



BSAR Transcript
BSA: 31000150848290 and DCN:

Filing Information	
Type of Report	Initial Report Joint Report
Filing Date	07/19/2019
Received Date	07/19/2019
Entry Date	07/22/2019
Submission Method	Electronic batch filing

Subject Information		
Subject 1 of 1 : EPSTEIN		
Role	Subject	
Individual/Organization	Individual	
Last(or Entity) Name	EPSTEIN	
First Name	JEFFREY	
Alternate Name(s)	Alternate Name	SOUTHERN TRUST COMPANY, INC
Gender Type	Unknown	
Date of Birth	01/20/1953	
SSN/ITIN	[REDACTED]	
Form(s) of Identification	Identification Type	Other
	Other Text	Non-documentary verification
Address(es)	Address Type	Subject permanent/mailling address
	Street Address	6100 RED HOOK QUARTER B3
		6100 RED HOOK QUARTER B3 - Enhanced
	City	ST THOMAS
		ST THOMAS - Enhanced
	State	VI
		VI - Enhanced
	ZIP Code	00802
00802 - Enhanced		
Country	VI	
	US - Enhanced	
Phone Number(s)	Type	Work
	Number	[REDACTED]
Corroborative Statement to Filer	No	
Relationship to Reporting Institution(s)	Institution TIN	[REDACTED]
	Relationship of Subject	Customer: Yes
Affected Account(s)	Account Number	[REDACTED]



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Subject Information	
Financial Institution TIN	[REDACTED]

Suspicious Activity Information	
No Amount Involved	Yes
Date or Date Range of Activity	04/12/2019 - 07/18/2019
Other suspicious activities	Other: ADVERSE MEDIA

Activity Location	
Financial Institution Location 1 of 3	

No Branch Activity Involved	Yes	
Type of Financial Institution	Securities/Futures	Clearing broker-securities Subsidiary of financial/bank holding company
Primary Regulator	SEC	
CRD Number	13041	
Legal Name	National Financial Services LLC	
EIN	[REDACTED]	
Address	Address Type	Address where transaction occurred
	Street Address	200 Seaport Blvd 200 SEAPORT BLVD - Enhanced
		City
	State	MA MA - Enhanced
		ZIP Code
	Country	US US - Enhanced
		Internal Control File Number

Financial Institution Location 2 of 3	
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No Branch Activity Involved	Yes	
Type of Financial Institution	Other: Transfer agent for Fidelity group of mutual funds	
Primary Regulator	SEC	
Legal Name	Fidelity Investments Institutional Operations Company, Inc.	
EIN	[REDACTED]	
Address	Address Type	Address where transaction occurred
	Street Address	245 Summer Street



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Activity Location		
		245 SUMMER ST - Enhanced
	City	Boston BOSTON - Enhanced
	State	MA MA - Enhanced
	ZIP Code	02210 02210-1133 - Enhanced
	Country	US US - Enhanced
Internal Control File Number	0000114447	

Financial Institution Location 3 of 3		
No Branch Activity Involved	Yes	
Type of Financial Institution	Securities/Futures	Introducing broker-securities Subsidiary of financial/bank holding company
Primary Regulator	SEC	
CRD Number	7784	
Legal Name	Fidelity Brokerage Services LLC	
EIN	[REDACTED]	
Address	Address Type	Address where transaction occurred
	Street Address	900 Salem Street 900 SALEM ST - Enhanced
	City	Smithfield SMITHFIELD - Enhanced
	State	RI RI - Enhanced
	ZIP Code	02917 02917-1243 - Enhanced
	Country	US US - Enhanced
Internal Control File Number	0000114447	

Filer Information		
Primary Regulator	SEC	
Filer Name	Fidelity Brokerage Services LLC	
EIN	[REDACTED]	
Address	Address Type	Reporting party address
	Street Address	900 Salem Street 900 SALEM ST - Enhanced

The enclosed information was collected and disseminated under provisions of the Bank Secrecy Act (the BSA) and U.S. Department of the Treasury regulations implementing the BSA. See 31 U.S.C. 5311, et seq.; 31 CFR Chapter X. The information is sensitive in nature and is to be treated accordingly. The information may be used only for a purpose consistent with a criminal, tax, or regulatory investigation or proceeding, or in the conduct of intelligence or counterintelligence activities, including analysis, to protect against international terrorism. See 31 U.S.C. 5311. The information cannot be further released, disseminated, disclosed, or transmitted without prior approval of the Director of Financial Crimes Enforcement Network or his authorized delegate. Suspicious activity reports filed under the BSA must be treated with particular care given that they contain unsubstantiated allegations of possible criminal activity, akin to confidential informant tips. Unauthorized release of information collected under the BSA may result in criminal or civil sanctions.



