investments are part of my estate;

(6) to renounce and disclaim, in whole or in part, and in accordance with applicable law, any assets, interests, rights or powers (including any power of appointment) which are payable to (or exercisable by) me or my estate, which are includible in my estate or Gross Estate or over which I have any right, title, interest or power; and

(7) to make, execute and deliver any and all such instruments in writing as shall be necessary or proper to carry out any power, right, duty or obligation of my Executor or any disposition whatsoever of my estate or any asset of my estate and to exercise any and all other powers incidental or necessary to carry out or to fulfill the terms, provisions and purposes of my estate.

D. In connection with any insurance policy or annuity on the life of an Executor which is included in my estate, such Executor shall not participate in the decision to exercise or not exercise any fiduciary power in connection with any incidents of ownership for such policy or annuity, including, without limitation, any decision to continue, assign, terminate or convert such policy or annuity or to name the beneficiary of such policy or annuity.

E. An Executor hereunder may by a written notice delivered to the other Executor decline to participate in the decision to exercise or not exercise any fiduciary power granted by this Will or by law.

F. If an Executor is not empowered (because of a conflict of



interest, declination to act or otherwise) to participate in the decision to exercise or not exercise any fiduciary power granted by this Will or by law, then the remaining Executor shall be empowered to make such decision. If no Executor is empowered to participate in such decision, then the successor Executor of my estate designated in Paragraph A of Article THIRD and able and willing to act shall be empowered to make such decision. If no Executor or successor Executor is empowered to participate in such decision, my Executor may designate a successor Executor to serve as Executor of my estate who shall be empowered to make such decision but shall have no other power or authority of my Executor. Such designation shall be by written notice delivered to such successor Executor.

G. Except as otherwise specifically provided in this Paragraph G(1) of this Article, and except as provided in Paragraph G(2) of this Article, my Executor shall allocate receipts and disbursements in accordance with sound trust accounting principles and shall have discretion to allocate receipts and disbursements when the treatment is uncertain under applicable laws or generally accepted accounting principles in the judgment of my Executor.

(1) Except as otherwise specifically provided in this Will, my Executor shall not treat any part of the principal amount of the proceeds of sale of any asset of my estate as income distributable to or for the benefit of any beneficiary entitled to distributions of income; provided, however, that my Executor shall treat a portion of any proceeds of sale of any financial instrument originally issued or acquired at a discount equal to the amount which (a) has previously been characterized as ordinary income for income tax purposes or (b) will be characterized as ordinary income for income tax purposes in the year of such sale, as income for trust accounting purposes.

5: Where a party to any proceeding with respect to my estate has the same interest as a person under a disability, it shall not be necessary to serve legal process on the person under a disability.

6: If any beneficiary under the Trust shall in any way directly or indirectly (a) contest or object to the probate of my Will or to the validity of any disposition or provision of my Will or of the Trust or (b) institute or prosecute, or be in any way directly or indirectly instrumental in the institution or prosecution of, any action, proceeding, contest, objection or claim for the purpose of setting aside or invalidating my Will or the Trust or any disposition therein or provision thereof, then I direct that (a) any and all provisions in the Trust for such beneficiary and his issue in any degree shall be null and void and (b) my estate, whether passing under my Will or the Trust or pursuant to the laws of intestacy, shall be disposed of as if such beneficiary and his issue in any degree had all failed to survive me.

7: A. As used herein:

(1) The term "Executor" of a person's estate means all persons or entities who occupy the office of executor, administrator, personal representative, or ancillary administrator while such persons or entities occupy such office, whether one or more persons or entities occupy such office at the same time or times, and includes any

successor or successors to that office. The term "Trustee" means all persons or entities who occupy the office of Trustee under the Trust Agreement while such persons or entities occupy such office, whether one or more persons or entities occupy the office of Trustee at the same time or times, and includes any successor Trustee or Trustees. A reference to a person's estate or probate estate means that person's estate which is subject to probate administration. A reference to a person's Will means such person's Last Will and Testament and any Codicil or Codicils thereto.

(2) The term "IRC section" means a section of the Internal Revenue Code of 1986, as amended, or the corresponding provision of any successor Internal Revenue law, as in effect as of the date of my death.

(3) A reference to any tax also includes any interest or penalties thereon. A reference to a person's "Gross Estate" means such person's gross estate as finally determined for purposes of computing such person's federal estate tax.

(4) Whenever the singular number is used, the same shall include the plural, and the masculine gender shall include the feminine and neuter genders.

B. The federal and state transfer taxes which my Executor shall be obligated to pay pursuant to Paragraph B of Article FIRST shall consist of all federal and state estate, inheritance, succession, and similar taxes (including any federal or state generation-skipping transfer tax) imposed upon my probate estate or by reason of my death in respect to all assets which pass under this Will or the Trust Agreement. Subject to Paragraph B(2) of this Article, all federal estate taxes with respect to assets not passing under this Will or the Trust Agreement (such assets are referred to as the "Apportionment Assets") and any applicable state estate taxes with respect to the Apportionment Assets shall be apportioned among all persons interested in the Apportionment Assets. My Executor shall make reasonable efforts to collect all federal estate taxes and state estate, inheritance, succession and similar taxes allocable to the Apportionment Assets from the recipients of the Apportionment Assets. Without changing the apportionment of taxes in this Paragraph B(1), my Executor has discretion, but is not required, to pay all or part of such taxes allocable to the Apportionment Assets. To the extent my Executor pays such taxes allocable to the Apportionment Assets, my Executor shall seek reimbursement for such taxes from the recipients of the Apportionment Assets. My Executor shall not be personally liable for any of such taxes if my Executor is unable, with reasonable efforts, to collect payment (or reimbursement) from any recipient of any Apportionment Assets for any or all of such taxes allocable to such assets.

(1) My Executor has discretion to direct the Trustee of the Trust Agreement to pay all or any portion of the taxes which my Executor is directed or obligated to pay pursuant to Paragraph B of Article FIRST and this Paragraph B pursuant to a written direction delivered to the Trustee under the Trust Agreement. Any taxes which my Executor directs the Trustee under the Trust Agreement to pay shall be allocated and paid from the trusts under the Trust Agreement as provided under the Trust Agreement.

C. Except as otherwise specifically provided in this Will, a bequest or devise to an individual who does not survive me shall lapse notwithstanding any law to the contrary.

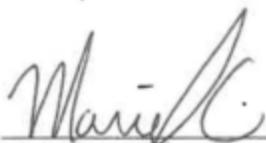
D. To the extent that the distribution to the Trustee under the Trust Agreement pursuant to Article SECOND shall not be effective, I give all the rest of my property, real and personal, wherever situated, after the payments and distributions provided in Article FIRST, to the person or persons named as Trustee or Trustees under the Trust Agreement, be to held in trust under this Will in accordance with the provisions comprising the Trust Agreement at the time of my death, which provisions are incorporated in this Will by reference.

IN WITNESS WHEREOF, I have duly executed this Will this 8th day of August, 2019.



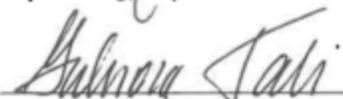
JEFFREY E. EPSTEIN

The foregoing instrument, consisting of eleven (11) pages, was signed in our presence by Jeffrey E. Epstein and was declared by him to be his last Will. We, at the request of and in the presence of Jeffrey E. Epstein and in the presence of each other, have subscribed our names below as witnesses. We declare that we are of sound mind and of the proper age to witness a will, that to the best of our knowledge the testator is of the age of majority, or is otherwise legally competent to make a will, and appears of sound mind and under no undue influence or constraint. Under penalty of perjury, we declare these statements are true and correct on this 8th day of August, 2019 at New York, New York.



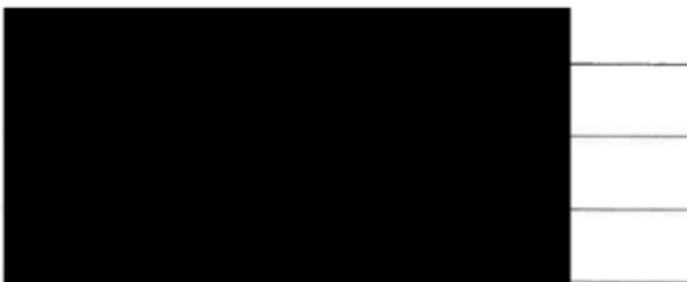
residing at

Mariel A. Colón Miró



residing at

GULNORA TALI



AFFIDAVIT

We, Jeffrey E. Epstein, María A. Colón Miró and Gulnora Tali the Testator and the witnesses respectively, whose names are signed to the attached instrument in those capacities, personally appearing before the undersigned authority and first being duly sworn, do hereby declare to the undersigned authority under penalty of perjury that the Testator declared, signed, and executed the instrument as his last will; he signed it willingly; he/she executed it as his free and voluntary act for the purposes therein expressed; and each of the witnesses, at the request of the Testator, in his or her hearing and presence, and in the presence of each other, signed the will as witness and that to the best of his or her knowledge the testator was at that time eighteen (18) years of age or older, of sound mind and under no constraint or undue influence.

[Signature]
JEFFREY E. EPSTEIN

María A. Colón Miró residing at

Gulnora Tali residing at



STATE OF NEW YORK)
)ss:
COUNTY OF NEW YORK)

Subscribed and sworn to before me by JEFFREY E. EPSTEIN, the Testator, who is personally known to me or who has produced personally known as identification, and by María A. Colón Miró a witness who is personally known to me or who has produced _____ as identification, and Gulnora Tali, a witness who is personally known to me or who has produced _____ as identification, on August 8, 2019.

Sworn to before me this 8th day of August, 2019.

Marlana A. Melendez
Notary Public

MARLANA A. MELENDEZ
Notary Public, State of New York
No. 02MB6371741
Qualified in New York County
Commission Expires March 5th, 2022

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FEDERAL BUREAU OF INVESTIGATION**Import Form****Form Type:** EMAIL - Email**Date:** 11/19/2019**Title:** (U) Email Summarizing Conversation with Medical Examiner on
November 14, 2019**Approved By:** SSA [REDACTED]**Drafted By:** [REDACTED]**Case ID #:** 90A-NY-3151227 (U) UNSUB(S);
JEFFREY EPSTEIN - VICTIM;
DEATH INVESTIGATION

Synopsis: (U) On November 14, 2019, TFO [REDACTED] AUSA [REDACTED] and AUSA [REDACTED] spoke with Dr. Kristin Roman, OCME and Florence Hutner, OCME Chief Legal Counsel via telephone regarding the autopsy of Jeffrey Epstein. AUSA [REDACTED] took notes of the conversation and provided a synopsis via email.

◆◆

UNCLASSIFIED

[REDACTED] (IMD) (CON)

From: [REDACTED], [REDACTED] (USANYS) <[REDACTED]>
Sent: Thursday, November 14, 2019 11:52 AM
To: [REDACTED] G. (NY) (FBI)
Subject: FW: Note to file - TC with Dr. Kristin Roman, OCME

FYI

From: [REDACTED] (USANYS)
Sent: Thursday, November 14, 2019 11:41 AM
To: [REDACTED] (USANYS) <[REDACTED]>; [REDACTED] (USANYS) <[REDACTED]>
Subject: Note to file - TC with Dr. Kristin Roman, OCME

[REDACTED] Det. [REDACTED] and I spoke with Dr. Roman, with Florence Hutner (OCME – Chief Legal Counsel).

- Dr. Roman is not able to provide an estimate regarding a time of death. JE was found 24 hours before the autopsy was conducted, and once there has been that much of a lapse, determining the time of death becomes inaccurate. It is sometimes determinable within a 24-hour period. She does not know what conditions he was held in the entire period before the autopsy, including temperature and refrigeration.
- Textbooks are not precise with respect to the onset of full rigormortis, some estimate that it begins within 2-8 hours of death and reaches its maximum 8-24 hours of death, but she is not comfortable estimating given the wide range even in textbooks. Also, what the person did before they died (medications, age, etc) and conditions of the body can effect rigor.
- Most EMTs will not intubate when rigor has set in because they cannot get the mouth open.
- Hyoid bone breaks are more common in hangings with older victims because the bone is more brittle and calcified.
- In strangulations, would expect to see a fracture in the center of the hyoid bone because the biomechanics are pressure on both sides. In hangings, would expect to see a fracture at the end of the bone as the fracture occurs when the bone is pushed back and up against the spine, which is a stronger bone.
- JE's hyoid was broken at the end, which is much more consistent with a hanging. Feels confident that it was a hanging by suicide. This fracture pattern is common in hangings. Has conducted hundreds of hanging autopsies.

[REDACTED]
Assistant United States Attorney
Southern District of New York
Tel: [REDACTED]

UNCLASSIFIED

FEDERAL BUREAU OF INVESTIGATION
Collected Item Log

Event Title: (U) CART Archive designated as
 NYF01278

Date: 11/20/2019

CC: [REDACTED]

Approved By: A/SSA [REDACTED]

Drafted By: [REDACTED]

Case ID #: 90A-NY-3151227 (U) UNSUB(S);
 JEFFREY EPSTEIN - VICTIM;
 DEATH INVESTIGATION

Details:

NYF01278- One (1) IBM LTO5 Data Cartridge containing Archive of Case
 Volume in regards to CART Request #218509

Collected From: (U) CART

Receipt Given?: No

Holding Office: NEW YORK

Item Type	Description
1B Digital	(U) NYF01278- One (1) IBM LTO5 Data Cartridge containing Archive of Case Volume in regards to CART Request #218509 Collected On: 11/20/2019 11:00 AM EST Seizing Individual: [REDACTED] Located By: [REDACTED] Location Area: CART Specific Location: 26 Federal Plaza Device Type: Magneto Optical Cartridge Make: IBM

UNCLASSIFIED

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

UNCLASSIFIED

Title: (U) CART Archive designated as NYF01278

Re: 90A-NY-3151227, 11/20/2019

Data Capacity: 1.5

Number of Devices Collected: 1

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FEDERAL BUREAU OF INVESTIGATION

Import Form

Form Type: OTHER - Other

Date: 11/21/2019

Title: (U) CART Process Report for CART Submission ID:218509

Approved By: SSA [REDACTED]

Drafted By: [REDACTED]

Case ID #: 90A-NY-3151227 (U) UNSUB(S);
JEFFREY EPSTEIN - VICTIM;
DEATH INVESTIGATION

Synopsis: (U) CART Process Report for CART Submission ID:218509

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UNCLASSIFIED



Federal Bureau of Investigation
New York Division

██████████
22nd Floor
New York, New York 10278

PROCESS REPORT

To: SA ██████████
SA ██████████

Date: November 20, 2019
Case ID: 90A-NY-3151227
Request No.: 218509

Request Date: August 23, 2019

Submitted Evidence Items:

E6516336– 1B44- One (1) Apple iPhone Xs, Gold/Black in color. Model: A1920, S/N: ██████████ and designated as **1B44_NYC025647**.

Containing;

One (1) Sprint SIM Card ICCID:89312530002006358152

Details:

On August 23, 2019, Special Agent (SA) ██████████ submitted a service request to the Computer Analysis Response Team (CART) requesting the forensic examination of a mobile device obtained via Consent to Search.

Forensic Examiner (FE) ██████████ reviewed a copy of the Consent to Search. As of August 26, 2019 Consent has not been revoked and is still valid.

On August 26, 2019, the following procedures were conducted during the course of this examination:

- A physical inventory of the submitted item was conducted;
- SIM Card Removed upon receipt;
- Performed Data extractions of the Device and SIM Card using commercial software tools;
- Submitted a request for a Full File System Extraction;
- Received data extractions of th device on 9/17/2019;
- Generated a Report based off of the data extractions;
- **1B44** was returned to SA ██████████.

1B45_NYC025654- was created containing the Master Copy of the data extractions of **1B44_NYC025647** and was released to the New York Division Evidence Control Unit.

1B46_NYF01278- was created containing and archive of the case volume and was released to the New York Division Evidence Control Unit.

Examiner:

ITSPEC-FE

Computer Analysis Response Team
New York Division

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FEDERAL BUREAU OF INVESTIGATION
Electronic Communication**Title:** (U) Indictment of TOVA NOEL and MICHAEL
THOMAS**Date:** 11/22/2019**From:** NEW YORK
NY-C19**Contact:** [REDACTED], [REDACTED]**Approved By:** SSA [REDACTED]**Drafted By:** [REDACTED]**Case ID #:** 90A-NY-3151227 (U) UNSUB(S);
JEFFREY EPSTEIN - VICTIM;
DEATH INVESTIGATION**Synopsis:** (U) To document the indictment of TOVA NOEL and MICHAEL THOMAS**Enclosure(s):** Enclosed are the following items:

1. (U) Indictment of Noel and Thomas

Details:

On November 19, 2019, TOVA NOEL and MICHAEL THOMAS were indicted by a Federal Grand Jury in the United States District Court, Southern District of New York. NOEL was indicted on 5 counts of Title 18, United States Code, Sections 1001(a)(3) and 2 (False Records) and 1 count of Title 18, United States Code, section 371 (Conspiracy). THOMAS was indicted on 3 counts of Title 18, United States Code, Sections 1001(a)(3) and 2 and 1 count of Title 18, United States Code, section 371.

A copy of the indictment is digitally attached in the 1A section of this report.

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UNCLASSIFIED

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

----- X

UNITED STATES OF AMERICA :

- v. - :

TOVA NOEL and
MICHAEL THOMAS, :

Defendants. :

----- X

INDICTMENT

19 Cr.

19 CRIM 830

INTRODUCTION

1. On or about August 10, 2019, TOVA NOEL and MICHAEL THOMAS, the defendants, in dereliction of their duties as correctional officers at the Metropolitan Correctional Center ("MCC"), repeatedly failed to perform mandated counts of prisoners under their watch in the MCC's Special Housing Unit ("SHU"). Instead, for substantial portions of their shifts, NOEL and THOMAS sat at their desk, browsed the internet, and moved around the common area of the SHU. To conceal their failure to perform their duties, NOEL and THOMAS repeatedly signed false certifications attesting to having conducted multiple counts of inmates when, in truth and in fact, they never conducted such counts. As a result of those false statements, the MCC believed prisoners in the SHU were being regularly monitored and accounted for when, in fact, as a result of the defendants' conduct, no correctional officer conducted any count or round of the SHU from approximately 10:30

p.m. on August 9 until approximately 6:30 a.m. on August 10, at which time, as alleged herein, NOEL and THOMAS discovered the body of an MCC inmate, Jeffrey Epstein, who had committed suicide overnight while unobserved.

RELEVANT INDIVIDUALS AND ENTITIES

2. The MCC is a federal administrative detention facility located in Manhattan that is run by the Federal Bureau of Prisons ("BOP"). The MCC is one of several facilities used to house inmates who have been charged with federal crimes in the Southern District of New York and are awaiting trial. The MCC employs correctional officers, whose primary duty is to ensure the care, custody, and control of the inmate population of the MCC.

3. TOVA NOEL, the defendant, has been employed as a correctional officer at the MCC since approximately 2016. As of August 2019, NOEL's primary assignment was the SHU. On August 9, 2019, NOEL worked a regular shift from 4 p.m. to 12 a.m. in the SHU, and then worked an overtime shift from 12 a.m. to 8 a.m. on August 10, 2019, also in the SHU. NOEL had also worked a regular shift in the SHU the day before, August 8, 2019, from 12 p.m. to 8 p.m.

