

From: "[REDACTED]" >

To: [REDACTED]
[REDACTED]
[REDACTED]

Cc: "[REDACTED]" >

Subject: Fwd: Strictly Private & Confidential

Date: Thu, 16 Apr 2020 10:29:57 +0000

Importance: Normal

Inline-Images: image001.png; image002.png; image003.png

Good morning all,

I received the email below this morning from the UK Financial Conduct Authority (FCA). The FCA is investigating James Staley and his fitness to serve as CEO of Barclays Bank after admitting his previous relationship with Jeffery Epstein, which Staley claims ended in 2015 before he became CEO.

The FCA has already contacted AUSA [REDACTED] in SDNY who advised that he had nothing to add to their investigation, but somehow apparently put FCA in contact with a source who has provided additional links between Staley and Epstein.

I have already advised FCA that their investigation appears to be more on the regulatory side of the FCA's responsibility and that assessing one's fitness for duty isn't something we would normally investigate. And given that Mr [REDACTED] has already declined to offer anything, I have tried to manage expectations that we too will likely have nothing to provide in this regard.

All this said, before I respond (or take the conference call with FCA), I want to run this by you to offer a chance to comment or provide a response.

I'd be grateful for your thoughts.

Thanks in advance for your consideration,
Joe

[REDACTED]
Assistant Legal Attache
FBI/Legal Attache London
American Embassy London
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]

----- Forwarded message -----

From: [REDACTED] >

Date: Apr 16, 2020 9:00 AM

Subject: Strictly Private & Confidential

To: "[REDACTED]" >

Cc: "[REDACTED]" >

Morning [REDACTED],

Hope you are both well and keeping safe.

Joe – please see the request below from the Op Downey case team.

As discussed yesterday, once you've had a chance to look at this, I'd like to set up a conference call with you and the case manager so that she can provide more detail. We could also discuss the extent of any assistance you may be able to provide. The case manager is Natalie Rivett, you may remember her, she was involved in the Beaufort case.

"The FCA opened a regulatory investigation into Mr Staley in December 2019. The investigation is considering whether Mr Staley failed to act with integrity, failed to be open and cooperative with the FCA and failed to disclose relevant information to the FCA. It is also considering whether Mr Staley is "fit and proper" to perform functions in relation to the firm's regulatory activity.

In August 2019, the FCA asked Barclays' senior management to consider what it had done to satisfy itself that it was comfortable with any relationship that may have existed between the bank and/or Mr Staley and Mr Epstein. This followed the FCA becoming aware of information in the public domain that suggested that Mr Staley and Mr Epstein may have had a personal relationship, and further to matters in the public domain relating to Mr Epstein's conduct. In October 2019, Barclays reverted to the FCA and stated that Mr Staley had confirmed that he did not have a close relationship with Mr Epstein and, at no time, did he see anything that would have suggested or revealed any aspect of the conduct that has been the subject of recent allegations.

The FCA have been investigating whether that statement is accurate and as part of the investigation, we spoke to Edward [REDACTED] of the Department of Justice, Southern District of New York (SDNY) who, as I mentioned, put us in touch with a source who has made us aware of further links that Mr Staley may have had with Mr Epstein. I understand that Vijay is going to set up a call for us to discuss this information in more detail however it is worth noting that, to date, we have been unable to corroborate the information provided by this source.

I understand that to the extent that you have any information that may be relevant to our investigation, it could only be provided to us for Intelligence purposes. If you do, however, have information relevant to our investigation, we would seek to corroborate it independently if possible. In short, we are looking for information that is relevant to the question of whether Mr Staley and Mr Epstein had a close personal relationship or any information to suggest that Mr Staley was aware of Mr Epstein's alleged misconduct. This could include, but isn't limited to, details of meetings that took place between Mr Epstein and Mr Staley, telephone calls, witness testimony, emails, etc. Any assistance you can provide in this regard would be greatly appreciated".

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Thank you for your assistance.

Kind regards

Vijay

Vijay Emmerson

Team Leader/ Intelligence
Enforcement & Market Oversight Division



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