



555 West Adams
Chicago, IL 60661
Tel [REDACTED]
Fax [REDACTED]

September 11, 2019

AUSA [REDACTED]
One St. Andrew's Plaza
New York, New York 10007

SUBJECT: ADAMS ET AL

STATUS: Additional Information Needed: Full Social Security Number and Last Known Address. Verify all information provided.

TransUnion is unable to comply with the subject referenced above due to incomplete information to process the request.

Please provide the missing information by **amending** your request. ***Re-submit ALL documents (e.g. subpoena, warrant, etc.) along with the additional required information (see attached Guidelines) to [REDACTED] or submit via email to [REDACTED].***

NOTE: There is NO need to obtain a new subpoena, warrant, etc. We need the initial request (subpoena, warrant, etc.) IN ADDITION to the missing information.

Please ensure that all required information is included for each consumer report you would like to receive. Attached are the guidelines and requirements for submitting such requests to TransUnion. Please be aware that approximate turn time is three weeks from the date that TransUnion receives the final complete request. To ensure a timely response, please adhere to the attached guidelines.

If you have any questions or need additional clarification, please contact TransUnion's Subpoena Hotline at [REDACTED] or email at [REDACTED]. For inquiries regarding this specific request, please reference the date of this letter, consumer name and docket number as stated on the subject line, and social security number.

Sincerely,

Susan Calderaio

Litigation Support Department
Enclosure(s)

Subpoena Guidelines

TransUnion Accepts the Following Documentation:

- US Federal Grand Jury Subpoenas
- ALL OTHER SUBPOENAS - *only if* so ordered by a Judge or Magistrate and stamped by the Clerk
- Court Orders - *must* be signed by a Judge or Magistrate
- Search Warrants - *must* be signed by a Judge or Magistrate
- Summons - issued by the IRS
- Authorizations – must be signed by the subject of the credit report or subject consumer's legal representative (e.g. Attorney)

Please submit the above to the attention of the TransUnion Subpoena Processing Team using ONE of the following:

Email: [REDACTED] Fax: [REDACTED] Mail: TransUnion 555 West Adams St. Chicago, IL 60661

REQUIRED INFORMATION on ALL

Requests:

- First, middle & last name of consumer(s) whose credit report is being requested (alias names if applicable)
- Date of birth
- Social security number(s) (alias #'s if applicable)
- Current and/or last known address(s)
- City, state and zip code

Requests that do not contain the above information will be automatically rejected and will result in processing delays.

Instructions for delivery of documents

- Name of person to receive requested documents
- Name of agency/firm
- Address
- City, state and zip code
- E-mail, Fax number, or mailing address

- If we are unable to complete your request(s) and you have included a check, it will be destroyed.
- TransUnion does not maintain credit information on businesses.
- The consumer report information provided pursuant to your request may not include all information in TransUnion's database(s), such as prescreening inquiries, inquiries for account review purposes and inquiries relating to medical, utility or insurance services. If such information is required, please specify that "ALL inquiry information, including but not limited to prescreening inquiries, inquiries for account review purposes and inquiries relating to medical, utility or insurance services, found in the consumer's file must be returned."
- We may respond to your request via e-mail, fax, or US mail. Please be sure to include an e-mail address, fax number, or mailing address with your request.
- **If sending via fax, hard copy is not required!**

REQUEST for FULL ACCOUNT NUMBER(S):

If requesting the "full account number(s)" for a consumer's account(s), please be aware that due to security requirements and evolving industry standards for treatment of highly sensitive Payment Card Information ("PCI"), full account numbers may not be displayed on TransUnion credit reports or disclosures, but may have one or more digits truncated, masked or obscured. Further, where full account numbers do appear, these may be scrambled or encrypted by the card issuer, furnisher of information, and otherwise may not reflect the actual account number.

FEDERAL BUREAU OF INVESTIGATION
FACSIMILE COVER SHEET



PRECEDENCE

Immediate Priority Routine

CLASSIFICATION

Top Secret Secret Confidential Unclassified

Dissemination Controls:

LES LES NOFORN FOUO FTI RD/FRD

Upon removal of attachments the document is classified as:

Top Secret Secret Confidential Unclassified

TO

Name of Office: TRANS Union Facsimile Number: [Redacted] Date: [Redacted]
Attn: Custodian of Records Room: [Redacted] Telephone Number: [Redacted]

FROM

Name of Office: FBI - New York Number of Pages: (Including cover) 5
Facsimile attachment contains:
Does this contain Personal Identifiable Information (PII)? Yes No
Originator's Name: [Redacted] Originator's Telephone Number: [Redacted] Originator's Facsimile Number: [Redacted]

Approved:

DETAILS

Subject: Suppore Attached

Special Handling Instructions:

Receipt can be acknowledged by email ([Redacted]) or call ([Redacted])

Brief Description of Communication Faxed:

WARNING

Information attached to the cover sheet is U.S. Government Property. If you are not the intended recipient of this information disclosure, reproduction, distribution, or use of this information is prohibited (18.U.S.C. § 641). Please notify the originator or local FBI Office immediately to arrange for proper disposition.