4. MICHAEL THOMAS, the defendant, has been employed as a correctional officer at the MCC since approximately 2007. Beginning in approximately 2013, THOMAS was assigned to work as a

materials handler supervisor, although THOMAS regularly worked overtime shifts as a correctional officer, including in the SHU. THOMAS worked an overtime shift in the SHU on August 10, 2019, from 12 a.m. to 8 a.m. THOMAS did not work his regular shift on August 8 or August 9, 2019, but did work an overtime shift in the SHU on August 9, 2019 from 12 a.m. to 8 a.m.

5. As detailed herein, Jeffrey Epstein was incarcerated at the MCC between his arrest on July 6, 2019, and his suicide on August 10, 2019. Epstein was detained pending trial in the Southern District of New York on sex trafficking charges.

THE MCC AND INSTITUTIONAL COUNTS

6. The MCC, which houses approximately 750 inmates at any given time, assigns inmates to various housing units within the MCC. The SHU is a housing unit within the MCC where inmates are securely separated from the general inmate population to ensure their own safety as well as the safety of staff and other inmates. The SHU is located on the ninth floor of the MCC, and access to that floor is controlled by a locked door that can be opened remotely only by an officer in the MCC's centralized control center (the "Control Center"), which is located on the first floor of the MCC. Access into the SHU is also controlled by a second locked door to which only correctional officers assigned to the SHU have

keys while on duty. Within the SHU, inmates are assigned to six separate tiers, each of which can be accessed only via a single locked door to which the correctional officers assigned to the SHU have keys while on duty. Each tier has eight cells, each of which can house either one or two prisoners, and each individual cell - which is made of cement and metal - is accessed only through a single locked door, to which only correctional officers assigned to the SHU have keys while on duty.

7. The BOP requires correctional officers assigned to guard inmates at the MCC to conduct institution-wide counts of inmates at regular, scheduled intervals to ensure that each inmate is alive and accounted for within the MCC (the "institutional count"). On weekdays, the MCC conducts five institutional counts at 4 p.m., 10 p.m., 12 a.m., 3 a.m., and 5 a.m. Performing an institutional count is one of the most basic and essential aspects of a correctional officer's job, and the count is one of the most basic and essential functions of daily operation of the MCC. Two officers are required to perform the institutional count for each housing unit, including the SHU, and are further required to document their performance of the count on an official MCC form called a count slip. To perform the institutional count in the SHU, two officers must walk from tier to tier to observe and count each individual inmate.

8. On the count slip, both correctional officers are required to fill in the date and time the count was performed, write the total number of inmates physically present in the unit counted, and then sign the count slip. Once the correctional officers have completed and signed the count slips, the count slips are then collected and delivered to the Control Center, where officers within the Control Center are responsible for comparing the count slips from each housing unit to the institution's inmate roster to ensure that each inmate is accounted for. Only after all the count slips are collected from each housing unit, and the numbers on the slips are matched to the institution's inmate roster, can the institutional count be deemed "cleared" or completed. If a housing unit's count slip is incorrect or incomplete, then the institution cannot "clear" the count. Instead, the count must be completely redone in that housing unit via a more-intensive version of the institutional count called a "bed book count," in which officers must compare every individual inmate to the institution's inmate roster. Records of each institutional count, including the count slips, are provided to a supervising official and retained by the MCC.

9. In addition to the institutional count, the BOP requires correctional officers assigned to the SHU to walk each of the tiers of the SHU - which is called a "round" - every thirty

minutes ("30-minute rounds"). Like the institutional counts, correctional officers conduct 30-minute rounds to ensure that each inmate is alive and accounted for within his cell. Correctional officers working in the SHU are required to complete a form, which is reviewed and signed by their supervisor, documenting the date and time of each 30-minute round in each tier of the SHU.

EPSTEIN'S PRIOR APPARENT SUICIDE ATTEMPT

10. On July 6, 2019, inmate Jeffrey Epstein was arrested and detained at the MCC on sex trafficking charges. On July 10, 2019, Epstein was assigned to the SHU due to, among other things, risk factors for suicidality and safety concerns relating to housing him with the MCC's general population.

11. On July 23, 2019, while Epstein was housed in the SHU, MCC officers responded to an emergency call, and found Epstein on the floor of his cell with a strip of bedsheet around his neck. MICHAEL THOMAS, the defendant, was one of the MCC officers who responded to the incident. Epstein was subsequently transferred out of the SHU and was placed on suicide watch. Inmates placed on suicide watch are housed in a cell on the hospital wing floor of the MCC, and are watched twenty-four hours a day by a staff member or a specially trained inmate companion.

12. Epstein remained on suicide watch for approximately twenty-four hours, and then was transferred to psychological

observation, until July 30, 2019. Psychological observation is less restrictive than suicide watch, but inmates are still housed in the hospital wing of the MCC and watched twenty-four hours a day.

13. On July 30, 2019, Epstein was transferred back to the SHU, and, at the direction of the MCC's psychological staff, was required to have an assigned cellmate. Epstein was also assigned to the cell closest to the correctional officers' desk in the common area of the SHU, which was approximately fifteen feet from the cell. Conducting the required institutional counts and thirty-minute rounds in the SHU were also part of the MCC's procedures for ensuring the safety of inmates, including Epstein.

EVENTS OF AUGUST 9-10, 2019

14. On August 9, 2019, Epstein's cellmate was transferred out of the MCC in a routine, pre-arranged transfer at approximately 8 a.m. Despite the MCC's psychological staff's direction that Epstein have a cellmate, no new cellmate was assigned to Epstein's cell.

15. TOVA NOEL, the defendant, was one of the assigned officers on duty in the SHU from 4 p.m. on August 9, 2019 to 8 a.m. on August 10. From 4 p.m. to 12 a.m., two other officers were on duty with NOEL in the SHU: one officer was assigned to work from 2 p.m. to 10 p.m. ("Officer-1"), and one officer was

assigned to work from 4 p.m. to 12 a.m. ("Officer-2"). MICHAEL THOMAS, the defendant, started his shift in the SHU at 12 a.m. on August 10. From 12 a.m. until 8 a.m. on August 10, NOEL and THOMAS were the only two officers on duty in the SHU.

16. During the time period from 4 p.m. on August 9 to 8 a.m. on August 10, the officers on duty in the SHU, including TOVA NOEL and MICHAEL THOMAS, the defendants, were responsible for conducting five institutional counts: 4 p.m. and 10 p.m. on August 9; and 12 a.m., 3 a.m., and 5 a.m. on August 10. NOEL, THOMAS and the other officers on duty were also responsible for completing paperwork attesting to each of those counts. While, as detailed herein, count slips were completed for each institutional count, in fact, not a single one of those institutional counts was done.

17. TOVA NOEL, the defendant, and Officer-1 were responsible for conducting the 4 p.m. institutional count in the SHU. As video from the MCC's internal video surveillance system makes clear, NOEL and Officer-1 did not perform the 4 p.m. count. Nonetheless, NOEL and Officer-1 completed and signed a count slip that falsely stated that the 4 p.m. institutional count had been performed. In reliance on that falsified count slip, at approximately 5:03 p.m., the Control Center cleared the 4 p.m. count.

18. That evening, Epstein returned to the SHU from his attorney visit and was escorted into his cell by TOVA NOEL, the defendant, and another officer at approximately 7:49 p.m. NOEL and the other officer left the tier in which Epstein was housed immediately thereafter.

19. By or before 10 p.m., all inmates in the MCC were locked in their cells for the night. At or around that time, TOVA NOEL, the defendant, and Officer-2 were responsible for conducting the 10 p.m. institutional count in the SHU. However, video from the MCC's internal video surveillance system shows that NOEL and Officer-2 did not perform the 10 p.m. count. Nonetheless, NOEL and Officer-2 completed and signed a count slip falsely stating that the 10 p.m. institutional count had been performed. In reliance on that falsified count slip, at approximately 10:36 p.m., the Control Center cleared the 10 p.m. count.

20. As reflected on video obtained from the MCC's internal video surveillance system, at approximately 10:30 p.m. on August 9, 2019 - after TOVA NOEL, the defendant, had signed and submitted the 10 p.m. count slip and the institutional count had been completed - NOEL briefly walked up to, and then walked back from, the door to the tier in which Epstein was housed. As confirmed by the video obtained from the MCC's internal video surveillance system, this was the last time anyone, including any

correctional officer, walked up to, let alone entered, the only entrance to the tier in which Epstein was housed until approximately 6:30 a.m. on August 10.

21. Officer-1's shift ended at approximately 10 p.m., and at approximately 12 a.m., MICHAEL THOMAS, the defendant, replaced Officer-2, joining TOVA NOEL, the defendant, as the only two correctional officers on duty in the SHU. NOEL and THOMAS were responsible for conducting the 12 a.m. institutional count in the SHU. As confirmed by video from the MCC's internal video surveillance system, NOEL and THOMAS did not perform the 12 a.m. count. Nonetheless, NOEL and THOMAS completed and signed a count slip for the 12 a.m. count that falsely stated that the institutional count had been performed. In reliance on that falsified count slip, at approximately 12:49 a.m., the Control Center cleared the 12 a.m. count.

22. TOVA NOEL and MICHAEL THOMAS, the defendants, were additionally responsible for conducting the 3 a.m. and 5 a.m. institutional counts in the SHU. As confirmed by video from the MCC's internal video surveillance system, NOEL and THOMAS did not perform either the 3 a.m. or the 5 a.m. counts. NOEL and THOMAS nonetheless completed and signed count slips for both counts that falsely stated that they had performed the 3 a.m. and 5 a.m. institutional counts. In reliance on those falsified count slips,

at approximately 3:24 a.m. and 5:30 a.m., the Control Center cleared the 3 a.m. and 5 a.m. counts, respectively.

23. Moreover, despite the requirement that officers on duty in the SHU conduct and document regular, 30-minute rounds, TOVA NOEL and MICHAEL THOMAS, the defendants, did not perform any of the required 30-minute rounds during their shift between approximately 12 a.m. and 6:30 a.m. Nonetheless, NOEL completed and signed more than 75 separate 30-minute round entries falsely affirming that they had, in fact, conducted such rounds.

24. During the night, instead of completing the required counts and rounds, TOVA NOEL and MICHAEL THOMAS, the defendants, were seated at the correctional officers' desk in the SHU common area (as noted above, approximately 15 feet from Epstein's cell), used the computers, and moved around the SHU common area. For a period of approximately two hours, NOEL and THOMAS sat at their desk without moving, and appeared to have been asleep. NOEL used the computer periodically throughout the night, including to search the internet for furniture sales and benefit websites. THOMAS used the computer briefly around 1 a.m., 4 a.m., and 6 a.m. to search for motorcycle sales and sports news.

25. At approximately 4 a.m., the overnight supervisor briefly visited NOEL and THOMAS in the SHU, and conferred with NOEL and THOMAS, who were seated at and around the officers' desk,

before leaving. At approximately 5:30 a.m., another correctional officer briefly walked through the SHU common area. Aside from those two officers, as confirmed by video surveillance, no one else entered the SHU, no one conducted any counts or rounds throughout the night, and no one entered the tier in which Epstein was housed.

26. Shortly after 6 a.m. on August 10, 2019, MICHAEL THOMAS and TOVA NOEL, the defendants, received a delivery of breakfast carts into the SHU, after which time they were again the only officers in the SHU. Shortly after 6:30 a.m., NOEL and THOMAS walked up to and entered the tier in which Epstein was housed to serve breakfast. At approximately 6:33 a.m., an alarm was activated in the SHU. Epstein was alone in his cell and not responsive, with a noose around his neck. A supervisor who had just started his shift ("Supervisor-1") responded to the alarm almost immediately thereafter, and as NOEL approached the door to the SHU to open the door for Supervisor-1, NOEL told Supervisor-1 that "Epstein hung himself." After arriving in the SHU, Supervisor-1 spoke with THOMAS and NOEL. NOEL told Supervisor-1 "we did not complete the 3 a.m. nor 5 a.m. rounds." THOMAS stated, "we messed up," and "I messed up, she's not to blame, we didn't do any rounds."

27. Epstein was transferred to a local hospital where he was declared dead shortly thereafter. The Office of the Chief Medical Examiner of the City of New York conducted an autopsy and determined that Epstein had committed suicide by hanging himself.

STATUTORY ALLEGATIONS

COUNT ONE
(Conspiracy)

The Grand Jury charges:

28. The Grand Jury incorporates the allegations contained in paragraphs 1 through 27 of this Indictment as though fully set forth herein.

29. In or about August 2019, in the Southern District of New York, TOVA NOEL and MICHAEL THOMAS, the defendants, knowingly conspired with each other to:

a. Knowingly defraud the United States by impairing, obstructing, and defeating the lawful functions of a department or agency of the United States, to wit, the MCC's function to ensure the care, custody, and control of its inmate population.

b. Knowingly make and use a false writing or document knowing the same to contain a materially false, fictitious, and fraudulent statement and entry with the intent to impede, obstruct, or influence the investigation or proper administration of any matter within the jurisdiction of any

department or agency of the United States, in violation of Title 18, United States Code, Section 1001 (a)(3).

30. In furtherance of the conspiracy and to effect the illegal objects thereof, TOVA NOEL and MICHAEL THOMAS, the defendants, committed the following overt acts, among others, in the Southern District of New York:

a. On August 10, 2019, NOEL and THOMAS falsely certified, on an MCC count slip, that they had performed the 12 a.m. institutional count of the SHU, when they had not in fact done so.

b. On August 10, 2019, NOEL and THOMAS falsely certified, on an MCC count slip, that they had performed the 3 a.m. institutional count of the SHU, when they had not in fact done so.

c. On August 10, 2019, NOEL and THOMAS falsely certified, on an MCC count slip, that they had performed the 5 a.m. institutional count of the SHU at MCC, when they had not in fact done so.

d. On August 10, 2019, NOEL falsely certified that she and THOMAS had performed a series of 30-minute rounds of the SHU between 12 a.m. and 6:30 a.m., when they had not in fact done so.

(Title 18, United States Code, Section 371)

COUNT TWO
(False Records - 4 p.m. Count)

The Grand Jury further charges:

31. The Grand Jury incorporates the allegations contained in paragraphs 1 through 27 of this Indictment as though fully set forth herein.

32. On or about August 9, 2019, in the Southern District of New York, TOVA NOEL, the defendant, willfully and knowingly did make and use a false writing or document knowing the same to contain a materially false, fictitious, and fraudulent statement and entry with the intent to impede, obstruct, or influence the investigation or proper administration of any matter within the jurisdiction of any department or agency of the United States, and did attempt to do the same, to wit, NOEL created, signed, and submitted to the MCC a materially false count slip indicating that she had performed the 4 p.m. institutional count of the SHU, when she had not in fact done so.

(Title 18, United States Code, Sections 1001(a)(3) and 2)

COUNT THREE
(False Records - 10 p.m. Count)

The Grand Jury further charges:

33. The Grand Jury incorporates the allegations contained in paragraphs 1 through 27 of this Indictment as though fully set forth herein.

34. On or about August 9, 2019, in the Southern District of New York, TOVA NOEL, the defendant, willfully and knowingly did make and use a false writing or document knowing the same to contain a materially false, fictitious, and fraudulent statement and entry with the intent to impede, obstruct, or influence the investigation or proper administration of any matter within the jurisdiction of any department or agency of the United States, and did attempt to do the same, to wit, NOEL created, signed, and submitted to the MCC a materially false count slip indicating that she had performed the 10 p.m. institutional count of the SHU, when she had not in fact done so.

(Title 18, United States Code, Sections 1001(a)(3) and 2)

COUNT FOUR
(False Records - 12 a.m. Count)

The Grand Jury further charges:

35. The Grand Jury incorporates the allegations contained in paragraphs 1 through 27 of this Indictment as though fully set forth herein.

36. On or about August 10, 2019, in the Southern District of New York, TOVA NOEL and MICHAEL THOMAS, the defendants, willfully and knowingly did make and use a false writing or document knowing the same to contain a materially false, fictitious, and fraudulent statement and entry with the intent to impede, obstruct, or influence the investigation or proper

administration of any matter within the jurisdiction of any department or agency of the United States, and did attempt to do the same, to wit, NOEL and THOMAS created, signed, and submitted to the MCC a materially false count slip indicating that they had performed the 12 a.m. institutional count of the SHU, when they had not in fact done so.

(Title 18, United States Code, Sections 1001(a)(3) and 2)

COUNT FIVE
(False Records - 3 a.m. Count)

The Grand Jury further charges:

37. The Grand Jury incorporates the allegations contained in paragraphs 1 through 27 of this Indictment as though fully set forth herein.

38. On or about August 10, 2019, in the Southern District of New York, TOVA NOEL and MICHAEL THOMAS, the defendants, willfully and knowingly did make and use a false writing or document knowing the same to contain a materially false, fictitious, and fraudulent statement and entry with the intent to impede, obstruct, or influence the investigation or proper administration of any matter within the jurisdiction of any department or agency of the United States, and did attempt to do the same, to wit, NOEL and THOMAS created, signed, and submitted to the MCC a materially false count slip indicating that they had

performed the 3 a.m. institutional count of the SHU, when they had not in fact done so.

(Title 18, United States Code, Sections 1001(a)(3) and 2)

COUNT SIX
(False Records - 5 a.m. Count)

The Grand Jury further charges:

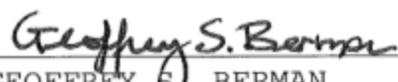
39. The Grand Jury incorporates the allegations contained in paragraphs 1 through 27 of this Indictment as though fully set forth herein.

40. On or about August 10, 2019, in the Southern District of New York, TOVA NOEL and MICHAEL THOMAS, the defendants, willfully and knowingly did make and use a false writing or document knowing the same to contain a materially false, fictitious, and fraudulent statement and entry with the intent to impede, obstruct, or influence the investigation or proper administration of any matter within the jurisdiction of any department or agency of the United States, and did attempt to do the same, to wit, NOEL and THOMAS created, signed, and submitted to the MCC a materially false count slip indicating that they had

performed the 5 a.m. institutional count of the SHU, when they had not in fact done so.

(Title 18, United States Code, Sections 1001(a)(3) and 2)


FOREPERSON


GEOFFREY S. BERMAN
United States Attorney

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

UNITED STATES OF AMERICA

- v. -

TOVA NOEL and
MICHAEL THOMAS,

Defendants.

INDICTMENT

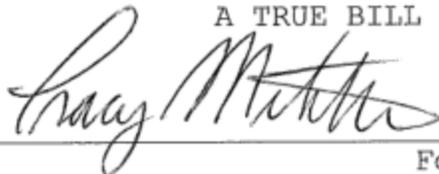
19 Cr.

Title 18, United States Code,
Sections 371, 1001(a)(3), and 2.

GEOFFREY S. BERMAN

United States Attorney

A TRUE BILL



Foreperson.

FEDERAL BUREAU OF INVESTIGATION

Date of entry 11/22/2019

On November 19, 2019, pursuant to an arrest warrant issued by United States Magistrate Judge (USMJ) Sarah Netburn in the Southern District of New York (SDNY), TOVA NOEL and MICHAEL THOMAS were arrested at the Federal Bureau of Investigation (FBI) New York Field Office, 290 Broadway, New York, New York. NOEL and THOMAS self-surrendered to FBI Task Force Officer (TFO) [REDACTED] and Office of the Inspector General Special Agent (SA) [REDACTED] at approximately 10:00am.

