

Grand Jury Subpoena

United States District Court
SOUTHERN DISTRICT OF NEW YORK

TO: JP Morgan Chase Bank N.A. And All Affiliates
National Subpoena Processing
7610 West Washing Street
Indianapolis, Indiana 46231-1335
Fax Number: [REDACTED]

GREETINGS:

WE COMMAND YOU that all and singular business and excuses being laid aside, you appear and attend before the GRAND JURY of the people of the United States for the Southern District of New York; at the United States Courthouse, 40 Foley Square, Room 220, in the Borough of Manhattan, City of New York, New York, in the Southern District of New York, at the following date, time and place:

Appearance Date: September 17, 2019 Appearance Time: 10 a.m.

to testify and give evidence in regard to alleged violations of federal criminal law, including: 18 U.S.C. §§ 1591, 1594(c), 2422(b), 371

and not to depart the Grand Jury without leave thereof, or of the United States Attorney, and that you bring with you and produce at the above time and place the following:

SEE ATTACHED RIDER. Personal appearance is not required if the requested records are (1) produced by on or before the return date to Special Agent [REDACTED], Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278, telephone [REDACTED]; and (2) accompanied by an executed copy of the attached Declaration of Custodian of Records. Please contact Forensic Accountant [REDACTED] at [REDACTED] or Special Agent [REDACTED] at [REDACTED] with any questions.

Failure to attend and produce any items hereby demanded will constitute contempt of court and will subject you to civil sanctions and criminal penalties, in addition to other penalties of the Law.

DATED: New York, New York
September 3, 2019

Geoffrey S. Berman
GEOFFREY S. BERMAN
United States Attorney for the
Southern District of New York



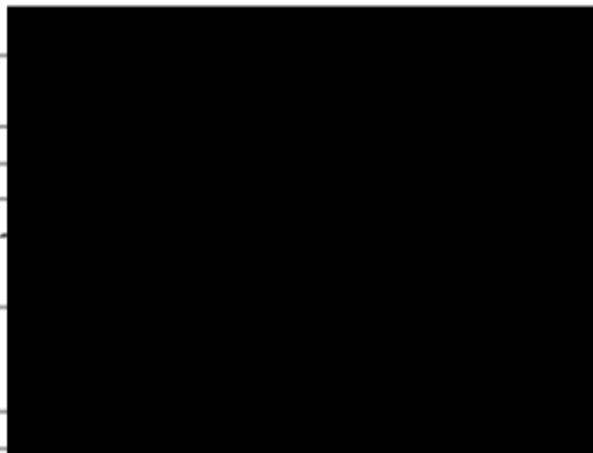
RIDER

(Grand Jury Subpoena to JP Morgan Chase, dated September 3, 2019)

Please provide from account inception to the present any and all records pertaining to the following accounts(s)/organization(s)/individuals(s), whether held jointly or severally or as trustee or fiduciary as well as custodian, executor, or guardian, to include all open and closed accounts. Please provide all images of documents in Adobe PDF files on CDs.

A. Please use the following identifiers:

NAME
DOB
SSN
ADDRESS
PHONE
EMAIL
DLN



B. Records to be produced should include but are not limited to the items listed below:

1. Documents (checks, debit memos, cash in tickets, wires in, wires out, etc.) reflecting additions and/or subtractions to the account and how the account balances are being satisfied on a monthly basis;
2. Signature cards;
3. Proof of identification (including but not limited to copies of identification used to open the account);
4. Location of withdrawals
5. Opening account(s) documents with attachments, including any and all applications, internal documents generated to open account(s), and identification information or other documentation provided by Customer;
6. "Know your customer" documentation;
7. Wire transfer records (incoming and outgoing, and any and all applications and instructions);
8. "Quick Pay" transaction detail including counterparty information;
9. Safe deposit records, including applications, signature cards, and sign-in records;
10. Trust accounts;
11. Monthly statements;
12. Credit card statements;
13. Bank, travelers, or cashier checks drawn on account or purchased with an account check;
14. Prepaid debit cards, certified checks, cashiers' checks, money orders, and traveler's checks;

- 15. Loan, lease, and/or mortgage application files (whether granted or denied) including credit reports, applications, and payments made on loans;
- 16. Any and all corporate resolutions, certifications of incorporation, business certificates and/or partnership agreements;
- 17. Online banking information- All information regarding the electronic use of banking systems to include the following: username, registration IP address, online account creation date, online account status and IP logs/history, MAC addresses and online session times and duration; and
- 18. Any and all correspondence, electronic or otherwise, including memoranda, emails and text messages, that reference or concern items (1) through (17), above, and/or any financial interests involving the individuals and/or entities identified in Section A.

N.B.: Personal appearance is not required if the requested records are (1) produced by on or before the return date to Special Agent [REDACTED], Federal Bureau of Investigation, 26 Federal Plaza, New York, NY 10278, telephone [REDACTED]; and (2) accompanied by an executed copy of the attached Declaration of Custodian of Records. PLEASE PROVIDE IN ELECTRONIC FORMAT IF POSSIBLE.

Please contact Forensic Accountant [REDACTED] at [REDACTED] or Special Agent [REDACTED] at [REDACTED] with any questions.

IMPORTANT: REQUEST FOR NON-DISCLOSURE

Due to the ongoing nature of the investigation, it is requested that you do not disclose any information relating to this Grand Jury subpoena request to any third party.