

OLY-71

JANE DOE # 9

United States District Court
SOUTHERN DISTRICT OF FLORIDA

TO: Custodian of Records
Bear Stearns Companies, Inc.

**SUBPOENA TO TESTIFY
BEFORE GRAND JURY**
FGJ 07-103(WPB)-Tues./No. OLY-71

SUBPOENA FOR:

PERSON

DOCUMENTS OR OBJECT[S]

YOU ARE HEREBY COMMANDED to appear and testify before the Grand Jury of the United States District Court at the place, date and time specified below.

PLACE: United States District Courthouse 701 Clematis Street West Palm Beach, Florida 33401	ROOM: Grand Jury Room
	DATE AND TIME: September 4, 2007 1:00pm*

YOU ARE ALSO COMMANDED to bring with you the following document(s) or object(s):

See attachments

***Please coordinate your compliance with this subpoena and confirm the date and time, and location of your appearance with Special Agent [REDACTED] Federal Bureau of Investigation, Telephone: [REDACTED].**

This subpoena shall remain in effect until you are granted leave to depart by the court or by an officer acting on behalf of the court.

CLERK		DATE:
(BY) DEPUTY CLERK		August 15, 2007

This subpoena is issued upon application of the United States of America

[REDACTED]

Name, Address and Phone Number of Assistant U.S. Attorney

[REDACTED]
500 So. Australian Avenue, Suite 400
West Palm Beach, FL 33401-6235
Tel: [REDACTED]
Fax: [REDACTED]

*If not applicable, enter "none."

RETURN OF SERVICE¹

RECEIVED BY SERVER	DATE	PLACE
SERVED	DATE	PLACE
SERVED ON (NAME)		
SERVED BY	TITLE	

STATEMENT OF SERVICE FEES

TRAVEL	SERVICES	TOTAL
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DECLARATION OF SERVICE²

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Return of Service and Statement of Service Fees is true and correct.

Executed on _____
DATE Signature of Server

Address of Server

ADDITIONAL INFORMATION

1.As to who may serve a subpoena and the manner of its service see Rule 17(d). Federal Rules of Criminal Procedure, or Rule 45(c), Federal Rules of Civil Procedure.

2."Fees and mileage need not be tendered to the witness upon service of a subpoena issued on behalf of the United States or an officer or agency thereof (Rule 45(c), Federal Rules of Civil Procedure; Rule 17(d), Federal Rules of Criminal Procedure) or on behalf of certain indigent parties and criminal defendants who are unable to pay such costs (28 USC 1825, Rule 17(b) Federal Rules of Criminal Procedure)"

ATTACHMENT TO SUBPOENA
BEAR STEARNS COMPANIES, INC. (OLY-71)

INSTRUCTIONS

Document request number 1 refers to the period of January 1, 2001 to the present. Document request number 2 has no date limitation.

When the term "Epstein" is used, it refers to Jeffrey Epstein and any entity owned or operated by Jeffrey Epstein.

When the term "document" is used, it includes writings, drawings, charts, graphs, photographs, audio recordings, calendars, and electronic and/or other data compilations from which information can be obtained.

All documents should be produced in the manner in which they are kept at Bear Sterns. For example, if a memorandum is maintained both electronically and in "hard copy," then both the electronic and paper versions should be produced.

REQUEST

#1. All documents referring or relating to Epstein, including but not limited to correspondence, e-mails, calendars reflecting meetings or telephone conferences, tax records, tax preparation documents, records of any loans or payments made to Epstein, records of any loans or payments received from Epstein, minutes of meetings or telephone conferences in which Epstein participated or was discussed, records of transactions made or requested by Epstein (whether on his own behalf or on behalf of any business entity or client), account statements for any and all accounts owned or managed by Epstein, (whether on his own behalf or on behalf of any entity or client), and information relating to any bank or financial institution used by Epstein to transfer money to Bear Sterns or to receive money from Bear Sterns.

#2. All documents referring or relating to the employment of Epstein, including but not limited to employment applications, employment reviews, pay stubs, and documents related to termination of employment.

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF FLORIDA

IN RE FEDERAL GRAND JURY SUBPOENA
OLY-71 ADDRESSED TO
BEAR STEARNS COMPANIES, INC.
_____ /

**CERTIFICATION REGARDING DOMESTIC RECORDS
OF REGULARLY CONDUCTED ACTIVITY**

I, the undersigned, _____, declare that I am employed by
Bear Stearns Companies, Inc., in the position of _____, and, by
reason of my position, am authorized and qualified to make this declaration.

1. Through my employment with Bear Stearns Companies, Inc., I am familiar with the
business records it maintains.

2. I certify that the records attached to this certification:

- (a) were made at or near the time of the occurrence of the matters set forth
therein, by or from information transmitted by, a person with knowledge of
those matters;
- (b) were kept in the course of regularly conducted business activity; and
- (c) were made by the regularly conducted activity as a regular practice.