After arrest processing was complete, at approximately 11:30am, NOEL and THOMAS were transported to SDNY by FBI SAs [REDACTED] and [REDACTED], TFO [REDACTED] and SA [REDACTED]. NOEL and THOMAS were processed and admitted by the United States Marshal Service (USMS) and then met with both pre-trial services personnel and their Defense Attornies. While waiting for their initial appearance, NOEL and THOMAS were given food and something to drink.

NOEL and THOMAS appeared before (USMJ) Netburn and were granted a bail package. After agreeing to and signing their bail packages, NOEL and THOMAS were released.

The USMS paperwork and copies of the arrest warrants are attached to this report.

Investigation on 11/19/2019 at New York, New York, United States (In Person)

File # 90A-NY-3151227

Date drafted 11/20/2019

by [REDACTED]

UNITED STATES DISTRICT COURT

for the

Southern District of New York

United States of America
v.
MICHAEL THOMAS

Case No. **19 CRIM 830**

)
)
)
)
)
)

Defendant

ARREST WARRANT

To: Any authorized law enforcement officer

YOU ARE COMMANDED to arrest and bring before a United States magistrate judge without unnecessary delay
(name of person to be arrested) MICHAEL THOMAS,
who is accused of an offense or violation based on the following document filed with the court:

- Indictment Superseding Indictment Information Superseding Information Complaint
- Probation Violation Petition Supervised Release Violation Petition Violation Notice Order of the Court

This offense is briefly described as follows:

Violations of 18 U.S.C. 371, 1001, and 2

Date: 11/19/2019


Issuing officer's signature

City and state: New York, NY

Hon. Sarah Netburn, USMJ
Printed name and title

Return

This warrant was received on (date) _____, and the person was arrested on (date) _____
at (city and state) _____.

Date: _____

Arresting officer's signature

Printed name and title

UNITED STATES DISTRICT COURT

for the

Southern District of New York

19 CRIM 830

United States of America
v.
TOVA NOEL

Case No.

Defendant

ARREST WARRANT

To: Any authorized law enforcement officer

YOU ARE COMMANDED to arrest and bring before a United States magistrate judge without unnecessary delay
(name of person to be arrested) TOVA NOEL,
who is accused of an offense or violation based on the following document filed with the court:

- Indictment
- Superseding Indictment
- Information
- Superseding Information
- Complaint
- Probation Violation Petition
- Supervised Release Violation Petition
- Violation Notice
- Order of the Court

This offense is briefly described as follows:

Violations of 18 U.S.C. 371, 1001, and 2

Date: 11/19/2019

[Handwritten Signature]

Issuing officer's signature

City and state: New York, NY

Hon. Sarah Netburn, USMJ

Printed name and title

Return

This warrant was received on (date) _____, and the person was arrested on (date) _____
at (city and state) _____.

Date: _____

Arresting officer's signature

Printed name and title

U.S. Department of Justice
United States Marshals Service

Personal History of Defendant

Taken into Federal custody by the following:

- Street Arrest (not from a correctional/detention facility)
- Custodial Arrest (from a correctional/detention facility)
- Writ Used (Must provide copy of writ)
- Prior Federal Arrest or Safekeeper - Register #: _____ - _____
- Safekeeper Location: _____

BIOGRAPHICAL INFORMATION

Last Name: NOEL First Name: TOVA Middle Name: ANJANIQUE

Sex: M F Transgender Pregnant: Y N Race: B-Black/Black Hispanic

Hair: BROWN Eyes: BROWN Height: 5' 06" Weight: 210 DOB: [REDACTED]

City of Birth: [REDACTED] State/Country of Birth: [REDACTED] Citizenship: USA - NATURALIZE

FBI #: [REDACTED] State ID#: [REDACTED] Alien #: [REDACTED] SSN: [REDACTED]

Resident Address/City/State/ZIP: [REDACTED] BRONX NY 10456

Home Phone: [REDACTED] Cell Phone: [REDACTED] Marital Status: Single

COURT CASE

Agency: FBI Agency ORI: NYFBINY00

Agent Last Name: [REDACTED] First Name: [REDACTED]

Agent Phone #: [REDACTED] Arrest Date: 11/19/2019

Location/Facility of Arrest: 290 BROADWAY FBI NY

Court Docket #: _____ CR _____ AUSA(s) Assigned: [REDACTED]

OFFENSE

NCIC Code	Charge Description	Title/Code
	MAKING FALSE STATEMENTS	18 USC 1001
	CONSPIRACY TO MAKE FALSE STATEMENTS	18 USC 371

Known Detainers/Warrants: N Y - Agency: _____ (Must provide a copy of any detainers)

CAUTIONS AND MEDICAL

Long Term Medical Conditions (e.g., heart problems, diabetes, asthma, tuberculosis, HIV, AIDS, hepatitis, etc.): N Y

Psychiatric/Emotionally Disturbed (e.g., mental health concerns, suicidal, etc.): N Y

Injuries/Medical Ailments/Post-Op Recovery: N Y

Do the above conditions require:

Medical attention? N Y

Medication? N Y

Medical clearance by a licensed physician: N Y

Is Defendant under the influence of drugs or alcohol: N Y

Languages - English: N Y Limited

Other Language: N Y - List: _____

Security Cautions:

- | | | |
|--|--|---|
| <input type="checkbox"/> Current or former military | <input checked="" type="checkbox"/> Current or former LE/corrections | <input type="checkbox"/> Current or former intelligence |
| <input type="checkbox"/> Current or former public official | <input type="checkbox"/> Assault on LE/corrections | <input type="checkbox"/> SAM subject or candidate |
| <input type="checkbox"/> Eligible for diplomatic immunity | <input type="checkbox"/> Leadership role | <input type="checkbox"/> Separation needs <i>(Describe below)</i> |
| <input type="checkbox"/> Threat to witness <i>(Describe below)</i> | <input type="checkbox"/> CI <i>(Describe below)</i> | <input type="checkbox"/> Other <i>(Describe below)</i> |

Remarks:

ALIASES

ALIAS Last Name	ALIAS First, MI	Remark	Date of Birth	SSN	State Driver's License

ASSOCIATES / CO-DEFENDANTS / RELATIVES / CHILDREN / SIGNIFICANT OTHER

Relationship	Last Name	First, MI	Register #	Resident Address, City, State, ZIP Code	Phone
Co-Defendant	THOMAS	MICHAEL	-		

MARKS

Scar/Mark/Tattoo (Specify)	Location	Description

VEHICLES

Vehicle Year	Make	Model	Color(s)	Vehicle Style	State and Plate #	Registration Date	VIN

LICENSES

License Number	License State

MISCELLANEOUS NUMBERS

Miscellaneous Number	Type <i>(Select from dropdown menu or type below)</i>	Remarks (e.g., Issuing State or Country, etc.)

OCCUPATIONS

Occupation: BOP CORRECTIONS OFFICER	Company/Employer Name: FEDERAL BOP	
Employment Address: 150 PARK ROW NEW YORK NY	Phone:	
Start Date:	End Date:	Point of Contact:

FINANCIAL

Bank Name	Account Type	Account #	Branch Address	Phone #

MILITARY

Branch	Rank	Entry Date	Discharge Date	Discharge Type	Military Occupation	Remarks

REMARKS

Additional Information/Remarks/Continuation:

PROFILE

Defendant Risks: *Requires remarks below

- Escapee
- Organized Crime*
- International Terrorist
- Gang Member*
- Multiple Defendants
- Planned Murder
- Protected Witness
- Domestic Terrorist
- Significant Criminal History
- Death Penalty Case

Sex Offender:

- Arrest
- Registered
- Conviction
- Registration Violation

[+ Add History](#)

Criminal History (Select from dropdown menu or type offense below)	Arrest (#)	Conviction (#)
-	NONE	

Remarks (e.g., name of gang or criminal organization, etc.):

- Money Launderer
- Kingpin
- Violent Offender

INTERNET SOURCE

Internet Source	Remarks (e.g., email address, website address, username, etc.)

NOTICE TO ARRESTING AGENTS: As a courtesy, the USMS may temporarily hold an arrestee received by non-USMS personnel in the cellblock until the arresting agent(s) make arrangements for the prisoner's initial appearance before a United States Magistrate. A prisoner remains the responsibility of the arresting agency until remanded to the custody of the USMS by the courts. When a courtesy hold is allowed by the USMS to be housed in a USMS cellblock, a minimum of one agent from the arresting agency must be available to respond to the cellblock in order to address any issues with their prisoner (e.g., medical, disciplinary). If the arresting agency refuses to comply with USMS procedures, the courtesy hold may be refused. Meals are not provided by the USMS, and remain the responsibility of the arresting agent(s).

ARRESTEE PROCESSING CHECKLIST
For Arresting Officer Only

- USM-312 (Personal History of Defendant)
- Medical clearance (from licensed physician), if necessary
- Copy of Arrest Warrant, if issued
- Copy of Complaint, Information, or Indictment, if completed
- Copy of Detainer(s), if issued
- Copy of Writ, if applicable
- Correctional facility discharge papers, if applicable
- Correctional facility prisoner receipt, if applicable
- Correctional facility medical summary, if applicable

ARRESTEE PROCESSING CHECKLIST
For USMS Personnel Only

- Confirm all arresting agent documentation is completed and inserted into prisoner's file
- USM-312 (Personal History of Defendant) - *reviewed, signed and dated by intake DUSM/DEO*
- USM-552 (Prisoner Medical Records Release Form) - *completed, signed and dated by intake DUSM/DEO*
- USM-18 (Federal Prisoner Property Receipt) - *completed, signed and dated by intake DUSM/DEO*
- USM-40/41 (Prisoner Remand) - *inserted into prisoner's file*
- USM-130 (Prisoner Custody Alert Notice), if applicable - *inserted into prisoner's file*
- FD-249 (Fingerprint Card) - *printed and inserted into prisoner's file*
- Prisoner Photograph (from Booking Package) - *printed and inserted into prisoner's file*

Prepared By - Name: [REDACTED]

Agency: FBI/NYPD

Cell Phone: [REDACTED]

Date: 11/19/2019

Reviewed By:

Badge #:

Date:

FEDERAL PRISONER'S PROPERTY RECEIPT

(Instructions on Reverse)

ITEMS RECEIVED:

NO PROPERTY// NO PROPERTY// NO PROPERTY

CELLBLOCK

INMATE NAME: TOVA NOEL

MDC BROOKLYN

11/19/2019

INMATE SIGNATURE:

Original (White) - To Committing Officer
Duplicate (Yellow) - To Jailer
Triplicate (Blue) - To Prisoner
Quadruplicate (White) - Extra

FORM USM-18
(Rev 4/85)
Automated 01/01

INSTRUCTIONS

1. This Federal Prisoner's Property Receipt (Form USM-18) should be prepared in quadruplicate. Copies should be distributed as directed on the last line of each copy

Original (White) - To Committing Officer
Duplicate (Yellow) - To Jailer
Triplicate (Blue) - To Prisoner
Quadruplicate (White) - Extra

2. When a Federal prisoner is placed in a non-federal institution by a U.S. marshal, a deputy marshal, or other employee of the marshal, all spaces above the double lines should be filled in and the receiving officer should sign in the space provided, a-
evidence of the receipt of the prisoner's property should then be distributed as set forth above.

3. When a prisoner is released, the last two boxes on the jailer's copy will be filled in as evidence of the jailer's return of the property.

4. If, while in jail, the prisoner is allowed to spend or otherwise dispose of any money or other property listed, that fact should be noted on the jailer's copy over the prisoner's signature.

5. If a prisoner is to be released to someone other than the committing officer, the original of the receipt should be attached to the commitment, removal, or other papers, for delivery to the marshal to whom the prisoner will be released.

PRISONER MEDICAL RECORDS RELEASE FORM

INSTRUCTIONS: Section I is to be completed by the USMS Intake Officer. Sections II & III are to be completed by the prisoner. Section II may be completed by the USMS Intake Officer if the prisoner is unable or unwilling, but Section III must be signed by the prisoner. If prisoner refuses to sign, note that in the signature block. All refusals should be immediately reported to the Office of Interagency Medical Services, Prisoner Services Division. The completed Form USM-552 is to be retained in the prisoner's files.

Section I - USMS Prisoner Information

1. Prisoner Name (Last, First, MI) NOEL, TOVA		2. USMS Prisoner	
3. District Name SDNY	4. District #	5. Custody Date (Mo/Day/Yr) 11/19/2019	

Section II - Prisoner Personal Data And Medical Information

6. Date of Birth (Mo/Day/Yr) [REDACTED]	7. Social Security No. [REDACTED]	
8. Medical Insurance Information		
A) Insurance Company Name	B) Policy Number	C) Medicare /Medicaid Coverage? <input type="checkbox"/> Yes <input type="checkbox"/> No
9. Name of Your Physician	10. Phone Number ()	

Section III - Medical Consent And Records Release

I certify that the information I have provided above is true to the best of my knowledge.

I hereby authorize the United States Marshals Service to request, review, and have access to all medical records of care provided to me during the time that I am in the custody of that agency, and to all other medical records deemed necessary for the purposes of providing me with appropriate medical care, adjudicating medical bills for health care services provided to me while in the custody of the United States Marshals Service, and for infectious disease clearances.

Signature of Prisoner _____	Date _____
Signature of USMS Intake Officer _____	Date _____

Original--Prisoner File
Copy to District File
Copy Upon Transfer

Repository Inquiry

To: greenes3 For: Stephen Greene Case No:90a-ny-3151227 NYSID Number - 11672345L - CRI

New York State Division of Criminal Justice Services
Alfred E. Smith Building, 80 South Swan St.
Albany, New York 12210. Tel:1-800-262-DCJS
Michael C.Green, Executive Deputy Commissioner of the NYS Division of Criminal Justice Services

Identification Summary Criminal History Job/License Wanted Missing

● Attention - Important Information

* See **Additional Information** at the bottom of this response for more banners pertaining to the criminal history

● Identification Information



Civil Image
Date January 13, 2015

Name:

TOVA A NOEL
TOVA ANJANIQUE
NOELCHRISTIAN
TOVA NOEL CHRISTIAN

TOVA ANJANIQUE
NOEL
TOVA A
NOELCHRISTIAN

Date of Birth:

[REDACTED]

Place of Birth :

[REDACTED]

Address:

[REDACTED]

Sex:	Race:	Ethnicity:	Skin Tone:
Female	Black	Unknown	Medium/Medium Brown
Eye Color:	Hair Color:	Height:	Weight:
Brown	Brown	5' 06"	208

SSN:

[REDACTED]

NYSID#: **FBI#:** **NCIC Classification#:**

[REDACTED]

III Status: Status in other states unknown

US Citizen: Unknown

● **NYS Criminal History Information** ↑

There is no Criminal History Information associated with this history.

● **Other History Related Information** ↑

There is no Other History Related Information associated with this history.

● **Job/License Information** ↑

Civil Information

Type of Application: Police Department Employee

Name: TOVA A NOEL

Date of Birth: [REDACTED]

Ethnicity: Unknown

SSN: [REDACTED]

Address: [REDACTED] BRONX, NY 104560000

Agency ID: [REDACTED]

Date of Application: April 05, 2016

Application Agency: [NYCPD Applicant Investigation Unit](#)

Application Number: 2217296

Type of Application: Correction Officer

Name: TOVA ANJANIQUE NOEL

Date of Birth: [REDACTED]

Country of Citizenship: USA

Ethnicity: Not Hispanic

SSN: [REDACTED]

Address: [REDACTED] BRONX, NY 10456

Agency ID: [REDACTED]

Date of Application: January 13, 2015

Application Agency: [NYS DOCCS Employee Investigation Unit](#)

Application Number: 25626-71

Type of Application: Special Officer

Name: TOVA A NOELCHRISTIAN

Date of Birth: [REDACTED]

SSN: [REDACTED]

Address: [REDACTED] BRONX, NY 104560000

Agency ID: [REDACTED]

Date of Application: August 19, 2014
Application Agency: [NYC Dept Citywide Administrative Svcs-Division of City Personnel](#)
Application Number: 993SONC
Type of Application: Local Service Applicant
Name: TOVA NOEL CHRISTIAN
Date of Birth: [REDACTED]
SSN: [REDACTED]
Agency ID: [REDACTED]
Date of Application: November 16, 2011
Application Agency: [NYS Justice Center - OPWDD - CBC Unit](#)
Application Number: 17550

● **Wanted Information** ↑

There is no NYS Wanted Information associated with this history.

● **Missing Person Information** ↑

There is no NYS Missing Information associated with this history.

● **Additional Information** ↑

Caution: Identification not based on fingerprint comparison. This record was produced as the result of an inquiry.

According to our files, this individual does not appear to have History in III. However this does not preclude the possibility that the FBI does have a record. If you desire this information, please submit a request directly to the FBI.

WARNING: Release of any of the information presented in this computerized Case History to unauthorized

individuals or agencies is prohibited by federal law TITLE 42 USC 3789g(b).

This report is to be used for this one specific purpose as described in the Use and Dissemination Agreement

your agency has on file with DCJS. **Destroy after use and request an updated rap sheet for subsequent needs.**

All information presented herein is as complete as the data furnished to DCJS.

Message Detail
Additional Inquiry Response

ORI: NYFBINY00
Federal Bureau of Investigation - New York
NYSID: 11672345L

New York State Division of Criminal Justice Services
Alfred E. Smith Building, 80 South Swan St.
Albany, New York 12210. Tel:1-800-262-DCJS
Michael C.Green, Executive Deputy Commissioner of the NYS Division of Criminal Justice Services

● **Federal NCIC** ↑

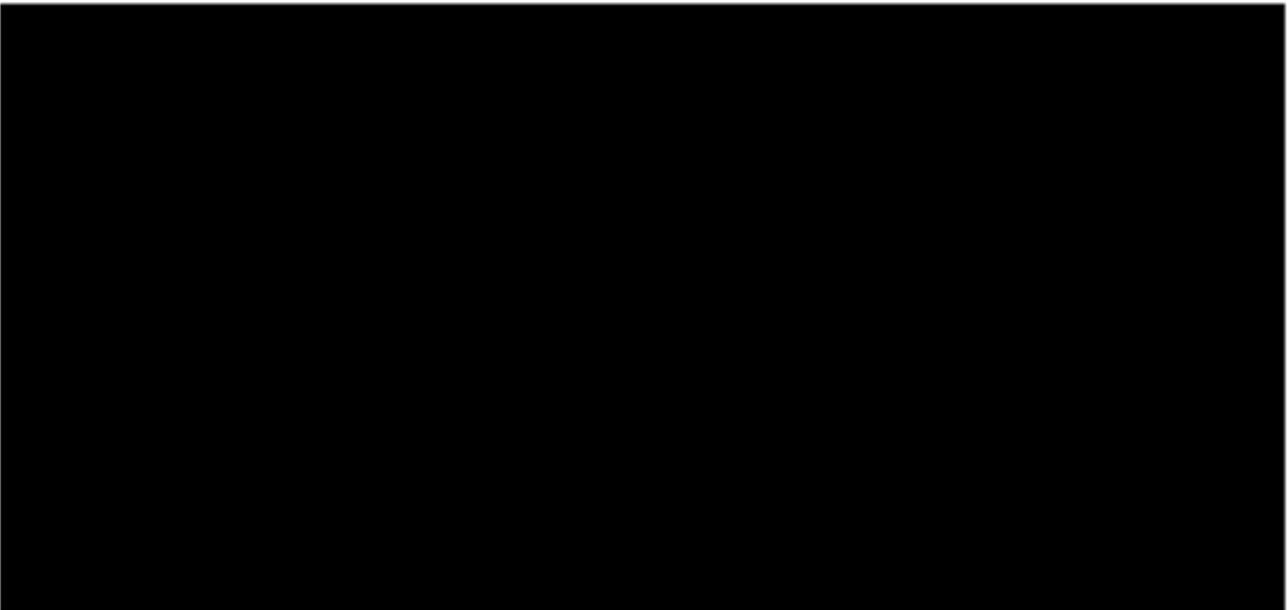
WARNING: Release of any NCIC information to unauthorized individuals or agencies, including the subject of the data, is prohibited. Please refer to section 4.2 of the CJIS security policy and Title 28, Part 20 of the code of Federal Regulations for the proper access, use, and dissemination of the information contained in the NCIC restricted and non-restricted files.