United States District Court
SOUTHERN DISTRICT OF NEW YORK

TO: TransUnion
Custodian of Records

[REDACTED]

Fax: [REDACTED]

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York, at the United States Courthouse, 40 Foley Square, Room 220, in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place:

Appearance Date: September 13, 2019 Appearance Time: 9:00 a.m.

to testify and give evidence in regard to alleged violation of:
18 U.S.C. §§ 1791, 201.

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

Please see the attached rider. Personal appearance is not required if the requested records are (1) produced on or before the return date to AUSA [REDACTED], [REDACTED], [REDACTED], and (2) accompanied by an executed copy of the attached Declaration of Custodian of Records.

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
August 30, 2019

Geoffrey S. Berman / kd
GEOFFREY S. BERMAN
United States Attorney

[REDACTED]

Assistant United States Attorney
One St. Andrew's Plaza
New York, New York 10007
Telephone: [REDACTED]
Email: [REDACTED]



RIDER

(Grand Jury Subpoena to TransUnion, dated August 30, 2019; USAO 2019R01059)

REQUEST:

Please provide all financial account information, including credit reports, relating to the following individuals:

- [REDACTED], DOB [REDACTED]
- Tova A. Noel, DOB [REDACTED], SSN [REDACTED]
- Michael A. Thomas, DOB [REDACTED], SSN [REDACTED]

In lieu of an appearance you may comply with this subpoena by providing the requested information, along with a business records certification pursuant to Fed. R. Evid. 803(6) to AUSA [REDACTED], [REDACTED].gov.

Declaration of Custodian of Records

Pursuant to 28 U.S.C. § 1746, I, the undersigned, hereby declare:

My name is _____
(name of declarant)

I am a United States citizen and I am over eighteen years of age. I am the custodian of records of the business named below, or I am otherwise qualified as a result of my position with the business named below to make this declaration.

I am in receipt of a Grand Jury Subpoena, dated August 30, 2019, and signed by Assistant United States Attorney [REDACTED], requesting specified records of the business named below. Pursuant to Rules 902(11) and 803(6) of the Federal Rules of Evidence, I hereby certify that the records provided herewith and in response to the Subpoena:

- (1) were made at or near the time of the occurrence of the matters set forth in the records, by, or from information transmitted by, a person with knowledge of those matters;
- (2) were kept in the course of regularly conducted business activity; and
- (3) were made by the regularly conducted business activity as a regular practice.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on _____
(date)

(signature of declarant)

(name and title of declarant)

(name of business)

(business address)

Definitions of terms used above:

As defined in Fed. R. Evid. 803(6), "record" includes a memorandum, report, record, or data compilation, in any form, of acts, events, conditions, opinions, or diagnoses. The term "business" as used in Fed. R. Evid. 803(6) and the above declaration includes business, institution, association, profession, occupation, and calling of every kind, whether or not conducted for profit.



U.S. Department of Justice

United States Attorney
Southern District of New York

The Silvia J. Mollo Building
One Saint Andrew's Plaza
New York, New York 10007

August 30, 2019

TransUnion
Custodian of Records

[REDACTED]
Fax: [REDACTED]

Re: Grand Jury Subpoena:

Please be advised that the accompanying grand jury subpoena has been issued in connection with an official criminal investigation of a suspected felony being conducted by a federal grand jury. The Government hereby requests that you voluntarily refrain from disclosing the existence of the subpoena to any third party. While you are under no obligation to comply with our request, we are requesting you not to make any disclosure in order to preserve the confidentiality of the investigation and because disclosure of the existence of this investigation might interfere with and impede the investigation.

If you intend to disclose the existence of this Grand Jury Subpoena request to a third party, please let me know before making any such disclosure.

Thank you for your cooperation in this matter.

Very truly yours,

GEOFFREY S. BERMAN
United States Attorney

By: [REDACTED]

[REDACTED]
Assistant United States Attorney
[REDACTED]

EFTA00125014