2. Among the records so maintained are the attached records itemized in Appendix A
(Document Inventory).

3. I further certify that the documents attached hereto are responsive to the Grand Jury Subpoena served upon Bear Stearns Companies, Inc.

Pursuant to 28 U.S.C. § 1746, I declare under penalty of perjury that the foregoing information is true and correct.

Executed this _____ day of _____, 2007.

Place of execution: _____

Signature



U.S. Department of Justice

United States Attorney
Southern District of Florida

500 South Australian Avenue, Suite 400
West Palm Beach, FL 33401



Re: Grand Jury Subpoena - FGJ 07-103(WPB)

Dear Custodian of Records:

Pursuant to an official criminal investigation of a suspected federal offense being conducted by a federal grand jury in the Southern District of Florida, your institution has been called upon to furnish the documents and information described in the attached subpoena.

Subpoenas issued in connection with proceedings before a grand jury are specifically excluded from the customer notification provisions of the Financial Privacy Act [see Title 12, United States Code, Section 3413(i)].

Therefore, you are requested not to disclose the existence of this subpoena or the fact of your compliance with it. If you have any questions about this, or if you are considering a disclosure, please contact the undersigned federal prosecutor.

Sincerely,

R. ALEXANDER ACOSTA
UNITED STATES ATTORNEY

BY:



ASSISTANT UNITED STATES ATTORNEY



U.S. Department of Justice

United States Attorney
Southern District of Florida

500 S. Australian Ave., Suite 400
West Palm Beach, FL 33401-6235

APPEARANCE NOTICE

The attached subpoena requires the production of the records specified to a Federal Grand Jury/Trial in the Southern District of Florida.

A new provision of the Federal Rules of Evidence provides that routine business records may be admitted at trial through the declaration of a custodian, if they are provided sufficiently in advance of trial to allow an opportunity for any challenges to their authenticity. Therefore, you may be able to avoid appearing personally at the grand jury/trial at the time and place specified by completely filling out the attached Certification and Inventory and immediately returning it with the records to **Special Agent [REDACTED]**, FBI at the following address:

**Federal Bureau of Investigation
505 South Flagler Drive, Ste. 500
West Palm Beach, Florida 33401-5923**

EARLY VOLUNTARY TURNOVER

Please note that we are requesting an early voluntary turnover of the materials subpoenaed. The early voluntary turnover date is prior to September 4, 2007.

Sincerely,

R. ALEXANDER ACOSTA
UNITED STATES ATTORNEY

BY:

[REDACTED]
ASSISTANT UNITED STATES ATTORNEY

This form shall only be used when requesting financial records of individuals and partnerships of five or fewer individuals.

1 Purchase Order Number:	2 Date Order Prepared: 8/15/2007	3 Case Number: (Optional) [REDACTED]
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Section A - Authorization and Purchase Order

4 Name and Address of Financial Institution: Bear Sterns Company	6 Return Date: 9/4/2007
5 Deliver To: FBI Special Agent Nesbitt Kuyrkendall at [REDACTED]	

7 Remarks: FOR REIMBURSEMENT PLEASE RETURN THIS FORM, THE RECORD OF SERVICES, AND A COPY OF THE SUBPOENA.

8 Name of Requestor: (Type or Print) AUSA [REDACTED]	9 Telephone Number: [REDACTED]	10 Date of request: 8/15/2007
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Section B - Financial Institution Invoice

No Payment Shall Be Made Unless Expenses Are Itemized Below Or On Your Form To Be Attached.

11 Service/Financial Records Provided:	Quantity	Unit Price		Amount
		Cost	Per	
FOR PROMPT PAYMENT INCLUDE TAX I.D. NUMBER. Please note that reimbursement cannot be made for the records pertaining to corporations or large partnerships of six or more. IMPORTANT: The DCIA Mandates the use of EFT/DD. In order to receive payment complete the attached EFT enrollment form.		0.25	Copy	
		11.00	Hour Clerical Tech	
		17.00	Hour Manger or Supervisor	

Do not proceed with compliance: If cost will exceed \$500 without prior approval of Budget Officer Lazaro Feliciano. Fax any request to (305) 530-7679

12 Signature of Financial Institution Official:	13 Date Signed:	Total Amount Claimed By Financial Institution	0.00
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Section C - Receiving Report