The following information is provided in response to your request for a search of the NCIC - Protection Order File based on:

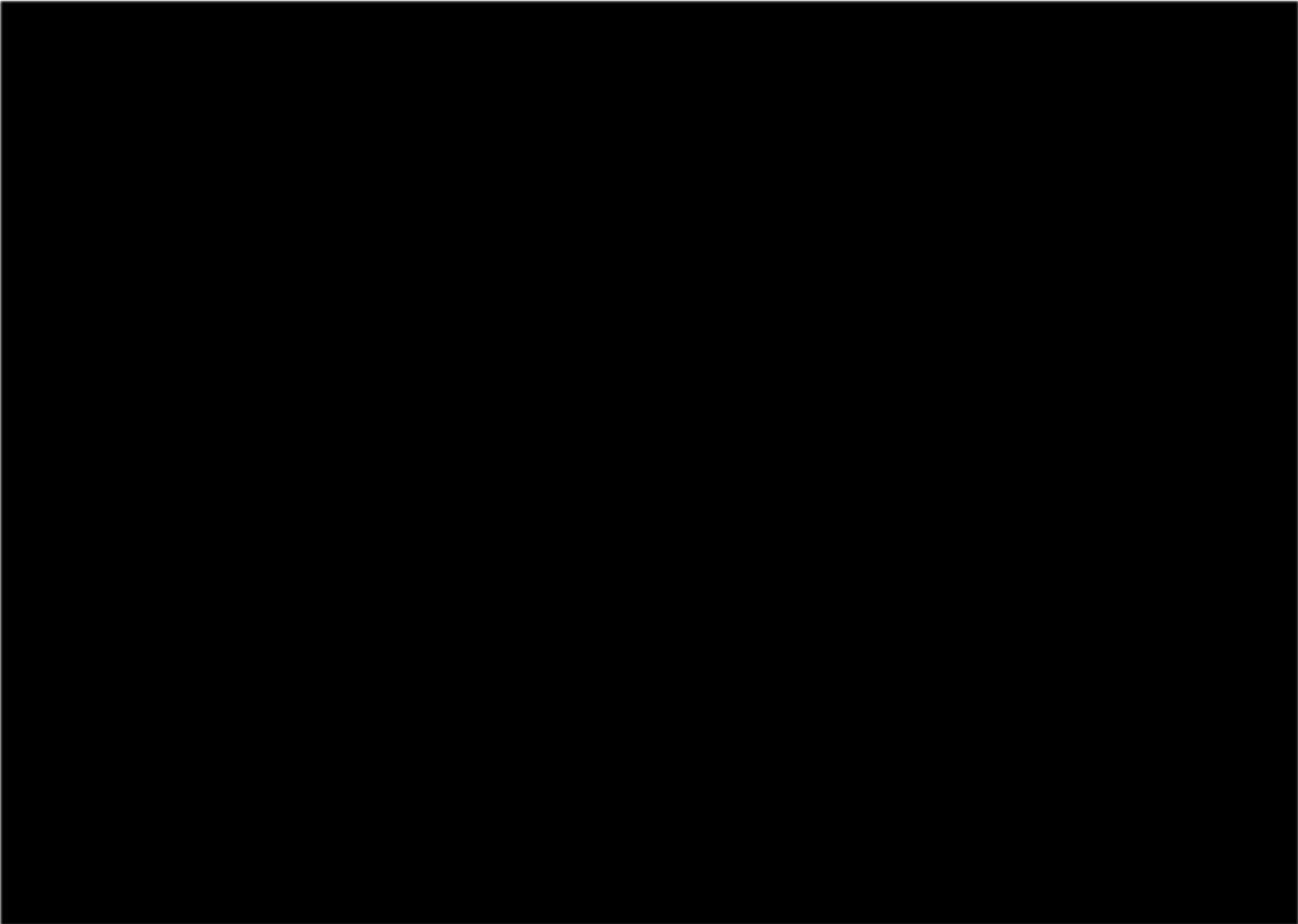
Name:	NOEL, TOVA
Sex:	Female
Race:	Black
Date of Birth:	
Social Security number:	
NYFBINY00	

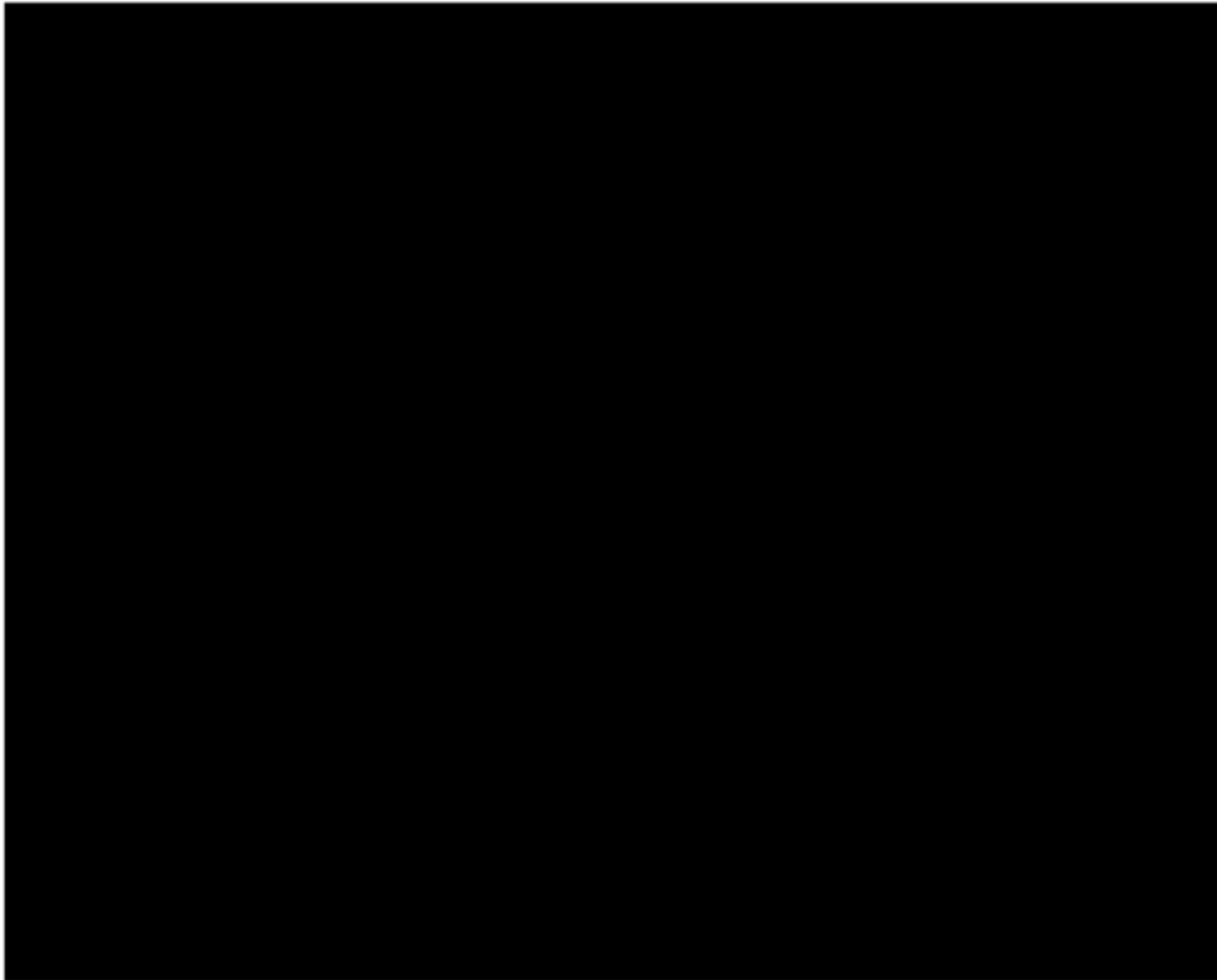
*****WARNING - THE FOLLOWING IS AN EXPIRED NCIC PROTECTION ORDER RECORD. DO NOT SEARCH, DETAIN, OR ARREST BASED SOLELY ON THIS RECORD. CONTACT ENTERING AGENCY TO CONFIRM STATUS AND TERMS OF PROTECTION ORDER*****





*****WARNING - THE FOLLOWING IS AN EXPIRED NCIC PROTECTION ORDER
RECORD. DO NOT
SEARCH, DETAIN, OR ARREST BASED SOLELY ON THIS RECORD. CONTACT
ENTERING
AGENCY TO CONFIRM STATUS AND TERMS OF PROTECTION ORDER*****





Message Detail
Additional Inquiry Response

ORI: NYFBINY00

Federal Bureau of Investigation - New York

NYSID: 11672345L

New York State Division of Criminal Justice Services

Alfred E. Smith Building, 80 South Swan St.

Albany, New York 12210. Tel:1-800-262-DCJS

Michael C.Green, Executive Deputy Commissioner of the NYS Division of Criminal Justice Services

● **Federal NCIC** ↑

WARNING: Release of any NCIC information to unauthorized individuals or agencies, including the subject of the data, is prohibited. Please refer to section 4.2 of the CJIS security policy and Title 28, Part 20 of the code of Federal Regulations for the proper access, use, and dissemination of the information contained in the NCIC restricted and non-restricted files.

The following information is provided in response to your request for a search of the NCIC - Person Files based on:

Name: NOEL, TOVA
Sex: Female
Race: Black
Date of Birth: [REDACTED]
Social Security number: [REDACTED]
NYFBINY00

NO NCIC WANT SOC [REDACTED]
NO NCIC WANT NAM/NOEL, TOVA A DOB/[REDACTED] RAC/B SEX/F
***MESSAGE KEY QWA SEARCHES ALL NCIC PERSONS FILES WITHOUT
LIMITATIONS.

U.S. Department of Justice
United States Marshals Service

Personal History of Defendant

Taken into Federal custody by the following:

- Street Arrest (not from a correctional/detention facility) | Writ Used (Must provide copy of writ)
 Custodial Arrest (from a correctional/detention facility) | Prior Federal Arrest or Safekeeper - Register #: _____ - _____
 Safekeeper Location: _____

BIOGRAPHICAL INFORMATION

Last Name: THOMAS		First Name: MICHAEL		Middle Name:	
Sex: <input checked="" type="checkbox"/> M <input type="checkbox"/> F <input type="checkbox"/> Transgender		Pregnant: <input type="checkbox"/> Y <input checked="" type="checkbox"/> N		Race: B-Black/Black Hispanic	
Hair: BLACK		Eyes: BROWN		Height: 5' 06"	
Weight: 72		DOB: [REDACTED]			
City of Birth: NEWARK		State/Country of Birth: NEW JERSEY		Citizenship: USA	
FBI #: [REDACTED]		State ID#: [REDACTED]		Alien #: [REDACTED]	
SSN: [REDACTED]		Resident Address/City/State/ZIP: [REDACTED] KEASBEY NJ 08832			
Home Phone:		Cell Phone: [REDACTED]		Marital Status: Single	

COURT CASE

Agency: FBI		Agency ORI: NYFBINY00	
Agent Last Name: [REDACTED]		First Name: [REDACTED]	
Agent Phone #: [REDACTED]		Arrest Date: 11/19/2019	
Location/Facility of Arrest: 290 BROADWAY FBI NY			
Court Docket #: _____ CR		AUSA(s) Assigned: [REDACTED]	

OFFENSE

NCIC Code	Charge Description	Title/Code
	MAKING FALSE STATEMENTS	18 USC 1001
	CONSPIRACY TO MAKE FALSE STATEMENTS	18 USC 371

Known Detainers/Warrants: N Y - Agency: _____ (Must provide a copy of any detainers)

CAUTIONS AND MEDICAL

Long Term Medical Conditions (e.g., heart problems, diabetes, asthma, tuberculosis, HIV, AIDS, hepatitis, etc.): <input checked="" type="checkbox"/> N <input type="checkbox"/> Y	
Psychiatric/Emotionally Disturbed (e.g., mental health concerns, suicidal, etc.): <input checked="" type="checkbox"/> N <input type="checkbox"/> Y	
Injuries/Medical Ailments/Post-Op Recovery: <input checked="" type="checkbox"/> N <input type="checkbox"/> Y	
Do the above conditions require:	
Medical attention?	<input checked="" type="checkbox"/> N <input type="checkbox"/> Y
Medication?	<input checked="" type="checkbox"/> N <input type="checkbox"/> Y
Medical clearance by a licensed physician: <input type="checkbox"/> N <input type="checkbox"/> Y	
Is Defendant under the influence of drugs or alcohol: <input checked="" type="checkbox"/> N <input type="checkbox"/> Y	
Languages - English: <input type="checkbox"/> N <input checked="" type="checkbox"/> Y <input type="checkbox"/> Limited	
Other Language: <input checked="" type="checkbox"/> N <input type="checkbox"/> Y - List: _____	

Security Cautions:

- | | | |
|--|--|---|
| <input type="checkbox"/> Current or former military | <input checked="" type="checkbox"/> Current or former LE/corrections | <input type="checkbox"/> Current or former intelligence |
| <input type="checkbox"/> Current or former public official | <input type="checkbox"/> Assault on LE/corrections | <input type="checkbox"/> SAM subject or candidate |
| <input type="checkbox"/> Eligible for diplomatic immunity | <input type="checkbox"/> Leadership role | <input type="checkbox"/> Separation needs <i>(Describe below)</i> |
| <input type="checkbox"/> Threat to witness <i>(Describe below)</i> | <input type="checkbox"/> CI <i>(Describe below)</i> | <input type="checkbox"/> Other <i>(Describe below)</i> |

Remarks:

ALIASES

ALIAS Last Name	ALIAS First, MI	Remark	Date of Birth	SSN	State Driver's License

ASSOCIATES / CO-DEFENDANTS / RELATIVES / CHILDREN / SIGNIFICANT OTHER

Relationship	Last Name	First, MI	Register #	Resident Address, City, State, ZIP Code	Phone
Co-Defendant	TOVA	NOEL	-		

MARKS

Scar/Mark/Tattoo (Specify)	Location	Description

VEHICLES

Vehicle Year	Make	Model	Color(s)	Vehicle Style	State and Plate #	Registration Date	VIN

LICENSES

License Number	License State

MISCELLANEOUS NUMBERS

Miscellaneous Number	Type <i>(Select from dropdown menu or type below)</i>	Remarks (e.g., Issuing State or Country, etc.)

OCCUPATIONS

Occupation: BOP CORRECTIONS OFFICER	Company/Employer Name: FEDERAL BOP
Employment Address: 150 PARK ROW NEW YORK NY	Phone:
Start Date:	End Date:
Point of Contact:	

FINANCIAL

Bank Name	Account Type	Account #	Branch Address	Phone #

MILITARY

Branch	Rank	Entry Date	Discharge Date	Discharge Type	Military Occupation	Remarks

REMARKS

Additional Information/Remarks/Continuation:

PROFILE**Defendant Risks:** *Requires remarks below

- | | |
|---|---|
| <input type="checkbox"/> Escapee | <input type="checkbox"/> Planned Murder |
| <input type="checkbox"/> Organized Crime* | <input type="checkbox"/> Protected Witness |
| <input type="checkbox"/> International Terrorist | <input type="checkbox"/> Domestic Terrorist |
| <input type="checkbox"/> Gang Member* | <input type="checkbox"/> Significant Criminal History |
| <input checked="" type="checkbox"/> Multiple Defendants | <input type="checkbox"/> Death Penalty Case |

Sex Offender:

- | | |
|-------------------------------------|---|
| <input type="checkbox"/> Arrest | <input type="checkbox"/> Conviction |
| <input type="checkbox"/> Registered | <input type="checkbox"/> Registration Violation |

[+ Add History](#)

Criminal History (Select from dropdown menu or type offense below)	Arrest (#)	Conviction (#)
-	NONE	

Remarks (e.g., name of gang or criminal organization, etc.):

- | | | |
|--|----------------------------------|---|
| <input type="checkbox"/> Money Launderer | <input type="checkbox"/> Kingpin | <input type="checkbox"/> Violent Offender |
|--|----------------------------------|---|

INTERNET SOURCE

Internet Source	Remarks (e.g., email address, website address, username, etc.)

NOTICE TO ARRESTING AGENTS: As a courtesy, the USMS may temporarily hold an arrestee received by non-USMS personnel in the cellblock until the arresting agent(s) make arrangements for the prisoner's initial appearance before a United States Magistrate. A prisoner remains the responsibility of the arresting agency until remanded to the custody of the USMS by the courts. When a courtesy hold is allowed by the USMS to be housed in a USMS cellblock, a minimum of one agent from the arresting agency must be available to respond to the cellblock in order to address any issues with their prisoner (e.g., medical, disciplinary). If the arresting agency refuses to comply with USMS procedures, the courtesy hold may be refused. Meals are not provided by the USMS, and remain the responsibility of the arresting agent(s).