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Filer Information			
	City	Smithfield SMITHFIELD - Enhanced	
	State	RI RI - Enhanced	
	ZIP Code	02917 02917-1243 - Enhanced	
	Country	US US - Enhanced	
	Type of Financial Institution	Securities/Futures	Introducing broker-securities Subsidiary of financial/bank holding company
	CRD Number	7784	
Internal control/file number	0000114447		
Contact for Assistance	Full Name	[REDACTED]	
	Phone Number	[REDACTED]	
	Phone Type	Work	

Law Enforcement Information
No Law Enforcement Information Available

Narrative

This is a joint filing by Fidelity Brokerage Services LLC ("FBS"), National Financial Services LLC ("NFS") and Fidelity Investments Institutional Operations Company, Inc. ("FIIOC") on behalf of certain of the Fidelity Group of Funds, affiliated financial institutions that are among the family of companies collectively doing business as "Fidelity Investments."

This suspicious activity report ("SAR") is being filed because Fidelity discovered adverse media associated with a Jeffrey Epstein, an authorized individual on a corporate account established on 4/12/2019. The account relationship has since been terminated, and the assets in the account have since been transferred to Interactive Brokers, Banco Popular, and First Bank, as detailed below.

According to multiple news articles, Jeffrey Epstein was arrested on 07/06/2019 and charged with one count of sex trafficking of minors and one count of conspiracy to engage in sex trafficking of minors. Additional media information identified that in 2008, Jeffrey Epstein was sentenced to 13 months in prison in Florida, which required him to settle with his then-teenage victims and register as a sex offender after agreeing to a plea deal in which he pleaded guilty to charges of soliciting prostitution.



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Account [REDACTED] was established on 04/12/2019 with FBS, a registered broker-dealer that clears transactions for its clients through an affiliated registered broker-dealer, NFS, and is registered to Southern Trust Company Inc. (TIN: 66-0779861), at 6100 Red Hook Quarter B3, St. Thomas, VI 00802. NFS processes payments and settlements in this brokerage account through holdings of one of the registered money market funds in the Fidelity Group of Funds. Account documents identified the authorized individuals as Richard Kahn (SSN: [REDACTED]) and Jeffrey Epstein (SSN: [REDACTED] DOB: 01/20/1953).

A review of the activity in the above-described account from the account establishment date of 04/12/2019 to 07/18/2019 identified the following relevant activity:

- On 04/12/2019, the account received a check deposit in the amount of \$250,000.00 from FirstBank account number [REDACTED] in the name of Southern Trust Company Inc. in St. Thomas, VI.

- On 04/17/2019 and 04/26/2019, the account received wire transfers in the amounts of \$2,000,000.00 and \$3,000,000.00, respectively, from Deutsch Bank Trust Company. The sender on both wires was identified as Southern Trust Company Inc.

- On 05/14/2019, the account received a wire transfer in the amount of \$650.18 from Pershing LLC. The sender on the wire was identified as Southern Trust Company Inc.

- On 06/11/2019, the account disbursed \$866,905.25 via wire transfer to FirstBank Puerto Rico account number [REDACTED] (ABA number [REDACTED]) in the name of Southern Trust Company Inc.

- On 06/11/2019, the account disbursed \$1,500,000.00 via wire transfer to Banco Popular De Puerto Rico account number [REDACTED] (ABA number [REDACTED]) in the name of Jeffrey Epstein.

- On 06/11/2019, the account disbursed \$2,500,000.00 via wire transfer to FirstBank Puerto Rico account number [REDACTED]



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(ABA number [REDACTED] in the name of Jeffrey Epstein.

- On 07/02/2019, the account disbursed \$3,591.16 via wire transfer to FirstBank Puerto Rico account number [REDACTED] (ABA number: [REDACTED]) in the name of Southern Trust Company Inc.

Additional activity included the disbursement of two securities via ACAT transfer on 07/03/2019 to Interactive Brokers account number [REDACTED]

As of 07/18/2019, account [REDACTED] had a balance of zero. The account was restricted on 05/30/2019 to closing transactions only.

Due Diligence:

A credit header report confirmed the above-provided identifying information of Jeffrey Epstein.

A review of public media information identified Jeffrey Epstein as the 100% owner of Southern Trust Company Inc.

No additional relevant information was identified.