



UBS Group AG  
Legal-SLG Group

Jezebel Diaz  
1285 Avenue of the Americas – 12th Floor  
New York, NY 10019

Tel. + [REDACTED]  
[REDACTED]

July 10, 2020

**VIA E-MAIL**

AUSA, [REDACTED]  
Southern District of New York  
One Saint Andrew's Plaza  
New York, NY 10007  
Email: [REDACTED]

**Re: Grand Jury Subpoena Issued to UBS Financial Services Inc. dated June 29, 2020 –  
Ghislaine Maxwell, et al.  
Our Matter No.: 43581909-2020-1037**

Dear Ms. [REDACTED]:

On behalf of UBS Financial Services, Inc. ("UBS"), this letter is in response to the Grand Jury Subpoena dated June 29, 2020 in which information and documentation with respect to the matter referenced above was requested. Enclosed please find a WinZip file containing the items described within:

Account Records	
Account Number	Description of Documents
[REDACTED]	Account Opening Documents and Accounts Statements

UBS requests that, unless otherwise required by law or court order, the enclosed documents be kept confidential and not disclosed to any third party outside the context of the above referenced legal proceeding. UBS also requests advance notice of any decision to disclose any of the documents provided pursuant to this request. The WinZip file is password protected. Please use WinZip to unzip and view the documents. Please email me at [REDACTED] upon receipt for the necessary password. If you have any questions, please feel free to contact me.

Sincerely,

[REDACTED]

cc: AUSA, [REDACTED]