ARRESTEE PROCESSING CHECKLIST*For Arresting Officer Only*

- | |
|--|
| <input type="checkbox"/> USM-312 (Personal History of Defendant) |
| <input type="checkbox"/> Medical clearance (from licensed physician), if necessary |
| <input type="checkbox"/> Copy of Arrest Warrant, if issued |
| <input type="checkbox"/> Copy of Complaint, Information, or Indictment, if completed |
| <input type="checkbox"/> Copy of Detainer(s), if issued |
| <input type="checkbox"/> Copy of Writ, if applicable |
| <input type="checkbox"/> Correctional facility discharge papers, if applicable |
| <input type="checkbox"/> Correctional facility prisoner receipt, if applicable |
| <input type="checkbox"/> Correctional facility medical summary, if applicable |

Prepared By - Name: [REDACTED]

Agency: FBI/NYPD

Cell Phone: [REDACTED]

Date: 11/19/2019

ARRESTEE PROCESSING CHECKLIST*For USMS Personnel Only*

- | |
|---|
| <input type="checkbox"/> Confirm all arresting agent documentation is completed and inserted into prisoner's file |
| <input type="checkbox"/> USM-312 (Personal History of Defendant) - reviewed, signed and dated by intake DUSM/DEO |
| <input type="checkbox"/> USM-552 (Prisoner Medical Records Release Form) - completed, signed and dated by intake DUSM/DEO |
| <input type="checkbox"/> USM-18 (Federal Prisoner Property Receipt) - completed, signed and dated by intake DUSM/DEO |
| <input type="checkbox"/> USM-40/41 (Prisoner Remand) - inserted into prisoner's file |
| <input type="checkbox"/> USM-130 (Prisoner Custody Alert Notice), if applicable - inserted into prisoner's file |
| <input type="checkbox"/> FD-249 (Fingerprint Card) - printed and inserted into prisoner's file |
| <input type="checkbox"/> Prisoner Photograph (from Booking Package) - printed and inserted into prisoner's file |

Reviewed By:

Badge #:

Date:

FEDERAL PRISONER'S PROPERTY RECEIPT

(Instructions on Reverse)

ITEMS RECEIVED:

NO PROPERTY// NO PROPERTY// NO PROPERTY

CELLBLOCK

INMATE NAME: MICHAEL THOMAS

MDC BROOKLYN

11/19/2019

INMATE SIGNATURE:

Original (White) - To Committing Officer

Duplicate (Yellow) - To Jailer

Triplicate (Blue) - To Prisoner

Quadruplicate (White) - Extra

FORM USM-18
(Rev 4/85)
Automated 01/01

INSTRUCTIONS

1. This Federal Prisoner's Property Receipt (Form USM-18) should be prepared in quadruplicate. Copies should be distributed as directed on the last line of each copy

Original (White) - To Committing Officer
Duplicate (Yellow) - To Jailer
Triplicate (Blue) - To Prisoner
Quadruplicate (White) - Extra

2. When a Federal prisoner is placed in a non-federal institution by a U.S. marshal, a deputy marshal, or other employee of the marshal, all spaces above the double lines should be filled in and the receiving officer should sign in the space provided, a-, evidence of the receipt of the prisoner's property should then be distributed as set forth above.

3. When a prisoner is released, the last two boxes on the jailer's copy will be filled in as evidence of the jailer's return of the property.

4. If, while in jail, the prisoner is allowed to spend or otherwise dispose of any money or other property listed, that fact should be noted on the jailer's copy over the prisoner's signature.

5. If a prisoner is to be released to someone other than the committing officer, the original of the receipt should be attached to the commitment, removal, or other papers, for delivery to the marshal to whom the prisoner will be released.

PRISONER MEDICAL RECORDS RELEASE FORM

INSTRUCTIONS: Section I is to be completed by the USMS Intake Officer. Sections II & III are to be completed by the prisoner. Section II may be completed by the USMS Intake Officer if the prisoner is unable or unwilling, but Section III must be signed by the prisoner. If prisoner refuses to sign, note that in the signature block. All refusals should be immediately reported to the Office of Interagency Medical Services, Prisoner Services Division. The completed Form USM-552 is to be retained in the prisoner's files.

Section I - USMS Prisoner Information

1. Prisoner Name (Last, First, MI) THOMAS, MICHAEL		2. USMS Prisoner	
3. District Name SDNY	4. District #	5. Custody Date (Mo/Day/Yr) 11/19/2019	

Section II - Prisoner Personal Data And Medical Information

6. Date of Birth (Mo/Day/Yr) [REDACTED]		7. Social Security No. [REDACTED]	
8. Medical Insurance Information			
A) Insurance Company Name	B) Policy Number	C) Medicare /Medicaid Coverage? <input type="checkbox"/> Yes <input type="checkbox"/> No	
9. Name of Your Physician		10. Phone Number ()	

Section III - Medical Consent And Records Release

I certify that the information I have provided above is true to the best of my knowledge.

I hereby authorize the United States Marshals Service to request, review, and have access to all medical records of care provided to me during the time that I am in the custody of that agency, and to all other medical records deemed necessary for the purposes of providing me with appropriate medical care, adjudicating medical bills for health care services provided to me while in the custody of the United States Marshals Service, and for infectious disease clearances.

Signature of Prisoner _____	Date _____
Signature of USMS Intake Officer _____	Date _____

Original--Prisoner File
Copy to District File
Copy Upon Transfer

Message Detail
Additional Inquiry Response

ORI: NYFBINY00
Federal Bureau of Investigation - New York

New York State Division of Criminal Justice Services
Alfred E. Smith Building, 80 South Swan St.
Albany, New York 12210. Tel:1-800-262-DCJS
Michael C.Green, Executive Deputy Commissioner of the NYS Division of Criminal Justice Services

● III Information ↑

The following information is provided in response to your request for a III search from the State of New Jersey based on:

FBI number: 25847JD9
Purpose Code: C

ATN/GREENES3

THIS RECORD IS BASED ON THE SID NUMBER IN YOUR REQUEST-
SID/NJ240238E

NEW JERSEY CRIMINAL HISTORY DETAILED RECORD

USE OF THIS RECORD IS GOVERNED BY FEDERAL AND STATE REGULATIONS.
UNLESS FINGERPRINTS ACCOMPANIED YOUR INQUIRY, THE STATE BUREAU
OF
IDENTIFICATION CANNOT GUARANTEE THIS RECORD RELATES TO THE
PERSON WHO IS
THE SUBJECT OF YOUR REQUEST. USE OF THIS RECORD SHALL BE LIMITED
SOLELY TO
THE AUTHORIZED PURPOSE FOR WHICH IT WAS GIVEN AND IT SHALL NOT
BE
DISSEMINATED TO ANY UNAUTHORIZED PERSONS. TO ELIMINATE A
POSSIBLE
DISSEMINATION VIOLATION, AND TO COMPLY WITH FUTURE EXPUNGEMENT
ORDERS,
THIS RECORD SHALL BE DESTROYED *IMMEDIATELY* AFTER IT HAS SERVED
ITS
INTENDED AND AUTHORIZED PURPOSES. ANY PERSON VIOLATING FEDERAL
OR STATE
REGULATIONS GOVERNING ACCESS TO CRIMINAL HISTORY RECORD
INFORMATION
MAY BE SUBJECT TO CRIMINAL AND/OR CIVIL PENALTIES. THIS RECORD
IS
CERTIFIED AS A TRUE COPY OF THE CRIMINAL HISTORY RECORD
INFORMATION
ON FILE FOR THE ASSIGNED STATE IDENTIFICATION NUMBER.

STATE ID NO. 240238E FBI NO. 25847JD9 DATE REQUESTED.
11/18/2019
NAME: THOMAS, MICHAEL A.

SEX	RACE	BIRTH DATE	HEIGHT	WEIGHT	EYES	HAIR	BIRTH PLACE
M	B	[REDACTED]	506	172	BRO	BLK	NJ

RECEIVING AGENCY: NYFBINY00 U.S. CITIZEN: YES

FPC: ARRRRALALL SINGLE STATE	AFIS NO:	III:
STATUS:		DNA SAMPLE
NOT REQUIRED		COLLECTION

ALIAS NAMES/OTHER BIRTH DATES
THOMASSR, MICHAEL A. [REDACTED]

SOCIAL SECURITY NUMBERS
[REDACTED]

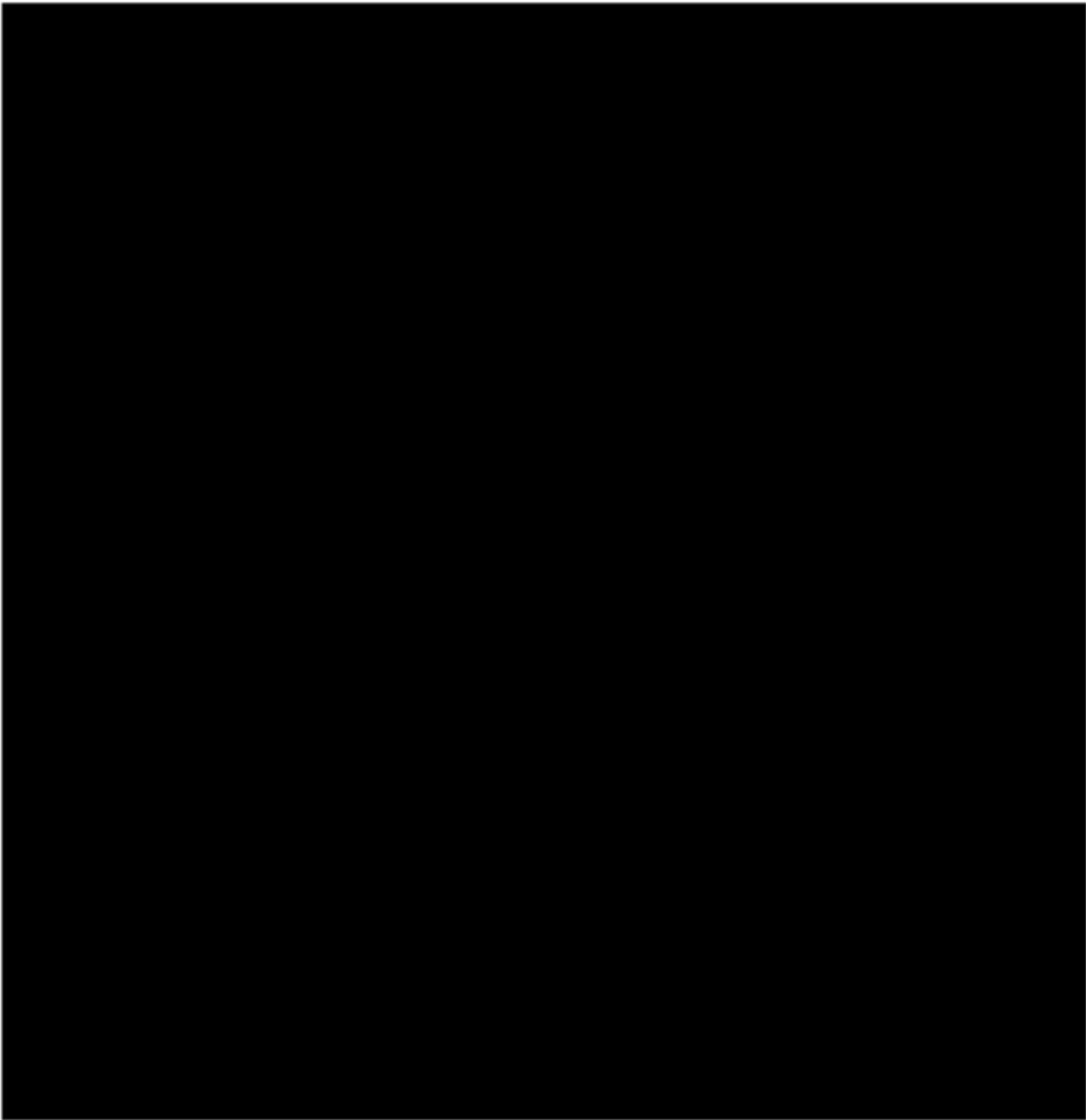
SCARS/MARKS/TATTOOS/MISC NUMBERS
TATTOO RIGHT ARM LION WTRIBAL DESIGN

LAST REPORTED ADDRESS/DATE : 05/06/2019
[REDACTED] PISCATAWAY NJ
08854

[REDACTED]

001

EFTA01688256



DEPARTMENT OF CORRECTIONS DATA NOT FOUND FOR THIS SID NUMBER

CRIMINAL HISTORY DIVERSION PROGRAM AND INDICTABLE
CONVICTION SUMMARY



COURT DISPOSITION INFORMATION CONTAINED IN THIS RECORD IS REPORTED ELECTRONICALLY FROM THE SENTENCING COURT. QUESTIONS CONCERNING DISPOSITION INFORMATION SHOULD BE DIRECTED TO THE MUNICIPAL OR SUPERIOR COURT LISTED ON THE RECORD. INFORMATION REGARDING CORRECTIONS TO THIS RECORD MAY BE DIRECTED TO THE COURT OF SENTENCING.

END OF CCH RECORD

END OF RECORD

80 South Swan St.
Albany, New York 12210. Tel:1-800-262-DCJS
Michael C.Green, Executive Deputy Commissioner of the NYS Division of Criminal Justice Services

● III Information ↑

The following information is provided in response to your request for a search of the III based on:

Name: THOMAS, MICHAEL
Sex: Unknown
Race: Unknown
Date of Birth: February 11, 1978
Purpose Code: C

NYFBINY00

THIS NCIC INTERSTATE IDENTIFICATION INDEX MULTIPLE RESPONSE IS THE RESULT OF YOUR INQUIRY ON NAM/THOMAS, MICHAEL DOB/ [REDACTED] SEX/X RAC/U PUR/C ATN/GREENES3

NAME	FBI NO.	INQUIRY DATE
WILKIE-THOMAS, MICHAEL JR	[REDACTED]	2019/11/18

SEX	RACE	BIRTH DATE	HEIGHT	WEIGHT	EYES	HAIR	PHOTO
M	W	[REDACTED]	[REDACTED]	[REDACTED]	BLU	BRO	Y

BIRTH PLACE
[REDACTED]

FINGERPRINT CLASS PATTERN CLASS
[REDACTED]

ALIAS NAMES

WILKES, THOMAS

WILKIE, THOMAS

WILKIE, THOMAS MICHAEL

WILKIE, MICHAEL

WILKIE, THOMAS M

WILKIE, THOMAS MICHAEL JR

SCARS-MARKS-

TATTOOS

TAT L ARM

SC L WRIST

SOCIAL SECURITY

IDENTIFICATION DATA UPDATED 2019/05/15

THE CRIMINAL HISTORY RECORD IS MAINTAINED AND AVAILABLE FROM THE FOLLOWING:

SOUTH CAROLINA - STATE ID

GEORGIA - STATE ID

WASHINGTON - STATE ID

INDIANA - STATE ID

NORTH CAROLINA - STATE ID

END - 1ST NCIC III RECORD OF MULTIPLE RESPONSE

NAME

THOMAS, MICHAEL A

FBI NO

INQUIRY DATE

2019/11/18

SEX RACE BIRTH DATE HEIGHT WEIGHT EYES HAIR PHOTO

M B

BRO BLK N

BIRTH PLACE

FINGERPRINT CLASS

PATTERN CLASS

ALIAS NAMES

THOMAS, MICHAEL ALBERT

THOMASSR, MICHAEL A

THOMAS, MICHEAL ALBERT

SCARS-MARKS-

TATTOOS

SOCIAL SECURITY

MISC NUMBERS

IDENTIFICATION DATA UPDATED 2019/06/13

THE CRIMINAL HISTORY RECORD IS MAINTAINED AND AVAILABLE FROM THE

FOLLOWING:

NEW JERSEY - STATE ID [REDACTED]

END - LAST NCIC III RECORD OF MULTIPLE RESPONSE

THE RECORD(S) CAN BE OBTAINED THROUGH THE INTERSTATE
IDENTIFICATION
INDEX BY USING THE APPROPRIATE NCIC TRANSACTION.

END

UNCLASSIFIED

FEDERAL BUREAU OF INVESTIGATION**Import Form****Form Type:** EMAIL - Email**Date:** 12/04/2019**Title:** (U) Email Documenting Call with Warden [REDACTED]**Approved By:** A/SSA [REDACTED]**Drafted By:** [REDACTED]**Case ID #:** 90A-NY-3151227(U) UNSUB(S);
JEFFREY EPSTEIN - VICTIM;
DEATH INVESTIGATION

Synopsis: (U) AUSAs [REDACTED] [REDACTED] and [REDACTED], along with OIG SA [REDACTED], interviewed MCC Warden [REDACTED] on Wednesday, November 13, 2019. Notes were documented in an email sent to the investigating agents. The interview was in regards to institutional counts performed at MCC.

◆◆

UNCLASSIFIED

[REDACTED] (IMD) (CON)

From: [REDACTED] (USANYS) <[REDACTED]>
Sent: Thursday, November 14, 2019 1:19 PM
To: [REDACTED] G. (NY) (FBI)
Subject: FW: Note to file - call with Warden [REDACTED] ([REDACTED])

From: [REDACTED] (USANYS) <[REDACTED]>
Sent: Wednesday, November 13, 2019 6:20 PM
To: [REDACTED] (USANYS) <[REDACTED]>; [REDACTED] (USANYS) <[REDACTED]>
Subject: Note to file - call with Warden [REDACTED] ([REDACTED])

- [REDACTED] [REDACTED] interviewed MCC Warden [REDACTED] this evening.
- [REDACTED] asked what happens if the institutional count is not performed or if the count is wrong. Warden [REDACTED] said that it is accurate that if a count slip is not turned in or is false, and the count doesn't match the roster, the MCC must then do a bed book count. If a housing unit has a bad count, then they will say "do a re-count" with the bed book, ID cards, roster, etc., and go cell to cell to see if someone is missing or if there was a counting error.
- Warden [REDACTED] doesn't believe the entire institution would have to do a full institution count; just the specific housing unit. However, if a unit fails a bed book count more than once, then they'd do a whole institution count.
- Warden [REDACTED] has never been part of a whole institution bed book count. He has also never been part of an institution that didn't clear account. But the Warden stated that if an institutional count did not clear, and a bed book count had not resolved the issue, the warden would be notified of what inmate was missing and alerted of a potential escape. The Warden would then notify regional director.

[REDACTED]
Assistant United States Attorney
United States Attorney's Office
Southern District of New York
One St. Andrew's Plaza
New York, New York 10007
Tel: [REDACTED]

UNCLASSIFIED

FEDERAL BUREAU OF INVESTIGATION**Import Form****Form Type:** OTHER - Other**Date:** 12/05/2019**Title:** (U) Suspicious Activity Report Received from JP Morgan Chase
Regarding Tova Noel**Approved By:** SSA [REDACTED]**Drafted By:** [REDACTED]**Case ID #:** 90A-NY-3151227 (U) UNSUB(S);
JEFFREY EPSTEIN - VICTIM;
DEATH INVESTIGATION**Synopsis:** (U) On November 22, 2019, JP Morgan Chase provided a Suspicious Activity Report (SAR) regarding 12 cash deposits made by Tova Noel which occurred between 4/10/2018 and 7/30/2019.

◆◆

UNCLASSIFIED

FEDERAL BUREAU OF INVESTIGATION

Date of entry 08/29/2019

██████████, date of birth ██████████, home address ██████████
██████████, ██████████, home telephone number ██████████,
cellular telephone number ██████████, was interviewed at ██████████,
New York, NY 10278. Also present during the interview was DEPARTMENT OF
JUSTICE OFFICE OF THE INSPECTOR GENERAL (DOJ-OIG) Special Agent ██████████
██████████. After being advised of the identities of the interviewing Agents,
that the interview was voluntary, and that lying to a federal agent is a
crime, ██████████ provided the following information:

██████████ has worked for the BUREAU OF PRISONS (BOP) since 1994 with one
break in service. ██████████ plans on retiring in approximately 7 months.

██████████ confirmed that the email sent from ██████████ was composed
by him. ██████████ was not aware of anyone else having access to his email
account.

██████████ obtained the name of DOJ-OIG employee ██████████ from another BOP
employee, ██████████. ██████████ works in the Community Corrections
Department at the METROPOLITAN DETENTION CENTER (MDC) in Brooklyn, New York.
██████████ sent ██████████ an email asking for the name of a DOJ-OIG employee he
could contact to make the complaint. ██████████ met ██████████ while working at
the FEDERAL CORRECTIONAL INSTITUTE, DANBURY in Danbury, Connecticut around
2000.

