
From: Paul Morris [REDACTED]
Sent: 1/5/2015 11:45:54 AM
To: Troy-D Williams [REDACTED]; Amanda Kirby [REDACTED]
CC: Roddy Moore [REDACTED]; Nicholas Haigh [REDACTED]; Daniel Sabba [REDACTED]
Subject: Re: Updated KYC - Recent Press [C]

Classification: Confidential

Troy the stories about Prince Andrew have been popping up in the press for years. I will post the team if there is anything that has changed.

From: Troy-D Williams
Sent: 01/05/2015 03:07 PM GMT
To: Paul Morris; Amanda Kirby
Cc: Roddy Moore; Nicholas Haigh; Daniel Sabba
Subject: Updated KYC - Recent Press [C]

Classification: **Confidential**

Paul,

I am sure you have seen the recent press about Mr. Epstein. Can you please ensure an updated KYC is completed and that there are no new issues?

Thanks

Here is something from WSJ.com that might interest you:

Britain's Prince Andrew and U.S. lawyer Alan Dershowitz deny sex-scandal claim
<http://www.wsj.com/articles/financier-epsteins-sex-case-leads-to-new-claims-1420242564>

Troy D Williams
Credit Risk Management
Deutsche Asset & Wealth Management
Tel: [REDACTED]

From: Daniel Sabba
Sent: Monday, January 05, 2015 9:50 AM
To: Renee Maxey
Cc: jeevacation@gmail.com; [REDACTED]; Paul Morris; Vahe Stepanian; Amanda Kirby; Roddy Moore; Troy-D Williams; Mary Breslin; Kristine Pecunia; Lorna Barrow-Austin; Brendan Droge
Subject: Fw: Southern Financial LLC/Deutsche Bank AG - GMRA [Document Attached] [C]

Classification: Confidential

Renee - please liaise with Richard Kahn for communication regarding this matter. I have CCed Rich here.

Rich - please see below.

Best regards,
Daniel

[REDACTED]
Key Client Partners
Deutsche Bank Securities Inc.
Tel. [REDACTED]
Mobile [REDACTED]
Email [REDACTED]

----- Forwarded by Daniel Sabba/db/dbcom on 01/05/2015 09:47 AM -----

From: [REDACTED]
To: "[REDACTED]" <[REDACTED]>, "[REDACTED]" <[REDACTED]>,
Cc: [REDACTED]/db/dbcom, [REDACTED]/db/dbcom, [REDACTED]/db/dbcom@DBAMERICAS, [REDACTED]/db/dbcom@DBAMERICAS,
[REDACTED]/db/dbcom, [REDACTED]/ext/dbcom, [REDACTED]/ext/dbcom@DBAMERICAS, [REDACTED]/db/dbcom
Date: 12/31/2014 12:41 PM
Subject: Southern Financial LLC/Deutsche Bank AG - GMRA [Document Attached] [C]

Classification: **Confidential**

Classification: **Confidential**

Dear Harry and Jeffrey,

Please find attached a GMRA between Deutsche Bank AG and Southern Financial LLC. Please let me (Renée Maxey - [REDACTED]) know if you have any comments or questions.

If there are no questions or comments to the GMRA, please:

(i) insert the required information in Annex I, sections 1(g), 1(o)(iii), and 1(p)(ii) and **confirm that no Counterparty assets are subject to ERISA.**

Please note, in Section 1(p)(ii), we require an "English" process agent, "English" affiliate, or "English" law firm (as the GMRA is governed by English law);

(ii) print out TWO (2) single-sided originals;

(iii) arrange for appropriate execution by an authorized signatory on the signature page, 20;

(iv) provide evidence of signing authority and specimen signature for the signatory of the GMRA, and

(v) return TWO (2) sets of executed GMRA's to the attention of Kristine Pecunia:

Repo & Securities Lending Legal Group
Deutsche Bank AG, New York
60 Wall Street, 36th Floor
New York, NY 10005
[REDACTED]
[REDACTED]

Deutsche Bank will then have the originals signed and will forward a fully executed original to you.

Best regards,
Renée



Renée J. Maxey
Assistant Vice President | Legal

DB Services New Jersey, Inc.
5022 Gate Parkway
Suite 400
Jacksonville, Florida 32256
United States of America
Tel. [REDACTED]
Mobile [REDACTED]
Email [REDACTED]

Passion to Perform

(See attached file: Southern Financial - GMRA (DB1 12.31.2014).doc)