

Summary of Positions Requiring Follow Up Before Transferring to UBS FS from JPM

Account Title: **Ghislaine Maxwell**
 Account Type: Individual
 Account # [REDACTED]

Positions that UBS Cannot Accept

Security	ISIN	SEDOL	Quantity	12/31/13 Value
Rio Tinto PLC	GB0007188757	718875	\$ 3.00	\$ 169.41
ETFS Mental Securities Ltd	JE00B1VS3770	B1VS377	301.00	35,213.99
JPM Managed Reserves FD - GBP - C - DIST	LU0539309053		7.74	81,559.76
ISHARES GBP Corporate Bond UCITS ETF	IE00B00FV011	B00FV01	105.00	21,604.00
Bluebay High Yield Bd Fd GBP HDG-DB	LU0222772518		187.90	40,249.17
ISHARES GBP Index-Linked GILTS	IE00B1FZSD53	B1G52V0	5,790.00	122,928.71
JPM Inc Opp FD - GBP - A - DIST(HDG)	LU0323456201		450.27	67,699.99
PIMCO Funds Global Investors Series	IE00B3BMD843	B3BMD84	4,361.51	94,855.12
\$ Total:				464,280.15

Positions that UBS Cannot Accept but Proceeds Can be Reinvested if Positions are "Not Rolled"

Security	Quantity	12/31/13 Value
JP Morgan Chase Bank - CAD (London Time Deposits)	116,435.59	109,577.03
JP Morgan Chase Bank - NOK (London Time Deposits)	1,246,698.26	205,493.50
\$ Total:		315,070.53

Confirming you will want to trade currencies in this account. If so we can accept this position with FX paperwork. If not, it would be best sent back to the UK account.

Security	Quantity	12/31/13 Value
Pound Sterling	555.33	919.76
\$ Total:		919.76