██████████' current post at the METROPOLITAN CORRECTIONAL CENTER (MCC) is at
the rear gate. ██████████ has worked there for several months. Some of ██████████'
primary responsibilities at the rear gate are to check vehicles making
deliveries for any contraband, scan in packages, and to check the gate
passes of inmates who have them. ██████████ has an office at his post but
spends most of his time moving around.

BOP inmate STEVEN LOPEZ has a gate pass, meaning LOPEZ can enter and exit

Investigation on 08/28/2019 at New York, New York, United States (In Person)

File # 72-NY-3157147

Date drafted 08/29/2019

by ██████████

72-NY-3157147

Continuation of FD-302 of (U) Interview of [REDACTED], On 08/28/2019, Page 2 of 3

MCC to complete whatever task LOPEZ is assigned. LOPEZ also helps other inmates with their jobs. Typically LOPEZ leaves through the lobby to the Warden's complex and performs janitorial duties there. LOPEZ started this job approximately three weeks ago. [REDACTED] has frequent interactions with LOPEZ because [REDACTED] has to check LOPEZ's gate pass every time LOPEZ enters or exits the gate. [REDACTED] would usually make small talk with LOPEZ during these interactions.

On or about August 15, 2019, at approximately 10:30am, LOPEZ approached [REDACTED]' post at the rear gate with approximately three large bags of shredded paper. LOPEZ told [REDACTED] that "They are shredding everything back there." LOPEZ said there was a white man with a Southern accent who was involved in the document shredding. [REDACTED] was unfamiliar with anyone at MCC that fit that description. [REDACTED] surmised that since he did not know who the white man with the Southern accent was, he must have been part of the BOP After Action team related to the JEFFREY EPSTEIN suicide. LOPEZ told [REDACTED] he heard someone say, "Make sure you get that box over there too." [REDACTED] thought he remembered LOPEZ telling him that someone asked LOPEZ to help shred documents as well. [REDACTED] did not know for certain where the shredded documents originated from. Shredded documents are thrown out on a fairly regular basis through [REDACTED]' post at the rear gate, but [REDACTED] thought that there were more shredded documents than usual during this incident. LOPEZ also told [REDACTED] that LOPEZ thought this was more than the usual amount. [REDACTED] saw LOPEZ a few days later with additional shredded paper to throw out.

[REDACTED] never witnessed anyone physically shredding documents. [REDACTED] never looked through the shredded documents that LOPEZ threw out. No one told [REDACTED] that the shredded documents were related to EPSTEIN. No one told [REDACTED] that the BOP After Action Team looking into the EPSTEIN suicide was shredding documents.

[REDACTED] is still posted at the rear gate as his primary job.

[REDACTED] called someone at the FBI on or about August 23, 2019 to file a report about this incident. He spoke with a female, but could not remember what office or number he called.

[REDACTED] heard third-hand that an "Officer [REDACTED]" told former MCC Warden

72-NY-3157147

Continuation of FD-302 of (U) Interview of [REDACTED], On 08/28/2019, Page 3 of 3

[REDACTED] that EPSTEIN should be confined in the 10 South wing of MCC.

8/28/17

[REDACTED]
[REDACTED]
[REDACTED]
Yonkers, NY 10704

- Started in 94, break in service, 2000, 24 yrs, 7mo
- retiring in 7 mo

- confirmed its his email, not aware of anyone else w/ access

Asked CO in Bklyn for name of OIG agent to send complaint - email

- [REDACTED] - Community Corrections Dept. @ BOR

- met @ Dabury in 2000

- Generally asked for someone to contact

- Rear gate open for traffic deliveries, has worked there for months

- check trucks, check inmates w/ gate passes, watching everything in and out, check in packages

- has office, but is mainly around most of shift

- SL has gate pass, sometimes helps another inmate

- goes out gate, thru lobby, go wardens complex, give janitor for it

- can go in and out w/ gate pass

- ~~has~~ started 3 weeks ago, had interaction when checking pass

- wanted to help in commissary warehouse

- small talk w/ him during check ins/outs

- ~~got~~ ~~FBP~~

- on or about 15th - incident

- called FBI last Friday, left report w/ woman who answered

- around lunchtime, 10:30-11:00am

- cameras at rear gates, rumor is tapes erased after 30 days

- usually goes w/ them to throw out trash to look for contraband

- had around 3 bags first time of shredded documents,

- said "They're shredding everything back there"

- said guy had accent & was white, no one at MCC that work there

- heard statement "make sure you get that bag over there too"

- pretty sure Lopez said they asked him to help

- Doesn't know where the shreds were from

- sees shreds get thrown out, quantity on these days were higher than usual

- Never saw anyone shred anything,

- did not look at shreds afterwards

- still working @ rear gate

- officer [redacted] told NDI that Epstein should be in 105

- heard 3rd-hand

- Lopez thought shreds were higher than normal amount

UNCLASSIFIED

FEDERAL BUREAU OF INVESTIGATION

Import Form

Form Type: DEL REX - Digital Evidence Laboratory
Report of Examination

Date: 12/11/2019

Title: (U) 90A-NY-3151227 SID 223558 Process Report

Approved By: SSA [REDACTED]

Drafted By: [REDACTED]

Case ID #: 90A-NY-3151227 (U) UNSUB(S);
JEFFREY EPSTEIN - VICTIM;
DEATH INVESTIGATION

Synopsis: (U) To upload Process Report regarding CART technical assistance request #223558.

◆◆

UNCLASSIFIED

UNCLASSIFIED

FEDERAL BUREAU OF INVESTIGATION

Import Form

Form Type: DEL REX - Digital Evidence Laboratory
Report of Examination

Date: 12/11/2019

Title: (U) 90A-NY-3151227 SID 223558 Process Report

Approved By: SSA [REDACTED]

Drafted By: [REDACTED]

Case ID #: 90A-NY-3151227 (U) UNSUB(S);
JEFFREY EPSTEIN - VICTIM;
DEATH INVESTIGATION

Synopsis: (U) To upload Process Report regarding CART technical assistance request #223558.

◆◆

UNCLASSIFIED

FEDERAL BUREAU OF INVESTIGATION

Date of entry 12/13/2019

On December 11, 2019, Office of the Inspector General (OIG) Special Agent [REDACTED] provided the Memorandum of Investigation (MOI) regarding the interview of [REDACTED]. The OIG MOI is attached to this document.

Investigation on 12/11/2019 at New York, New York, United States (Email)

File # 90A-NY-3151227Date drafted 12/11/2019

by [REDACTED]

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

EFTA01688272

Case Number:	Reporting Office:
2019-010614	New Jersey Area Office

Interview of Federal Bureau of Prisons Senior Officer Specialist [REDACTED]

On September 12, 2019, Assistant United States Attorney [REDACTED], U.S. Department of Justice (DOJ) Office of the Inspector General (OIG) Special Agent [REDACTED] and Special Agent [REDACTED] interviewed Federal Bureau of Prisons (BOP) Senior Officer Specialist (SOS) [REDACTED] at the United States Attorney's Office, Southern District of New York, 1 Saint Andrews Plaza, New York, New York 10007. The purpose of this interview was to address the events surrounding the death of Jeffrey Epstein, Register Number 76318-054 and the actions of MCC staff members leading up to and subsequent to the death. [REDACTED] was advised that the interview was voluntary and could be terminated at any time. [REDACTED] was provided with OIG Form III-226/2 (Warnings and Assurances to Employee Requested to Provide Information on a Voluntary Basis), which he reviewed and signed.

During his voluntary interview, [REDACTED] provided the following substantive information:

[REDACTED] stated he began working as a Correctional Officer with the BOP in approximately 2005 or 2006 and spent his entire career at MCC New York. [REDACTED] stated he was promoted to his current rank of SOS in approximately 2009. Prior to working for the BOP, [REDACTED] served in the United States Army and worked for the Transportation Security Administration. [REDACTED] continues to serve in the United States Army Reserve as a Flight Instructor- Master Trainer and performs military orders frequently.

According to [REDACTED], he is currently assigned to Morning Watch Overnight, Unit 2, which he described as a female unit. He also has multiple collateral duties, including the Disturbance Control Team and the Mentorship Program. He also serves as a Lead Control Tactics Instructor. [REDACTED] stated he was assigned to the Special Housing Unit (SHU) Day Watch (8am – 4pm) from Monday to Friday. [REDACTED] added he opted to transfer to Day Watch that quarter because he was able to perform overtime while on Day Watch and still get weekends off. The schedule also worked well with his military orders. [REDACTED] stated the only assignment available with weekends off that quarter was the SHU Day Watch, which he accepted.

[REDACTED] stated he worked in the SHU in the past, but prior to this most recent SHU assignment he worked the overnight shift for approximately 5-6 years and was not assigned to the SHU during that time. When he first began working at MCC New York, [REDACTED] stated he was assigned to the SHU, a typical post assignment for new officers, for a few months. After he rotated off that assignment, he stated he only worked in the SHU when he was providing coverage, when they were short-staffed, or if he responded to a use of force incidents.

Prepared By:	Preparer Title:	Reviewed By:	Reviewer Title:
[REDACTED]	Special Agent	[REDACTED]	Senior Special Agent
Signature:	Signature:		

█████ stated officers assigned to the SHU on the weekends were responsible for taking institutional counts at 4pm, 10pm, 12am, 3am, and 5am. He added he did not work the weekend shift so he did not perform these counts. The Day Shift, which he was assigned to, performed 30 minute rounds. █████ explained the counts are supposed to be completed every 30 minutes, but within a 40 minute time period. He described Mondays, Wednesdays, and Fridays as “shower days”, where the inmates are escorted to a shower at the end of the tier. He added MCC New York SHU does not have showers in the cells so inmates have to be restrained, escorted to the shower, restrained again upon completion of their shower, and escorted back to their cell. █████ described this process as time-consuming and stated it can affect the ability to perform the 30 minutes counts. He added the checks are being performed because officers have contact with the inmates throughout this process and they conduct counts as scheduled once the showers are completed. He added the Recreation Officers also constantly pull inmates out of their cells to take them to the 12th Floor to for their one hour of recreation time, which also affects the ability to perform traditional counts as expected.

According to █████, the 30 minute counts are conducted as required on Tuesdays and Thursdays because showers are not offered those days. █████ further added he did not believe it would be possible to perform 30 minute rounds as scheduled on Mondays, Wednesdays, and Fridays due to all of the inmate movement and the current staffing levels at MCC New York, which █████ described as “abysmal”.

When asked to describe the process for filling out the times of the forms documenting the 30 minute counts, █████ stated you are supposed to fill out the form as you are completing the round so you accurately record the time. He added that on shower days, he fills the form out when he gets the inmate out of the cell to transport him to the shower because he has visual contact with the inmate at that time. █████ stated he also fills out the form when he responds to inmate’s cells for complaints or things of that nature. He clarified the times may not exactly reflect 30 minutes, but he fills out the forms as he see the inmates. █████ added he cannot comment on how other staff members perform the counts or fill out the logs.

█████ stated a comparison of the cameras to the 30 minute logs for his quarterly assignment to the SHU would reveal that the log sheet is posted at the end of the tier on occasion and other times the log is maintained at the desk by the officer’s station. █████ stated when the log is maintained at the desk, the officers would write things down and fill out the form to “catch up” after the checks were completed instead of filling it out as the checks were being done. He stated the forms are filled out after the fact because it is hectic and the officers don’t have the logs with them while completing the checks. He added that, on occasion, some people would fill out the logs without completing the checks. █████ stated he does not agree with this practice because that is not how things are supposed to be done. █████ added there are times the sheets have not been posted on the tiers and are not filled out accurately and times they are filled out accurately. He stated the camera footage should show both instances.

According to █████, he tried not to fill out the log sheets if he didn’t actually perform the checks. He stated he would fill out the log sheet when it was maintained on the desk if he moved someone for the

shower or performed the rounds. [REDACTED] added if he noticed the log sheet had not been filled out for a period of time or he wasn't there during that time, he won't sign it.

[REDACTED] opined leadership at MCC New York is aware of how difficult it is for staff members to perform the 30 minutes rounds due to staffing shortages and other manpower issues, to include additional equipment required to do the job properly. [REDACTED] stated staff members shared their concerns with Captain [REDACTED] but nothing changed. He added he was not present at any of the meetings where the information was presented to Captain [REDACTED].

According to [REDACTED], during his three month rotation on the SHU assignment, he was on military orders for approximately half of that time cumulatively. [REDACTED] explained he performed two week military orders but had several sets of orders during that quarter. [REDACTED] was at MCC New York during the period leading up to the death of Epstein, however.

On August 9, 2019, [REDACTED] stated he worked overtime on the midnight shift, which ran from 12am on Thursday to 8am on Friday. He performed this overtime on unit 11-South and worked this shift alone. [REDACTED] stated he was relieved late, at approximately 8:40am, and didn't arrive to his scheduled shift on the SHU until approximately 8:45am. [REDACTED] stated Epstein had already been escorted to the attorney conference room by the time he arrived at the SHU to begin his shift. According to [REDACTED], Epstein never returned to the SHU during his shift. At approximately 3:30pm, [REDACTED] checked to see if Epstein was still in the attorney conference room and received confirmation Epstein was still in the area. Epstein was in the attorney conference room for all counts that took place during [REDACTED] shift. [REDACTED] stated Epstein did not return to the SHU prior to being relieved at 4:00pm and reiterated he did not see Epstein at all during his shift that Friday. [REDACTED] stated he believed Epstein stayed in the attorney conference room until late Friday night.

When asked about Epstein's cellmate, Efrain Reyes, Register Number 85993-054, [REDACTED] stated Reyes was gone when he reported to his shift at the SHU at 8:45am and did not return prior to being relieved at 4:00pm. [REDACTED] reiterated he never saw Reyes that Friday. [REDACTED] added SOS [REDACTED] may have told him that Reyes left the SHU. [REDACTED] clarified he knew Reyes was not in his cell, but he did not know Reyes was released from custody. He stated he never saw the court list that day to know if Reyes was on it and stated just because an inmate was not in their cell, it does not mean they are actually leaving MCC New York. He stated it is not uncommon for inmates to be gone from their cell all day and then return later that evening. [REDACTED] stated the most he knew was Reyes left the unit, but he did not know where Reyes went when he left the unit or that he was not returning.

According to [REDACTED], when he called to see if Epstein was returning to the SHU for count, no one told him Reyes was not coming back. He clarified that no one told him Reyes was not coming back and that Epstein needed a new cellmate. [REDACTED] reiterated that no one, including Captain [REDACTED], Lieutenant [REDACTED], the Psychology Department, or SOS [REDACTED], told him Epstein needed a new cellmate.

When [REDACTED] left his shift at 4pm, Correctional Officer [REDACTED] (no further information) was in the SHU

working but he couldn't recall who else was there but did not recall seeing Officer Tova Noel. [REDACTED] added he did not see most of the officers on the next shift because he left as soon as he completed his turnover with [REDACTED]. [REDACTED] believed Noel was supposed to come in for the next shift but he does not know who else was supposed to be working. [REDACTED] stated he relayed to [REDACTED] that Epstein was still down at the attorney conference room and that there were a few inmate showers that had to be completed because they were unable to finish them during the 8am to 4pm shift. [REDACTED] stated he also told [REDACTED] what the inmate count should be. [REDACTED] could not recall if he passed [REDACTED] any information about Reyes. [REDACTED] added his focus was providing information about Epstein because he was "high profile".

[REDACTED] stated he was on military orders in July 2019 and learned about Epstein's initial suicide attempt upon his return to MCC New York after completing his orders. [REDACTED] added he did not know Epstein was removed from suicide watch until after his death. He reiterated again that no one informed him Epstein required a new cellmate. He elaborated SOS [REDACTED] never told him Reyes was being released and Epstein required a new cellmate. Additionally, [REDACTED] never saw a memorandum drafted by SOS [REDACTED] dictating he provided the information about Reyes and Epstein to [REDACTED]. [REDACTED] added if someone wrote a memorandum stating they told [REDACTED] that Epstein required a cellmate it would be false.

[REDACTED] stated if he was made aware that Epstein required a new cellmate, he would have followed direction and ensured Epstein received one. [REDACTED] stated if a fellow officer told him Epstein required a new cellmate, he would have confirmed this information with his leadership and then he would have assigned a new cellmate to Epstein. [REDACTED] added it would not have been difficult to find a new cellmate for Epstein. [REDACTED] repeated that if he was made aware by leadership that Epstein required a new cellmate he would have made it happen, but no one told him. [REDACTED] stated it did not matter who the inmate was, if he is told an inmate needs a cellmate, he ensures the inmate receives a cellmate because when they are told an inmate requires a cellmate, it is for a reason.

When asked about his interactions with Epstein, [REDACTED] stated he didn't really interact with Epstein. He stated he escorted him to the attorney conference room on one occasion but he didn't really talk to him. He stated he always got Epstein what he needed, such as socks, like he did with any other inmate at MCC New York.

Attachment(s):

1. OIG Form III-226/2- Warnings and Assurances to Employee Requested to Provide Information on a Voluntary Basis. Signed by FCI Fort Dix Lieutenant [REDACTED], dated April 23, 2018.

FEDERAL BUREAU OF INVESTIGATION

Date of entry 12/13/2019

On December 11, 2019, Office of the Inspector General Special Agent [REDACTED] provided the transcript of the digitally recorded interview of [REDACTED]. The documents provided are attached to this report.

Investigation on 12/11/2019 at New York, New York, United States (Email)

File # 90A-NY-3151227Date drafted 12/11/2019

by [REDACTED]

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

EFTA01688277

UNCLASSIFIED

FEDERAL BUREAU OF INVESTIGATION**Import Form****Form Type:** OTHER - Other**Date:** 12/13/2019**Title:** (U) OIG Forms Provided by OIG SA [REDACTED]**Approved By:** SSA [REDACTED]**Drafted By:** [REDACTED]**Case ID #:** 90A-NY-3151227(U) UNSUB(S);
JEFFREY EPSTEIN - VICTIM;
DEATH INVESTIGATION**Synopsis:** (U) On August 30, 2019, OIG SA [REDACTED] provided the OIG Waiver Forms for [REDACTED], [REDACTED], and [REDACTED].

◆◆

UNCLASSIFIED

UNITED STATES DEPARTMENT OF JUSTICE
Office of the Inspector General

WARNINGS AND ASSURANCES TO EMPLOYEE REQUESTED
TO PROVIDE INFORMATION ON A VOLUNTARY BASIS

You are being asked to provide information as part of an investigation being conducted by the Office of the Inspector General. This investigation is being conducted pursuant to the Inspector General Act of 1978, as amended.

This investigation pertains to:

INVESTIGATION INTO TERRY EPSTEIN AND O AM

Employee misconduct at the MCC

This is a voluntary interview. Accordingly, you do not have to answer questions. No disciplinary action will be taken against you if you choose not to answer questions.

Any statement you furnish may be used as evidence in any future criminal proceedings or agency disciplinary proceeding, or both.

WAIVER

I understand the warnings and assurances stated above and I am willing to make a statement and answer questions. No promises or threats have been made to me and no pressure or coercion of any kind has been used against me.