14 I certify that the articles and services listed were received:	15 Date Received:	16 Disallowance (See Attached)	17 Net to Financial Institution
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18 Right to Financial Privacy Act - Public Law 95-630 (12 U.S.C. 3401-3422) Request Pursuant To: (Check One Only)	19 Signature of Approving Official:																																
<table border="0"> <tr> <th>SECTION</th> <th>OBJECT CLASS</th> </tr> <tr> <td><input type="checkbox"/> 3404 Customer Authorization</td> <td>2540</td> </tr> <tr> <td><input type="checkbox"/> 3405 Administrative Subpoena or Summons</td> <td>2541</td> </tr> <tr> <td><input type="checkbox"/> 3406 Search Warrant</td> <td>2542</td> </tr> <tr> <td><input type="checkbox"/> 3407 Judicial Subpoena</td> <td>2543</td> </tr> <tr> <td><input type="checkbox"/> 3408 Formal Written Request</td> <td>2544</td> </tr> <tr> <td><input type="checkbox"/> 3413 1 Grand Jury Subpoena</td> <td>2545</td> </tr> <tr> <td><input type="checkbox"/> 3414 Special Procedures</td> <td>2546</td> </tr> </table>	SECTION	OBJECT CLASS	<input type="checkbox"/> 3404 Customer Authorization	2540	<input type="checkbox"/> 3405 Administrative Subpoena or Summons	2541	<input type="checkbox"/> 3406 Search Warrant	2542	<input type="checkbox"/> 3407 Judicial Subpoena	2543	<input type="checkbox"/> 3408 Formal Written Request	2544	<input type="checkbox"/> 3413 1 Grand Jury Subpoena	2545	<input type="checkbox"/> 3414 Special Procedures	2546	20 Accounting Classification Code <table border="1"> <tr> <th>FY</th> <th>FC</th> <th>1</th> <th>2</th> <th>3</th> <th>4</th> <th>5</th> <th>PROJ</th> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </table> 21 Schedule and Voucher Number: DC#	FY	FC	1	2	3	4	5	PROJ								
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22 Remarks:

Funds Available

Date:

Budget Officer:

**VENDOR ELECTRONIC FUNDS TRANSFER (EFT)
ENROLLMENT FORM**

Please comply to this information if you have not done so already

PAYEE/COMPANY INFORMATION:

Vendor Company Name:	
Address:	
Taxpayer ID Number	
Contact Person Name	
Telephone Number	
E-mail Address (If you would like to be notified via e-mail)	

FINANCIAL INSTITUTION INFORMATION:

Bank Name	
Bank Address	
Bank Phone Number	
Nine Digit ABA Routing Transit Number	
Type of Account (Checking or Saving)	
Depositor Account Number	
Signature of Vendor's Authorizing Official	
Name & Title of Authorizing Official	

Please Return or Fax to:
U.S. Attorney's Office
Southern District of Florida
99 NE 4 street, Suite 200
Miami, FL. 33132
Attention: Jocelyn Collazo

Fax Number: [REDACTED]

The Debt Collection Improvement Act of 1996 requires that payments made by the Federal government, including vendor payments, must be made by electronic funds transfer (EFT). A benefit of receiving payments by EFT is that your funds are directly deposited to your account at a financial institution and are available to you on the date of payment.

If you have questions regarding the delivery of the remittance information, please contact the financial institution where your account is held.

If you have any questions on the completion of this form, please contact [REDACTED], at [REDACTED].

*** TX REPORT ***

TRANSMISSION OK

TX/RX NO 0327
CONNECTION TEL 12125045557
SUBADDRESS
CONNECTION ID
ST. TIME 08/15 15:36
USAGE T 01'05
PGS. SENT 9
RESULT OK

9
OK



U.S. Department of Justice

United States Attorney
Southern District of Florida

500 S. Australian Ave, 4th Floor
West Palm Beach, Florida 33401

FACSIMILE COVER SHEET

TO: Dennis Block, Esq.

DATE: August 15, 2007

FAX NO. [REDACTED]

OF PAGES: 9

PHONE NO. [REDACTED]

RE: Subpoena

FROM: [REDACTED] Assistant U.S. Attorney

PHONE NO. [REDACTED]

COMMENTS:



U.S. Department of Justice

United States Attorney
Southern District of Florida

[REDACTED]
500 S. Australian Ave, 4th Floor
West Palm Beach, Florida 33401
[REDACTED]

FACSIMILE COVER SHEET

TO: Dennis Block, Esq.

DATE: August 15, 2007

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PHONE NO. [REDACTED]

COMMENTS:



U.S. Department of Justice

United States Attorney
Southern District of Florida

500 South Australian Ave., Suite 400
West Palm Beach, FL 33401



August 15, 2007

VIA FACSIMILE

Dennis Block, Esq.
Cadwalader, Wickersham & Taft LLP
One World Financial Center
New York, NY 10281

Re: Subpoena to Bear Stearns Companies, Inc.

Dear Dennis:

As we discussed earlier today, I have attached a grand jury subpoena addressed to the Custodian of Records for Bear Stearns Companies, Inc. I have addressed the subpoena to the parent corporation, Bear Stearns Companies, but it is meant to include the subsidiary Bear, Stearns & Co., Inc. If you would like a separate subpoena for that entity, please let me know.

The subpoena calls for the presence of a witness before the grand jury, but if the Custodian of Records completes the attached records certification, his or her testimony will not be required.

Thank you for your assistance with this matter, and if you have any questions or concerns, please do not hesitate to contact me.

Sincerely,

R. Alexander Acosta
United States Attorney

By: 

Assistant United States Attorney

cc: Special Agent  F.B.I.

EFTA00186999