[Redacted]
Office of the Inspector General
Special Agent

(X) [Redacted]
Employee's Signature [Redacted]

[Redacted]
Witness

8/29/19
Date

9:35 AM
Time

SPNY
Place

UNITED STATES DEPARTMENT OF JUSTICE
Office of the Inspector General

WARNINGS AND ASSURANCES TO EMPLOYEE REQUESTED
TO PROVIDE INFORMATION ON A VOLUNTARY BASIS

You are being asked to provide information as part of an investigation being conducted by the Office of the Inspector General. This investigation is being conducted pursuant to the Inspector General Act of 1978, as amended.

This investigation pertains to:

JEFFREY EPSTEIN INVESTIGATION AND AN EMPLOYEE
MRS CONDUCT

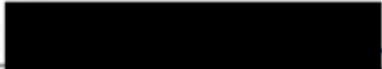
This is a voluntary interview. Accordingly, you do not have to answer questions. No disciplinary action will be taken against you if you choose not to answer questions.

Any statement you furnish may be used as evidence in any future criminal proceedings or agency disciplinary proceeding, or both.

WAIVER

I understand the warnings and assurances stated above and I am willing to make a statement and answer questions. No promises or threats have been made to me and no pressure or coercion of any kind has been used against me.


Office of the Inspector General
Special Agent


Employee's Signature

Wit



FBI

Date

8/29/97

Time

11:40 AM

Place

SONY

UNITED STATES DEPARTMENT OF JUSTICE
Office of the Inspector General

**WARNINGS AND ASSURANCES TO EMPLOYEE REQUESTED
TO PROVIDE INFORMATION ON A VOLUNTARY BASIS**

You are being asked to provide information as part of an investigation being conducted by the Office of the Inspector General. This investigation is being conducted pursuant to the Inspector General Act of 1978, as amended.

This investigation pertains to:

EPSTEIN In-custody Death Investigation
and any employee misconduct.

This is a voluntary interview. Accordingly, you do not have to answer questions. No disciplinary action will be taken against you if you choose not to answer questions.

Any statement you furnish may be used as evidence in any future criminal proceedings or agency disciplinary proceeding, or both.

WAIVER

I understand the warnings and assurances stated above and I am willing to make a statement and answer questions. No promises or threats have been made to me and no pressure or coercion of any kind has been used against me.

[Redacted]
Office of the Inspector General
Special Agent

[Redacted]
Employee's Signature [Redacted]

[Redacted]
Witness

8/20/19
Date

12:58pm
Time

USA0 SDNY
Place

UNCLASSIFIED

FEDERAL BUREAU OF INVESTIGATION

Import Form

Form Type: OTHER - Other

Date: 12/13/2019

Title: (U) OIG Forms Provided by OIG SA [REDACTED]

Approved By: SSA [REDACTED]

Drafted By: [REDACTED]

Case ID #: 90A-NY-3151227 (U) UNSUB(S);
JEFFREY EPSTEIN - VICTIM;
DEATH INVESTIGATION

Synopsis: (U) On September 6, 2019, OIG SA [REDACTED] provided the OIG Waiver Forms for [REDACTED], [REDACTED], and [REDACTED].

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UNCLASSIFIED

UNITED STATES DEPARTMENT OF JUSTICE
Office of the Inspector General

WARNINGS AND ASSURANCES TO EMPLOYEE REQUESTED
TO PROVIDE INFORMATION ON A VOLUNTARY BASIS

You are being asked to provide information as part of an investigation being conducted by the Office of the Inspector General. This investigation is being conducted pursuant to the Inspector General Act of 1978, as amended.

This investigation pertains to: Epstein In-Custody death Investigation
and any employee misconduct.

This is a voluntary interview. Accordingly, you do not have to answer questions. No disciplinary action will be taken against you if you choose not to answer questions.

Any statement you furnish may be used as evidence in any future criminal proceedings or agency disciplinary proceeding, or both.

WAIVER

I understand the warnings and assurances stated above and I am willing to make a statement and answer questions. No promises or threats have been made to me and no pressure or coercion of any kind has been used against me.


Office of the Inspector General
Special Agent


Witness

1:35
Time


Employee's Signature

8/29/2019
Date

USAO SDNY
Place

UNITED STATES DEPARTMENT OF JUSTICE

Office of the Inspector General

WARNINGS AND ASSURANCES TO EMPLOYEE REQUESTED TO PROVIDE INFORMATION ON A VOLUNTARY BASIS

You are being asked to provide information as part of an investigation being conducted by the Office of the Inspector General. This investigation is being conducted pursuant to the Inspector General Act of 1978, as amended.

This investigation pertains to: Epstein In-Custody Death Investigation
and any employee misconduct.

This is a voluntary interview. Accordingly, you do not have to answer questions. No disciplinary action will be taken against you if you choose not to answer questions.

Any statement you furnish may be used as evidence in any future criminal proceedings or agency disciplinary proceeding, or both.

WAIVER

I understand the warnings and assurances stated above and I am willing to make a statement and answer questions. No promises or threats have been made to me and no pressure or coercion of any kind has been used against me.


Office of the Inspector General
Special Agent


Witness

3:20
Time

E


8/29/2019
Date

USAO SONY
Place

UNITED STATES DEPARTMENT OF JUSTICE
Office of the Inspector General

**WARNINGS AND ASSURANCES TO EMPLOYEE REQUESTED
TO PROVIDE INFORMATION ON A VOLUNTARY BASIS**

You are being asked to provide information as part of an investigation being conducted by the Office of the Inspector General. This investigation is being conducted pursuant to the Inspector General Act of 1978, as amended.

This investigation pertains to:

Epstein In-Custody Death Investigation
and any staff misconduct.

This is a voluntary interview. Accordingly, you do not have to answer questions. No disciplinary action will be taken against you if you choose not to answer questions.

Any statement you furnish may be used as evidence in any future criminal proceedings or agency disciplinary proceeding, or both.

WAIVER

I understand the warnings and assurances stated above and I am willing to make a statement and answer questions. No promises or threats have been made to me and no pressure or coercion of any kind has been used against me.


Office of the Inspector General
Special Agent


Witness

10:40
Time


Employee's Signature

9/6/2019
Date

USAO SDNY
Place

UNCLASSIFIED

FEDERAL BUREAU OF INVESTIGATION**Import Form****Form Type:** OTHER - Other**Date:** 12/13/2019**Title:** (U) Letter to the Office of the Chief Medical Examiner Regarding
the Autopsy of Jeffrey Epstein**Approved By:** SSA [REDACTED]**Drafted By:** [REDACTED]**Case ID #:** 90A-NY-3151227 (U) UNSUB(S);
JEFFREY EPSTEIN - VICTIM;
DEATH INVESTIGATION**Synopsis:** (U) On September 3, 2019, AUSA [REDACTED] [REDACTED] provided the letter that was sent from the US Attorney's Office to the Chief Medical Examiner regarding the autopsy of Jeffrey Epstein.

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UNCLASSIFIED



U.S. Department of Justice

*United States Attorney
Southern District of New York*

*The Silvio J. Mollo Building
One Saint Andrew's Plaza
New York, New York 10007*

August 28, 2019

Florence Hutner, Esq.
General Counsel
Office of the Chief Medical Examiner
421 East 26th Street
New York, New York

Re: Autopsy Report and File for Jeffrey Epstein

Dear Ms. Hutner:

As you are aware, the United States Attorney's Office for the Southern District of New York ("USAO") and the Federal Bureau of Investigation ("FBI") are conducting an ongoing criminal investigation into the events related to Mr. Epstein's August 10, 2019 suicide at the Metropolitan Correctional Center ("MCC") (the "Criminal Investigation"). As you are also aware, between August 10, 2019, and August 16, 2019, at OCME's request and in order to assist OCME in determining the cause and manner of Mr. Epstein's death, the USAO and FBI gave OCME access to certain materials and information gathered during the Criminal Investigation.

As discussed by phone, while the OCME autopsy is now complete, the Criminal Investigation remains ongoing. As such, and in order to avoid compromising the Criminal Investigation, we request that OCME withhold from disclosure to any third party any documents, evidence or information made available to OCME by the USAO or FBI—including documents, records, or materials from the MCC—or created by OCME or within the OCME case file that include, reflect, or contain information made available to OCME by the USAO or FBI. We also request that you withhold from any disclosure the names and identifying information of any federal law enforcement agents or employees who were interviewed by the OCME, along with the substance of any statements or interviews made by those agents or employees.

We appreciate your willingness to comply with this request. Should you decide at any future point, notwithstanding this request, to produce any of the above-referenced material to any third party, we request that you notify us before you do so, so that we may seek additional process. Please contact us with any further questions.

Very truly yours,

GEOFFREY S. BERMAN
United States Attorney

by: _____ /s/ _____
Assistant United States Attorneys
_____ / _____

UNCLASSIFIED//REL TO USA, GBR, IRL

FEDERAL BUREAU OF INVESTIGATION

Import Form

Form Type: OTHER - Other

Date: 12/13/2019

Title: (U) Work Schedules for Michael Thomas

Approved By: SSA [REDACTED]

Drafted By: [REDACTED]

Case ID #: 90A-NY-3151227 (U) UNSUB(S);
JEFFREY EPSTEIN - VICTIM;
DEATH INVESTIGATION

Synopsis: (U) On September 6, 2019, OIG SA [REDACTED] provided the work schedule for Michael Thomas.

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UNCLASSIFIED//REL TO USA, GBR, IRL



Trust Fund/Financial Management
STAFF BLANK ROSTER
 (March 10, 2019 thru June 9, 2019)

UNIT STAFF	SUN	MON	TUE	WED	THU	FRI	SAT
COMMISSARY 1	OFF	1	1	1	1	1	OFF
COMMISSARY 2 [REDACTED]	OFF	3	3	3	3	3	OFF
LAUNDRY 1 [REDACTED]	OFF	1	1	1	1	1	OFF
LAUNDRY2 M.THOMAS	OFF	4	4	4	4	4	OFF
S & A 1 [REDACTED]	OFF	1	1	1	1	1	OFF
S & A 2 [REDACTED]	OFF	3	3	3	3	3	OFF
WAREHOUSE 1 [REDACTED]	OFF	2	2	2	2	2	OFF

1 *** 6:00AM - 2:30PM
 2 ***7:00AM - 3:30PM
 3***8:00AM - 4:30PM
 4***8:30AM-5:00PM

DEPARTMENT HEAD [REDACTED] DATE POSTED: 2/15/19

UNION REPRESENTATIVE: _____ DATE: _____



Trust Fund/Financial Management
STAFF BLANK ROSTER
 (June 9, 2019 thru September 7, 2019)

UNIT STAFF	SUN	MON	TUE	WED	THU	FRI	SAT
[REDACTED]	OFF	1	1	1	1	1	OFF
COMMISSARY 2 [REDACTED]	OFF	2	2	2	2	2	OFF
LAUNDRY 1 <i>Thomas</i>	OFF	1	1	1	1	1	OFF
[REDACTED]	OFF	2	2	2	2	2	OFF
S & A 1 [REDACTED]	OFF	1	1	1	1	1	OFF
[REDACTED]	OFF	2	2	2	2	2	OFF
WAREHOUSE 1 [REDACTED]	OFF	1	1	1	1	1	OFF

1 *** 7:00AM - 3:30PM
 2 *** 8:00AM - 4:30PM

DEPARTMENT HEAD
 UNION REPRESENTATIVE



DATE POSTED: 5/30/19
 DATE: 5/30/19

UNCLASSIFIED

FEDERAL BUREAU OF INVESTIGATION

Import Form

Form Type: OTHER - Other

Date: 12/13/2019

Title: (U) Daily Assignment Log for Tova Noel

Approved By: SSA [REDACTED]

Drafted By: [REDACTED]

Case ID #: 90A-NY-3151227 (U) UNSUB(S);
JEFFREY EPSTEIN - VICTIM;
DEATH INVESTIGATION

Synopsis: (U) On September 6, 2019, OIG SA [REDACTED] provided the daily assignment log for Tova Noel.

◆◆

UNCLASSIFIED

**MCC NEW YORK
NEW YORK, NY
Noel, T - Daily Assignments**

Date	Quarterly Roster	Assignment	Shift
9/5/2019	3RD QTR 2019	ADMIN LEAVE	P/M 10
9/4/2019	3RD QTR 2019	ADMIN LEAVE	P/M 10
9/3/2019	3RD QTR 2019	Day Off	
9/2/2019	3RD QTR 2019	Day Off	
9/1/2019	3RD QTR 2019	ADMIN LEAVE	E/W 12
8/31/2019	3RD QTR 2019	ADMIN LEAVE	E/W 12
8/30/2019	3RD QTR 2019	ADMIN LEAVE	P/M 10
8/29/2019	3RD QTR 2019	ADMIN LEAVE	P/M 10
8/28/2019	3RD QTR 2019	ADMIN LEAVE	P/M 10
8/27/2019	3RD QTR 2019	Day Off	
8/26/2019	3RD QTR 2019	Day Off	
8/25/2019	3RD QTR 2019	ADMIN LEAVE	E/W 12
8/24/2019	3RD QTR 2019	ADMIN LEAVE	E/W 12
8/23/2019	3RD QTR 2019	ADMIN LEAVE	E/W
8/22/2019	3RD QTR 2019	ADMIN LEAVE	P/M 10
8/21/2019	3RD QTR 2019	ADMIN LEAVE	P/M 10
8/20/2019	3RD QTR 2019	Day Off	
8/19/2019	3RD QTR 2019	Day Off	
8/18/2019	3RD QTR 2019	ADMIN LEAVE	E/W 12
8/17/2019	3RD QTR 2019	ADMIN LEAVE	E/W 12
8/16/2019	3RD QTR 2019	ADMIN LEAVE	E/W 12
8/15/2019	3RD QTR 2019	ADMIN LEAVE	P/M 10
8/14/2019	3RD QTR 2019	ADMIN LEAVE	P/M 10
8/13/2019	3RD QTR 2019	Day Off	
8/12/2019	3RD QTR 2019	Day Off	
8/11/2019	3RD QTR 2019	Sick Leave	
8/10/2019	3RD QTR 2019	SHU #1	OT M/W 2
8/10/2019	3RD QTR 2019	Sick Leave	
8/9/2019	3RD QTR 2019	SHU #2	E/W 12
8/8/2019	3RD QTR 2019	SHU #3	P/M 10
8/7/2019	3RD QTR 2019	SHU #3	P/M 10
8/6/2019	3RD QTR 2019	Day Off	
8/5/2019	3RD QTR 2019	Day Off	
8/4/2019	3RD QTR 2019	SHU #1	E/W 12
8/3/2019	3RD QTR 2019	SHU #1	E/W 12
8/3/2019	3RD QTR 2019	SHU #2	OT D/W 8
8/2/2019	3RD QTR 2019	9 NORTH	E/W 12
8/2/2019	3RD QTR 2019	UNIT 2	OT D/W 8
8/1/2019	3RD QTR 2019	Sick Leave	17:00-22:00
8/1/2019	3RD QTR 2019	SHU #3	14:00-17:00
7/31/2019	3RD QTR 2019	SHU #3	P/M 10
7/30/2019	3RD QTR 2019	Day Off	
7/29/2019	3RD QTR 2019	Day Off	
7/28/2019	3RD QTR 2019	Sick Leave	
7/27/2019	3RD QTR 2019	10 SOUTH #1	M/W 2
7/26/2019	3RD QTR 2019	SHU #2	E/W 12
7/25/2019	3RD QTR 2019	SHU #3	P/M 10
7/24/2019	3RD QTR 2019	SHU #3	P/M 10
7/23/2019	3RD QTR 2019	Day Off	
7/22/2019	3RD QTR 2019	Day Off	
7/21/2019	3RD QTR 2019	SHU #1	E/W 12
7/20/2019	3RD QTR 2019	Annual Leave	
7/19/2019	3RD QTR 2019	Annual Leave	

9/6/2019 9:19:37 AM

**MCC NEW YORK
NEW YORK, NY
Noel, T - Daily Assignments**

Date	Quarterly Roster	Assignment	Shift
7/18/2019	3RD QTR 2019	Annual Leave	
7/17/2019	3RD QTR 2019	Annual Leave	
7/16/2019	3RD QTR 2019	Day Off	
7/15/2019	3RD QTR 2019	Day Off	
7/14/2019	3RD QTR 2019	Annual Leave	
7/13/2019	3RD QTR 2019	Sick Leave	
7/12/2019	3RD QTR 2019	11 NORTH	OT W 8
7/12/2019	3RD QTR 2019	9 NORTH	E/W 12
7/11/2019	3RD QTR 2019	SHU #3	P/M 10
7/10/2019	3RD QTR 2019	SHU #3	P/M 10
7/9/2019	3RD QTR 2019	Day Off	
7/8/2019	3RD QTR 2019	Day Off	
7/7/2019	3RD QTR 2019	11 NORTH	W 8
7/7/2019	3RD QTR 2019	SHU #2	OT M/W 2
7/6/2019	3RD QTR 2019	Sick Leave	
7/5/2019	3RD QTR 2019	9 NORTH	OT W 8
7/5/2019	3RD QTR 2019	SHU #2	E/W 12
7/4/2019	3RD QTR 2019	SHU #3	P/M 10
7/3/2019	3RD QTR 2019	SHU #3	P/M 10
7/2/2019	3RD QTR 2019	Day Off	
7/1/2019	3RD QTR 2019	Day Off	
6/30/2019	3RD QTR 2019	SHU #1	E/W 12
6/29/2019	3RD QTR 2019	SHU #1	E/W 12
6/29/2019	3RD QTR 2019	11 SOUTH	OT M/W 2
6/28/2019	3RD QTR 2019	SHU #2	E/W 12
6/27/2019	3RD QTR 2019	SHU #3	P/M 10
6/26/2019	3RD QTR 2019	SHU #3	P/M 10
6/25/2019	3RD QTR 2019	Day Off	
6/24/2019	3RD QTR 2019	Day Off	
6/23/2019	3RD QTR 2019	LWOP	
6/22/2019	3RD QTR 2019	LWOP	
6/21/2019	3RD QTR 2019	LWOP	
6/20/2019	3RD QTR 2019	LWOP	
6/19/2019	3RD QTR 2019	LWOP	
6/18/2019	3RD QTR 2019	Day Off	
6/17/2019	3RD QTR 2019	Day Off	
6/16/2019	3RD QTR 2019	LWOP	
6/15/2019	3RD QTR 2019	LWOP	
6/14/2019	3RD QTR 2019	LWOP	
6/13/2019	3RD QTR 2019	LWOP	
6/12/2019	3RD QTR 2019	LWOP	
6/11/2019	3RD QTR 2019	Day Off	
6/10/2019	3RD QTR 2019	Day Off	
6/9/2019	3RD QTR 2019	LWOP	
6/8/2019	2ND QTR 2019	Day Off	
6/7/2019	2ND QTR 2019	LWOP	
6/6/2019	2ND QTR 2019	LWOP	
6/5/2019	2ND QTR 2019	LWOP	

FEDERAL BUREAU OF INVESTIGATION

Date of entry 12/19/2019

On September 25, 2019 at approximately 1130 hours, the undersigned, along with Special Agent [REDACTED] of the Office of the Inspector General, Special Agent [REDACTED] of the Federal Bureau of Investigation and Assistant United States Attorneys for the Southern District of New York [REDACTED] [REDACTED] and [REDACTED] did interview Federal Bureau of Prisons correction guard [REDACTED] [REDACTED], date of birth [REDACTED], at the Southern District of NY. This interview was conducted in the presence of [REDACTED] attorney.

[REDACTED] informed that he has been a guard with the Bureau of Prisons (BOP) for approximately three (3) years, all of which have been at the Metropolitan Correctional Center (MCC). [REDACTED] informed that he has completed all the basic and required training for being a guard in the BOP and that he is currently the senior officer in the Special Housing Unit (SHU).

When asked about his activities for August 9, 2019, [REDACTED] replied "I assume that's EPSTEIN day". [REDACTED] informed that as far as he can recall he worked a 2pm x 10 pm shift and did not see EPSTEIN for the majority of his shift because EPSTEIN was with his lawyers all day. [REDACTED] first recalls seeing EPSTEIN at approximately 8 pm and at that time [REDACTED] informed BOP guard [REDACTED] that EPSTEIN needed to make a call. [REDACTED] informed that he made the decision to have EPSTEIN call from the G tier shower to make the call and believes guards NOEL and [REDACTED] were present as well. [REDACTED] informed that [REDACTED] dialed the number for EPSTEIN. [REDACTED] further informed that he did not overhear the conversation and that [REDACTED] was present for the call initially and then left before the call ended. [REDACTED] says during the EPSTEIN call another inmate, [REDACTED], was claiming to want to commit

Investigation on 09/25/2019 at New York, New York, United States (In Person)

File # 90A-NY-3151227Date drafted 12/18/2019by [REDACTED]

90A-NY-3151227

Continuation of FD-302 of (U) Interview of [REDACTED] [REDACTED], On 09/25/2019, Page 2 of 3

suicide so that diverted some guards from EPSTEIN. After the all the guards dealt with inmate [REDACTED], EPSTEIN needed to be placed back in his cell. [REDACTED] recalls no other guard getting up to move EPSTEIN so he made the move at approximately 9:30 pm. [REDACTED] recalls guard NOEL filling out the 30 minute round paperwork while he did some administrative computer stuff. [REDACTED] informed that before he left he conducted a round and spoke to EPSTEIN and asked "are you good" to which EPSTEIN gave a thumbs up response. [REDACTED] said that before he left he went back to guards NOEL and [REDACTED] and make sure you watch him (referring to EPSTEIN) he's your priority. [REDACTED] stated that EPSTEIN seemed normal and was just sitting there.

[REDACTED] explained the 30 minute rounds and when asked about the paperwork stated that the exact times are probably off because they are estimates. When told that there is video of the SHU area [REDACTED] stated that he would be surprised to see video of the rounds not being conducted. When asked about the round sheets and the sign-off procedures [REDACTED] could not clearly remember who did what rounds exactly or what if any rounds he did, only that he would not have signed off on the rounds had they not been conducted. When asked about the count number in the SHU for that date and the number matching the MCC master number [REDACTED] stated "this would be a mistake on my part", he probably just used the numbers off the master sheet, and that there was probably signing off done in response to pressure and having the count cleared.

[REDACTED] was asked several questions about EPSTEIN and specifically if guard [REDACTED] advised about EPSTEIN needing a cellmate to which [REDACTED] responded "No".

When questioned further about the last visit [REDACTED] conducted before leaving for the shift, [REDACTED] gave inconsistent answers. The interview was concluded at 1311 hours.

Further details of the interview and statements made by [REDACTED] are recorded on the interview notes which are attached to this report.

90A-NY-3151227 Serial 169

FD-302a (Rev. 5-8-10)

90A-NY-3151227

Continuation of FD-302 of (U) Interview of [REDACTED] [REDACTED], On 09/25/2019, Page 3 of 3

EFTA01688297

FEDERAL BUREAU OF INVESTIGATION

Date of entry 12/19/2019

On August 20, 2019 at 1130 hours, the undersigned along with Special Agent [REDACTED] of the Office of Inspector General were present at the Manhattan Correctional Center (MCC) for the purposes of interviewing inmate Steven LOPEZ , date of birth [REDACTED] and inmate #15934014, in regards to a tip received.

LOPEZ informed that he has been at the MCC for approximately two (2) weeks and has been assigned to collect garbage and act as the Warden's orderly. LOPEZ informed that while he is collecting the garbage from the offices MCC staff personnel are to be present. LOPEZ informs that he simply bags the trash from the trash bins in the offices and takes all the trash to the rear gate of the facility.

In regards to the tip received that sensitive documents were shredded in an attempt to cover-up or hide issues relating to inmate EPSTEIN or that LOPEZ had knowledge or was involved in a cover-up the following questions were asked and answered:

Do you have any information about shredding documents - No

Did you overhear anyone talk about shredding documents - No

Do you know what if any documents were shredded - No idea what if anything was shredded, just did usual trash bin runs

Do you have any information about anything going on, anything about shredded documents, anything about the tip that was received by the FBI - No, no information about any info

Did you observe or have any knowledge of any destruction of relevant paperwork or information - No knowledge

Do you have any information or knowledge about the "After Action Review" - No, just saw all different people around

Did you ever hear any rumors about EPSTEIN and the trash or EPSTEIN documents and trash - No, there was a little more shredded trash than usual, maybe 2 days more.

Investigation on 08/20/2019 at New York, New York, United States (In Person)

File # 90A-NY-3151227

Date drafted 12/18/2019

by [REDACTED]

90A-NY-3151227

Continuation of FD-302 of (U) Steven LOPEZ Interview, On 08/20/2019, Page 2 of 2

LOPEZ had no other information relating to EPSTEIN or the tip and informed that he is just trying to stay out of trouble, keep his head down and do his work. LOPEZ informed that he enjoyed the position he has and doesn't want to screw it up in any way. The interview ended at 1145 hours.

Further details of the interview and statements made by LOPEZ are recorded on the interview notes which are attached to this report.

FEDERAL BUREAU OF INVESTIGATION

Date of entry 12/19/2019

On August 14, 2019 at approximately 2025 hours the undersigned, along with Special Agent [REDACTED] of the Office of the Inspector General, Special Agent [REDACTED] of the Federal Bureau of Investigation and Assistant United States Attorneys for the Southern District of New York [REDACTED] [REDACTED] and [REDACTED] did interview Federal Bureau of Prisons Guard [REDACTED], date of birth [REDACTED], at the Southern District of NY.

[REDACTED] informed that she has been employed by the Bureau of Prisons (BOP) since 12/11/1994 and promoted to Lieutenant around 2010 and has spent her entire career working at the Metropolitan Correctional Facility (MCC). During the interview [REDACTED] described the duties and responsibilities of her position as well as the guards she supervises at the MCC. [REDACTED] is assigned to the midnight to 8 am shift but routinely arrives at 10 pm. In addition to describing her administrative duties [REDACTED] described how a "count" at MCC works and that lieutenants are responsible for supervising one (1) count per shift. [REDACTED] described that during the count two guards assigned to the area are to unlock the main gate that separates the cells from the open and common area where the guards are. One guard will walk "down-range" and actually look into each cell and count the number of prisoners inside. Once that count is complete the guard will return to the gate and exchange places with the guard that was left to secure the gate. That guard will then walk "down-range" and count the number of prisoners in the cells. Upon completion the guard will return to the gate, secure it from the outside and record the numbers obtained from their count. Those numbers will be compared to the master list of prisoners on record for being assigned to the cells. In addition to the numbers being recorded and compared to the master list or "E-1" sheet, the guards will call in or receive a call from "internal" and give a verbal record of their count and internal will compare that number to

Investigation on 08/14/2019 at New York, New York, United States (In Person)

File # 90A-NY-3151227Date drafted 12/18/2019

by [REDACTED]

90A-NY-3151227

Continuation of FD-302 of (U) [REDACTED] Interview , On 08/14/2019 , Page 2 of 2

the number that they have on file and advise the count matches and is "good" or does not match and a count needs to be conducted again. The count slips prepared by the guards are then placed in an area for pickup by another guard assigned in MCC and brought to "control" for review.

In addition to the official counts that are to be conducted at specific times during each shift, [REDACTED] informed that guards are also responsible for conducting "rounds" every thirty (30) to forty (40) minutes. During the rounds the guards simply walk the "range" and view that the prisoners are "alive" and in their cells with no issues. The number of prisoners is not recorded but simply the fact that a round was conducted and the officers who conducted it is.

When asked [REDACTED] stated that she has no knowledge of rounds or counts not being conducted and that no one would tell her if that were the case.

[REDACTED] made several comments regarding the MCC and staffing describing that guards can only do so much with what they are given and that due to staffing sometimes things happen. [REDACTED] informed that in regards to the EPSTEIN death she did not speak with guards THOMAS and NOEL about the events of the evening or early morning hours. The interview concluded at 2205 hours.

Further details of the interview and statements made by [REDACTED] are recorded on the interview notes which are attached to this report.

FEDERAL BUREAU OF INVESTIGATION

Date of entry 12/19/2019

On August 19, 2019 at approximately 1000 hours the undersigned, along with Special Agent [REDACTED] of the Office of the Inspector General, Special Agent [REDACTED] of the Federal Bureau of Investigation and Assistant United States Attorneys for the Southern District of New York [REDACTED] [REDACTED] and [REDACTED] did interview Federal Bureau of Prisons Guard [REDACTED], date of birth [REDACTED], at the Southern District of NY.

[REDACTED] informed that he has been a guard with the BOP for approximately six (6) months and is assigned to security but has worked in the Special Housing Unit (SHU) a few times with the last time being August 05, 2019.

[REDACTED] was shown BOP MCC documents during this interview. The documents were the proper practices, policies and procedures for guards working at MCC. [REDACTED] described the duties and responsibilities for guards doing both "rounds" and "counts" and provided the information based upon his knowledge and training and also reading off the documents provided to him.

In regards to EPSTEIN, [REDACTED] informed that "everybody knew the deal" with him and that he himself was told by several guards and supervisors to keep an eye on him. On the day of EPSTEIN's death [REDACTED] was assigned to station 11 south and informed that he heard commotion. [REDACTED] also stated "Thank God I wasn't working (SHU), would not happen if I was there, I do my rounds". When asked if [REDACTED] had any knowledge of rounds not

Investigation on 08/19/2019 at New York, New York, United States (In Person)

File # 90A-NY-3151227Date drafted 12/18/2019by [REDACTED]

90A-NY-3151227

Continuation of FD-302 of (U) Interview of [REDACTED], On 08/19/2019, Page 2 of 2

being done or specifically NOEL and THOMAS not doing rounds, [REDACTED] replied "no". The interview concluded at 1055 hours.

Further details of the interview and statements made by [REDACTED] are recorded on the interview notes which are attached to this report.

FEDERAL BUREAU OF INVESTIGATION

Date of entry 12/19/2019

On August 21, 2019 at approximately 0845 hours the undersigned, along with Special Agent [REDACTED] of the Office of the Inspector General, Special Agent [REDACTED] of the Federal Bureau of Investigation and Assistant United States Attorneys for the Southern District of New York [REDACTED] and [REDACTED] did interview Federal Bureau of Prisons Psychiatrist [REDACTED], date of birth [REDACTED], at the Southern District of NY.

Dr. [REDACTED] informed that she has been with the BOP since 07/17/2000 and that she has been the staff psychiatrist since 2002. Dr. [REDACTED] advised as to the procedures and policies in place at the MCC for her role and how inmates meet with the psychiatrists. Dr. [REDACTED] informed that she met with EPSTEIN on 07/23/2019 and conducted a suicide risk assessment. According to [REDACTED] EPSTEIN had no clear recollection of the incident. EPSTEIN went on to even tell [REDACTED] that he is "too much of a coward" to commit suicide, that he "doesn't like pain" and that suicide goes against his Jewish religion. Also according to [REDACTED], EPSTEIN had many normal interactions with those in the psych unit and even those guards that were assigned to observe him. [REDACTED] did advise the staff that EPSTEIN would need a cellmate. When the psych staff reviewed EPSTEIN's file in their routine meetings EPSTEIN yielded no factors that would have placed him back in suicide watch. Dr. [REDACTED] stated that had she or her department been notified that EPSTEIN changed his will that that would have been a factor that would have warranted a review of his mental state and would have probably been placed back in suicide watch. The interview concluded at 0930 hours.

Further details of the interview and statements made by Dr. [REDACTED] are recorded on the interview notes which are attached to this report.

Investigation on 08/21/2019 at New York, New York, United States (In Person)

File # 90A-NY-3151227Date drafted 12/18/2019by [REDACTED]

90A-NY-3151227 Serial 173

FD-302a (Rev. 5-8-10)

90A-NY-3151227

Continuation of FD-302 of (U) Interview of Dr. [REDACTED], On 08/21/2019, Page 2 of 2

FEDERAL BUREAU OF INVESTIGATION

Date of entry 12/19/2019

On August 19, 2019 at approximately 1300 hours the undersigned, along with Special Agent [REDACTED] of the Office of the Inspector General, Special Agent [REDACTED] of the Federal Bureau of Investigation and Assistant United States Attorneys for the Southern District of New York [REDACTED] [REDACTED] and [REDACTED] did interview Federal Bureau of Prisons Guard [REDACTED], date of birth [REDACTED], at the Southern District of NY.\

[REDACTED] informed that he has been employed by the BOP for approximately five (5) years and is currently assigned as the Senior Officer of Special Housing (SOS) and 2nd officer of the SHU. [REDACTED] advised as to the proper way to do a "round" and "count" which was consistent with BOP memos and [REDACTED] information. [REDACTED] also advised as to several other practices, policies and procedures of being a guard at the SHU and MCC. [REDACTED] did state that in terms of conducting and documenting the "rounds" during the day shift, to which he is assigned, that its fairly easy due to the fact that the guards are always "on the range" interacting with inmates and that generally the times are recorded and approximated at the end of the shift.

[REDACTED] also stated that he knew EPSTEIN was a high profile prisoner but that at the end of the day EPSTEIN was still just a prisoner and [REDACTED] treated him the same. [REDACTED] stated that he never heard of guards not doing rounds and that due to his military career he feels he has a higher standard of integrity and would always do what's expected and required of him. [REDACTED] also informed that he was aware of EPSTEIN's past suicide attempt and that he was flagged or on the "hot list". [REDACTED] stated that on the date prior to EPSTEIN's death he was aware that EPSTEIN was without a cell mate and needed one and notified other guards relieving him from his shift. The interview concluded at 1404 hours.

Investigation on 08/20/2019 at New York, New York, United States (In Person)

File # 90A-NY-3151227Date drafted 12/18/2019by [REDACTED]

90A-NY-3151227

Continuation of FD-302 of (U) Interview of [REDACTED], On 08/20/2019, Page 2 of 2

Further details of the interview and statements made by [REDACTED] are recorded on the interview notes which are attached to this report.

FEDERAL BUREAU OF INVESTIGATION

Date of entry 12/20/2019

Pursuant to a Proffer Agreement, CARLOS SANCHEZ-GALAN was interviewed at the United States Attorneys Office of the Southern District of New York, 1 St. Andrews, New York, New York. In attendance for the Proffer interview were Assistant United States Attorney (AUSA) [REDACTED], AUSA [REDACTED], FBI Special Agent (SA) [REDACTED], and Office of Inspector General SA [REDACTED].

After being advised of the identity of the interviewing investigators and the nature of the interview, SANCHEZ-GALAN provided information relating to the investigation. (See attached notes taken by AUSA [REDACTED])

Investigation on 09/09/2019 at New York, New York, United States (In Person)

File # 90A-NY-3151227Date drafted 12/20/2019

by [REDACTED]

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FEDERAL BUREAU OF INVESTIGATION

Date of entry 12/20/2019

Pursuant to a Proffer Agreement, [REDACTED] was interviewed at the United States Attorneys Office of the Southern District of New York, 1 St. Andrews, New York, New York. In attendance for the Proffer interview were Assistant United States Attorney (AUSA) [REDACTED] [REDACTED], AUSA [REDACTED] [REDACTED], FBI Special Agent (SA) [REDACTED], and Office of Inspector General (OIG) SA [REDACTED].

After being advised of the identity of the interviewing investigators and the nature of the interview, [REDACTED] provided information relating to the investigation. (See attached notes taken by AUSA [REDACTED])

Investigation on 09/05/2019 at New York, New York, United States (In Person)

File # 90A-NY-3151227Date drafted 12/20/2019

by [REDACTED]

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FEDERAL BUREAU OF INVESTIGATION

Date of entry 12/20/2019

Pursuant to a Proffer Agreement, CHRISTIAN PEREZ was interviewed at the Southern District of New York (SDNY), 500 Pearl Street, New York, New York. In attendance for the Proffer interview were Assistant United States Attorney (AUSA) [REDACTED], AUSA [REDACTED], FBI Special Agent [REDACTED], and FBI Task Force Officer Detective [REDACTED].

After being advised of the identity of the interviewing investigators and the nature of the interview, PEREZ provided information relating to the investigation. (See attached notes taken by AUSA [REDACTED])

Investigation on 08/21/2019 at New York, New York, United States (In Person)

File # 90A-NY-3151227Date drafted 12/20/2019

by [REDACTED]

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EFTA01688310

FEDERAL BUREAU OF INVESTIGATION

Date of entry 12/20/2019

Pursuant to a Proffer Agreement, JASON MOJICA was interviewed at the Southern District of New York (SDNY), 500 Pearl Street, New York, New York. In attendance for the Proffer interview were Assistant United States Attorney (AUSA) [REDACTED], AUSA [REDACTED], FBI Special Agent (SA) [REDACTED], and Office of the Inspector General SA [REDACTED].

After being advised of the identity of the interviewing Agents and the nature of the interview, MOJICA provided information relating to the investigation. (See attached notes taken by AUSA [REDACTED])

Investigation on 09/10/2019 at New York, New York, United States (In Person)

File # 90A-NY-3151227Date drafted 12/20/2019

by [REDACTED]

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EFTA01688311

FEDERAL BUREAU OF INVESTIGATION

Date of entry 12/23/2019

On August 26, 2019 at approximately 1433 hours, the undersigned, along with Special Agent [REDACTED] of the Office of the Inspector General, Special Agent [REDACTED] of the Federal Bureau of Investigation and Assistant United States Attorneys for the Southern District of New York [REDACTED] [REDACTED] and [REDACTED] did interview Federal Bureau of Prisons correction guard [REDACTED], date of birth [REDACTED], at the Southern District of NY.

[REDACTED] informed that he has been a Bureau of Prisons guard since 2016 and has always been stationed at the Metropolitan Correctional Center. [REDACTED] provided information as to various guard duties and responsibilities including the proper way to do counts and rounds. [REDACTED] is currently assigned to "control".

[REDACTED] was not working or at the MCC at the time of Epstein's discovery and death and stated that he has heard no rumors or information relating to Epsetein or the incidents that transpired prior to or directly after Epstein was discovered. Further, [REDACTED] informed that he never really had any interaction with Epstein as an inmate. The interview concluded at 1453 hours.

Further details of this interview and [REDACTED] statement are recorded on the interview notes attached to this report.

Investigation on 08/23/2019 at New York, New York, United States (In Person)

File # 90A-NY-3151227

Date drafted 12/23/2019

by [REDACTED]